



City of Chicago Office of the City Clerk

City Hall
121 North LaSalle Street
Room 107
Chicago, IL 60602
www.chicityclerk.com

Legislation Referred to Committees at the Chicago City Council Meeting 7/30/2014

Section 3f - Aldermanic Introductions Containing Economic Disclosure Statements

File #	Title	Sponsor(s)	Committee Referral	
Class 6(b) Tax Incentive(s)				
1	R2014-521	Support of Class 6(b) tax incentive for property located at 2800 S Ashland Ave	Solis (25)	Economic <i>Redacted Record</i>
Vacation(s) of Public Way(s)				
2	O2014-5875	Vacation of portion(s) of W 58th St, W 57th Pl and various public alleys	Cochran (20)	Transportation <i>Redacted Record</i>
3	O2014-5865	Vacation of public street and alley on portions of N Loomis St and W Ogden Ave	Burnett (27)	Transportation <i>Redacted Record</i>



City of Chicago



R2014-521

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	7/30/2014
Sponsor(s):	Solis (25)
Type:	Resolution
Title:	Support of Class 6(b) tax incentive for property located at 2800 S Ashland Ave
Committee(s) Assignment:	Committee on Economic, Capital and Technology Development

**RESOLUTION
CLASS 6(B) REAL ESTATE TAX INCENTIVE
FOR THE BENEFIT OF
Q INVESTMENT PROPERTIES SUNSHINE, LLC, AND
REAL ESTATE LOCATED GENERALLY AT
2800 SOUTH ASHLAND AVENUE IN CHICAGO, ILLINOIS
PURSUANT TO COOK COUNTY, ILLINOIS
REAL PROPERTY ASSESSMENT CLASSIFICATION ORDINANCE**

WHEREAS, the Cook County Board of Commissioners has enacted the Cook County Real Property Assessment Classification Ordinance, as amended from time to time (the "Ordinance"), which provides for, among other things, real estate tax incentives to property owners who build, rehabilitate, enhance and occupy property which is located within Cook County and which is used primarily for industrial purposes; and

WHEREAS, the City of Chicago (the "City"), consistent with the Ordinance, wishes to induce industry to locate and expand in the City by supporting financial incentives in the form of property tax relief; and

WHEREAS, Q Investment Properties Sunshine, LLC, a Delaware limited liability company (the "Applicant"), owns certain real estate located generally at 2800 South Ashland Avenue, Chicago, Illinois 60608, as further described on Exhibit A hereto (the "Subject Property"); and

WHEREAS, the Applicant intends to substantially rehabilitate an approximately 315,000 square foot industrial facility located on the Subject Property; and

WHEREAS, the Applicant has filed with the Office of the Assessor of Cook County (the "Assessor") an eligibility application for a Class 6(b) tax incentive under the Ordinance; and

WHEREAS, the Subject Property is located within (i) the City of Chicago Enterprise Zone No. 1 (created pursuant to the Illinois Enterprise Zone Act, 20 ILCS 665/1 et seq., as amended, and pursuant to an ordinance enacted by the City Council of the City, as amended), and (ii) the Pilsen Redevelopment Project Area (created pursuant to the Illinois Tax Increment Allocation Redevelopment Act, 65 ILCS 5/11-74.4-1 et seq., as amended, and pursuant to an ordinance enacted by the City Council of the City), and the purposes of Enterprise Zones and Redevelopment Project Areas are also to provide certain incentives in order to stimulate economic activity and to revitalize depressed areas; and

WHEREAS, it is the responsibility of the Assessor to determine that an application for a Class 6(b) classification or renewal of a Class 6(b) classification is eligible pursuant to the Ordinance; and

WHEREAS, the Ordinance requires that, in connection with the filing of a Class 6(b) eligibility application with the Assessor, the applicant must obtain from the municipality in which such real estate that is proposed for Class 6(b) designation is located a resolution expressly stating that the municipality has determined that the incentive provided by Class 6(b) is necessary for development to occur on such real estate and that the municipality supports and consents to the Class 6(b) classification by the Assessor; and

WHEREAS, the intended use of the Subject Property will provide significant present and future employment; and

WHEREAS, notwithstanding the Class 6(b) status of the Subject Property, the redevelopment and utilization thereof will generate significant new revenues to the City in the form of additional real estate taxes and other tax revenues; now therefore,

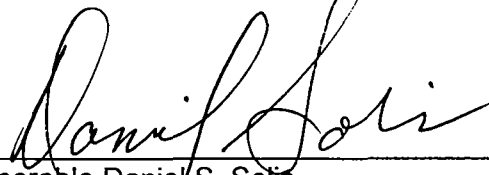
BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1: That the City determines that the incentive provided by Class 6(b) is necessary for the development to occur on the Subject Property.

SECTION 2: That the City supports and consents to the Class 6(b) classification by the Assessor with respect to the Subject Property.

SECTION 3: That the Clerk of the City of Chicago is authorized to and shall send a certified copy of this resolution to the Office of the Cook County Assessor, Room 312, County Building, Chicago, Illinois 60602 and a certified copy of this resolution may be included with the Class 6(b) eligibility application filed with the Assessor by the Applicant, as applicant, in accordance with the Ordinance.

SECTION 4: That this resolution shall be effective immediately upon its passage and approval.

A handwritten signature in cursive script, reading "Daniel Solis", written over a horizontal line.

Honorable Daniel S. Solis
Alderman, 25 Ward

EXHIBIT A

LEGAL DESCRIPTION OF 2800 S. ASHLAND

A tract of land in the Southeast $\frac{1}{4}$ of Section 30, Township 39 North, Range 14, East of the Third Principal Meridian, bounded and described as follows:

Commencing on the East line of the aforesaid Southeast $\frac{1}{4}$ of Section 30 at a point 2119.91 feet North of the Southeast corner of said section; thence South 88 degrees 27 minutes 46 seconds West along a line drawn perpendicular to the aforesaid East line of the Southeast $\frac{1}{4}$ of Section 30, a distance of 50.00 feet to the point of beginning, being the point of intersection with the West line of the East 50 feet of the aforesaid Southeast $\frac{1}{4}$; Thence South 01 degrees 32 minutes 14 seconds East along said West line, being the West line of South Ashland Avenue as condemned by Circuit Court Case No. B71139, a distance of 259.71 feet; thence South 00 degrees 14 minutes 18 seconds East along said West line of South Ashland Avenue, 553.15 feet to a point which is South 88 degrees 27 minutes 46 seconds West, 62.54 feet from a point on the East line of the aforesaid Southeast $\frac{1}{4}$ of Section 30 which is 1307.19 feet North of the Southeast corner of said Section; thence South 88 degrees 12 minutes 53 seconds West, 956.03 feet to a point of curvature; thence Southwesterly, 349.59 feet along the arc of a circle convex to the Northwest, having a radius of 445.10 feet and whose chord bears South 65 degrees 42 minutes 51 seconds West to a point of tangency; thence South 43 degrees 12 minutes 49 seconds West, 77.88 feet to a point of curvature; thence Southwesterly, 208.38 feet along the arc of a circle convex to the Southeast, having a radius of 534.80 feet and whose chord bears South 54 degrees 22 minutes 34 seconds West, 207.07 feet to a point on the East line of the parcel of land conveyed to the State of Illinois by deed dated October 25, 1928 and recorded November 15, 1928 as document 10206804; thence North 01 degrees 22 minutes 55 seconds West, along the aforesaid East line, 65.62 feet; thence Northeasterly, 123.17 feet along the arc of a circle convex to the Southeast, having a radius of 380.00 feet and whose chord bears North 61 degrees 20 minutes 56 seconds East, 122.63 feet to a point on a line drawn 109.00 feet East of and parallel with the East line of the aforesaid parcel of land conveyed to the State of Illinois by document 10206804; thence North 01 degrees 22 minutes 55 seconds West, 822.71 feet to a point on a line drawn 50.00 feet Southeasterly of and parallel with the Southerly dock line of the West Fork of the South Branch of the Chicago River, as established by the City Council of the City of Chicago on June 21, 1915; thence North 63 degrees 53 minutes 26 seconds East along the aforesaid parallel line, 519.62 feet; thence continuing North 66 degrees 46 minutes 06 seconds East along a line drawn 50.00 feet Southeasterly of and parallel with said Southerly dock line, 443.22 feet; thence North 86 degrees 07 minutes 06 seconds East, 448.48 feet to a point on a line drawn 115.00 feet West of and parallel with the East line of said Southeast $\frac{1}{4}$; thence South 01 degrees 32 minutes 14 seconds East along the aforesaid parallel line, 225.19 feet; thence North 86 degrees 07 minutes 06 seconds East, 65.05 feet to the point of beginning, in Cook County, Illinois.

COMMONLY KNOWN AS:
P.I.N.: 17-30-400-010-0000

2800 South Ashland Avenue, Chicago, Illinois

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Q Investment Properties Sunshine, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 12851 Foster Street

Overland Park, KS 66213

C. Telephone: (913) 312-2417 Fax: (877) 772-5290 Email: timothy.kuester@qtsdatacenters.com

D. Name of contact person: Timothy Kuester

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Support of and consent to Class 6(b) application and incentive classification of property at 2800 S. Ashland.

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|---|
| ☐ Person | ☒ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☒ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<u>See Appendix B.</u>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Quality Tech, LP	12851 Foster Street, Overland Park, KS	100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

“Lobbyist” means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. “Lobbyist” also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.
7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
- None.

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None.

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA." or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Q Investment Properties Sunshine, LLC

By: Quality Tech, LP
Its Manager

By: QTS Realty Trust, Inc.
Its General Partner

By:

(Sign here)

William H. Schater

(Print or type name of person signing)

Chief Financial Officer

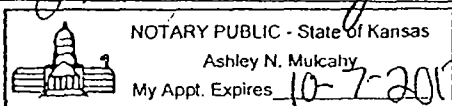
(Print or type title of person signing)

Signed and sworn to before me on (date) June 19, 2014.

at Johnson County, KANSAS (state).

Ashley N. Mulcahy

Notary Public. Commission expires:



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Appendix B

QualityTech, LP, Sole Member/Manager

Chad L. Williams, Chief Executive Officer

William H. Schafer, Chief Financial Officer

Shirley E. Goza, Vice President, Secretary and General Counsel

Jay F. Ketterling, Vice President and Treasurer

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Quality Tech, LP

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Q Investment Properties Sunshine, LLC

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party:

12851 Foster Street

Overland Park, KS 66213

C. Telephone: (913) 312-2417 Fax: (877) 772-5290 Email: timothy.kuester@qtsdatacenters.com

D. Name of contact person: Timothy Kuester

E. Federal Employer Identification No. (if you have one) _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Support of and consent to Class 6(b) application and incentive classification of property at 2800 S. Ashland.

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| ☐ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☒ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
See Appendix B.	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
QTS Realty Trust, Inc.	12851 Foster Street, Overland Park, KS	79.06%
Chad L. Williams	12851 Foster Street, Overland Park, KS	17.78%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

“Lobbyist” means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. “Lobbyist” also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
 - b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).
4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.
6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
None.

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party’s knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with “N/A” or “none”).

None.

9. To the best of the Disclosing Party’s knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a “gift” does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with “N/A” or “none”). As to any gift listed below, please also list the name of the City recipient.

None.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1, and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Quality Tech, LP

By: QTS Realty Trust, Inc.
Its General Partner

By:

(Sign here)

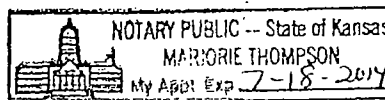
JAMES H. REINHART
(Print or type name of person signing)

COO
(Print or type title of person signing)

Signed and sworn to before me on (date) June 20, 2014,

at Johnson County, Kansas (state).

[Signature] Notary Public. Commission expires: 7-18-2014



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Appendix B

QTS Realty Trust, Inc., General Partner
Chad L. Williams, Chief Executive Officer
William H. Schafer, Chief Financial Officer
Shirley E. Goza, Vice President, Secretary and General Counsel
Jay F. Ketterling, Vice President and Treasurer

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

QTS Realty Trust, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant
OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Q Investment Properties Sunshine, LLC
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 12851 Foster Street
Overland Park, KS 66213

C. Telephone: (913) 312-2417 Fax: (877) 772-5290 Email: timothy.kuester@qtsdatacenters.com

D. Name of contact person: Timothy Kuester

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Support of and consent to Class 6(b) application and incentive classification of property at 2800 S. Ashland.

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|--|--|
| ☐ Person | ☐ Limited liability company |
| ☒ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Maryland

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<u>See Appendix B</u>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
GA QTS Interholdco, LLC	600 Steamboat Road, Greenwich, CT	57%
Cohen & Steers	280 Park Avenue, NY, NY 10017	10%
Waddell & Reed	6300 Lamar Avenue, Overland Park, KS	9%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Tully & Associates	33 N. Dearborn, Suite 2450	Attorney	Estimate \$50,000

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor; or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None.

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party’s knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with “N/A” or “none”).

None.

9. To the best of the Disclosing Party’s knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a “gift” does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with “N/A” or “none”). As to any gift listed below, please also list the name of the City recipient.

None.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

QTS Realty Trust, Inc.

By: [Signature]

(Sign here)

William H. Schaefer

(Print or type name of person signing)

Chief Financial Officer

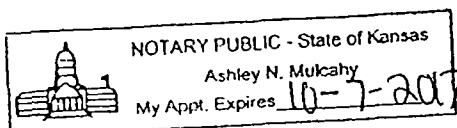
(Print or type title of person signing)

Signed and sworn to before me on (date) June 19, 2014

at Johnson County, Kansas (state).

[Signature] Notary Public.

Commission expires: _____



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

Appendix B

Chad L. Williams, Chief Executive Officer, Director

William H. Schafer, Chief Financial Officer

James H. Reinhart, Chief Operating Officer – Operations

Jeffrey H. Berson, Chief Investment Officer

Daniel T. Bennewitz, Chief Operating Officer – Sales & Marketing

Shirley E. Goza, Vice President, Secretary and General Counsel

Jay F. Ketterling, Vice President and Treasurer

John W. Barter, Director

William O. Grabe, Director

Catherine R. Kinney, Director

Peter A. Marino, Director

Scott D. Miller, Director

Philip P. Trahanas, Director

Stephen E. Westhead, Director

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

GA QTS Interholdco, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Q Investment Properties Sunshine LLC

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party:

c/o General Atlantic Service Company, LLC
55 East 52nd Street, 32nd Fl. NY, NY 10055

C. Telephone: 212-715-4000 Fax: 212-759-5708 Email: drosenstein@generalatlantic.com

D. Name of contact person: David A. Rosenstein

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Support of and consent to 6(b) Application and incentive classification of
property at 2800 S. Ashland.

G. Which City agency or department is requesting this EDS? Department of Planning and
Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|---|
| ☐ Person | ☒ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

- | Name | Title |
|---|-------|
| ① General Atlantic Partners 85, LP; General Atlantic Partners 93, LP;
GAP Coinvestments CDA, LP; GAP Coinvestments III, LLC; GAP Coinvestments IV, LLC;
GAPCO GmbH & Co. KG — Members | |
| ② Steven A. Denning (Chairman), William E. Ford (CEO), J. Frank Brown, David C. Hodgson
Thomas J. Murphy — Board of Managers (Managing Directors) | |

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
General Atlantic Partners 85, L.P.	c/o General Atlantic Service Company, LLC 55 East 52 nd Street, 32 nd Fl. NY, NY, 10055	81.4%
General Atlantic Partners 93, L.P.	c/o General Atlantic Service Company, LLC 55 East 52 nd Street, 32 nd Fl. NY, NY, 10055	12.2%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☒ is

☐ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

N/A

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

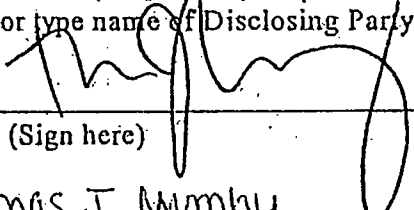
F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

GA QTS Interholdco, LLC
(Print or type name of Disclosing Party)

By: 
(Sign here)

Thomas J. Murphy
(Print or type name of person signing)

Managing Director
(Print or type title of person signing)

Signed and sworn to before me on (date) July 9, 2014,
at New York County, New York (state).

Kathleen Sama Notary Public.

Commission expires: KATHLEEN SAMA

NOTARY PUBLIC-STATE OF NEW YORK

No. 01SA6219964 Page 12 of 13

Qualified in Queens County

My Commission Expires April 05, 2018

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☐ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

General Atlantic Partners 85, LP.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant
OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Q Investment Properties Sunshine LLC
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: c/o General Atlantic Service Company, LLC
55 East 52nd Street, 32nd Fl. NY, NY 10055

C. Telephone: 212-715-4000 Fax: 212-759-5708 Email: drosenstein@generalatlantic.com

D. Name of contact person: David A. Rosenstein

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Support of and consent to 6(b) application and incentive classification of property at 2800 S. Ashland.

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| ☐ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☒ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

General Atlantic GenPar, L.P.

General Partner

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
General Atlantic GenPar, L.P.	c/o General Atlantic Service Company, LLC	100%
	55 East 52nd St., 32nd Fl. NY, NY 10055	

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrears on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

G. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☒ is ☐ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City ~~elect~~ official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

N/A

✓ SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

General Atlantic Partners 85, L.P.
(Print or type name of Disclosing Party)

By:

(Sign here)

By: General Atlantic GenPar, L.P.,
its General Partner
By: General Atlantic LLC,
its General Partner

Thomas J. Murphy
(Print or type name of person signing)

Managing Director
(Print or type title of person signing)

Signed and sworn to before me on (date) July 9, 2014,
at New York County, New York (state).

Kathleen Sama

Notary Public.

Commission expires: KATHLEEN SAMA

NOTARY PUBLIC-STATE OF NEW YORK

No. 01SA6219964

Page 12 of 13

Qualified In Queens County

My Commission Expires April 05, 2018

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☐ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

General Atlantic GenPar, L.P.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Q Investment Properties Sunshine LLC

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 410 General Atlantic Service Company, LLC
55 East 52nd Street, 32nd Fl. NY, NY 10055

C. Telephone: 212-715-4000 Fax: 212-759-5708 Email: drosenstein@generalatlantic.com

D. Name of contact person: David A. Rosenstein

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Support of and consent to 6(b) application and incentive classification of
property at 2800 S. Ashland.

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| ☐ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☒ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

General Atlantic LLC

General Partner

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
General Atlantic LLC	c/o General Atlantic Service Company	100%
	55 East 52nd Street, 32nd Fl. NY, NY 10055	

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	---

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☒ is ☐ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

N/A

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

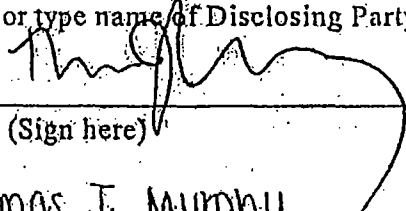
NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

General Atlantic GenPar, L.P.
(Print or type name of Disclosing Party)

By: General Atlantic LLC,
its General Partner

By: 
(Sign here)

Thomas J. Murphy
(Print or type name of person signing)

Managing Director
(Print or type title of person signing)

Signed and sworn to before me on (date) July 10, 2014
at New York County, New York (state).

Kathleen Sama Notary Public.

Commission expires: KATHLEEN SAMA

NOTARY PUBLIC-STATE OF NEW YORK

No. 01SA6219964 Page 12 of 13

Qualified in Queens County

My Commission Expires April 05, 2018

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☐ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

General Atlantic LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Q Investment Properties Sunshine LLC

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: c/o General Atlantic Service Company, LLC
55 East 52nd Street, 32nd Fl. NY, NY 10055

C. Telephone: 212-715-4000 Fax: 212-759-5708 Email: drosenstein@generalatlantic.co

D. Name of contact person: David A. Rosenstein

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Support of and consent to 6(b) application and incentive classification of property at 2800 S. Ashland.

G. Which City agency or department is requesting this EDS? Department of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|---|
| ☐ Person | ☒ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Steven A. Denning, William E. Ford,	Members of Executive Committee
J. Frank Brown, Mark F. Dzialga,	
David C. Hodgson, Rene M. Kern, Jonathan Kornigold,	
Jeff Leng, Anton J. Lewy, Sandeep Naik,	
John D. Bernstein and Martin Escobari	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
William E. Ford	c/o General Atlantic Service Company, LLC 55 East 52nd Street, 32nd Fl. NY, NY 10055	14.7%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None.

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☒ is ☐ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

N/A

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

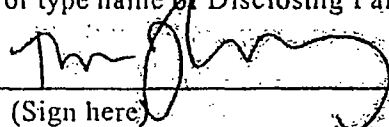
NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

General Atlantic LLC

(Print or type name of Disclosing Party)

By: 

(Sign here)

Thomas J. Murphy

(Print or type name of person signing)

Managing Director

(Print or type title of person signing)

Signed and sworn to before me on (date) July 10, 2014,
at New York County, New York (state).

Kathleen Sama

Notary Public.

Commission expires: KATHLEEN SAMA

NOTARY PUBLIC-STATE OF NEW YORK

No. 015A6219964 Page 12 of 13

Qualified In Queens County

My Commission Expires April 05, 2017

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☐ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.



City of Chicago



O2014-5875

Office of the City Clerk

Document Tracking Sheet

Meeting Date:

7/30/2014

Sponsor(s):

Cochran (20)

Type:

Ordinance

Title:

Vacation of portion(s) of W 58th St, W 57th Pl and various public alleys

Committee(s) Assignment:

Committee on Transportation and Public Way

TRANS

COMMERCIAL ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of local government pursuant to Article VII, Section 6 (a) of the 1970 constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the properties at 500- 558 W. 59th Street, 501-559 and 500-558 W. 58th Place, 400-454 W. 58th Place (vacated), 501-559, 500-558, 401-455, and 400-418 W. 58th Street, 501-545 W. 57th Place, 423-455 and 420-454 W. 57th Place, 5700-5756 S. Stewart Avenue, 5800-5830 S. Stewart Avenue, 5834-5844, 5848-5860, 5800-5830, 5801-5829, 5740-5742, 5746-5756, 5723-5725, and 5733 S. Normal Boulevard; 5733-5739, 5743-5747, and 5751-5757 S. Wallace Street are owned by Norfolk Southern Railway Company, a Virginia Corporation; and whereas the properties at approximately 5741, 5749, 5801, and 5821 S. Wallace Street, and 555 and 556 W. 58th Street are owned by The Commuter Rail Division of the Regional Transportation Authority, also known as METRA (CRD/RTA aka METRA); and

WHEREAS, Norfolk Southern Railway Company, a Virginia Corporation, proposes to use the portion of the streets and alleys to be vacated herein for the expansion of the Norfolk Southern Railway Company's 47th Street Intermodal Facility; and The Commuter Rail Division of the Regional Transportation Authority, also known as METRA proposes to annex the vacated rights of way to their adjacent lots; and

WHEREAS, the City Council of the City of Chicago, after due investigation and consideration, has determined that the nature and extent of the public use and the public interest to be subserved is such as to warrant the vacation of part of public streets and alleys, described in the following ordinance; now therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1.

PARCEL 1 (HEREBY VACATED TO NORFOLK SOUTHERN RAILWAY COMPANY, A VIRGINIA CORPORATION)

ALL THAT PART OF W. 58TH STREET, BEING 66 FEET WIDE, LYING NORTH OF AND ADJOINING LOT 1 TO LOT 9 AND PART OF LOT 10 IN BLOCK 2 IN WARDER'S SUBDIVISION RECORDED AUGUST 3, 1857 AS DOCUMENT NUMBER 89887; ALSO THE NORTH-SOUTH AND EAST-WEST ALLEYS, BEING 16.5 FEET WIDE AND LYING SOUTH OF THE SOUTH LINE OF W. 57TH PLACE, NORTH OF THE NORTH LINE OF W. 58TH STREET AND WEST OF THE WEST LINE OF S. NORMAL BOULEVARD IN EMMA ROSENMERKEL'S SUBDIVISION RECORDED DECEMBER 17, 1855 AS DOCUMENT NUMBER 65581, EXCEPTING THEREFROM THAT PART OF SAID W. 58TH STREET LYING WESTERLY OF A LINE MEASURING 12.00 FEET EASTERLY FROM AND PARALLEL WITH THE CENTERLINE OF METRA TRACK 1, ALL IN COOK COUNTY, ILLINOIS.

(SAID STREET AND ALLEYS CONTAINING A TOTAL OF 50,181 SQUARE FEET OR 1.152 ACRES, MORE OR LESS)

PARCEL 2 (HEREBY VACATED TO THE COMMUTER RAIL DIVISION OF THE REGIONAL TRANSPORTATION AUTHORITY ALSO KNOWN AS METRA)

ALL THAT PART OF W. 58TH STREET LYING IN THE NORTHWEST QUARTER OF SECTION 16, TOWNSHIP 38 NORTH RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE FORMER WESTERLY RIGHT OF WAY LINE OF SOUTH WALLACE STREET AND THE NORTHERLY RIGHT OF WAY LINE OF W. 58TH STREET; THENCE NORTH 88 DEGREES 10 MINUTES 31 SECONDS EAST ALONG SAID NORTHERLY RIGHT OF WAY LINE, 25.54 FEET TO THE POINT OF BEGINNING; THENCE CONTINUING NORTH 88 DEGREES 10 MINUTES 31 SECONDS EAST ALONG SAID NORTHERLY RIGHT OF WAY LINE, 54.12 FEET TO A LINE 12.00 FEET EASTERLY FROM AND PARALLEL WITH THE CENTERLINE OF METRA TRACK 1; THENCE SOUTH 01 DEGREE 34 MINUTES 43 SECONDS EAST ALONG SAID PARALLEL LINE, 66.00 FEET TO THE SOUTHERLY RIGHT OF WAY LINE OF SAID W. 58TH STREET; THENCE SOUTH 88 DEGREES 10 MINUTES 31 SECONDS WEST ALONG SAID SOUTHERLY RIGHT OF WAY LINE, 54.12 FEET TO A LINE 42.12 FEET WESTERLY FROM AND PARALLEL WITH SAID CENTERLINE OF METRA TRACK 1; THENCE NORTH 01 DEGREE 34 MINUTES 43 SECONDS EAST ALONG SAID PARALLEL LINE, 66.00 FEET TO THE POINT OF BEGINNING, ALL IN COOK COUNTY, ILLINOIS.

(SAID STREET CONTAINING A TOTAL OF 0.082 ACRES, MORE OR LESS)

PARCEL 3 (HEREBY VACATED TO NORFOLK SOUTHERN RAILWAY COMPANY, A VIRGINIA COMPANY)

ALL THAT PART OF W. 58TH STREET LYING IN THE NORTHWEST QUARTER OF SECTION 16, TOWNSHIP 38 NORTH RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, DESCRIBED AS FOLLOWS: COMMENCING AT THE INTERSECTION OF THE FORMER WESTERLY RIGHT OF WAY LINE OF SOUTH WALLACE STREET AND THE NORTHERLY RIGHT OF WAY LINE OF 58TH STREET; THENCE NORTH 88 DEGREES 10 MINUTES 31 SECONDS EAST ALONG SAID NORTHERLY RIGHT OF WAY LINE, 19.83 FEET TO THE POINT OF BEGINNING, BEING ON THE WESTERLY LINE OF SOUTH WALLACE STREET HERETOFORE VACATED BY TRACK ELEVATION ORDINANCE PASSED OCTOBER 23, 1899, SAID WESTERLY LINE ALSO BEING THE WESTERLY FACE OF A RETAINING WALL FOR ELEVATED TRACKS; THENCE CONTINUING NORTH 88 DEGREES 10 MINUTES 31 SECONDS EAST ALONG SAID NORTHERLY RIGHT OF WAY LINE, 5.71 FEET TO A LINE 42.12 FEET WESTERLY FROM AND PARALLEL WITH THE CENTERLINE OF METRA TRACK 1; THENCE SOUTH 01 DEGREE 34 MINUTES 43 SECONDS EAST ALONG SAID PARALLEL LINE, 66.00 FEET TO THE SOUTHERLY RIGHT OF WAY LINE OF W. 58TH STREET; THENCE SOUTH 88 DEGREES 10 MINUTES 31 SECONDS WEST ALONG

SAID SOUTHERLY RIGHT OF WAY LINE, 5.43 FEET TO THE WESTERLY LINE OF SAID SOUTH WALLACE STREET HERETOFORE VACATED BY TRACK ELEVATION ORDINANCE PASSED OCTOBER 23, 1899, SAID WESTERLY LINE ALSO BEING THE WESTERLY FACE OF A RETAINING WALL FOR ELEVATED TRACKS; THENCE NORTH 01 DEGREE 49 MINUTES 29 SECONDS WEST ALONG SAID WESTERLY LINE AND SAID WESTERLY FACE OF RETAINING WALL, 66.00 FEET TO THE POINT OF BEGINNING, ALL IN COOK COUNTY, ILLINOIS.

(SAID STREET CONTAINING A TOTAL OF 0.008 ACRES, MORE OR LESS)

PARCEL 4 (HEREBY VACATED TO NORFOLK SOUTHERN RAILWAY COMPANY, A VIRGINIA CORPORATION)

ALL THAT PART OF THE EAST-WEST ALLEYS LYING IN BLOCK 2 AND IN BLOCK 3 IN WARDER'S SUBDIVISION OF THE EAST HALF OF THE NORTHWEST QUARTER OF SECTION 16, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, RECORDED AUGUST 3, 1857 AS DOCUMENT NUMBER 89887; ALSO ALL THAT PART OF W. 58TH PLACE, FORMERLY FORD STREET IN SAID WARDER'S SUBDIVISION LYING SOUTH OF SAID BLOCK 2, NORTH OF SAID BLOCK 3 AND WEST OF THE WESTERLY RIGHT OF WAY LINE OF S. NORMAL AVENUE; EXCEPTING THEREFROM THAT PART LYING WEST OF THE EAST LINE OF SAID STREET AND ALLEYS HERETOFORE VACATED BY TRACK ELEVATION ORDINANCE PASSED OCTOBER 23, 1899, SAID EAST LINE ALSO BEING THE EAST LINE OF THE NORTHEAST ILLINOIS REGIONAL COMMUTER RAIL CORPORATION (NIRC) AS OCCUPIED, ALL IN COOK COUNTY, ILLINOIS.

(SAID STREET AND ALLEYS CONTAINING A TOTAL OF 0.986 ACRES, MORE OR LESS)

PARCEL 5 (HEREBY VACATED TO NORFOLK SOUTHERN RAILWAY COMPANY, A VIRGINIA CORPORATION)

ALL THAT PART OF AN ALLEY LYING IN BLOCK 1 OF WARDER'S SUBDIVISION OF THE EAST HALF OF THE NORTHWEST QUARTER OF SECTION 16, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, RECORDED AUGUST 3, 1857 AS DOCUMENT NUMBER 89887; ALSO ALLEY HERETOFORE DEDICATED SEPTEMBER 3, 1965 IN DOCUMENT NUMBER 19578460; ALSO ALL THAT PART OF W. 58TH STREET LYING NORTH OF LOTS 1 THROUGH 4 INCLUSIVE, IN BLOCK 1 OF SAID WARDER'S SUBDIVISION; ALSO ALL THAT PART OF S. STEWART AVENUE, BEING 33 FEET WIDE, LYING NORTH OF THE EASTERLY EXTENSION OF THE SOUTH RIGHT OF WAY LINE OF SAID W. 58TH STREET AND LYING SOUTH OF THE SOUTH RIGHT OF WAY LINE OF W. 57TH STREET.

(SAID STREETS AND ALLEYS CONTAINING A TOTAL OF 0.968 ACRES, MORE OR LESS)

PARCEL 6 (HEREBY VACATED TO NORFOLK SOUTHERN RAILWAY COMPANY, A VIRGINIA CORPORATION)

ALL THAT PART OF W. 57TH PLACE, BEING 66 FEET WIDE, LYING EAST OF THE EAST RIGHT OF WAY LINE OF S. NORMAL BOULEVARD AND LYING WEST OF THE WEST LINE OF HERETOFORE VACATED W. 57TH PLACE BY ORDINANCE PASSED MAY 6, 1959 AS DOCUMENT NUMBER 17601425; ALSO ALL THAT PART OF THE ALLEY HERETOFORE DEDICATED JULY 17, 1959 AS DOCUMENT NUMBER 17601426, BEING THE WESTERLY 25 FEET OF LOT 22 IN EMMA ROSENMERKEL'S SUBDIVISION OF LOT 31 IN THE SCHOOL TRUSTEES' SUBDIVISION RECORDED DECEMBER 17, 1855 AS DOCUMENT NUMBER 65581, EXCEPTING FROM THE TOTAL OF THE AFORESAID PARCELS THAT PART THEREOF HERETOFORE VACATED ON SEPTEMBER 3, 1965 IN DOCUMENT NUMBER 19578459, ALL IN COOK COUNTY, ILLINOIS.

(SAID STREETS AND ALLEYS CONTAINING A TOTAL OF 0.613 ACRES, MORE OR LESS) as shaded and legally described by the words "HEREBY VACATED" on the plat hereto attached as **Exhibit A**, which plat for greater clarity, is hereby made a part of this ordinance, be and the same is hereby vacated and closed, inasmuch as the same is no longer required for public use and the public interest will be subserved by such vacations.

SECTION 2. The City of Chicago hereby reserves for the benefit of The Peoples Gas Light and Coke Co., and its successor or assigns, the right to abandon in place all or any part of the existing gas mains, service piping, associated equipment and appurtenances and all or any portion of its equipment as may be located over, though, under along and across the vacated areas. It is further provided that all cost and expenses associated with the removal of any abandoned main, pipe, appurtenances, or equipment shall be borne exclusively by the beneficiaries of the vacations, their successors and assigns, and not by The Peoples Gas Light and Coke Company.

SECTION 3. The City of Chicago hereby reserves for the benefit of Commonwealth Edison and its successors or assigns, a non-exclusive utility easement to operate, maintain, construct, replace and renew overhead wires, poles, and associated equipment and underground conduit, cables, and associated equipment for the transmission and distribution of electrical energy, telephonic and associated services under, over and along the alleys and streets herein vacated, with the right of ingress and egress. The grade of the vacated public way shall not be altered in a manner so as to interfere with the operation and maintenance of Commonwealth Edison's facilities. No buildings, permanent structures or obstructions shall be placed over Commonwealth Edison's facilities without written release of easement by Commonwealth Edison. Any future vacation-beneficiary prompted relocation of Commonwealth Edison's facilities, lying within the area being vacated will be accomplished by Commonwealth Edison and done at the expense of beneficiary of the vacation.

SECTION 4. The City of Chicago hereby reserves the area herein vacated, as a right of way for existing Water Department sewers and sewer structures, for the installation of any additional sewers, sewer structures and appurtenances now located, or which in the future may be located in W. 58th Street from the East line of former S. Wallace Street to the West line of S. Normal Boulevard as herein vacated, and for the maintenance, renewal and reconstruction of such facilities.

It is also provided that, the City shall have 24 hour access to the area to be vacated; that no buildings or other permanent structures shall be erected on said right of way herein reserved, or other use made of said area, which in the sole discretion and judgment of the respective municipal officials having control of the aforesaid service facilities, would interfere with the use, maintenance, renewal, or reconstruction of said facilities, or the construction of additional municipally-owned service facilities; and that all sewers structures shall be exposed to grade. It is further provided that any vacation-beneficiary prompted adjustments to sewer facilities in the area to be vacated; and the repair, renewal or replacement of any private materials, or private property damaged in the area to be vacated as a result of the City exercising its easement rights shall be done at the expense of the beneficiary of the vacation.

SECTION 5. The vacation herein provided for is made upon the express condition that within 180 days after the passage of this ordinance, Norfolk Southern Railway Company, a Virginia Corporation shall deposit in the City Treasury of the City of Chicago, a sum sufficient to defray the costs of removing paving and curb returns and constructing sidewalk and curb at the entrance to those parts of the alleys and streets hereby vacated, in accordance with current Department of Transportation Construction Guidelines for construction of public way.

SECTION 6. The vacation herein provided for is made upon the express condition that within 180 days after the passage of this ordinance, Norfolk Southern Railway Company, a Virginia Corporation shall pay or cause to be paid to the City of Chicago as compensation for the benefits which will accrue to the owner of the property abutting said part of public street hereby vacated the sum _____ dollars (\$ _____), which sum in the judgment of this body will be equal to such benefits.

SECTION 7. The vacation herein provided for is made upon the express condition that within one hundred eighty (180) days after the passage of this ordinance, the Norfolk Southern Railway Company, a Virginia Corporation shall file or cause to be filed for record in the Office of the Recorder of Deeds of Cook County, Illinois a certified copy of this ordinance, together with an attached plat as approved by the Superintendent of Maps and Plats.

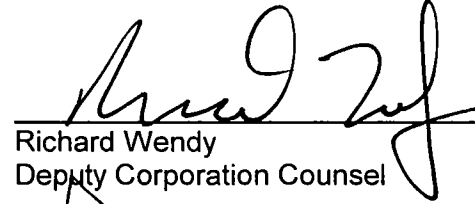
SECTION 8. This ordinance shall take effect and be in force from and after its passage. The vacation shall take effect and be in force from and after its recording.

Vacation Approved:

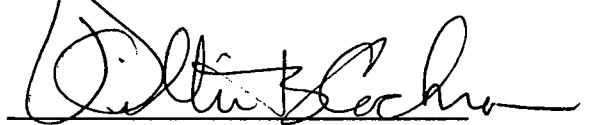


Rebekah Scheinfeld
Commissioner of Transportation

Approved as to Form and Legality

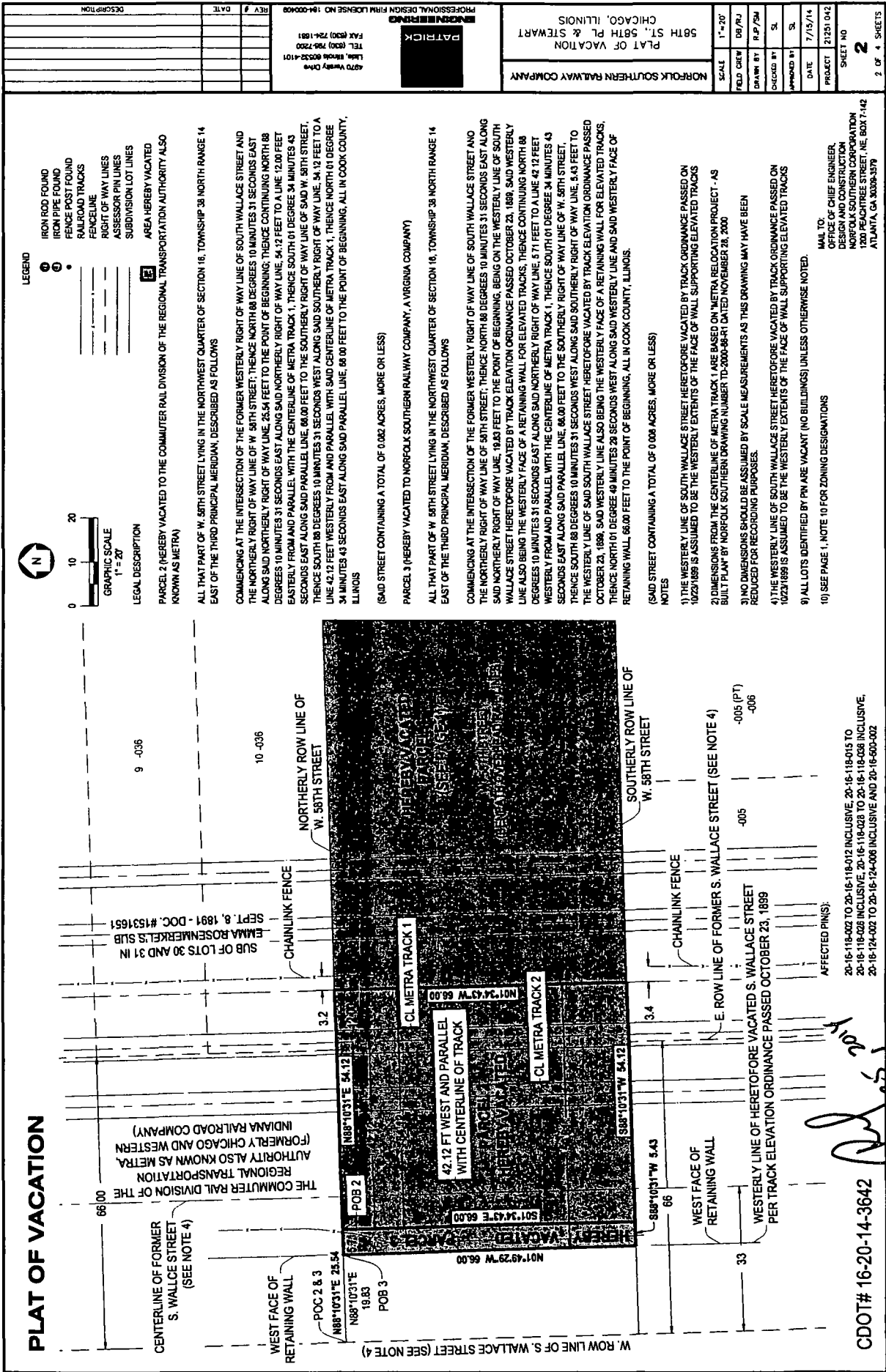


Richard Wendy
Deputy Corporation Counsel

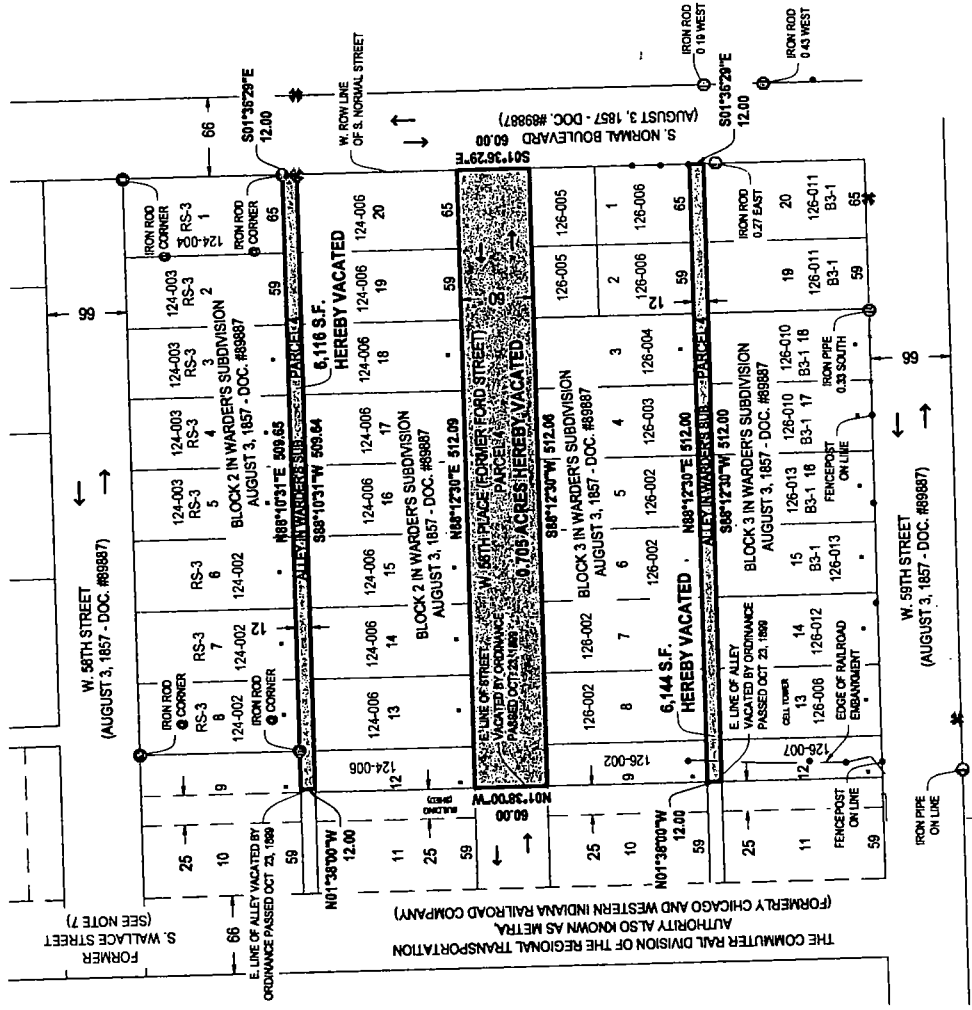


Honorable Willie Cochran
Alderman, 20th Ward

EXHIBIT A



PLAT OF VACATION

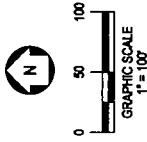


AFFECTED PIN(S):
20-16-124-002 TO 20-16-124-008, 20-16-124-009
INCLUSIVE AND 20-16-124-010
INCLUSIVE

CDOT# 16-20-14-3642

W. J. S. W.

LEGEND



SCRIBED CROSS IN SURFACE
IRON ROD COUNT

LEGAL DESCRIPTION

PARCEL 4 (HEREBY VACATED TO NORFOLK SOUTHERN RAILWAY COMPANY, A VIRGINIA CORPORATION)

ALL THAT PART OF THE EAST-WEST ALLEYS LYING IN BLOCK 2 AND IN BLOCK 3 IN WARDER'S SUBDIVISION OF THE EAST HALF OF THE NORTHWEST QUARTER OF SECTION 16, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, RECORDED AUGUST 3, 1857 AS DOCUMENT NUMBER 68867, ALSO ALL THAT PART OF THE WEST 1/4 OF SECTION 16, TOWNSHIP 38 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING IN SAID WARDER'S SUBDIVISION LYING SOUTH OF SAID BLOCK 2, NORTH OF W. 46TH PLACE, FORMERLY FORD STREET IN SAID WARDER'S SUBDIVISION LYING SOUTH OF SAID BLOCK 2, NORTH OF SAID BLOCK 3 AND WEST OF THE WESTERLY RIGHT OF WAY LINE OF S. NORMAL AVENUE.

HERETOFORE VACATED BY TRACK ELEVATION ORDINANCE PASSED OCTOBER 23, 1899, SAID EAST LINE ALSO EXCEPTING THEREFROM THAT PART LYING WEST OF THE EAST LINE OF SAID STREET AND ALLEYS BEING THE EAST LINE OF THE NORTHEAST ILLINOIS REGIONAL COMMUTER RAIL CORPORATION (NIRC), AS OCCUPIED, ALL IN COOK COUNTY, ILLINOIS

(SAID STREET AND ALLEYS CONTAINING A TOTAL OF 0.988 ACRES, MORE OR LESS)

NOTES:

- 1) FIELD WORK FOR THIS EXHIBIT WAS COMPLETED ON OCTOBER 15, 2012.
- 2) BASIS OF BEARINGS IS STATE PLANE ILLINOIS EAST ZONE NAD83
- 3) THIS BLOCK SURVEY OF ROADS TO BE VACATED WAS PREPARED USING RECORD INFORMATION ALONG WITH FIELD EVIDENCE OF EXISTING BLOCKS BASED ON FOUND PROPERTY CORNERS, PUBLIC ROADWAYS, SIDEWALKS, FENCES AND BUILDING LINES.
- 4) THE WEST LINE OF HERBRY VACATED ALLEY IN BLOCK 3 IN WARDER'S SUBDIVISION IS BASED ON THE NORTHERLY EXTENSION OF A LINE, 25 FEET EASTERLY FROM AND PARALLEL WITH THE WEST LINE OF LOT 12 IN SAID BLOCK 2, PER DOCUMENT NUMBER 1102634039 RECORDED JANUARY 26, 2011.
- 5) THE WEST LINE OF HERBRY VACATED 50TH PLACE IN WARDER'S SUBDIVISION IS BASED ON THE NORTHERLY EXTENSION OF A LINE, 25 FEET EASTERLY FROM AND PARALLEL WITH THE WEST LINE OF LOT 9 IN BLOCK 3 OF SAID WARDER'S SUBDIVISION, PER DOCUMENT NUMBER 00363508 RECORDED MAY 26, 2000.
- 6) THE WEST LINE OF HERBRY VACATED ALLEY IN BLOCK 3 IN WARDER'S SUBDIVISION IS BASED ON THE NORTHERLY EXTENSION OF A LINE, 25 FEET EASTERLY FROM AND PARALLEL WITH THE WEST LINE OF LOT 12 IN SAID BLOCK 3, PER DOCUMENT NUMBER 1224339063 RECORDED AUGUST 30, 2012.
- 7) THE WEST LINE OF HERBRY VACATED 56TH PLACE AND ALLEYS IS ALSO THE EAST LINE OF HERETOPORE VACATED ROADWAYS AND ALLEYS PER TRACK ELEVATION ORDINANCE OF OCTOBER 23, 1899 SAID WEST LINE ALSO BEING THE EAST LINE OF THE COMMUTER RAIL DIVISION OF THE REGIONAL TRANSPORTATION AUTHORITY ALSO KNOWN AS METRA.

5) NO DIMENSIONS SHOULD BE ASSUMED BY SCALE MEASUREMENTS AS THIS DRAWING MAY HAVE BEEN REDUCED FOR RECORDING PURPOSES.

8) ALL LOTS ARE VACANT UNLESS OTHERWISE NOTED

7) THE WESTERLY LINE OF SOUTH WALLACE STREET HERETOFORE VACATED BY TRACK ORDINANCE PASSED ON 10/23/1898 IS ASSUMED TO BE THE WESTERLY EXTENS OF THE FACE OF WALL SUPPORTING ELEVATED TRACKS.

8) TO THE BEST OF OUR KNOWLEDGE, ZONING PER CITY OF CHICAGO PLANNING & ZONING BUREAU IS M1-2 UNLESS OTHERWISE NOTED

MAIL TO:
OFFICE OF CHIEF ENGINEER,
DESIGN AND CONSTRUCTION
NORFOLK SOUTHERN CORPORATION
1200 PEACHTREE STREET, NE, BOX 7-
ATLANTA, GA 30309-3579

3 OF 4 SHEETS		SHEET NO		3	
PROJECT	21251 042	DATE	7/15/14	APPROVED BY	SL
CHECKED BY	SL	DESIGNED BY	SL	SCALE	1"=100'
DRAWN BY	R.P.	FIELD CHECKED	DB/R/L		
NORFOLK SOUTHERN RAILWAY COMPANY		PLAT OF VACATION 58TH ST., 58TH PL. & STEWART CHICAGO, ILLINOIS			
PATRICK 4670 Valley Drive Little, Rock, AR 72032-4101 TEL. (501) 766-7200 FAX (501) 724-1801		PROFESSIONAL DESIGN FIRM LICENSE NO. 184-000408 JOHN R. PRING			
REV	#	DATE	DESCRIPTION		

CDOT# 16-20-14-3642



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Norfolk Southern Railway Company

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: Three Commercial Place
Norfolk, Virginia 23510

C. Telephone: (757) 629-2836 Fax: (757) 629-2607 Email: Thomas.Ambler@nscorp.com

D. Name of contact person: Thomas W. Ambler

E. Federal Employer Identification No. (if you have one) _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Vacation of streets and alleys in the vicinity of 58th Street and Normal Avenue

G. Which City agency or department is requesting this EDS? Department of Transportation

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| ☐ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☒ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Virginia

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☒ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Charles W. Moorman	Director, Chairman, President & Chief Executive
Mark D. Manion	Director and Vice President
Donald W. Seale	Director and Vice President

(*Continued on Attachment II.B.1.a)

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Norfolk Southern Corp.	Three Commercial Place Norfolk, VA 23510	100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N.A.

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Thomas Murphy	111 W. Washington St. Chicago, IL 76060	Lobbyist/Attorney	Less than \$5,000 (estimated)
Charles H. Allen	2305 Club Court Valparaiso, IN 46383	Consultant	Less than \$5,000 (estimated)
Jory Wishnoff	30 N. LaSalle, Suite 2400 Chicago, IL 60602	Attorney	Less than \$5,000 (estimated)

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

A number of the questions in the City of Chicago's Economic Disclosure Statement and Affidavit (the "EDS Statement")

appear intended to solicit information from smaller organizations where all of the knowledge of that organization's

activities resides among a handful of people rather than to a large publicly-traded corporation like Norfolk Southern

Corporation ("NSC"), which owns Norfolk Southern Railway Company ("NSR," and together with NSC and NSC's other subsidiaries, "Norfolk Southern") . . . (*Continued on Attachment V.B.7)

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

Alfonzo LeFlore (former employee of Chicago)

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

x 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

Note: Records on this list have references to slave labor. Owners' names are listed with slaves' names when given following in parenthesis. Where no names are given, those records with references to hiring provisioning or medical services for slaves are still noted. All surviving records of antecedent companies in the possession of Norfolk Southern Corporation for the period prior to 1866 have been searched; only those records with references to slave labor have been included in this list . . . ("Continued on Attachment V.E.2.")

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N.A.

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Norfolk Southern Railway Company

(Print or type name of Disclosing Party)

By: _____

(Sign here)

James A. Hixon

(Print or type name of person signing)

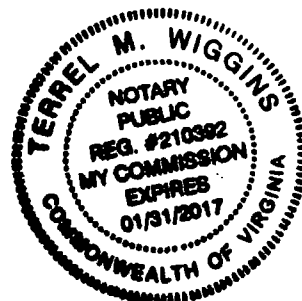
Vice President

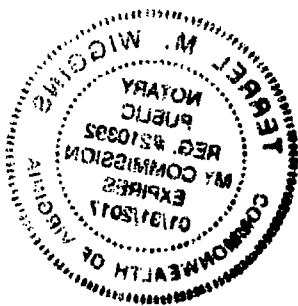
(Print or type title of person signing)

Signed and sworn to before me on (date) 7-11-14,
at Norfolk County, VA (state).

Terrel M. Wiggins Notary Public.

Commission expires: 1-31-17.





**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

ATTACHMENT II.B.1.a

Marta R. Stewart	Director, Vice President and Chief Financial Officer
Deborah H. Butler	Vice President
Cynthia C. Earhart	Vice President
James A. Hixon	Vice President
James A. Squires	Vice President
Kinsha O. Swain	Corporate Secretary

ATTACHMENT V.B.7

Norfolk Southern has thousands of employees and business operations in 22 states and the District of Columbia. NSR along with its consolidated rail subsidiaries ("NS Rail") is engaged primarily in the transportation of freight by rail. NS Rail operates approximately 21,000 miles, primarily in the east and Midwest, including approximately 861 miles in Illinois and 36 in Chicago. NSR and NSC used their best efforts to gather as much of the requested information as reasonably possible and without undue effort and expense, but, because of their large size, all responses given in all of the EDS Statement are only to the best of the reasonable knowledge of NSC and NSR, are at the risk of not having knowledge of certain information, and the executive officer signing the EDS Statement does so based on actual knowledge or information supplied to that executive officer. Due to the scope of its operations and the number of employees and contractors, Norfolk Southern makes no representations as to parties other than NSR, NSC and their respective executive officers and directors. Additional information about Norfolk Southern Corporation can be found at the website, www.nscorp.com, including its Annual Report for the fiscal year ended December 31, 2012, and at NSC's filings with the U.S. Securities and Exchange Commission ("US SEC"), where are found at <http://www.sec.gov>.

The following two paragraphs are responsive to Certification V.B.1.e. on page 5

Norfolk Southern is subject to extensive applicable federal, state, and local environmental laws and regulations. Norfolk Southern is, from time to time, investigated by these and other regulatory agencies in the ordinary course of business. Norfolk Southern cooperates with all government agency investigations. Due to the scope of its operations and the number of employees, however, Norfolk Southern cannot provide information regarding all such investigations without undue effort or expense, if at all. The list of certain investigations found attached in Table V.B.1.e is that information that Norfolk Southern was able to identify without undue effort or expense.

In addition to the regulation described in the preceding paragraph, Norfolk Southern is also subject to commercial regulation by the United States Surface Transportation Board, and its operations are regulated extensively by the United States Department of Transportation, including the Federal Railroad Administration, and the Department of Homeland Security and the Operational Safety and Health Administration, some of which in some cases has a preemptive effect. In addition, Norfolk Southern's operations are subject to extensive federal, state, and local environmental, labor and employment and other laws and regulations. Norfolk Southern is, from time to time, alleged to be in violation of administrative, statutory or regulatory provisions in the ordinary course of business. Norfolk Southern cooperates with all government agencies in seeking to resolve such alleged violations. Due to the scope of its operations and the number of employees, Norfolk Southern cannot provide detailed information regarding all such violations without undue effort or expense, if at all.

However, in addition to that material information which may be found at the noted US SEC website, also attached in Table V.B.1.e is that information which can be secured without undue effort or expense.

TABLE V.B.1.e

Part 1

1. In April, 2008, the United States Department of Justice filed suit against Norfolk Southern Railway Company seeking civil penalties and injunctive relief under the Clean Water Act and the Comprehensive Environmental Response, Compensation and Liability Act, related to the Jan. 6, 2005 derailment in Graniteville, S.C. and associated release of chlorine into the atmosphere. In April, 2010, the parties reached a consent decree settling the lawsuit, subject to court approval. In the consent decree, Norfolk Southern agreed to pay a civil penalty of \$4 million, to undertake emergency response training and undertake a supplemental environmental project. There is no admission of liability.
2. Norfolk Southern was sued by the State of Illinois in connection with a derailment that was caused when a Union Pacific train improperly entered an interlocking and struck a Norfolk Southern train in Momence, Illinois on November 24, 2005. As a result of the collision, diesel fuel was released from the locomotives of both trains and certain limited amounts of other hazardous and non-hazardous materials were released from rail cars. The State of Illinois sued both Norfolk Southern and Union Pacific alleging various violations of environmental laws as a result of these releases and demanding penalties and other relief. This case was settled for a non-penalty payment of \$9,900 in January, 2010.
3. On August 20, 2009, Norfolk Southern entered into two Consent Agreements with U.S. EPA Region 4, resolving two alleged violations of the Clean Water Act associated with two separate releases of fuel oil locomotive fuel tanks which were accidentally breached by a master shoe retarder in Norris Yard, Irondale, Alabama. Norfolk Southern paid a total of \$9,000 in penalties in these two dockets.
4. The United States Coast Guard issued a Notice of Violation in connection with the failure of a float level control switch at a waste water treatment plant pumping station that released approximately 500 gallons of oil and resulted in a light sheen on the Ohio River on December 18, 2009. An immediate response was undertaken to the release and the control switch was repaired. The Coast Guard's NOV assessed a \$1,500 penalty, which was paid.
5. The United States Coast Guard issued a Notice of Violation in connection with the release of diesel fuel from a valve on a loading dock that created a sheen on the Ohio River on March 2, 2010. An immediate response to the release was undertaken. The Coast Guard's NOV assessed a \$500 penalty, which was paid.
6. In late August 2010, as a result of an inspection by the Pipeline and Hazardous Materials Safety Administration, Norfolk Southern received a Notice of Probable Violation, Civil Penalty Assessment and Proposed Compliance Order (NOV) for alleged violations related to the operation and maintenance of a fuel pipeline in Macon, GA, including alleged recordkeeping deficiencies. A penalty of \$98,600 was paid on July 19, 2011 pursuant to a Final Order. Two additional Notices of Probable Violation and a Notice of Amendment were received in February 2011. On August 18, 2011 an additional penalty of \$77,500 was paid pursuant to a Final Order. Norfolk Southern has since retained a third party operator for this pipeline, thus resolving the alleged deficiencies.
8. In late June, 2010, the State of Ohio filed suit against Norfolk Southern alleging certain violations of state water laws at Norfolk Southern's coal transloading facility in Ashtabula, Ohio. Simultaneous with the filing of the complaint, the parties filed a

Consent Order for Preliminary Injunction with the court that requires certain actions be undertaken by Norfolk Southern at the facility. A final Consent Order was entered by the Court on August 11, 2011 that provided for final relief and the payment of an \$115,000 penalty.

9. NSR concluded negotiations with the Federal Railroad Administration and paid \$993,060 to resolve alleged violations of various FRA regulations (*e.g.*, mechanical defects, safety appliance, hazardous material handling) transmitted by FRA in 2012 and early 2013. Date of action: 8/12/2013
10. NSR concluded negotiations with the Federal Railroad Administration and paid \$1,412,495 to resolve alleged violations of various FRA regulations (*e.g.*, mechanical defects, safety appliance, hazardous material handling) transmitted by FRA in 2011 and early 2012. Date of action: 8/9/2012.
11. NSR concluded negotiations with the Federal Railroad Administration and paid \$1,160,965 to resolve alleged violations of various FRA regulations (*e.g.*, mechanical defects, safety appliance, hazardous material handling) transmitted by FRA in 2010 and early 2011. Date of action: 6/30/2011.
12. NSR concluded negotiations with the Federal Railroad Administration and paid \$1,334,005 to resolve alleged violations of various FRA regulations (*e.g.*, mechanical defects, safety appliance, hazardous material handling) transmitted by FRA in 2009 and early 2010. Date of action: 7/15/2010.
13. The Tennessee Department of Environment and Conservation issued a Notice of Violation to NSR concerning soil runoff in connection with construction of an intermodal facility near Memphis, TN. Date of action: 1/13/2012.
14. On June 22, 2012, in a case arising in Michigan, an Administrative Law Judge found Norfolk Southern had violated the anti-retaliation provisions of 49 U.S.C. 20109(a)(4), which prohibits employment discrimination against employees who report work-related injuries. Norfolk Southern was ordered to pay the complainant \$4,000; Norfolk Southern has appealed this decision.
15. On January 8, 2013, in a case arising in South Carolina, an Administrative Law Judge found Norfolk Southern had violated the anti-retaliation provisions of 49 U.S.C. 20109(a)(4), which prohibits employment discrimination against employees who report work-related injuries. Norfolk Southern was ordered to pay the complainant \$30,000; Norfolk Southern has appealed this decision and the case is now resolved.
16. Norfolk Southern received a Notice of Violation issued by the Tennessee Department of Environmental conservation in January 2012 related to sediment runoff in connection with construction of the Memphis Regional Intermodal Facility in Rossville, Tennessee. Norfolk Southern will contest liability and the imposition of any penalties, and this matter is described here consistent with SEC rules and requirements concerning governmental proceedings with respect to environmental laws and regulations. A monetary penalty has not been officially imposed, but we do not believe that the outcome of this proceeding will have a material effect on our financial position, results of operations, or liquidity.
17. Norfolk Southern received a Notice of Violation and a penalty in the amount of \$25,000.00 on May 14, 2013 from the Altoona Water Authority for POTW permit limit violations. Norfolk Southern contested liability and the basis of the imposition of this

penalty as the citation specifically identifies exceedances of permit conditions that occurred prior to the effective date of the amendments to establish the new permit limits. Subsequently, on August 27, 2013, Norfolk Southern entered into an Informal Settlement Agreement with Altoona Water Authority for full and final resolution and settlement of all violations and penalties and agreed to pay a total payment of \$11,000.

18. NSR entered into an Informal Settlement Agreement with the Occupational Safety and Health Administration to resolve alleged violations federal workplace safety regulations and paid \$12,750 to resolve these alleged violations issued by OSHA on June 11, 2013. Date of action: 7/3/2013
19. NSR entered into an Informal Settlement Agreement with the Occupational Safety and Health Administration to resolve alleged violations federal workplace safety regulations and agreed to remediate certain conditions to resolve these alleged violations issued by OSHA on May 5, 2013. Date of action: 6/10/2013

Part 2

<u>Month/Year Received</u>	<u>Location</u>	<u>Violation</u>
June, 2014	Painted Post, NY	Inadequate tank dike capacity
June 2014	Jacksonville, FL	Notice to Correct Source of Pollution/Violation issue for failure to maintain sewer lift station
May 2014	Ft. Wayne, IN	NPDES Stormwater Permit Violation
May 2014	Celina, OH	Alleged solid/hazardous waste violation on NS property leased to a short-line railroad, 2 nd NOV
April, 2014	Mechanicville, NY	Failure to submit NPDES Stormwater Annual Certification Report on time
April, 2014	Painted Post, NY	Failure to submit NPDES Stormwater Annual Certification Report on time
March, 2014	Austell, GA	Third Party dumping on NS ROW, Mathis Drive
March, 2014	Portlock, VA	NPDES Permit violation
March, 2014	Richmond, VA	Derailment caused oil release into Manchester Canal
March 2014	Chattanooga, TN	NPDES violation issue for stormwater & sedimentation concern
March, 2014	Allentown, PA	NPDES Permit violation for late submission of permit renewal application
March, 2014	Conway, PA	Storage tank system noncompliance issue for external coating failure, corrosion
February, 2014	Mullens, WV	Violation issued by ACOE for placing fill into Guyandotte River
February, 2014	Linwood, NC	NPDES Permit violation
January, 2014	Kansas City, MO	NPDES Permit violation
January, 2014	Macon, GA	POTW Permit violation
January, 2014	Roanoke, VA	Notification of Penalty Citation issued for alleged VA OSHA violation for not notifying employees of the presence of ACM
December, 2013	Celina, OH	Alleged solid/hazardous waste violation on NS property leased to a short line railroad
October 2013	Doraville, IL	Regulatory Advised Deficiency Notice Will Issue For Lack of TSS Sampling – TBT Facility
September 2013	Chicago, IL	Administrative Notice of Ordinance Violation for Alleged Treatment and Disposal of Solid or Liquid Waste – Intermodal Project
July 2013	Columbus, OH	Alleged solid waste and related violations
May 2013	Altoona, PA	WWF POTW Permit Violation – Juanita Locomotive Shop
May 2013	Glenfield, PA	Alleged solid waste violation for placing concrete railroad ties for slope stabilization within the normal high water level of the Ohio River
May 2013	Knoxville, TN	NOV by OSHA
May 2013	Knoxville, TN	NOV by OSHA
April 2013	Charlotte, NC	NPDES construction stormwater erosion & sedimentation violation
February 2013	Charlotte, NC	NPDES construction stormwater erosion & sedimentation violation
February 2013	Charlotte, NC	NPDES construction stormwater erosion & sedimentation violation
February 2013	Elkhart, IN	Violation noted for prior DMR exceedances in May & July 2012 – Elkhart Yard
January 2013	Mt. Vernon, IL	Derailment caused ethanol release

November 2012	Kankakee, IL	WWF NPDES Permit violation
November 2012	Elkhart, IN	POTW Permit violation
May 2012	Austell, GA	POTW Permit violation
April 2012	Harrisburg, PA	Sanitary Sewer Overflow
April 2012	Georgetown, KY	KPDES Stormwater Permit violation (9/27/11 inspection)
April, 2012	Melvindale, MI	Fugitive dust violation, blast-cleaning AST w/o approved BMPs
January 2012	Rossville, TN	Alleged violation of storm water construction permit
January 2012	Arcadia, OH	Unpermitted discharge from train derailment
January 2012	Elkhart, IN	NPDES Permit violation (11/23/11 inspection)
January 2012	Cresson, PA	AST, external coating failure, corrosion (11/10/11 inspection)
December 2011	Sunbury, PA	Alleged solid waste violation @ TBT Transloading facility
November 2011	Greencastle, PA	Alleged violation of stormwater construction permit
November 2011	Atlanta, GA	Fab Plant WWF POTW permit noncompliance
October 2011	Kansas City, MO	WWF POTW permit noncompliance
October 2011	Harrisburg, PA	Enola Yard – WWF NPDES permit noncompliance
September 2011	Macon, GA	POTW violation – no advance notice of flowmeter totalizer reset
August 2011	Birmingham, AL	WWTP permit noncompliance
July 2011	McKinney, KY	Degradation of DO due to release
July 2011	Arcadia, OH	Ethanol discharge to surface water during cleanup
June 2011	Harrisburg, PA	Noncompliance notice for unregistered AST
May 2011	Titusville, FL	Storage tank system noncompliance
May 2011	Chattanooga, TN	Diesel shop – Hazardous waste inspection noncompliance
May 2011	Culpeper, VA	Line of road – Embankment erosion
Feb 2011/April 2011	Roanoke, VA	Material Yard Shop – Asbestos citation
February 2011	Memphis, TN	Forrest Yard – POTW permit noncompliance
February 2011	Manville, NJ	Odor from train
February 2011	Manville, NJ	Odor from train
February 2011	Manville, NJ	Odor from train
February 2011	Linwood, NC	Linwood Yard – WWF permit noncompliance
February 2011	Harrisburg, PA	Fueling facility WWF permit noncompliance
February 2011	Conway, PA	Oil release
February 2011	Granite City, IL	Third party illegal open dumping
February 2011	Voltz, MO	Late payment of fees
February 2011	Arcadia, OH	Ethanol Discharge to surface water
January 2011	Atlanta, GA	WWTP permit noncompliance
January 2011	Atlanta, GA	WWTP permit noncompliance
December 2010	Manville, NJ	Odor from train
December 2010	Manville, NJ	Odor from train
November 2010	Elkhart, IN	Total copper exceedence NPDES permit limit
October 2010	Conway, PA	Release of 80 gallons of lube oil
October 2010	Conway, PA	Release of 50 gallons of lube oil
September 2010	Decatur, IL	WWTP permit noncompliance @ Arrowood Yard
July 2010	Charlotte, NC	Incomplete SWP 3 monitoring
July 2010	Institute, WV	Lube oil release
July 2010	Morrisville, PA	Sanitary sewer overflow @ Intermodal
June 2010	Portsmouth, OH	Diesel fuel release
May 2010	Charlotte, NC	Incomplete SWP monitoring @ Charlotte Intermodal
May 2010	Roanoke, VA	Lube oil release
April 2010	Portlock, VA	Failure to perform stormwater benchmark monitoring
April 2010	Rock, WV	Hydraulic oil release

April 2010	Chicago, IL	Lube oil release
April 2010	Decatur, IL	Lysine tank car release
April 2010	Vanzant, VA	Diesel fuel release
March 2010	Maintland, WV	Coal release
February 2010	Belle, WV	Dimethylformamide vapor release
February 2010	Norfolk, VA	Failure to file updated signatory authorization
February 2010	Elkhart, IN (85-27 Monthly)	Total copper exceedence NPDES permit limit
January 2010	Knoxville, TN	Improper labeling of containers for waste batteries and waste fluorescent lamps
January 2010	West Point, VA	Fuel oil release
January 2010	Elkhart, IN (85-27 Monthly)	Total copper exceedence NPDES permit limit
December 2009	Ashtabula, OH	Coal dock (TSS exceedence NPDES limit)
September 2009	Elkhart, IN (85-27 Monthly)	Total copper exceedence NPDES permit limit
September 2009	Durham, NC	Alleged late reporting of sodium hydroxide spill to the NRC
August 2009	Jeanette, PA	Diesel fuel from ruptured locomotive fuel line
August 2009	Waynesburg, PA	Coal dust release from coal hopper
July 2009	Conway, PA	Conway Yard (NOV for 10 gal. diesel fuel on May 14)
July 2009	Conway, PA	Conway Yard (NOV for 20 gal. diesel fuel on May 14)
July 2009	Conway, PA	Conway Yard (NOV for 15 gal. lube oil on May 6)
July 2009	Waynesburg, PA	Diesel fuel spill from truck caused by grade crossing accident
July 2009	Pittsburgh, PA	Highland Ave. Yard (10 gal. hydraulic oil spill from locomotive)

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ATTACHMENT V.E.2.

Blue Ridge Railroad Company

Report to the Directors, November 1857

No names of owners or slaves.

Central Rail Road and Banking Company of Georgia

Report to the Directors May 1839

No names of owners or slaves.

Report to the Directors' December 4, 1839

No names of owners or slaves.

Charlotte and South Carolina Rail Road Company

Proceedings of the Stockholders' October 10, 1849

No names of owners or slaves.

Proceedings of the Stockholders' November 18, 1852

Table B – Paid for Negro Hire: L. G. Jones; J. Leroy Davies; Joseph Herndon; John H. Means; L. J. Vaughn; W. Brie; L. G. Jones (Conley); Carver Randell; Mrs. M. W. Postell; James Pagan; John A. Marion; J. T. Diseker; James Catheart ; Braley Oates; John W. Moore; P. M. Brown; B. H. Davidson; H. B. Williams; J. Leroy Davies; Mrs. Rachel Pharr; Dr. M. M. Orr; George Conley; W. H. Stringfellow; O. Woodward; Peter Nicholson; Edward Moor, adm.; Henry W. Yongue; M. J. Pickett; S. J. Randell, adm.; W. S. Gibbes; Mrs. M. A. Parks; Mrs. C. Z. Mills.

Proceedings of the Stockholders' February 8, 1854

Table B - Paid for Negro Hire 1852: Mrs. M. J. Pickett; James Bynum; Harriet English; W. H. B. Collins; John Adger; L. G. Jones; Carver Randell; R. C. McCalla; James T. Fleming; Rev. J. Leroy Davies; Mrs. Wylie; Mrs. M. W. Postell; John H. Means; William Pratt; S. N. Hutchison, Guard.; W. B. MacLean; G. F. Davidson; Jas. H. Houston; D. A. Caldwell; William Johnston; Dr. W. H. Stringfellow; Wm. M. Nicholson; S. J. Randell; Alexander Pinchback; James Pagan; H. B. Williams; F. G. Simril; B. H. Davidson; Joseph Steele; J. J. Sloan; J. R. Davidson; Joseph F. White; Clem. Williams; J. A. Huggins; Mrs. M. A. Parks; D. S. Patton; E. W. White; John F. Irwin; E. O. Elliott; J. Westmoreland; Thos. J. Grier; James Bynum; William Boyee; H. W. Yongue; J. P. Ross; John L. Younge; J. M. Doby; Thomas L. Rosborough; C. J. Duffie, Adminis'r; Mrs. C. Z. Mills; W. D. Henry; A. Pinchback; Wm. R. Myers; Robert H. Stringfellow; Thos. W. Moore, Admr.; P. M. Brown; E. A. Dargan; J. Henderson.

Table C - Cost of Road - No names of owners or slaves.

Proceedings of the Stockholders' February 9, 1859

The Road reported that it owned 11 slaves. No names of slaves.

Proceedings of the Directors' 1860

"From this fund liberal expenditures have been made during the year, embracing ... \$1,527 for two slaves, George, a painter, and Alexander, a pump hand..."

Proceedings of the Directors' 1862

"From it is also to be deducted the cost of about 1000 bales of cotton and 40 slaves, purchased for the use and benefit of the Company..."

Annual Report January 20, 1864

No names of owners or slaves.

Chesterfield Railroad Company

Report to the Board of Public Works, 1834

No names of owners or slaves.

Report to the Board of Public Works, 1841

No names of owners or slaves.

Report to the Board of Public Works, 1843

No names of owners or slaves.

Report to the Board of Public Works, 1844

No names of owners or slaves.

Report to the Board of Public Works, 1846

No names of owners or slaves.

Report to the Board of Public Works, 1849

No names of owners or slaves.

Report to the Board of Public Works, 1850

No names of owners or slaves.

City Point Railroad Company

Report to the Board of Public Works, December 7, 1838

No names of owners or slaves.

Columbia and Hamburg Railroad Company

Report to the Stockholders' 1864

No names of owners or slaves.

East Tennessee and Georgia Railroad Company

Report to the Directors' June 30, 1860

"One passenger, and the first since the opening of your road nine years since, has been slightly injured by the breaking of an axle; that one a black woman, the property of James Gelson, of Campbell County. Demand was made for damages, which was settled by a purchase of the woman. She has been placed under the judicious medical treatment of Dr. Brown, of Cleveland, and will soon be well again, when she will be sold and proceeds placed in the hands of your Treasurer, thereby reducing that item of damage to a matter of small consequence." (pp.8) – R.C. Jackson, Superintendent.

Greenville and Columbia Railroad Company

Report to the Stockholders' January, 1857

No names of owners or slaves.

Louisville, Cincinnati, and Charleston Railroad Company

Report to the Stockholders' and Directors' September 1840

No names of owners or slaves.

Memphis and Charleston Railroad Company

Board of Directors Minutes, 1860 – 1872

Minutes, January 21, 1861: Mr. Crutcher

Report to the Directors' March 1, 1855

No names of owners or slaves.

Report to the Directors' July 1, 1857

No names of owners or slaves.

Report to the Directors' July 1, 1859

No names of owners or slaves.

Mobile & Ohio Railroad Company

Annual Reports, 1847 – 1863

Proceedings of the Stockholders' May 9, 1853

No names of owners or slaves.

Proceedings of the Stockholders' February 26, 1855

Table - Casualties of 1855: April 17th. Negro Jack, on pile-driving train, fell between the cars and was killed.

Otherwise no names of owners or slaves.

Proceedings of the Stockholders', February 26, 1859

Table - Casualties of 1859: July 14.—Two negro children belonging to Mrs. Hornsbarger.

Otherwise no names of owners or slaves.

Proceedings of the Stockholders', April 21, 1863

"We have also purchased sixty negroes, now in the service of the Road....."

Otherwise no names of owners or slaves.

North Carolina Railroad Company

Proceedings of the Directors' 1862

1862 - John Trollinger (Anderson, Alfred); Richard J. Ashe (Sam, Tom); Archibald Borland (Priam); J. A. Faucett (Isaac); J. S. Turrentine (Sam); Stephen T. Forrest (Ben); T. M Crossan (Robert, Alfred, Aleck, Willis, Plot, Mat, Charles, Horace, Bob, Douglas, Joe); John T. Williams (Joe, Henderson, Brown, Solomon, Eaton, Tom); W.C. Williams (Tom, Lew, Yaddin, Cass, Isom, Albert, John); James N. Patterson (Hubbard); Jesse Grant (Jim, Harrison); W.J. Murray (Stephen, Charley); R.M. Sanders (Henry, Spencer); W.C. Williams (Haywood, John, Gaston); Jacob Holt (Pleasant); S.H. Hood (Bill, West); J.F. Ellington (Willis); William Ellis (Haywood); B.M. Isler (Louis, Henry, Tom, Peter); William K. Lane (Duncan, Henry, Jacob, John, Ben); P.C. Cameron (Reddin, Isaiah, Luke, Bob, Fetter, Fenton, William, Weldon, Wellington, John, Robin, Preston, Humphrey, Turner, Nathan, Kinchen, Rowland, Oliver); Mrs. Susan Mebane (Ruffin, Dick, Aleck); J.B. Balsley (Henry); W.N. Pratt (Paul, Sam, Perry); Wesley Robinson (John, John II, Jack); Mrs. D.F.J. Hill (Ben, Bill, Hardy, Ben); W.J. Allen (Henry, Sawney, Jacob, Eason, Edmund); R.D. Paschall (Beckham, Bob); G.E. Badger (Adam); T.H. Atkinson (Simon, Arnold); George Little (Sam, John, Henry II, Henry, Mills, Henry I, Charles); Mrs. L.D. Sasser (Wash, Tom, Jerry); J.M. Plamer (Henry); A.J. Heather (Cain, Green); Mary E. Holt (Haywood); Bryan Smith (George); James Pierce (Jim, Chap); W.T. Dortch (Warren); James Campbell; L.O.B. Branch (Major); S.A. White (Peter); W.F. Askew Jones, Henry, Dennis, Haywood, Marion, Wilson, Jerry, Abraham); J.F. Clark (Sylvester, Anson, Prive, Prince, Mars, Roden, Alexander, Thomas); Ben J. Parmalee (Ben, James, Henry, Harmon, Allen, Simon); Isaac Kelley (James); Mary E. Jarvis (Abraham); John H. Small (Jacob); Mrs. S.A. Carraway (Cesar, Stephen); Mrs. Susan Allen (Henry); A. Henderson (Ike, Levi, Frank, Julius); Dr. R. Hooker (Mordecai, Cyrus, Willy); W.B. Dunn (Charles, John); H.W. Montague (Henry, James, Jeff, Moses, Sidney); Mrs. Sarah L. Hogg (Henry, Joe, Lee); Mrs. John Sloan (James); Phoebe Taylor (Robert, Richard, John); William C. Blount (Stephen, Jacob, Dave, John); Dr. E.H. Gollet (Ben, Anthony); Dr. William H. McKee (Salem, Sam, Dick); S.S. Turner (Handy); Dempsey H. Blake (Jack, Yancy); James Smith (Pleasant); David W. Bell (Dick, Tom, Terry, Bed, Amos, John); James B. Bell (Issac, Nat); Dr. Sam Masters (Jesse, Alfred, John, Ben, James, Charles); Emmet Cuthbert (Charles); Miles Wagstaff (Peter); Dr. E.B. Haywood (Peter, Alex); Sarah Bryan (Bill); Mrs. Sarah Godwin (Monro); M.C. Hodge; John H. Nelson (Ennis, Stephen, Perry, Ben, Bob, Hardy, Alfred); S.W. Branch (Henry); James L. Dusenberry (Jerry); A.H. Lindsey (Bob); Harrison Harbor (Milton); Henry R. Bryan (Simon); Josiah Collins (Sam Barron, Providence, Henry Morsen, Charles, Oren, Lunite, Toney, Ishmael, Thompson, Aley King, Peter King, Angustus, Mills Tamar, Frank, Stephen Iredell, Josh Baum, Wallis, Simon, Josh. Elsey, Osborn, Daniel, Britton, Madison Jordan, Freeman,

Brian, Aaron, Mills Blount, Warren, Mitchell, Dunbar, Whitmell, Walter, Major); W.H. Jones (George); Mrs. Eliza Knox (Stephen); S.M. White (Ben); Henry R. Nash, Trustee (Sam); John U. Kirkland (Willis); Peter B. Ruffin (Henry); Lizzie Bason (Squire, Giles); William J. Long (Madison); Richard Tapp (Wesley); George W. Mordecai (Ellick, Henry Grip, Henry Bus, Matthew, George, Edmund, Harry, John House, Anderson Bailey, Tom); J.A. Rendleman (Moses); J.D. Flanner (Abel)

Proceedings of the Directors' 1864

1864 - James M. Hurdle (Chelsey); Jacob Holt (Pleasant); Jesse Gant (Harrison); William A. Littlejohn (Jacob, Nathan); James Gorrell (Nelson); Mrs. Ann Ivie Hill (Ben 1, Ben 2, Bill); Thomas. D. Martin (Obra, Alech); Nash Rouse (Jack, Ben, Jim, Alech, Haywood, Nepkin, Hazard); James Thompson (Bob); David McAdams (Grandison, Josiah, Bill); Josiah Collins (Hamilton, Freeman, Henry, Morson, Oem, Learnet, Thompson, Willis, Tamar, Frank, Stephen, Iredell, Josh, Baum, Simon, Wallace, Jordan, Aaron, Miles, Blount, Warren, Mitchell, Dunbar, Whitmel, Waldem, Reuben, Ross, Hobart, Mathias, Philliam Davidson, Rebecca, Daniel); Richard Trapp (Wesley); Mrs. E. H. Rux (Stephen); J.W. Norwood (Bill); James Graham (Sam); William J. Hayes (Bob, David Poe); Samuel P. Lowrie; Al. Mitchell (David); Jery Bason (Isaac, Peter); James S. Scott (Dick); Thomas H. Brem (Issac, Jerry); Samuel Harris (Moses, Jim); Mrs. Scott (Joe); Thomas Brem (Austin, Harty); McCorkle (Crawford); David W. Bell (Anderson); Ben J. Parmelee (Ben); R.C. Jenkins (Ben); D.A. Davis (Humphrey); Mrs. Sidney A Clark (Alylvester, Prince, Mac, Roden, Privo, Aleck, Aon); Sarah A. Caraway (Cesar, Stepheny); William Boylan (Wash, Jim, Bryan, Emanuel); Charles Gerock (Henry); Charles J. Freeland (George 1st George2nd, Edmund, John, Squire, Stephen, Tom, Charles, Jerry) R.M. Sanders (Spencer, Sidney, Henry); George W. Mordicai (Henry Bull, Nathan, Amos, Wesley, Iverson, Henry Grip, Matthew Jr., George, John Green, Harry Jr., Edmund, Ike, Jesse, Anderson Goss, Canaan, Sam Slick, Anderson Baily, Jesse Jr., John House, Ben Laws, Isham, Jerry, Macklin, Ellick, John Sears); Henry K. Nash, Trustee (Sam); Mrs. H.E. Page (Simon, Joe, Bartlett, Miles, Augustus, Sam, Chessire, Ben, Jeffry, Tom, Jerry, Harry, Mills, Miles Warf); Dr. Thomas D. Warren (Frank, Jerry, Harry, Henderson, Augustus, Reuben, Dave Skinner, Mike, John Skinner, Andrew, Richard, Jacob, Andrew, Ganger, Stretus, Armstead, Watson, Solomon, Moses, Ben, Andrew Skinner, Nea Skinner, William Skinner, Ransom Skinner, George Wilder, David, Isaah, Bob Skinner, Dickerson); Rufus S. Tucker (Vergil, Alonzo); Cyrus P. Mendenhall (Charles, David, Charles Ellick); Archibald Henderson (Isaac, Jake, Levi, Julius; Pompey; Mark; Jo); John H. Small (Jacob); Jo Graham (Frank); William A. Blount, Jr.(Major Bill); J. W. B. Watson (Alfred, Albert, Alvin, Ben, Bill Harry, Bill Lawson, Curtis, Dick, Gabriel, Gaston, George, Giles, Harry, Winton, Ishmael, Jacob, John Robert, John Jones, Joseph Larkin, Ludd, Switch, Marcus, Meekin, Primus, Railford, Randell, Reddick, Richard, Sam, Simon, Solomon, Washington Moodley, Bama); George W. Watson (Austin, Bill, Bryan, Daniel, Mac, Dick, Ellick, Giles, Guilford, Hill, Mumford Rufus, Shade); P. E. Watson (Daniel, Whilley, Henderson, Jacob, Jim, John Marshall); Mary Watson (Green, Isham); Jacob Brookfield; William Barringer (Henry, George, Edmund); William S. Gilmer (Sam, Mack); Samuel M. Whitaker (Jordan); Richard J. Ashe (Sam); Samuel M. White (Ben); Peter B. Ruffin (Henry); Samuel S. Biddle (Aaron, Levin, Richard, Downer); L. P. Olds (Aleck); Jacob Mordecai (Herring, William, Lewis, Nathan, Shade, Primus, Fab, Jacob, Joshua, Jack, Ruffin, Daniel, Anthony, Jerry, Henderson, Jim); Fred J. Jones (Henry, Amos); Ben J. Parmerlee (Simon, Henry, Allen, Harman); Mrs. Susan Kelly (Jim); Josiah Collins (Major); A. G. Jones (Peter, Harris, Willis, Ben);

Fred C. Roberts (Moses, Ben, Jim, Josiah, Amos Lane, John, Alex, Farney, Ed, Sneed, Bill, Aleck); John H. Nelson (Stephenny, Perry, Bob, Ennis, Ben, Hardy, Frank); D. W. Bell (Amos, Nathan, Ben, Tom, Issac, John, Jerry); Anderson Thompson (Jerry); Williamson Page, Administrator (Burt); A. J. Morris, Administrator (Alvis); Elizabeth Ferrell (Robert); William Gaylord; Dr. W. Carstaphen

North East & South West Alabama Rail Road Company

Report to the Directors' January 15, 1857

No names of owners or slaves.

Piedmont Rail Road Company

Directors Minutes, 1862-1895

".... the President and Chief Engineer and Chief of Construction in addition to the authority therefore given to hire labor, be also authorized to purchase for the company a number of slaves, intended for the purpose, not exceeding 400...."

Otherwise no names of owners or slaves

Richmond and Danville Railroad Company

Board of Directors' Minutes, December 1, 1847 – September 9, 1869

November 13, 1855: "A claim made by F. Lawson for expended in recovering a runaway slave, was submitted and in motion it was rejected."

Report to the Directors' October 1, 1851

No names of owners or slaves.

Report to the Directors' October 1, 1852

No names of owners or slaves.

Report to the Directors' October 1, 1854

Transportation Expenses (Owners providing contracted slave labor): Robert Bowman; Uriah Hankins; D.M. Branch; James M. Taylor; Otey & Goff; C. J. F. Netherland; Mrs. Mary Galt; J. Morrisett; Samuel Jones; Ann G. Pulliam; J.J. Robertson,.

Report to the Directors' October 1, 1856

No names of owners or slaves.

Report to the Directors' October 1, 1857

181 slaves employed at depots, at shops, and at stations, on sections, and on trains....

No names of owners or slaves.

Report to the Stockholders' December 8, 1858

No names of owners or slaves.

Auditor's Report, November 8, 1860

165 slaves employed at depots, at shops, and at stations, on sections, and on trains....

No names of owners or slaves.

Report to the Directors' October 1, 1865

No names of owners or slaves.

South-Carolina Canal and Rail-Road Company

Report of the Directors' July 1, 1832

On account of the extreme difficulty and expensiveness of occasional hiring, the Board judged it proper to purchase at certain number of Negroes, and the Company now own sixteen.

No names of owners or slaves.

Report of the Directors' June 30, 1833

Reference to "Purchase of Negroes." No names of owners or slaves.

Report of the Directors' January 1, 1833

No names of owners or slaves.

Report of the Directors' October 1, 1834

No names of owners or slaves.

Report of the Directors' January 11, 1836

No names of owners or slaves.

Report of the Directors' June 30, 1836

No names of owners or slaves.

Report of the Directors' December 31, 1836

No names of owners or slaves.

Report of the Directors' July 15, 1839

No names of owners or slaves.

Report of the Directors' January 18, 1840

No names of owners or slaves.

Report to the Directors' July 20, 1840

No names of owners or slaves.

Report to the Directors' January 18, 1841

No names of owners or slaves.

Report to the Directors' July 19, 1841

No names of owners or slaves.

Report to the Directors' January 17, 1842

No names of owners or slaves.

Report to the Directors' July 20, 1842

No names of owners or slaves.

Report to the Directors' January 1, 1843

No names of owners or slaves.

Report to the Directors' July 20, 1843

No names of owners or slaves.

Book of Relinquishments, 1835 - 1845

L.F. Schuls; Issac Murray; Sara McBurney; David D. Cohen (Peter, Abraham, Moses); Samuel Seyle (Thomas); John Bukley (Jim, Charles, Owen); Thomas N. Gadsden (John, Anthony); Charles L. Desel (Sam, Jack); Daniel Byrd (Lewis, Harry); Emanuel Moon (Jam, Jim, Sam, Mike); Smith Miles (Sam); Henry H. Schulz (Charles, Will, Harry, Jack, Ned); John Gilbert (Ned); L. C. Brown (Apollo, Harry, Joe, John, Dick); J. Fred Butt; John Juabnet; J. S. Murray (Jim); Charles A. Teandan (William); Jamh Veronu (James); Eden Ferrt; T. Tupper; H. V. Firith (Bacchur); Samuel Beronee (Sandy); Jacob Inabnet; R. J. Edwards; M. M. Keun; C. Dupont; S. Henry Dickerson (Paul Todd); F. T. Stall; H. Rausuer; A. H. Mulstom; D. H. Inplesley; D. G. Hairraudelf; Nath Coopen; Joseph Fasabinet (Carolina, William); V. Durande; W. T. Nell (Jim, Pompey); M. Fudlandbulayzeck; John D. Muckinfuff (Isaac); Mr. L. Humayor (Peter, Harry, Lapio, Stephey); W. Sindumme (Joe); H. L. Huckson (Harry, Stewey, Peter, Jario); Elira M. Burry (Bulca); Henry B. Toomer (Charles); L. Lloyd (Pompey); C. Dupont; L. H. Shultz; Jacob F. Schirmu; Richard Way (Peter); W. Vanel (Lugh); Andrew P. Wag; John M. Brut; Edeth Spert (Kelly); A. Rison

No names of owners or slaves: August 8, August 12, August 24, September 9, September 30, October 29, November 14, November 26, December 10, December 31, 1835, January 12, January 25, February 12, April 12, June 15, 1836 and May 12, 1837

Board of Directors Minutes, 1835 – 1841

1835 – L.C. Boyles (Stephen); Gibbes (Bell)

1836 - "The motion that the President is ordered to purchase, for the use of the company, gangs of negroes not excluding 300 in number – this motion was adopted."

1839 – Mrs. Dupont (Stephen)

South Carolina Rail Road Company**Proceedings of the Stockholders', February 1851**

No names of owners or slaves.

Proceedings of the Stockholders', February 1852

No names of owners or slaves.

Proceedings of the Stockholders', February 1853

No names of owners or slaves.

Semi-Annual Report of the President, June 30, 1853

No names of owners or slaves.

Proceedings of the Stockholders' February 14, 1854

No names of owners or slaves.

Southside Railroad Company

Board of Directors' Minutes, 1853-1865

1854 – January 2 Thomas H. Daniel; January 3 George W. Butts; William Avent; John G. Adkins; David Adkins; M. Ann Clarke; James F. Deane; Thomas Jones; John F. May; Eliza I. Fowlkes; David Justice; R. C. Motley; R. I. Pennington; William D. Southall; January 5 William L. Lithgow; Edwin James; M. Angelica Hawkes; Elizabeth Booth; John W. Baily; Edward A. Wyatte; January 6 Thomas Armes; Richard Marshall; James A. Vaughn; Raymin Vaughn; Thomas W. Bell; Thomas Brannah; January 9 Robert W. Awin; January 11 William P. Mumford; Green Hill; M. Laura A. Chaffer; Larry; W. Cutter; P. Steth; William H. Brown; January 12 John W. Spice; Dr. Henry E. Thore; John Clay; B. Cam; January 13 Joseph H. Prichard; January 16 John Mann; January 17 Frances Fitzgerald; Mary Fitzgerald; W. W. Guy; January 24 James J. Oliver;

January 27 William Harper; William H. Magee; Larah H. Magee; January 28 Catherine Reese; January 31 George Fitzgerald

No date listed: C. Hamblin; J. A. Ammtiard; Mrs. M. A. Bolling; J. F. May; Mrs. Archer

1854 – December 3 Ann R. Jones; Elisha Chapman; B. F. Cos; John C. Daniel; Thomas H. Daniel; H. F. Bardwell; James Briggs; F. Carr; W. B. Ellington

1855 – February W. F. Davis; W. A. Juckim; A. R. Shaur; A. W. Horner; J. H. Robertson; William Motley; W. P. Emmer; A. J. Revel; Thomas H. Daniel; M. Ann R. Jones; Soloman Davis; I. W. Mingae; P. B. Will

1860 – May W. B. Grigg; T. B. Reed; R. A. Moody; C. H. Wilson; J. A. Wilson; N. Pamplin; B. F. Flippin; T. L. Arrington; R. N. Davis; R. W. Pegrma; J. E. Suth

1863 – July 1 J. P. Hall; T. M. Beard; C. S. Hill; J. S. Belcher; W. B. Conway; R. E. Stung; A. A. Moody; R. A. Moody; J. H. Plunkett; C. H. Wilson; T. B. Rice; M. C. Crenshaw; B. F. Flippin; W. H. Hallowill; L. D. McDearman; T. L. Arrington; N. Pample; R. V. Davis; I. A. Wilson; W. B. Gregg; August 1 N. N. Moody; C. H. Wilson; I. L. Arrington; F. B. Rue; W. C. Curshaw; W. H. Halloway; N. Pamplew; B. F. Fleppin; K. W. Pegsam; W. B. Gregg; J. P. Hall; T. M. Board

Ledger D, January 1860 – March 1865

No names of owners or slaves.

Side Ledger, July 1864- March 1865

1864 - July S. Clottie; R. Atkinson; J. B. Muntur; N. Pamplin; J. J. N. Rice; McCorkle; N. B. Janny; N. E. H. Durphy; Geo E. Baytor; September E. W. Moring; Maria Way; Al Arrington; Kurt Paice; A. Moses; N. N. Bell; A. Johnson; E. P. Evans; Maria Way; D. Lackland; H. Raughan; T. B. Rice; D. G. Potts; Patrick Anderson; November M. V. Dove; J. B. Hilliard;

1865 - January Geo Abbott; L. McDunnan; T. L. Larratt; March 1865: M. M. Campbell; W. C. C; J. H. Wilson; F. Marshall; J. T. Luther; Thomas L. Arrington; E. W. Friend; S. W. Gay; D. P. Harrison; Geo Whitmore (George); Mary Gary; R. S. Gillian; S. A. Gary; M. Fistin; Mrs. Stanberry; H. P. Taylor; Geo D. Saunders (Joe, Richard, Payton, Nathan, Davy, John); Geo E. Gary (Theo, Harvey); A. Johnson; James Coleman; John O. Elam (Hampton, Toby)

No names of owners or slaves: March 1865

South-Western Railroad Company**Superintendent's Report, August 1, 1853**

No names of owners or slaves.

Superintendent's Report, August 1, 1854

No names of owners or slaves.

Superintendent's Report, August 1, 1855

No names of owners or slaves.

Superintendent's Report, August 1, 1856

No names of owners or slaves.

Superintendent's Report, August 1, 1857

No names of owners or slaves.

Superintendent's Report, August 1, 1858

No names of owners or slaves.

Superintendent's Report, August 1, 1859

No names of owners or slaves.

Superintendent's Report, August 1, 1860

No names of owners or slaves.

Superintendent's Report, August 1, 1861

No names of owners or slaves.

Superintendent's Report, August 1, 1862

No names of owners or slaves.

Superintendent's Report, August 1, 1863

No names of owners or slaves.

Superintendent's Report, August 1, 1864

No names of owners or slaves.

Spartanburg and Union Railroad Company

Board of Directors' Minutes, 1851-1873

December 21st and 22nd, 1855: "The matter concerning compensation claimed by J. J. Peter and Jacob Walker for the negro men used in whole in the company was said to lesson Bob Trains to be reported upon."

Virginia and Tennessee Rail Road Company

Annual Report, November 27, 1850

"...1 Negro man sold..." No names of owners or slaves.

Annual Report, November 3, 1852

"One of the attendants of the train, Patrick, a slave, was separated from the engine only by an open platform car, loaded with railroad iron – he stood to the brake without relaxing his hold, and doubtless was instrumental in preventing additional damage to the train."

Annual Report, October 26 – 28, 1853

No names of slaves. Hands Employed: Depots, 18 slaves; Passenger Trains, 4 slaves; Freight Trains, 10 slaves, Machine Shop, 15 hands, 2 slaves; Carpenter's Shop, 6 hands; Blacksmith's Shop, 9 hands; Repairs, 90 slaves; Bridges, 6 hands; Masonry, 12 slaves.

Board of Directors' Minutes, December 8, 1853 – March 13, 1857

No names of slaves: May 1, 1855 N. W. Leftwich; J. M. Rucker; W. Nation.

No names of owners or slaves: May 1, 1855; July 11, November 12, 1856.

Annual Report, October 25 – 26, 1854

No names of slaves. Force Employed: at the work shops...3 apprentices and 4 slaves; 5 journeymen and 8 slaves; 1 watchman and 7 slaves. At the Depots...18 Agents and Clerks, 2 watchmen and 50 slaves

On the Repairs of the Road...1 Road master, 1 repairer of bridges, with 5 men; 11 Section masters and 145 slaves running engines and trains...4 conductors, 9 engine runners, 8 Firemen and 15 slaves as Brakes-men

Annual Report, October 31 – November 1, 1855

No names of slaves.

Accidents Resulting in the Loss of Life or Limb:

Involving slaves: 1855, February 20, June 17 and July 18. No names of owners or slaves.

Force Employed: At the Depots...58 slaves; Machine Shop...5 slaves; Carpenter Shop...7 slaves; Smith Shop...11 slaves; Road...160 slaves; Bridges...5 slaves; Trains...18 slaves; Engine House...3 slaves.

299 slaves employed (13 of which are mechanics,...)

Day Book 1854-1857

1854 – December 4 A. J. Black; J. E. Morgan; December 11 William Baniel (Henry); F. G. Morrison; December 15 P. H. Gilmer

1855 – January 3 T. F. Goodwin; J. A. Stigler; H. A. Flood; Charly Seth; Caleb Williamson; Peter Copland; Ben Hunter; James H. Harris; Jepe A. Burton; Washington Hunter; Lawrence Anderson; Thomas Lovchson; S. White; M. G. Talisfere; William S. Minonfor; J. H. Thompson; Luc F. Johnson; James Franklin; Jacques A. Hawnen; R. C. Gary; Charles F. North; B. Barker; Murrell Thatton; Nelson Lowry; James M. Rucker; R. K. Watkins; J. J. Lavington; John W. Quarles; Thomas Dillard; E. J. Gillian; January 5 William Pettyjohn; Richard Ellis; Wilkins Watson; John Hughes; E. M. Poindexter; Mary M. George; Alex Tompkins; Richard Moncure; John Abbott; John F. Slaughter; William Ellis; Jacques Benagh; M. M. Richardson; S. L. Moorman; T. L. Jennings; J. Wilson; Jacques L. Campbell; Susan Campbell; January 6 R. C. Campbell; Nancy Campbell; William Campbell; S. Lawnen; Granville Lane; Thomas L. Saunders; Nowlin Appelson; C. W. Garnett; T. R. Muse; B. P. Walker; Hector Harris; Isaac Drewy; S. H. Early; John M. Oley; T. M. Harwick; B. B. Taliaferro; A. C. Harrison; A. Woodson; John T. Davis; P. H. Burras; January 9 Thomas Blackford; John Hughes; R. Tinsley; Peter Copland; Henry Christian; A. J. Black; G. A. Saunders; Mark Anthony; W. C. Jones; E. J. Anderson; Richard Crawford; C. H. Lynch; January 10 Andrew Hotty; Henry Slaggle; W. B. Preston; C. F. Harris; B. Fitzer; J. S. Goggin; A. L. Stiff; January 12 Robert C. Mitchell; D. R. Edley; R. H. Coleman; Robert Coghill; Jacques Elliott; D. Stratton; February 6 E. Burkley; February 9 Mrs. F. Browning; C. C. Broadders; Tanner Asher; S. C. Anderson; N. L. Buttail; W. R. Gordan; February 14 A. Tompkins; W. J. Green; J. B. Coats; Mrs. S. T. Hackett; W. H. Fitzhugh; J. T. White; G. A. Wingfield; Mrs. Elizabeth Dennis; March 21 William Owenson; William J. Anderson; Jen Gyle; March 27 M. Daniel Hart; John L. Barnett; M. Daniel; Peter Phelpy; J. C. Kasey; H. Harmingly; B. H. Stanley; Robert Latimer; Rob C. Mitchell; E. S. Hunter; J. H. Hoge; Alfred Williamson; Sam Peter; Noble Jordan; Daniel A. Langhorne; George W. Anderson; James M. Robinson; April 2 Abner Early; T. E. Reynolds; Peter Spencer; Mary L. Taliaferro; T. E. Reynolds; Lacey Terry; Anderson Duke; R. Sayers; B. A. Langhorne; G. W. Anderson; April 10 Frances T. Fithugh; John M. Otey; E. A. Craddock; John A. Sowers; J. M. Deel; J. M. Robinson; W. A. Irwin; T. N. Cobbs; April 12 J. Peyton; Theodore Moren; J. J. Meadow; T. M. Jones; T. L. Wade; J. L. Prichard; April 18 M. Daniel Hurst; J. H. Buford; July 9 J. Driscall; J. B. Stone; J. W. Jones; Ann E. Dangerfield; July 14 J. M. Robinson; J. W. Kinney; Samuel Nowlin; J. A. Elliott; Hurt Preston; W. H. Burks; July 18 A. B. White; H. D. Flood; W. Owens; Sam Maurry; A. Tompkins; Dan H. Hog; B. P. Walker; July 19 J. C. Walton; W. W. Leftwich; R. H. Staton; C. S. Flicker; H. H. Lewis; J. B. Shackelford; Richard Dennis (Elizabeth); J. W. Keith; John P. Hughes; July 21 Chriswell Dabney; W. S. Morris; R. K. Cradle; T. Dillard; V. McGinnis; Joseph Lancaster; Charles M. Garnett; J. A. Cowardin; G. M. Saunders; July 25 T. J. Kirkpatrick; Richard Crawford; J. Franklin; E. N. Eubank; July 27 Wyatt Abbitt; August 1 H. C. Pierce; August 6 Daniel Stratton; Henry Harmiling; G. Siggon; Henry Gillian; August 9 Peter Spence; W. K. Bill; E. J. Gillian; Peter Sullenburger; August 16 Nelson Lowry; September 6 D. G. Kean; F. M. Cabbell; September 10 Edwin Tatod (Jeff); S. Dunnington (Jeff); September 11 Hugh Brown; B. B. Dickinson; September 14

Noble Jordan; J. Boud; September 31 S. Poindexter; M. L. Harris; October 6 John P. Hughes; C. B. Christian; A. B. McDonald; T. J. Burke; B. F. Stone; J. Milon; Hector Harris; October 8 H. H. Lewis; Ben Hunter Gard; R. J. Gillian; October 11 B. P. Walker; R. K. Watkins; T. C. Jerning; W. H. Hall; R. C. Prince; October 15 P. Winter; E. N. Eubank; T. K. Jones; J. J. Meaddom; November 1 Lucy Fitzhugh; R. Caiborne; November 21 Charles Miller; Mrs. E. M. Poindexter; November 13 John Dacey; Charles Byrnes; Rich Dennis; December 4 J. V. Knight (Alfred, William); R. Christian (Steven); W. H. Mountcastle; V. M. Ginn; John H. Smith (Randal, Ballard); December 19 G. Fitzhugh (Richard); C. F. Garnett; December 31 F. G. Morrison; R. S. Ellis; F. M. Barker; J. Crouch; Hugh Brown

1856 – January 1 George D. Davis; Ben Williams; H. V. Thompson; January 2 Thomas F. Goodman; T. K. Jones; T. Dillard; N. B. Magruder; W. S. Minor; January 3 T. L. Walker; J. M. Coble; Hector Harrisin; January 4 T. W. Saunders; J. R. Holcombe; J. D. Taylor; R. K. Watkins; W. Gish; January 7 Dade Hood; January 12 L. F. Johnson; January 18 L. B. Jacobs; January 31 E. J. Gillian; February 1 Mrs. J. M. Boyd; F. G. Morrison; W. Daniel; J. A. Burton; February 6 Ben Hunter; J. S. Payne; M. L. Harris; February 8 W. Kent; Lucy Tate; J. W. Stewart; February 9 Charles B. Christian; February 12 T. Jones; R. Crawford; W. W. Broadus; February 15 W. Stitzer; F. Fitzgerald; M. Humbly; E. M. Hoffman; Isaac Hunter; W. H. Yenglin; F. K. Nelson; C. J. Meriweather; C. Floyd; R. K. Watkins; February 16 Daniel H. Stone; H. F. Sale; February 22 Francis E. Hall; J. Abraham; February 23 C. M. Merridith; C. T. Wills; February 25 Caleb Williamson; February 28 Hector Harris; J. J. Meadow; March 3 E. D. Frazier; Henry Wade; F. M. Barker; N. C. Gary; March 17 Nelson Lowry; W. J. Green; Paul Hull; R. Peyton; March 22 J. S. Payne; Ann M. Ellis; March 24 J. H. Buford; Maria Board; March 28 Mary Whitehall; T. S. Bocock; W. G. Jackson; April 1 A. White; J. J. Mays; April 14 John T. Davis; April 19 Mark Anthony; A. W. Saunders; April 28 J. C. Burchfield; April 28 R. K. Watkins; May 10 Josiah B. Sancerster; Richard Dennis; May 13 J. H. Wilson; W. T. Anderson; May 16 R. Crockhill; May 17 Henry Brown; W. G. Jackson; Marshall S. Harris; T. C. Jennings; May 20 John T. Everett; Alexander Kinnier; May 21 Randolph Payton; May 22 Archibald Wade; Dan Stratton; May 26 W. S. Hunter; C. A. Miller; May 30 Sam McDaniel; June 4 S. Brown; June 13 W. B. Preston; A. B. Nichols; June 14 H. H. Lewis; June 18 Melvin Talbott; George Earhart; June 20 R. M. Waddill; June 27 Ben Hunter; G. D. Davis; July 3 John T. Davis; D. C. Clay; July 5 Charles A. Floyd; July 7 Charles S. Scott; July 10 Alfred A. White; July 11 Seth Woodroof (Peter); July 22 G. Whitcock; July 26 J. Downing; August 9 Gilmer Walker; August 16 A. S. Whaling; August 26 Charles J. Harris; J. Cole; R. C. Gary; September 1 T. J. Kirkpatrick; October 1 J. B. Shackelford; October 4 T. S. Wright; October 7 Aubrose M. Donald; October 11 Mary Whitehead; December 6 Randolph Peyton; December 25 G. Calahan; December 26 D. Untermeyer; Pat Callan;

1857 – January 2 Archibald Bowling; January 5 Sarah Wiatt; Marshall Harrison; January 8 Gilmer Walker; January 13 A. Wade; January 24 A. Bolling; February 7 M. Curle; February 25 E. H. Gill; March 2 B. Lee; J. B. Radford; March 30 Stopswood Brown

Ledger, 1855-1857

No names of owners or slaves: January 24; October 6, 8, 10, 12, 13, 16-19, 26; November 3, 6, 12, 13, 14, 16; December 4, 5, 7, 10, 14, 15, 18, 19, 22, 26, 31, 1855; January 2-4, 9, 10, 14, 17, 18, 24, 28, 31; February 1, 2, 4, 6, 8, 9, 12, 14, 16, 19, 20-23, 25, 27, 28; March 3-6, 8, 13, 15, 19, 21, 24, 25, 29, 31; April 1, 12, 14, 16, 19, 28; May 12-17, 19, 20-22, 24, 26-28, 31; June 2, 4, 5, 11-14, 16, 19-21, 23, 30; July 1-5, 7-11, 14-16, 19, 21-24, 26, 28-31; August

1, 5, 6, 11, 12, 14, 16, 20, 26; September 1, 4, 5, 8, 17, 30; October 2, 3, 4, 6-8, 10, 13, 14-18, 21-24, 30; November 3, 4, 7, 21, 28, 29; December 11, 12, 16, 23, 25, 26, 29, 30, 31, 1856; January 2, 3, 5-10, 12, 13-17, 19, 22-24, 26, 28, 30, 1857; February 3, 5, 6, 9, 11, 12, 13, 27; March 5, 20, 25, 31, 1857.

Annual Report, October 29 – 30, 1856

Accidents Resulting in the Loss of Life or Limb:

Involving slaves: 1856, February 5 and April 23. No names of owners or slaves.

Force Employed: At the Depots...66 slaves; Machine Shop...4 slaves; Carpenter Shop...10 slaves; Smith Shop...11 slaves; Road...240 slaves; Bridges...5 slaves; Trains...15 slaves; Engine House...4 slaves

360 slaves employed (16 of which are mechanics,...)

Annual Report, September 16-17, 1857

No names of slaves.

Force Employed: At the Depots...67 slaves; Machine Shop...5 slaves; Carpenter Shop...13 slaves; Smith Shop...13 slaves

435 slaves employed – including 30 mechanics...

22 Negro Houses recorded along the road to house hands

Liabilities: Due for three quarters Negro hires for 1857...\$49,172.26

Journal, July 1, 1857- March 31, 1859

July, 1857 – May 1859: John R. Hughes to Francis J. Morrison for negro hire.

No names of other owners or slaves.

Journal, July 1, 1857 – May 20, 1859

1857 – July 1 John A. Hughes; December 24 F. B. Deane

Other references made: July 3; July 8; August 12; August 20; August 31; September 18; September 30; October 1; October 28; October 31; November 30; December 24; December 31

1858- January 9 Alexander M. Gray (Jordan); January 14 Walter C. Deyerle; January 15 Parker Smith; Henry D. Flood

Other references made: January 9; January 14; January 21; February 1; February 27; March 12; March 31; April 1; April 7; April 30; May 31; June 30; July 31; August 31; September 30; October 1; October 6; October 9; October 18; October 30; November 12; November 30; December 27; December 31

1859 – January 13 I. E. Harrison; January 13 Charles A. Floyd (Allen); May 20 B. F. Coleman

No names of owners or slaves: January 3; January 7; January 26; January 28; February 28; May 20; May 23; June 30

Ledger, 1857-1860

Owners: R. W. Jones; R. C. Strother; C. S. Pepper; W. M. Dinwiddie; Clement Page; Robert Eurley MD; William Owenston; W. M. Sorry MD; Withers G. Martin; John P. Hughes; J. R. Phillips MD; J. Garrett MD; R. Crockett; James; Gilmer Walker; Hugh M. Ewing; William Dennis; R. E. Jetson MD; Robert Crockett and Son; James Leammer MD; Samuel M. Conkey MD; J. J. Loving MD; Campbell White MD; Preston Pitt MD; John H. Griffon MD; E. F. Shettman MD; I. H. Nowlin MD; Phillips Pepper MD; J. L. White MD.

No names of owners or slaves: May 30, June 30, July 2, July 6, July 11, July 29, July 31, August 31, September 30, October 5, October 8, October 12, October 20, October 31, November 11, November 30, December 19, 1857; January 2, January 4-9, January 13-15, January 18, January 21, January 22, January 26, January 27, February 2, February 3, February 9-11, February 24-26, March 4, March 15, March 20, March 22, April 3, April 5, April 14, April 23, April 28, June 9, July 3, October 4, October 4, October 5, October 9, November 9, November 23, December 15, 1858; January 5-7, January 24-26, January 29, February 1, February 2, February 4, February 7, February 17, February 18, March 28, April 20, May 12, July 30, August 11, October 29, December 31, 1859; January 31, 1860.

Day Book 1857-1860

1857 – April 1 W. W. Leftwich; Randolph Peton; Saml. Nolan; Mrs. James Garland; John P. Hugley; John W. Calahan; Charles M. Blackford; A. Tompkins for D. H. Hoge; Robert Crockett; April 2 H. H. Lumis Agt for Estate of F. R. Shackelford; E. J. Gilliam; James A. Haumer for Daniel Stratton; E. R. Page; Garland & Slaughter for C. A. Floyd; James A. Stiegler; April 4 Tho. W. Leftwish; Richard Curle [freeman]; J. R. McDaniel; Wm. Neighbours for Martha Tuggle; F. G. Morrison for S. White; Marshall S. Harris; Wm Owens & Son for John H. Moorman; April 7 Wm A. Millen for E. Wortham & Co. assignee of Samuel Schoolers; Langhorne & Holcombe; Mrs. DE. Dangerfield; John M. Speed; Mariah Wright; E. N. Eubank; Thomas H. Burnesfirst; N. C. Manson; Samuel H. Early; John Goode; Edgar Whitehead; Thos. G. Hill; Thomas E. Jennings; P. H. Ryan for Wm Daniel; Charles W. Christian for C. F. M. Garnett; April 9 James Shearer; Clifton V. Webb; Joseph H. Wilson; H. Whyte for B. B. Jackson; John M. Otey for Charles S. Scott; John M. Otey for William Waller; Samuel P. Poindexter; April 11 Peter Spence & Co. for Lucy Fitzhugh; April 13 Thos. Flood for T. S. Bocock; Samuel Crockett; April 15 A. Tompkins for F. Allison Sr.; Mrs. P. J. Thompson for H. V. Thompson; John M. Otey for F. H. Conway; James Elliott; H. B. Sumpter for Miss S. W. Percival; J. Franklin for A. R. White; James Franklin; April 18 Thos. G. Hill; T. T. Moorman for Judith Moorman; William S. Morris; Frank Gaium; Wm P. Noal; Mary D. Buchanan; April 21 Charles H. Ruckin assignee of Francis E. Hall; A. Tompkins for R. H. Maurry & Co. assignees of John C. Downing; A. Tompkins & Co. for Philip Samuel; April 25 Alfred A. White; John W. Lowry agt for T. E. R. M. E. Lowry; May 1 Mark Andrews; Mark Andrews for John W. Andrews; T. C. Ivey for E. O. Daniel; May 9 A. Tompkins for Geo. W. Coleman; John M. Otey for Walker Washington; M. Davis for T. K. Jones; May 13 Thomas Kelso for Hugh Brown; A. Tompkins for Wm N. Jordan; May 16 F. G. Morrison for George B. Washington; T. I. Brown; Benjn. Wilkes for Francis Christian; May 22 Alexander Mc Daniel; W. R. Preston; Wm Musit; May 28 W. A. Leftwich; June 1 H. Dannington for Mrs. Lucy Tate; June 9 F. G. Morrison for R. C. Combs; F. G. Morrison for J. H. Dickinson; June 16 R. C. Mitchell for F. R. Stone; John A. Somers for James Campbell [free]; June 30 J. W. Andrews; W. W. Hanson; July 1 John P. Huglin; S. White Trustee; Langhorn & Holcombe for Mrs. Ann E. Dangerfield; Farmers Bank VA for F. W. Coleman;

M. D. Buchannan; Mary W. Buchannan; Saml Nowlin; Mrs.. James Garland; Alexr McDaniel; R. G. h. Kane for C. M. Blackford; July 2 Richd Crawford; Eliza B. Christian; Richard Curle [free]; James M. Cobb for W. H. Lee; James M. Cobb for Ben Hunter; Jos. H. Wilson; Garland & Slaughter for Charles A. Floyd; N. C. Manson for R. E. Manson Trustee for J. Merriwither assignee of Saml H. Early; Jos H. Philps assignee of J. W. Callahan; July 3 Charles W. Christian agt for C. F. M Garnett; Jn M. Otey for C. S. Scott; Jn M. Otey for Withers Waller; Jn M. Otey for F. H. Conway; Jn M. Otey for T. K. Jones; Jn M. Otey for J.G. Wallace; Thos S. Richardson for Tho. J. Brown; July 6 Samuel Crockett; Phil Samuel; Samuel S. Schooler; John T. davis; M. S. Harris; Richd Perkins; F. G. Morrision for E. S. Motley; Alfred A. White; Tho. C. Walsh for R. McDaniel; July 7 W. O. Owen; Tho. W. Leftwich; Henry White for Ben B. Jackson; W. W. Leftwich; C. F. Harris; A. R. Nichol assignee of John Goode; P. J. Thompson for H. V. Thompson; July 8 James Franklin; James Franklin for A. R. White; T. C. Jennings; John W. Lowry for P. E. & M. E. Lowry; T. W. Sanders for J. B. Sanders; H. H. Lewis agt for H. R. Shackelford; T. H. Ivey agt for E. O. Dance; July 11 H. B. Sumpter for S. W. Sumpter; July 13 James A. Hacuner for "an ill Stratton"; James A. Hacuner for Jesse Thornhill; James A. Hacuner; James A. Hacuner for R. C. Gary; C. M. Wade for Archibald Wade; L. A. Horton; W. R. Neighbour for Martha Tuggle; J. H. Moorman; July 15 R. C. Mitchell for F. B. Stone; Judith Moorman; Hector Harris; July 17 R. C. Hackett; July 24 Henry D. Flood; Henry D. Flood for F. S. Bocock; Geo. W. Dawson for R. A. Coghill; F. G. Morrison for Walker Washington; July 27 F. G. Morrison for P.H. Burress assignee of John Smelser; Randolph Peyton; Wm T. Booker for Garnett H. Leo; August 1 Saml Pryor; John W. Jones; Mark Andrews; August 4 Saml T. Poindexter; John W. Sale; August 8 L.P. Preston for James A. Stiegler; August 10 John W. Sheed for Mrs. Inarnia Wright; Washington Hunter; August 15 Jos H. Stone; J. Morton Shue for Maria L. Leftwich; August 17 Joseph M. Shue for Jn O. Leftwich dec'd; P. H. Ryan for Wm Daniel; Archibald Bolling; August 22 B.W. Jones for Abram L. Stiff; Wm A. Hardy; September 7 Waters Shined & Co for P. Moncure; September 14 Bowling Clark; September 16 Winston W. Faukesley for Caleb Williamson; September 19 Christopher Moore assignee of Judith B. Cardwell admis. Of the Estate of J. E. A. Cardwell dec'd; September 21 F. G. Coleman (Ben); October 3 S. White Trustee; C. R. Slaughter atty for Charles A. Floyd; H. Whyte for Ben B. Jackson; Withers Waller; Jos. H. Philps assignee of J. W. Callahan; C. S. Scott; H. Conway; H. D. Flood; H. D. Flood for Thos S. Bocock; Saml Crockett; Wm R. Mosby for C. S. Mosby (Merchant); October 5 James A. Hamner; James A. Hamner for R.C. Gary; James A. Hamner for Jesse Thornhill; James A. Hamner for Daniel Stratton; Joly & Saunders for Thos W. Leftwich; Geo. McRucker assignee of John P. Hogley; James Franklin for A. B. white; R. E. Manson trustee assignee S. H. Early; T. H. Ivey for E., O Dance; James Franklin; John R. McDaniel; F. G. Morrison atty for E. S. Mottey; October 6 Wm Neighbour for Mrs. Martha Tuggle; J. W. Anderson; P. J. Thompson for H. V. Thompson; Phill Samuel; A.R. Nichol assignee of John Goode; Tho. T. Moorman for Judith Moorman; Langhorne & Holcombe for Mrs. Ann E. Dangerfield; J. H. Moorman; October 8 S.C. Hunt for Mrs. Frances Christian; Richard Curle [free]; W. H. Taylor for Wm H. Hall assignee of Wm A. Leftwich; Jos H. Wilson; Nelson Lowry assignee of A. M. Lowry; October 12 James M. Cobbs for J. W. Jones; October 15 James A. Stiegler; J. M. Reese for Hugh Brown; F. G. Morrison for W. W. Hanson; Wm L. Bell admr of the estate of John O. Leftwich; October 17 F. W. Coleman; October 20 F. Bank for Mary D. Buchannan; Mary W. Buchannan; James A. Duffan; F. W. Coleman; Beverly Crump; C. D. Reynolds assignee of Wm Gishassignee of Wm B. Preston; Mark Andrews; Joby & Saunders for Wm Muse; October 21; Alexr McDaniel; James Elliott; Wm A. Miller for Saml Schooler; October 24 A. Tompkins for Michael Wallace; H. B. Sumpter for Alfred A. White; Saml Pryor; October 28 J. Morton

Spruce for his wife formerly Maria L. Leftwich; Thos J. Brown; R. G. Bell for S. S. Thomas; A. Tompkins [for] R. H. Maury; P. H. Gilmen; November 2 John W. Lowry agt for T. E. & M. E. Lowry; R. C. Mitchell and R. C. Combs; F. B. Stones; November 7 Saml T. Poindexter; John C. Marrell for B. Cox; Walker Washington; Gustave A. Saunders; November 17 Chas W. Price for H. L. Stiff; November 19 Wm H. Hall assignee of Sarah Wright; November 23 Caleb Williams; November 27 P.H. Ryan for McDaniel; G. A. Wingfield for E. B. Christian; December 1 Mrs. P. J. Thompson for H. V. Thompson; Peter Spence & Co for Lucy Fitzhugh; W. O. Owens; December 10 Daniel Stratton; December 19 Thos P. Mitchell; December 28 F. G. Morrison

1858 – January 2 F. R. Deanne for Elizabeth Fraizer; James A. Hamner; Jesse Conch for Doris Conch; Tho. C. Walch for John R. McDaniel; L. C. Mosley for C. L. Mosby; Saml. H. Quaren for Mary J. G. Watkin; Washington Hunter; Wm Hagden for A. Ogden; John T. Murrell for Garland and Slaughter in full of C. A. Floyd; E. S. Hutter; Peters Spence & Co for P. Moncure; Peters Spence & Co for Lucy Fitzhugh; H.J. Garrett; H. D. Flood for T.S. Bocock; January 4 James Franklin; Wm H. Leo admr; J. P. Whaling for J. W. Neal; M. R. Bohannan for Alexr Wade; H.D. Flood; James Benogh for Mrs. M. Richardson; R. K. Watkins for C. F. Harris; James Garland; Chisince Dabney; James H. Philips for J. W. Callahan; Leo Johnson & Co. for D.C. Dunn; Thos M. McKinney; Wm T. Booker for Garnet Lee; E. S. Halsey for Seth Halsey; Franklin & Bro for A. B. White; Jos. H. Wilson; T. J. Brown; Phillip Samuels; J. R. Holcombe & Co for Ann E. Dangerfield; W. H. Hall assignee of Sarah Wright & Wm A. Leftwich; H. H. Lewis agt for the estate of F. B. Shackelford; Marrell & Stratton for Alexr McDaniel; Marrell & Stratton for James A. Marshall; T. C. Jennings; W. O. Owen; McConkle & Co for Floyd Rocke; January 5 W.W. Leftwich; William Neighbours for Martha Tuggle; M. S. Harris; A. B. Nichols; W. V. Jordan for Richard Dearing; Wm L. Bell admr of Jn O. Leftwich dec'd; Richard Curle [free]; James F. Paine for Fleming Saunders; J. T. Davis; James Elliott; C. M. Blackford; C. Blackford Trustee for M. M. Leftwich; January 6 A. B. Rucker for T. G. Hill; James W. Stewart; Spotswood Jones; L.A. Horton; W. G. Morrison; James A. Stiegler; F. H. Conway; Paul Hull; Withers Waller; Charles S. Scott; N.C. Manson for J. H. early; F. G. Morrison for P. H. Gilmus; January 7 Judith Moorman; F. G. Morrison for E. S. Motley; Wm Q. Spinedteller; F. G. Morrison for Geo. B. Washington; Jn. M. Otey for James Montith; T.T. Saunders; Alfred W. Anderson for Archibald Wade Sr.; Hector Harris; January 8 Richd Crawford; John H. Moorman; John A. Harris for Dabney & Co MD assignees of R. C. Hackett; John Irby; January 13 James L. Campbell; Hugh Brown; John M. Preston for James Saunders; Saml Ferguson for Eliza B. Christian; January 14 R. D. Moor assignee of John P. Hughes; H. Whyte for B. B. Jackson; Beverly Crump; R. D. Moore; P. j. Thompson for H. V. Thompson; Tho. W. Saunders for James B. Saunders; J. J. Williamson for Caleb Williamson; G. A. Saunders; Saml Crockett; Thos H. Burnes; Irby & Saunders for Sawney Price; C. E. Jones for A. L. Stiff; James Shearer; January 16 Saml Nowlin; C. V. Winfree for E. O. Dance; Saml Pryor; January 18 J. H. Thompson assignee of J. W. Sale; J. W. Jones; Wm H. Moorman; Jhn W. Jones; A. Tompkins for Mary D. Buchannan; A. Tompkins for E. A Craddock; A. Tompkins for W. W. Hanson; A. Tompkins for Michael Wallace; A. Tompkins for Michael Wallace; A. Tompkins for M. W. Buchannan; A. Tompkins for James Duffin; A. Tompkins for B. B. Wright; A. Tompkins for Jn Smith; January 21 A. Tompkins for F. W. Coleman; A. Tompkins for N. S. Battaile; A. Tompkins for John Collins; A. Tompkins for Margaret B. Crump; A. Tompkins for B. F. Coleman; A. Tompkins for Johnson Fitzhugh; Bolling Clark; Charles W. Christian agt for C. F. M. Garnett; J. m. Spence for M.L. Leftwich; Simpson & Brothers for Matilda Thareton; Jos Wilson for Winston Radford trustee for Elizabeth Wilson; Jn M. Otey for

J. H. Wilkinson; Jn M. Otey for W.W. Forbes; January 22 Saml T. Poindexter; Jn M. Otey for Wm Jordan; F. K. Nelson; January 25 Richard Perkins; E. R. Page; A. Tompkins for Geo. W. Burke; January 26 John W. Lowry agt; Jn M. Otey for Sarah Sweatman; January 27 F. B. Stone; C. T. Wills; January 29 C. W. Hardy for Wm A. Hardy; P. H. Ryan for Wm Daniel; James C. Hazter; February 3 John H. Moore; Younger & Smith for R. Sedgwick assignee of O.P. Read; Jn M. Otey for J. G. Wallace agt; Wm B. Preston; February 20 Ben Wilkes; February 24 Mark Andrews; Wm A. Millen for Saml Schooler; February 25 Dinwiddie Committee; B. C. Combs; March 1 Wm T. Anderson for Alfred A. White; March 15 A Tompkins for A. G. Broadders; Spotwood Brown Gardn; March 18 C. J. Harris; March 20 L. F. Johnson; March 27 David R. Edley; Thos F. Pollard for Archibald Wade; F. G. Morrison for S. White Trustee; April 1

1859 - January 3 Sara Crockett; Pelinn Skinner for H. H. Wallace; for Peter Spencer and for J. W. Kay; R. Holcometree for James Riaz; J. R. Holcomtree for Ann E. Dangerfield; J. H. Wilson; B.L. Whitenbier; Preston L. W.; E.D. Fraylon; Dr. for W. Owens; January 4 Hugh Chandler; Kinni Sanders for Agnes; Thos. W. Sanders; D.V. Woodroffs; Alison Wade; James S.; W.G.M.; C. Dobney; A.F. Wilson; Washington Hunter; L.T. Hopkinson; N.V. Thompson; J. McGinnis; Richard M. Wells; J.H. Griffinson; St. Griffin for Charles A. H.; Mr. Gillian; M.L. Harris; W. Anderson; Wilbert L. Martin; G.S. Pepper; January 5 Richard Perkins; A.J. Cawfford; Mr. S. Morris; J. M. Lucker; G.G. Waile for Thos. W. Leftwick; J.W. Gallahan; Richard Draring; John M. ShuDin; John M. O'ley; James Monlush; A.H. Lucken; R.R. Junstaw; R.J. Crockett; G.R. Wallace; James L. Hammand; Jonny Montuth for Sarah Swuatman; Ms. M. Spied for Mrs. Maria Wright; January 6 C.C. Wade for J.A. Burton; J.H. Wilmer; R.H. Wilmer; J.H. Brown; Tho. S. Walker; Geo. W. Valentine for Tho. J. Brown; M. Daniel; Charles L. Masby; John T. Davis; James A. Hammond for Charles P. North; Mr. Owens; January 7 J.N. Jorden; John J. Goodwin; W.M. Flanton; M. Wallace; Mary D. Buchanan; A.G. Rroaddey; John Owens; M.R. Crunch; Paul Haw; J.R. Phillips; H.M. Erving; B.R. Wright; John Owens; M. A. Wright; January 8 Alex M. Gray; John A. Hughes; A. McDaniel; January 10 Mr. St. Wright; January 24 Charles W. Christian; Rich Crawford; John Smilfan; Hector Harris; J.D. Pierree; W. J. Green; W. J. Moor; E.O. Daniee; W. Lefton; W. Wallace; James Suanders; R. O. Dob; G.H. Gilmore; M.M. Leftwick; J.C. Jennings; Sarah Wright; Henry Dunnington; T.T. Sunders; D. Crouch; J.C. Moncuro; J.H. Smith; Geo. Callahan; W.H. Moorman; E.M. Poindexter; Davis D. Poindexter; Charles E. Auqua; James W. Stewart; John S. Caldanice; J.C. Hyter; P. Saunders; J.C. Hammeth; John H. Smith; J.H. Nomlin; Caleb Williamson; C. Christian Arlington; Mr. Owens; James L. Crawford; John H. Griffin; J.H. Nomlin; January 26 Peter Spence for N.S. Rautails; January 29 John R. McDaniel; Peter W. Hairston trustee for E.S. Stuart; C.T. Wells; Tho. J. Jarratt; Ro. A. Coghice; Charles W. Christian; January 31 Mr. Owens; Daniel Stratton; Agnes L. Ingles; February 3 W. Kent; R & J Crockett; February 4 J.C. Burchfield; James L. Morgan; Wm. Plunkett; R. & J. Crockett; February 7 Seth Halsey; Thos. A. Harris; February 15 F.G.M. Tho. McKinny; Ro. C. Mitchell for F.K. Nelson; J.S. Plain for Levi C. Dowdy; February 17 John L. Saunders for S. F. Johnson; Wm. Owens; A. Tompkin for J. H. Dejarnett; William Owens; Wm. Hobson; February 23 Parker Smith; February 25 G. A. Saunders; February 28 Ro. Earlys; Wm. Owen; E.J. Sheltuian;

No names of owners or slaves: 1857 - April 2, 4, 13, 30; May 30; June 30; July 30; August 29; October 5; October 31, November 27; December 19, 28, 31. 1858 - January 2, 4, 5, 6, 7, 8, 14, 16, 18, 21, 22, 25, 27, 30; February 3, 24, 25, 27; March 1, 5, 15, 20, 31; 1859 - January 6, 7, 24,

Ledger, 1857 – 1860

1857- April 1 W. W. Leftwich; Randolph Payton; Sam. Nowlin; Mrs. J. Garland; John P. Hughes; John W. Calohan; Charles M. Blackford; A. Tompkins; April 2 Dr. F. Robert Crockett; H. H. Lewis; E. J. Gillian; J. A. Hawner; E. R. Payne; Garland Slaughter; J. A. Stigler; April 4 T. W. Leftwich; Richard Curle; W. Nughborun; John J. Flonnoy; F. G. Morrison; Marshall S. Harris; Dr. F. Cash; W. Owens; April 7 W. A. Miller; Sam Schooler; John M. Speed; E. N. Eubank; N. Manson; John Goode; Edgar Hetchard; Thomas Jennings; P. H. Ryan; Charles W. Christian; April 9 J. Shearer; Clifton V. Webb; W. R. Bohannan; J. H. Wilson; H. Whyte; John M. Otey; Samuel T. Poindexter; April 11 Peter Spence; April 13 H. D. Flood; Samuel Crockett; D. J. Saundries; I. F. Loving; Robert Early; W. Owen; April 15 A. Tompkins; P. J. Thompson; J. Elliott; J. Franklin; April 18 T. G. Heill; T. T. Moorman; William S. Morris; Frank Gains; W. P. Noel; Mary D. Buchannan; April 21 Charles H. Rucker; April 25 Alfred White; John W. Lowry; May 1 Mark Andrews; E. O. Dance; May 9 M. Davis; May 13 T. Kelso; R. C. Saunders; May 16 T. G. Morrison; T. J. Brown; B. Wilker; May 22 Alexander McDaniel; W. B. Preston; June 1 H. Dennington; June 16 R. C. Mitchell; John A. Somers; June 30 J. W. Andrews; W. W. Hanson; Robert Crockett; July 1 John P. Hughes; S. White; Langhorn Holcombe; F. Bank; Mrs. James Garland; July 2 Rich Crawford; Eliza B. Christian; Richard Curle; James M. Cobb; J. H. Wilson; Garland Slaughter; M. Manson; J. H. Philapine; July 3 Charles W. Christian; T. S. Richardson; July 6 Phil Samuels; Sam S. Schooler; John J. Davis; M. S. Harris; Rich Perkins; J. G. Morrison; Alfred A. White; T. C. Walch; July 7 Henry White; C. F. Harris; A. B. Nichol; N. C. Taliaferro; July 8 J. Franklin; J. C. Jeaning; John W. Lowry; T. W. Saunders; H. H. Lewis; July 11 H. B. Slaughter; July 13 J. H. Hammer; C. M. Wade; L. A. Horton; W. R. Neightor; J. H. Moorman; July 15 Judith Moorman; Hector Harris; July 17 R. C. Hackett; July 24 Henry D. Flood; G. W. Dawson; July 27 Randolph Payton; July 30 I. F. Loving; August 1 Sam Pryor; John W. Jones; Mark Andrews; Sam Poindexter; John W. Sale; August 8 L. Preston; August 10 John M. Spud; Washington Hunter; August 15 J. H. Stone; J. Morton; August 17 Joseph M. Shueford; Archibald Bolling; August 22 B. W. Jones; W. A. Hardy; Peter Spine; September 14 Bowling Clark; September 16 Winston W. Faukerey; September 19 Christopher Moore; September 24 F. G. Coleman; October 3 S. White; H. Whyte; C. S. Scott; F. H. Conway; W. R. Mosby; October 5 J. A. Hawner; Daniel Stratton; G. M. Rucker; R. E. Manson; October 6 Mrs. P. J. Thompson; Phil Samuel; A. B. Nichol; October 8 S. C. Hunt; W. H. Taylor; Nelson Lowry; October 12 J. M. Cobbs; October 15 J. M. Reese; W. L. Bellamore; Beverly Crump; C. D. Reynolds; October 21 A. McDaniel; J. Elliott; W. A. Miller; October 28 I. Morton; J. C. Brown; R. G. Ball; P. H. Gilmer; November 2 R. C. Whitehall; November 17 C. M. Price; November 19 Sarah Wright; November 23 Caleb Williamson; November 27 P. H. Ryan; G. A. Wingfield; December 19 S. Pepper

No names of owners or slaves: April 9; April 15; April 18; April 21; April 25; April 30; May 1; May 9; May 13; May 28; May 30; June 1; June 9; June 30; July 1; July 2; July 3; July 6; July 7; July 8; July 13; July 15; July 24; July 27; July 30; August 1; August 29; October 3; October 5; October 6; October 8; October 15; October 17; October 20; October 24; October 28; October 31; November 2; November 7; November 27; December 1; December 10; December 19; December 28

1858 – January 2 F. B. Deanne; T. C. Walch; Sean H. Tuarler; L. Page; W. Hogden; John I. Murru; E. S. Hutter; Peter Spence; James M. Cobb; January 4 T. McKinney; E. S. Halsey; I. R. Phillips; Franklin Booker; R. C. Strother; Mursell Stratton; January 5 Wither Martin; J. T. Davis; January 6 J. W. Stewart; H. I. Garrett; L. A. Horton; Charles S. Sett; January 7

Judith Vicoorman; January 13 Hugh Brown; John M. Preston; Sam H. Ferguson; January 14 R. D. Moore; J. J. Williamson; T. H. Burnes; January 16 C. V. Winfred; Silas P. Vaughter; January 25 Richard Perkins; January 29 C. W. Hardy; February 20 R. C. Hurt; March 15 Spotswood Brown; March 18 C. J. Harris; March 27 David R. Ealey; T. J. Pollard; April 1 T. K. Jones; G. A. Burton; S. C. Woodruff; John T. Davis; April 2 John H. Stone; Marshall L. Harris; April 16 G. R. Peake; April 27 George W. Witt; April 28 P. H. Barr; May 1 Hector Harris; June 15 James L. Morgan; July 8 Murrell Stratton; July 9 William Moon; August 5 W. Hobson; August 7 T. J. Walker; September 1 Francis Thornton; M. M. Ewing; September 17 R. H. Kane; October 1 T. K. Jones; October 4 W. M. Black; October 5 J. T. Davis; October 17 I. B. Anthony; October 30 M. W. Hutcherson; November 8 B. B. Wright; December 27 A. M. Smith; December 28 Abram Shelton;

No names of owners or slaves: January 2; January 4; January 5; January 6; January 7; January 8; January 14; January 16; January 18; January 21; January 22; January 25; January 26; January 29; January 30; February 3; February 24; February 25; February 27; March 1; March 5; March 15; March 18; March 20; March 27; March 31; April 1; April 2; April 7; April 10; April 16; April 19; April 23; April 30; May 1; May 17; May 31; June 3; June 9; June 30; July 1; July 3; July 8; July 9; July 15; July 19; July 22; July 24; July 27; July 30; July 31; August 2; August 5; August 7; August 16; August 19; August 21; August 28; August 31; September 1; September 6; September 22; September 25; September 30; October 1; October 4; October 5; October 6; October 8; October 17; October 21; October 22; October 26; October 30; November 8; November 27; November 30; December 1; December 8; December 15; December 18; December 23; December 27; December 28; December 31

1859 – March 22 G. P. Luck; R. W. Burton; March 28 A. Tompkins; W. Owen; J. J. Johnson; March 31 H. M. Ewing; J. F. Loving; W. F. Figgatt; Preston Pitts; April 1 F. G. Morrison; J. H. Wilson; W. M. Goodman; Sam Nowlin; Charles Christian; J. Franklin; I. C. Slaughter; John P. Hughes; D. C. Dunn; W. Daniel; A. A. Hudson; John R. McDaniel; J. N. Gordon; John J. Davis; April 3 Sam Crockett; J. A. Buchanan; W. A. Preston; T. A. Harris; R. J. Crockett; April 7 T. G. Hedgman; C. V. Newfru; J. A. Hammer; J. M. Rucker; R. Crawford; S. C. Woodroof; Dr. Cash; April 11 John H. Patterson; John H. Flood; John S. Map; J. A. Pierce; Phillip Samuels; W. Gibboney; J. W. Kay; R. Dennis; G. A. Raine; G. I. Cock; E. McGavock; W. G. Coleman; W. W. Mosby; R. Sawyer; J. S. Morgan; W. W. Hanson; April 15 J. Fitzhugh; W. W. Leftwich; John Smeller; Elijah Smeller; April 20 Richard Dennis; D. C. Dunn; W. Terry; T. Wood; April 20 H. M. Sanders; J. M. Harris; April 22 Gary D. Buchanan; J. C. Haytem; W. Tucker; John Greg; R. Tinsley; April 26 B. Carnifit; R. Dop; April 28 S. Baker; Daniel Stratton; May 3 F. J. Bauard; W. A. Hardy; E. S. Hatter; A. McDaniel; May 6 R. C. Steptoe; W. C. Perrow; May 11 Washington Hunter; C. V. Webb; W. Waller; Hector Harris; R. R. Taliaferro; May 16 C. Smith; H. V. Thompson; May 19 A. B. White; L. Hocke; May 23 Joseph Leonard; May 24 R. A. Coghill; May 28 W. J. Campbell; Marion J. Victor; M. W. Lowry; Withers Martin; T. A. Harris; June 17 G. P. Luck; S. A. Patterson; June 20 Sam G. Staples; C. Page; June 22 A. P. Price; June 24 William J. Green; July 2 J. A. Pierce; Ann E. Dangerfield; William A. Gillian; John Gray; J. W. Kay; F. M. Barker; A. S. Stiff; Lu Rocke; Paul C. Cabell; July 5 A. A. Hudson; T. Wood; R. Sawyer; W. W. Hanson; July 8 T. J. Walker; John M. Speed; Robert Dennis; John Young; July 20 John H. Patterson; R. A. Hunter; July 25 J. Franklin; A. B. White; John B. Lie; July 26 D. A. Langhorne; J. M. Callahan; July 27 W. C. Cerrow; J. L. Morgan; July 28 T. H. Burnes; August 3 T. Trent; B. B. Wright; D. P. Jones; C. V. Webb; August 9 H. V. Thompson; August 12 Charles W. Christian; August 20 E. G. Hill; S. A. Patterson; August 26 L. Rocke;

S. J. Blanton; August 30 J. H. Griffin; September 2 F. S. Thomas; Rich Dennis; September 7 C. P. Seth; September 28 C. F. Harris; October 3 R. A. Hurt; R. Sayer; H. B. Homes; October 19 J. W. Utz; C. S. Jones; October 29 Richard Perkins; W. J. Green; Tom Wood; Frederick Roberts; Elijah Holley; John B. Lee; M. B. Preston; October 29 G. A. Saunders; November 30 Florentine Robinson; W. Terry; Marrion J. Victor; W. C. Coleman; December 31 John Earhart; W. C. Smith; Charles F. Garnett; Sally W. Price; A. Wade; S. Wright

No names of owners or slaves: April 1, April 3; April 11; April 15; April 22; April 26; April 28; May 3; May 11; June 20; June 30; July 2; July 5; July 8; July 20; July 25; July 26; July 27; July 28; July 30; August 3; August 9; August 12; August 26; August 30; September 6; September 7; September 22; September 30; October 3; October 19; October 29; November 30; December 31

1860 – January 20 Joseph Patterson; John M. White; Benjamin S. Carnifit; January 31 W. C. Park; F. J. Ballard; E. G. Hill; T. McKinney; E. H. Craddock; Frederick Roberts; John H. Smith; A. Pettyjohn; John H. Patterson; Charles H. Lynch; Richard Dearing; Alexander Wade; W. Wright; T. K. Nelson; Paul Hull; M. Watson; James Shearer; D. H. Griffin; W. J. Alexander; R. Early; H. J. Garrett; L. Bowyer; J. Fitzhugh; Stephen Perrow; Phil Samuels; Frederick Robertson; W. C. Parks; February 29 Hector Harris; Maria Wright; William Kent; James S. Irwine; March 31 Jacob Fowler; J. C. Hayter

No names of owners or slaves: January 20; January 31; February 29; March 31

Journal, August 5, 1858 – March 31, 1860

1858 - August 5 William Hobson; Walker Washington; J. R. H. Combe; Ann E. Dauger; R. C. Mitchen; Withers Walker; William Leftwich; W. J. Warren; A. J. Wilson; August 7 C. A. Floyd; Thomas S. Walker; James M. Spud; Maria Weight; August 19 R. H. Ryan; William Daniel; August 23 J. H. Buford; Bob Dennis; August 25 John H. Brown (Griffin); August 28 Thomas M. Stay; William Owen; September 1 Alex McDaniel; Francis Thornton; John J. Brown; R. J. Crockett; H. Ewing; September 10 J. Woodall; Jave B. June; Florence Robinson; September 21 R. G. H. Keana; Oneill W. Sutton; Cyrus Fisher (Henry); Noble Jordan; G. A. Saunders; September 23 Mary Morarity (Thomas); September 27 William R. Mosby; Charles L. Mosby; September 30, 1858: J. G. Morrison; October 1 J. B. Diane; Mrs. E. D. Frayser; John R. McDaniel; Peter Spud; J. R. H. Combe; E. Dangerfield; L. K. Jones; October 4 Sara Nowlin; A. B. Rucker; William D. Tucker; C. J. M. Garnett; E. A. Dance; S. C. Woodrod; Rich Cramford; G. A. Burton; Timble Black; William J. Moon; M. S. Harris; Sara Crockett; Josh H. Wilson; Toby Saunders; Daniel Stratton; A. Tompkins; J. North (James); J. B. Wallace; B. B. Tunstall; October 5 James Saunders; A. Tompkins; Geo. Earnhart; John T. Sain; J. A. Hawner (Hugh); Thomas W. Saunders; Kinner Sander; A. Ogden; Richard Dennis; October 7 Charles A. Floyd; Thomas L. Jones; A. J. C.; James M. Jordan; A. Tompkins; October 11 Sara Hobson; John Goode; J. B. Author; October 12 W. Waller; R. C. Mitchell; William G. Green; October 14 James M. Tucker; A. B. White; James Franklin; October 18 John P. Hughes; F. G. Morrison; October 19 William Hobson; J. H. Buford; October 21 Woodall A. Finer; R. P. Jones; Alex McDaniel; W. B. Preston; William S. P. Hopkins; October 22 William Daniel; October 27 William A. Hardy; J. M. Reese; October 30 William Hutcheson; November 1 B. B. Wright; November 9 Victor Spence; Geo. K. Taylor; H. H. Wallace; November 23 H. Gilmore; A. W. Price; J. C. Murrell; November 29 J. A. Stigler; J. C. Jeaning; December 1 R. M. Wells; December 13 James Campbell; December 18 Seth Halsey (Randall); J. H. Buford;

December 22 Sandy K. Jones; Dan Stratton; December 28 W. W. Jordan; A. W. Smith; William J. Robinson; John S. Blair; Sam C. Miller; December 29 Abram Shelton; Geo R. Peake; Thomas L. Jones; J. G. Morrison.

1859 - January 3 Sam Crockett; Peter Spence; H. H. Wallace; Peter Spence; Josh W. Key; James C. Hammock; J. R. Malcombe (Jane); Jason Nowlin; J. R. Holcombe; Anne E. Sangorfield; J. J. Loving; R. L. Crockett; Josh Wilson; Geston Pitty; C. L. White; T. E. Lowey; E. D. Froyer; Will Owen; January 4 Kinner Sanders; Hugh Chandler; Thomas W. Saunders; S. C. Woodcroft; Alex Wade; James Shearer; William G. Ghet; Chris Dabney; A. Wilson; Washington Hunter; W. L. Hohkis; H. V. Thompson; Noble J. McGinn; Rich M. Wells; John H. Griffin; Charles H. Floyd; William A. Gillian; Marshall L. Harris; Wither L. Martin; C. S. Pepper; January 5 Richard Perkins; A. J. Caugh; J. C. Murru; W. S. Morris; W. H. Agrew; James M. Rucker; A. J. Engledom; C. L. Wade; J. W. Callahan; Richard Dearing; John M. Shed; John M. Oley; A. B. Rucker; B. B. Dunsiau (Woodfon, Wright); R. J. Crockett; G. B. Wallace; James P. Hammett; R. J. Crockett; James Monteth; Maria Wright; January 6 C. C. Wade; H. V. Thompson; L. Walker; L. W. Valentine; William Daniel; William K. Mosby; John T. Davis; J. A. Hawney (Charles); William Owen; J. Carly; January 7 J. N. Gordon; W. W. Hangan; Michael Wallace; Mary D. Buchanan; A. G. Broaddom; M. B. Crunch; J. P. Hammett; Dave Hill; R. J. Crockett; J. R. Holt; J. R. C.; H. M. Earing; B. B. Wright; William A. Wright; January 8 J. M. Otey; Alex. M. Geran; A. T. Johnson; A. Thompkins; John C. Hughes; Alex McDaniel; January 10 A. Thomkins; William H. Wright; January 24 Clement F. Harris; Charles W. Christian; C. M. Garnett; Richard Crawford; John Smeller; Hector Harris; J. A. Price; William J. Green; William J. Moon; E. O. Dance; William Leftwich; Withers Waller; James Saunder; R. O. Dole; P. H. Gilmer; William W. Leftwich; J. C. Jennings; William A. Leftwich; Sara Wright; Henry Dunnington; T. T. Saunders; J. C. Moncure; J. H. Smith; Jes Callahan; William H. Moonman; E. M. Poindexter; Davis D. Poindexter; Charles E. Fugud; James W. Stewart; John S. Caldwell; Phill Samuels; John H. Smith; J. H. Nowlin; R. C. Mitchell; Caleb Williamson; A. Ogden; William Owens; S. Hobson; John Goode; R. J. Crockett; James Campbell; January 25 Paton Spencer; W. S. Battle; January 29 John R. McDaniel; James Franklin; A. B. White; Peter W. Haiston; E. L. Stewart; January 29 C. T. Wills; Thomas J. Jarrett; R. H. Coghill; February 1 Daniel Stratton; Agnes L. Angles; February 3 William Kent; R. J. Crockett; J. C. Burchfield; James L. Morgan; W. N. Plunkett; February 5, 1859 Seth Halsey; Thomas A. Harris; April 9 Ann E. Dangerfield; H. Patterson; John H. Flood; J. S. Pirce; Phillip Samuel JR.; William Gibboney; J. M. Kay; Rob. Dennis; J. W. A. Raine; April 15 Geo L. Cock; E. McGavock; William Owen; G. Coleman; W. W. Mosby; Rob Sawyer; James L. Morgan; C. Dabney; W. W. Hanson; C. H. Leftwich; April 20 J. Fitzhugh; W. W. Leftwich; J. W. Leftwich; John Smeller; Elijah Halley; Richard Dennis; C. Dunn; William Terry; Thomas Wood; April 20 A. M. Saunders; J. M. Harris; James Franklin; E. Ward; Mary D. Buchanan; April 22 J. C. Hunter; William Jacken; John Greg; R. Chimley; April 26 R. S. Carne; R. O. Dap; R. H. Wiatt; R. Gibboney; L. Rake; April 29 Daniel Stratton; May 3 F. J. Ballard; William Leftwich; W. A. Hardy; E. S. Hutter; K. M. Daniel; May 6 R. C. Steptoe; Clement F. Harris; William C. Perrow; May 11 John M. Otey; C. V. Webb; W. Hunter; W. Waller; Hector Harris; Thomas A. Harris; May 13 B. B. Tuliaferro; C. Smith; J. M. Anderson; William A. Gillian; May 17 H. V. Thomson; C. E. Webb; May 19 A. B. White; L. Locke; Jacob Merchant; May 23 Joseph Leonard; May 27 R. A. Coghlin; W. Campbell; Marion J. Victor; June 9 R. A. Hurt; June 17 Geo. P. Luck; S. A. Patterson; William Hobson; June 20 Sam G. Stapler; J. P. Hammett; William Owen; C. Page; June 29 S. White; July 2 J. A. Pierce; J. R. Holcombe; Mrs. Ann E. Dangerfield; William A. Gillian; W. T. Anderson;

Mary W. Buchannan; Mary D. Buchannan; John Gray; J. W. Kay; W. Waller; A. Price; Peter D. Hedgman; F. M. Barker; J. C. Hayter; R. P. Patterson; A. S. Stiff; William Terry; A. L. Stiff; J. H. Wilson; Ben S. Carnifer; R. C. Mitchell; S. Rocke; Jacob Merchant; William Hobson; July 5 Paul C. Cabu; R. Coghill; C. M. Garnett; F. M. Garnett; William M. Blackfoot; W. C. Smith; A. A. Hudson; Thomas Wood; William Green; Thomas W. Leftwich; S. C. Woodroof; Sam Crockett; Sam Nowlin; R. H. Wiatt; R. Sawyer; R. Gibboney; John Rake; F. L. Ballard; W. W. Hanson; John R. McDaniel; July 6 William Gibbs; R. Crawford; July 8 J. T. Davis; John Davis; W. W. Mosby; I. L. Walker; A. M. Saunders; G. L. Cock; John M. Spud; Mariah Wright; J. N. Gordon; P. H. Gilmer; J. R. Tinsley; J. M. Spud; R. Dennis; Lawly Baker; John Young; E. O. Dance (Mike); July 11 E. McGavock; July 20 John H. Patterson; James M. Rucker; John M. Otey; James Saunders; R. A. Hurt; E. Frazier; Charles L. Mosby; July 25 A. C. Duhn; C. Samuel; James Franklin; John B. Lee; R. C. Stiploe; C. E. Webb; R. M. Toliferro; John C. Hughes; Washington Hunter; D. A. Langhorne; John Smiller; Elijah Holley; July 26 D. A. Langhorne; J. W. Callahan; July 27 W. C. Perrow; J. W. Saunders; James L. Morgan; James M. Odgen; J. W. Sanders; July 28 C. S. Jones; Joseph Crew; James A. Hammer; I. H. Burnes; July 30 Marion J. Victor; August 3 T. Trent; William Tucker; B. B. Wright; D. P. Jones; Sarah Wright; R. Tinsley; C. V. Webb; August 16 Paul C. Cabell; Sally E. Rose; R. A. Coghill; August 26 E. G. Hill; S. A. Patterson; August 26 D. C. Dunn; W. O. Owen; J. J. Blunton; G. W. Raine; I. Fitzhugh; C. S. Mosby; A. Noell; September 6 F. S. Thomas; Richard Dennis; C. S. Mosby; Sally M. Pierce; A. McDaniel; Pepper Stirna; September 7 William Daniel; C. P. North; J. H. Buford; Sept. 9 G. B. Wallace; September 26 W. N. Jordan; September 27 C. F. Harris; John L. Oglesby; Sept. 30 S. White; Rob Gibboney; C. H. Gilmer; October 3 R. M. Taliaferro; R. A. Hurt; R. Sayer; W. O. Owen; R. H. Wyatt; W. N. Jordan; C. F. Harr; John Goode; R. A. Coghill; W. Hobson; H. B. Hoomey; A. A. Hudson; W. W. Leftwich; October 5 J. M. Kay; Sam Nowlin; A. P. Rice; J. M. Rucker; G. I. Cock; W. W. Hanson; E. McGavock; Daniel Stratton; R. Crawford; Dave A. Hammer; Joseph Crewy; W. Waller; Charles P. North; W. Saunders; A. M. Saunders; C. M. Garrett; D. C. Dunn; G. W. Kain; J. A. Price; S. C. Woodroff; October 17 Sam Miller; Frederick Robertson; James C. Hawwett; October 29 John T. Davis; G. B. Wallace; John H. Flood; D. A. Langhorne; A. C. White; J. Slaughter; James Franklin; J. W. Utz; John C. Henderson; J. M. Barker; M. G. Perrow; John M. Otey; C. S. Mosby; J. N. Gordon; S. C. Woodroof; C. S. Jones; A. S. Stiff; Richard Perkins; F. J. Ballard; John Gray; Peter Hidge; J. Tinsley; J. Caldwell; E. G. Hill; J. H. Wilson; Barry Carnift; I. Wood; Phill Samuel; John McDaniel; October 29 Ann E. Dangerfield; W. Leftwich; W. Gibboney; Henderick Roberts; John Smeller; Elijah Holley; C. S. Mosbey; J. H. Dejarrett; J. H. Burnes; John B. Lee; Jacob Merchant; S. Baker; Sam Crockett; A. McDaniel; R. C. Steptoe; Richard Dennis; James Harris; W. Tucker; H. Keaton; G. A. Saunders; M. B. Preston; R. P. Patterson; G. A. Saunders; H. M. Knet; G. A. Saunders; Mary W. Buchannan; November 30 W. W. Leftwich; Ian Charles; J. S. Morgan; Hector Harris; J. W. Callahan; C. E. Webb; J. W. Utz; M. E. Hurt; G. Luck; Florentine Robinson; W. Terry; John Davis; D. P. Jones; A. C. Dunn; W. A. Gillian; Marion J. Victor; W. Daniel; M. E. Hurt; W. G. Coleman; M. W. Jones; Nov. 30 Robert Tinsley; December 31 J. Shearer; R. A. Christian; Sam Miller; J. R. Phillip; J. S. White; C. Christian; R. Crockett; Thomas Frost; Rich Dearing; A. Pettyjohn; A. Wade; S. Wright.

1860 - January 31 John H. Patterson; W. Hardy; Rich Dennis; F. M. Barker; W. A. Haron; P. H. Gillian; John M. White; R. S. Comfort; John B. Swim; J. Saunders; A. Noel; John S. Bafs; A. C. Dunn; T. W. Saunders; Elizabeth Crawford; H. B. Homer; John Goode; J. M. Ogden; W. Hobbs; R. H. Wyatt; R. H. William; W. C. Parks; W. Gibboney; R. Sayer; W. Waller; E. McGavock; W. W. Hanson; G. B. Wallace; Woodson Wright; C. F. Harrison; John Earhart;

W. J. Green; W. F. Hart; J. M. Harrison; Washington Hunter; G. A. Raine; F. J. Ballard; Mary W. Buchannan; Chapman S. Jones; A. S. Stiff; John M. Otey; John Pierce; Francis Christian; A. A. Hudson; Mary Buchannan; S. A. Patterson; T. Wood; John A. Young; C. S. Mosby; Johnson Fitzhugh; A. B. White; A. Gillian; Joseph Crew; J. M. Harris; C. E. Webb; W. Tucker; J. A. Hammer; J. M. Harrison; E. G. Hill; A. M. Saunders; John P. Hugh; M. W. Jones; M. Walker; T. McKinney; John Sennis; W. Terry; M. Wallace; J. S. Walker; W. Daniel; J. W. Callahan; E. A. Craddock; Sarah Wright; James W. Harris; W. G. Coleman; W. Daniel; A. Pettyjohn; M. M. Kigley; T. McKinney; C. B. Wallace; J. R. Tinsley; J. S. Caldwell; R. Moon; J. J. Blanton; T. T. Saudnerson; M. E. Hurt; S. Crockett; W. O. Owen; Sam Nowlin; J. H. Patterson; James Saunders; W. W. Mosby; Alex Wade; Woodson Wright; R. Moone; J. J. Kent; J. H. Stone; Paul Hill; E. A. Craddock; C. Dabney; J. M. White; T. McKinney; James Saunders; B. B. Wright; John H. Patterson; M. Wallace; M. Wallace; M. M. Kigley; J. M. White; T. T. Saunders; E. Crawford; J. J. Saunders; John H. Smith; R. D. Moon; John Stone; A. Pettyjohn; T. McKinney; W. H. Wright; T. McKinney; M. M. Kingsley; W. H. Wright; E. Crawford; T. T. Saunders; T. McKinney; John Blanton; A. Pettjohn; E. Crawford; John H. Patterson; J. McGinnis; S. F. Johnson; J. F. Kent; M. Wallace; T. K. Watson; J. F. Kent; J. K. Nelson; T. W. Leftwich; J. Mont; J. S. Campbell; Charles Hogmouth; N. F. Thornton; S. Johnson; John Young; Rich Drarington; N. F. Thorton; Alex Wade; W. Hunter; Frances Christian; Woodson Wright; J. K. Nelson; J. Mogden; J. Christian; Paul Hill; W. Owen; R. Tinsley; A. P. Price; J. Griffin; D. Saunders; R. Crockett; W. W. Leftwich; R. Crockett; R. A. Hurt; L. Bowyer; R. R. Wright; W. Owen; J. H. Stain; John Owen; Peter Hedgman; R. E. Jeter; W. C. Person; Ann E. Dangerfield; J. T. Loving; W. W. Wright; W. Owen; M. P. Watson; James Sharer; D. P. Jones; J. H. Griffin; W. J. Alexander; J. McGinnis; Preston Pitty; R. C. Strother; Preston Pitty; R. C. Strother; W. A. Leftwich; R. C. Strother; R. M. Taliafer; J. H. Griffin; W. Owen; W. J. Alexander; S. Johnson; L. Baker; R. Crockett; J. H. Patterson; R. Early; J. C. Slaughter; W. Owen; J. H. Loving; K. Nelson; J. H. Smith; J. F. Loving; C. V. Webb; J. W. Leftwich; Pepper Sharner; R. Crockett; L. F. Loving; J. Montuth; H. J. Garrett; J. S. Cawhill; Daniel Stratton; T. Arent; R. Coghill; Rich Dearing; R. Crockett; J. Franklin; J. Fitt; H. J. Garrett; Stephen Perrowin; C. P. North; J. N. Jordan; M. Patterson; John Jones; S. A. McConkey; R. P. Patterson; J. F. Loving; R. Gibboney; J. C. Haurauth; W. Owen; L. J. Cock; Phil Sam; J. H. Wilson; R. Crockett; Preston Pitt; Jacob Merchant; W. Leftwich; W. Owen; Pepper Sharner; W. Wright; John Sutton; J. C. Harmouth; H. J. Garrett; W. Owen; Woodson Wright; J. H. Dejarrett; R. Early; J. M. Rucker; Charles L. Mosby (Martha); Frederick Roberts; Albert M. Daniel; T. H. Burnes; James L. Morgan; W. C. Parks; February 29 R. Clement; H. V. Thompson; W. Campbell; Dr. Hector Harrison; W. Owen; Maria Wright; W. Kent; R. Crockett; R. C. Steptoe; February 29 W. Kent; John M. Sherd; Maria Wright; Jane S. Irvine; Fleming Thomasl C. E. Frig; C. E. Fryer; D. A. Langhorne; March 31 C. H. Leftwich; Sam. L. Gray; Jacob Fowler; W. Hughes; Rich Perkins; G. Luck; F. G. Morrison; J. C. Hayton; Sam Gray; M. J. Victor; J. Robinson; E. Hutter; J. S. Jameson; R. Fancy; H. Thornhill.

Annual Report, September 15-16, 1858

No names of slaves.

Force Employed:

There are 341 slaves in all at Depots, on the Road, with Passenger Trains, Freight Trains, Gravel, Material and Wood Trains, including 34 mechanics...

Annual Report, September 12-13, 1860

No names of slaves.

Slaves in depots, 75; on repairs of road, 231; in carpenter shops, 10; in smith shops, 13; in copper and paint shops, 3; machine shops, 10; train service, 37; wood and gravel trains, 24. In all 407...

Journal, 1860

June 13, Washington Hunter; June 30, 1860 - Samuel G. Staples.

No names of owners or slaves: March 31, May 31, 1860.

Ledger A., November 1860 – March 1864

No names of slaves. Combined entries for amounts paid to named owners and aggregate amounts paid.

November 9, 10, 13, 15-17, 22, 23, 28, 29, 30, 1860; December 1, 3-5, 8, 12, 13, 18, 21, 22, 26, 27, 31, 1860; January 1-3, 1861; January 4, 5, 7-12 W. A. Leftwich; 14, 15 Nancy Edgar; 16, 17 R. M. Taliaferro; 18, 22-24 Mrs. F. Christian; 25 W. P. Preston; 26, 28 J. C. Slaughter; 29, 30 H. F. Bocock; 31; February 2, 5 Sally M. Lynch, 6, 7, 1861; 7, 12, 14 R.W. Comer; 20, 21 Margart Gartdil; 22 W.F. Tuckade; 26; March 1, 2 William Kent; 5, 14, 15, 29, 30; April 1, 2, 3, 4, 5, 6, 8 James Campbell; 9, 10, 11, 12 R.A. Coghill); 13, 15, 16; April 16, 17, 19, 22, 23 Jason M. Ogden; 24, 26, 29, 30; May 1, 2 J.F. Davis; 7, 8, 9, 13, 14, 16, 20, 27, 28, 29, 31; June 1, 3, 4, 22, 29; July 1, 2, 3, 4, 5, 6, 8-12; 12, 13, 15-18 M.H. Crump; 20, 23, 25, 26, 30, 31; August 1, 2, 3, 5, 8, 13-15, 22, 23, 28, 29; September 4, 5, 12, 16, 19, 25, 26, 30; October 1, 2 G.B. Wallace; 3 W. Robinson; 4, 5, 7-10 John Jones; 11, 12, 14-19, 23, 26, 28-30; November 5, 6, 12-14, 16, 19-21, 23, 26; December 9, 11, 12, 17, 19, 20 William H. Trent; 21, 24; 26, 27, 30 Rev. Charles Wingfield; 31 Richard Dearing; January 1, 2, 5, 6-8, 10, 11, 13-15 Jacob Merchant; 16 W.F. Yingling; 17, 18, 20, 21 H.D. Flood; 22 J.P. Hughes; 23, 24 J.W. Barnett; 29, 30, 1862; February 1, 2, 6, 7, 8, 11, 13, 15, 18, 19, 20, 25, 26; March 17, 20, 21, 27, 30; April 1, 2, 3, 4, 5, 7, 9-12, 14-19, 23, 24, 26, 28, 30 W.C. Smith; May 2, 3, 6-8, 10, 12-14 G. Saunders; 15, 19-22, 30, 31; June 2, 4, 5, 12, 31; July 1-5, 7-13, 15-17, 19, 21-24, 26, 28, 29-31; August 1, 2, 4-7, 9, 12-16, 18, 20, 21, 23, 25, 27-29; September 1, 3, 8, 10, 11, 13, 16, 17, 19, 25, 27, 29, 30;

October 1, 2, 3, 4, 6, 7, 9, 10, 11, 13, 14, 15, 16; 16-18, 21, 22 H.W. Floyd; 24, 25, 27-29; November 1, 3, 4, 7, 8, 10-12, 14, 15, 20, 21, 22, 24, 26, 27, 29; December 2, 6, 12, 16, 17, 18, 19, 22, 23, 24, 25, 27, 29-31, 1862; January 1, 2, 3, 5 S.C. Hurt; 6-10, 13-17 P.G. Cabell; 19 J.S. Crockett; 20, 21, 22; 23, 24, 26, 29, 30 Thomas Corke; 31, 1863; February 2-4 L.M. Oglesby; 5, 9, 12, 13, 19, 25, 27 F.A. Austin; March 4 E.A. Gibbs; 5-7 R.P. Finny; 11, 13, 14, 16, 17, 18; March 19 W.A. Hardy; 20, 24 Samuel Miller; 25, 31; April 1-4, 6-11, 13 R.G. Sutton; 14, 15 J.R. McDaniel; 16-18, 20, 22, 24, 25, 27-30; May 2, 4- 8, 11, 20, 25, 26, 29; June 4, 11, 12 G.W. Clement); 13, 17, 19, 24, 29, 30; July 1-4, 6-11, 13-18, 20-25, 27, 28, 30; 31 William Hobson; August 3 Michael Wallace; 6, 10 John Washington; 11-13, 18-20, 24, 26, 28; September 3, 5, 8-12 Maj. William Leftwich; 14, 15, 18-21, 29, 30 G.W. Dillard; October 1-3, 9, 21; 22-24, 28, 29, 31 J.W. Berry; November 3, 5-7, 11, 13 H.T. Minor; 14, 17-19, 24, 25, 27; December 3, 5, 7 J.M. Wallace; 8, 10-12, 16 Kent Mitchell; 22, 23 R.E. Garey; 26 Mrs. S. A. Campbell; 28, 29, 30; 30 A.L. Stiff; 31 J. W. Perry 1863; January 1, 2, 4, 5-8 W.H. Kinkle; 9 Seth Halsey; 11 R.H. Bucknor; 12, 13, 14, 15, 16, 18, 19

Wesley Wright; 27, 28, 29, 30; February 1-3, 6, 8 M.M. Williams; 9, 10, 15, 16, 18 Mrs. Sarah Wright; 20, 29; March 2, 14 John O. Washington; 15 Samuel Miller; 16 (J.H. Knight); 17, 18 L. Anderson; 19, 21, 26, 28, 30, 1864. March 30, 31 (W.H. Gray), 1864.

Journal, 1860-1863

1860 - April 30 D. C. Dunn; John Goodwin; John T. Davis; Dan A. Longhorne; R. Hoghill; J. A. Pinco; W. W. Leftwich; E. W. Gavock; Jason Franklin; Robert Tinkey; W. D. Tucker; A. Pettyjohn; A. A. Hudson; Jackson Fitzhugh; J. N. Jordon; R. A. See; T. A. Walthall; J. H. Wilson; H. V. Thompson; John Greg; E. Woodhoop; Thomas Moseley; A. Tompkin; Thomas Mouly; J. A. Hawner; G. L. Locke; Palestine W. Noell; H. Wallace; Ann E. Dangerfield; E. G. Hill; Charles A. Plogs; I. Merchant; Mr. A. M. Saunders; J. W. Kay; John A. Daniel; J. W. Jones; P. A. Gilmer; J. P. Hughes; W. W. Stickley; J. C. Slaughter; R. J. Rurney; William Trucker; Martha Tuggle; Sarah Wright; John C. Moncure; T. Wood; J. H. Davidson; C. J. Harris; John Gibboney; John Leftwich; L. A. Bagbie; W. E. Patterson; M. S. Harrisin; Robert Gibboney; Sam Nowlin; R. Soft; R. Sayer; Sam Crockett; Paul Cabcell; Reston Getty; Robert Early; William Owens; R. A. Moone; J. H. Ruford; W. B. Preston; Dan H. Rusney; Thomas Owens; R. Crockett; R. A. Coghill; G. B. Maunce; John Minon; Joseph Crewin; C. V. Webb; John Sowny; D. S. Patterson; William Daniel; T. Wallace; May 3 M. P. Watson; Robert Crockett; T. W. Saunders; M. Leftwich; R. M. Connor; Elijah Holley; J. Ballard; June 30 F. Morrison; Huston Harrison; Michael Riley; William Waller; D. Dunn; R. A. Coghill; W. W. Latwich; J. Lock.; Jacues Wauklin; August 31 A. C. Harrison; John Minor; J. H. Buford; Phillip Achler; Martha Tuggle; Sally M. Price; H. H. Wallace; Margaret Gaddisin; Ralph Conner; Flaming Thomasin; James Metcalf; D. S. Patterson; William Owens; October 23 William Settwich; J. D. Walthace; M. Wallace; G. B. Wallace; E. B. Holloway; A. A. Hudson; R. M. Talin; Sarah Wright; W. C. Smith; James Campbell; J. T. Davis; John Sowny; M. D. Buchannon; John Goodwin; E. Woodoof; E. Halley; H. McKent; R. M. Talin; D. A. Longhorne; R. M. Taliaferro; J. S. Steptoe; John Moorman; John Dickinson; Charles A. Floyd; L. A. Bagbee; C. V. Webb; C. F. Harris; W. O. Owen; Thomas Mosley; C. V. Webb; W. W. Leftwich; D. C. Dunn; D. S. Patterson; Martha Tuggle; Paul Cabu; R. McDaniel; Robert Tinsley; William Tucker; W. W. Stickley; C. Dabney; J. S. Crockett; W. L. Grun; C. S. Mosby; Mrs. A. M. Saunders; A. M. Smith; W. A. Softwick; G. W. Noel; T. Wallace; James Campbell; Ann E. Daugerfield; M. E. Harris; John Rod; E. McGavock; William Gibboney; J. Merchant; J. W. Jones; John S. Calwell; R. Aleoghill; J. G. Morrison; T. Mosley; Fleming S. Thomas; A. B. White; R. S. Wooson; Sam Nowlin; R. A. Coghill; P. H. Gilmer; J. N. Gordon; R. Pirkins; E. B. Wallar; T. Wood; Rob Gibboney; James Campbell; Mary W. Buchannon; M. W. Buchannon; James Campbell; Josh Giny; David Duncan; Rob Sawyer; W. D. Tucker; G. A. Saunders; R. A. Sye; John Gary; H. Burner; M. A. Gillian; M. Leftwich; R. J. Burnegin; A. S. Stiff; J. M. Kay; J. B. Sayne; J. H. Wilson; C. E. Webb; John Smilser; Archibald Wade; John Moorman; T. G. Burrup; Sam Staples; John Moncure; George A. Cock; A. S. Whaling; Frances Christian. November 3 J. G. Shears; James A. Wamner; Robert W. Conner; November 22 J. H. Dands; December 8 H. V. Thompson.

1861 - January 1 R. A. Lee; R. Perkins; Washington Hunter; John M. White; Mrs. A. M. Saunders; Washington Hunter; James Metcalf; C. A. Floyd; I. S. Pendleton; L. A. Bagbio; C. E. Webb; Thomas Trust; James A. Hammer; D. F. Patterson; J. L. Stiff; J. D. Walltrall; J. H. Burnes; J. H. Gordon; Clifton V. Webb; Nancy Conner; January 3 G. J. Cocke; A. Wade; Susan Shephard; Susan Speller; W. W. Manson; Dr. John Minor; Jack Dearing; T. E. Reynolds; Seth Halsey; January 2 Thomas Trunt; F. S. Thomas; James M. Cobbs; I. M. Patterson; I. M. Wilson; I. M. Jones; D. Cauch; I. I. Hughes; R. A. Caghill; William Tucker;

Thomas Mosley; M. L. Morris; John Stone; W. W. Liftwich; Lillian F. Jordan; John Jonis; January 5 E. G. Hill; A. B. White; I. B. Layout; I. F. Switman; I. H. Jones; James Franklin; I. I. Alanton; I. L. Stephon; W. Waller; John Goode; R. C. Gary; Thomas Wallace; W. E. Satterson; R. Tinsley; I. F. Switman; William Liftwich Jr.; I. I. Planton; W. D. Tucker; D. A. Longhorne; W. O. Owen; D. L. Dunn; C. F. Harris; January 7 Anne E. Dangerfield; A. A. Hudson; John Dickson; L. P. North; H. V. Thompson; T. T. Saunders; I. Crown; John Guy; Paul Cabell; R. I. Burney; T. G. Morrison; F. T. Ballard; T. H. Gilmer; A. M. Smith; Dr. Charles Fright; Maria Fright; F. G. Morrison; Joseph Cown; T. T. Saunders; C. J. North; John Dickson; January 8 F. W. Naill; Ges F. Luck; John C. Mancure; Paul Hill; J. A. Pannett; William Daniel; C. Dabney; Charles Sunsbrough; Martha Tuggle; John Lowey; E. M. Clay; R. O. Dof; January 22 A. C. Harrison; M. C. Leftivoch; South Side Railroad Company; J. H. Stone; Mary M. Chambers; January 25 R. B. Preston N. P. Preston; W. W. Hickley; L. Merchant; J. L. Slaughter; James N. Buford; H. M. G. Hunt; January 30, 1861: Samuel Newlin; H. F. Bocock; E. Woodruff; February 1 Parker Jones; February 5 S. L. Dearing; Sally M. Lynch; Mary W. Burchanan; G. B. Rallaco; R. L. Woodson; John Bodken; J. Kegley; A. Thampkey; February 6 Johnson Pitzhugh; H. H. Wallace; E. M. Garack; Mrs. E. R. Wallace; Elizabeth B. Halloway; Michael Wallace; Mary R. Cuchanan; G. B. Wallace; J. S. Crockett; William Gibboney; R. S; Robert Sayers; Samuel Crockett; W. J. Greene; Robert Gibboney; Thomas Woods; February 25 Ancher Pettyjohn; March 1 J. H. Davidson; William Henry; W. G. M. Gennis; March 5 W. J. M. Ginny;

April 8 W. C. Smith; T. W. Sanders; James L. Campbell; William Leftwich; J. W. Wilson; John B. Dabrey; William Owen; J. W. Ians; R. D. Moore; J. B. Sayore; John Guy; Jane J. Hicklin; April 20 W. H. Moorman; W. D. Woodson; James A. Watkins; W. A. Leftwich; James M. Ogden; J. W. Pettigrew; James L. White; M. M. Williams; April 26 J. D. Coler; Henrietta Cunningham; Catherine Gatewood; William Morely; William Saunders; A. J. B. Rucker; W. A. Gillian; May 2 A. M. D. Smith; May 9 C. A. Slaughter; A. C. Harrison; July 23 Mrs. E. W. Withers; John Guy; A. Wade Sr.; J. M. Philips; July 25 J. S. Caldwell; H. D. Flood; W. A. Payne; I. V. Deaton; August 21 John Waller Jones; R. C. Gary; E. M. Clayton; J. V. Depton; August 24 P. H. Noell; September 2 Geo P. Rick; Rick Dearing; September 9 W. Robinson (David); A. M. Maybell (Isaac); J. J. Camden (Jack); October 2 William Leftwich; J. N. Gordon; R. A. Coghill; P. A. Gilmore; John Minor; J. D. Prince; A. G. Cox; Wynham Robinson; J. D. Peirce; J. L. Crockett; M. L. Slaughter; H. W. Hill; Samuel Pryor; J. P. Haphur; J. M. Longhorne; J. W. Callahan; C. L. Morby; October 3 C. Gatewood; R. W. Crenshaw; Jane L. Hicklin; Charles Hendson; J. H. Wilson; Emma S. Crenshaw; John Goode; A. J. Rucker; M. L. Harris; P. W. Noell; J. H. Davis; J. W. Pettygrew; J. J. Saunders; James Franklin; A. B. White; William Daniel; Mary A. Wills; James D. Taylor; Samuel Fries; October 11 M. S. Stiffey; William M. Pettyjohn; D. D. Pointdexter; John Smilser; J. M. Rucker; November 25 John Guy; W. B. Prestons; December 17, 1861: Mrs. Jane Picklin (Henry); Mrs. Sally M. Lynch (Daniel); John S. Ralph (Jordan); John Minor (John); J. K. Jones (Riland); Charles Henderson (Carter); G. B. Wallace (Jim); R. A. Coghill (John, Bill); E. B. Wallace (Emanuel); Rev. Charles Wingfield (Cliff); W. H. Lunch (Stephen); Catherine Gatewood (Nimrod); J. J. Burks (Henry); Rich Dearing (Fountain); Warhinton Hunter (Nathan); D. C. Dunn (John, Adam); Michel Wallace (Henderson); Washington Hunter (Umpfrey); J. S. Thomas; W. W. Floyd (Dick); John Minor (Thornton); December 26 J. W. Wingfield; W. W. Mickley (Henry); December 30, 1861: P. H. Gilmer; W. W. Roberts; G. C. N. W.; F. G. Morrison; James Dicklin (Henry); H. D. Flood (Priscilla); A. Boutwell (Warner); J. D. Prince (Ian); W. A. Liftwich (Charles); W. C. Perrow (Luke); A. C. White (William); J. M. Ogden (Robert); Samuel D. Rice (Booker); L. D. Robinson (Henry); J. W.

Calahan (Jim); Thomas Mosely (Dick, Andrew); P. C. Cabell (Don); W. W. Roberts (William); Susan L. Dearing (Henry); Benny Williams (Jacob); R. C. Gary (Dick); C. A. Floyd (Jordan); M. O. Richardson (Jack); Robert Family (John, Peter, Noah); C. A. Slaughter (Alex); G. C. Wingfield (Henry); M. D. Richardson (James); Hugh Nilson (Nick); John Camden (Jack); C. Dabney (Sullivan)

1862 - January 1 Jason H. Harris; Jas A. Hammer; R. Y. Hosseley; A. Wade; U. C. Walker; J. J. Stratton; I. C. Slaughter; J. R. Crews; A. Wade; J. C. Walker; I. W. Harris; H. J. Minor; J. F. Suitman; Thomas Wallace; Ann E. Eubanks; G. J. Cock; P. D. G. Hedgeman; Robert Dinsley; J. W. Andrews; Hugh Nelson; A. C. White; I. N. Gordon; A. Pettyjohn; W. A. Mason; D. A. Langhorne; H. A. Gillion; Seth Halsey; Beiger Williams; J. D. Pierce January 2 Thomas Floyd; J. M. Camden; J. B. Layne; J. W. Callahan; John Guy; A. J. Minor; John Guy; Seth Halsey; W. A. Gillian; J. B. Layne; J. N. Gordon; A. C. White; Hugh Nelson; R. Tinsley; Ann E. Eubank; John Camden; J. D. Price; Benjamin Williams; C. J. Harris; J. Scott; J. A. Smith; A. C. Harrison; John Dickison; W. C. Perrow; C. R. Slaughter; C. W. Jones; R. W. Chrinshaw; R. A. Pendleton; R. A. Coghill; Emmanuel Chrinshaw; Thomas Cock; C. L. Moorbey; A. M. Jennings; W. Hunter; William Ducker; January 3 J. Goode; P. W. Noe; Thomas Moseley; Jus M. Smith; Jim Dickerson; William C. Perrow; C. R. Slaughter A. M. Jennings; R. A. Coghill; William Cock; Washington Hunter; William Tucker; Joe Grade, Thomas Moseley; Sally M. Lynch; I. G. Dearing; Susan Dearing; John Minor; E. Hill; I. J. Crockett; Samuel Prince; Wynham Robinson; I. H. Wilson; J. H. Miller; I. A. Watkins; C. Dabney; I. B. Dabney; Mrs. E. D. Frayzer; P. C. Cabell; J. M. White; A. B. White; James Franklin; P. W. Withers; January 4 Lamb Nowlin; R. W. Withers; Sally M. Lynch; J. G. Dearing; John Minor; E. G. Hill; J. H. Miller; E. Dabney; Paul C. Cabell; Wyndham Robinson; James Franklin; G. H. Lynch; Thomas L. Walker; C. P. Luck; W. Dandel; John Hancock; Hector Harris; Elijah Hally; J. M. Ogden; Alice Mussell; E. M. Poindexter; R. A. Coghill; M. M. Williams; M. L. Harris; January 9 R. A. Fogus; Samuel Garland (John); H. V. Thompson; J. L. White; H. Boyd; J. R. Price; J. D. Taylor; M. R. Wills; January 7 A. Thompkins; A. Pucker; Robert Giboney; M D. Buchannan; Michael Wallace; G. B. Wallace; C. A. Floyd; M. W. Buchannan; I. R. M. Daniel; W. W. Leftwich; Samuel Garland; M. O. Buchannan; I. R. McDaniel; January 11 John Smeler; H. Dunnington; Spingly Hamlin; J. W. Bettygreed; J. D. Dicklin; Catherine Gatewoods; Samuel Miller; Charles Henderson; R. D. Moore; A. G. Cox; A. A. Henderson; A. W. Floyd; January 13 Samuel Miller; N. W. Floyd; Charles Henderson; Catherine Gatewood; I. I. Ficklin; John Smilon; January 14 Lucy Perkins; J. S. Campbell; Arthur Lewis; W. A. Leftwich; J. H. Adams; Jacob Merchant; January 16 W. A. Yingling; Sarah Wright; S. B. Poindexter; Seth Woodruff; S. W. Prince; H. H. Wallace; D. D. Poindexter; January 18 A. Ogden; Samuel Pryor; Thomas Longhorne; W. A. Mosbey; January 21 H. D. Flood; I. I. Davis; I. P. Hughes; January 23 William Leftwich; J. W. Burrows; J. W. Basnech; W. J. Parker; John Loury; January 27 William Owens; W. A. Payne; W. A. Hands; James Mucalfe; W. H. Crump; H. H. Lewis; Robert W. Brown; January 31 J. H. Moorman; K. D. Woodson; J. M. Rucker; February 8 Mrs. E. B. Wallace; J. P. Mitchell; F. A. Deaton; February 24 A. D. Stiff; C. J. Merryweather; March 15 W. B. Preston; March 18 John Mitchum; N. J. MCGunnis; W. A. Payne; April 1 Samuel Howlin; C. Wingfield; E. A. Gibbs; C. Dabrey; J. G. Morrison; A. J. Rucker; J. C. Slaughter; Paul C. Cavell; H. D. Flood; J. A. Huyson; W. W. Roberts; Hugh Nelson; Seth Halsy; S. Rutherford; Scott Hix; A. . Hancock; J. L. Schoolfield; S. C. Hush; J. A. Watkins; J. L. Arrington; S. C. Hugh; Samuel Payor; J. M. Ogden; April 4 S. A. Campbell; I. I. Reynolds; I. W. Sale; L. B. Harwick; William C. Perrow; William Daniel; S. D. Williams; I. N. Goron; E. W. Westers; I. W. Pettygrew; I. H. Stone; I. H. Chalmus; C. A. Floyd; W. N. Floyd; Thomas Moseley; P. H.

Ryan; D. D. Poindexter; H. L. Duggle; J. D. Poindexter; C. P. North; W. D. Christian; W. W. Crius; J. B. Oglesby; J. W. Callahan; M. M. Williams; April 11 J. M. Rucker; Thomas Jillis; John Goode; G. C. N. Wingfield; I. A. Dannes; John Smucker; I. W. Jennings; John Williams; P. W. Noell; W. C. Perrow; April 17 Robert Patterson; M. Langhorne; Daniel A. Langhorne; D. B. Joplins; I. L. Burts; I. S. Davis; I. E. Baze; R. B. Dumstall; C. K. Taylor; May 6 Thomas H. Flood; I. M. Watts; E. H. Epps; Rich Dennis; May 12 S. L. Moorman; William Hobson; May 13 Wesley Hugh; G. Abbot; I. O. Scott; T. T. Saunders; May 19 S. A. Patterson; S. S. Poindexter; May 30 H. A. Payne; A. C. Hill; June 2 I. C. Daliaferror; A. A. Legrand; P. D. Cofer; Samuel Pryor; Mrs. P. H. N. Connor; June 25 J. K. Knight; M. A. Church; Thomas Cork; June 30 G. C. N. Wingfield July 1 D. T. Mann; J. J. Reynolds; S. Garland; C. Dabey; Hugh Neilson; W. Kinklin; Samuel Nowlin; M. Langhorne; C. R. Slaughter; M. R. Slaughter; J. J. Cocke; A. Cohn; J. W. Pettyjohn; F. O. Thompkins; Thomas L. Arrington; J. S. Crockett; J. M. Gordon; H. S. Chatmore; P. L. Moorman; F. G. Morrison; S. A. Campbell; W. W. Floyd; B. A. Gibbs; P. H. Dillard (Patrick); H. D. Flood; Sammuel Rucker; J. W. McAlliter; July 5 S. D. Williamson; A. C. White; Charles Wingfield; I. A. Furguson; W. W. Leftwich; A. T. B. Rucker; Charles A. Floyd; John Saulser; John B. Dabney; John Manor; W. D. Tucker; I. W. Callahan; I. P. Yuell; Matilda M. Williams; I. L. Schoalfeld; A. G. Hancock; P. H. Ryan; William Daniel; I. L. Burts; R. A. Coghill; John A. Tanner July 11 J. E. Faliafor; W. C. Perrow; July 12 L. M. Agliobe; H. Dunnington; J. P. Hughes; Joseph B. Oglesby; S. P. Browning; Ges Abbott; I. H. Buford; M. S. Steffey; Nancy Legrand; A. Liftwich; I. H. Miller; I. C. Slaughter; July 15 I. M. Ogden; S. C. Hurt; I. S. Harris; A. A. Arthur; John Buford; R. P. Jenny; John Fuller Jr; R. M. Cocke; P. A. Talbot; July 21 R. C. Gary; G. W. Clements; John Williams; S. P. Poindexter; John T. Poindexter; David D. Poindexter; W. W. Roberts; Henry Cooper; I. W. Stuart; Susan A. Hobron; H. V. Thompson; I. E. Bayle; W. A. Thompson; Rick Dennis; Hector Harris; K. C. Hill; I. H. Adams; S. A. Patterson; July 26 M. L. Harris; L. B. Harwick; P. W. Hall; R. H. Teter; E. H. Withers; R. C. James; August 1 C. W. Gill; John Goode; H. A. Allen; H. H. Wallace; Thomas P. Topling; H. H. Wallace (Thornton); William Hobson; Asa Gill; R. H. Buckner; William D. Christian; I. A. Stigler; August 9 John R. McDaniel; E. H. Morris; S. H. Pierce; August 12 Paul Cabell; Thomas Mosseley; Henry L. Tuggle; August 15 I. E. Bayle; I. A. Hammer; E. W. Epps; Jas. A. Watkins; August 26 Seth Halsey; John Lowey; U. A. Isbell; September 1 James B. Scott; P. D. Cofer; A. McSmith; A. Pettyjohn; October 1 Charles Wingfield; J. J. Reynolds; J. A. Furgusan; Martha A. Chadle; Samuel Nowlin; W. H. Kinckle; James M. Rucker; W. H. Kinckle; W. H. Caruter; I. H. Pettigust; Samuel Pryor; C. Dabney; H. L. Tuggle; W. H. Roberts; I. W. McAllister; Thomas L. Arlington; F. O. Thompkins; I. N. Gordon; Hugh Nelson; P. C. Cabell; E. A. Gibbs; William Owen; S. D. Williamson; E. W. Withers; C. R. Slaughter; Mary R. Slaughter; October 14 Thomas Pellis; Henry Dunnington; A. McNesmith; R. P. Timmy; James H. Buford; R. R. Owens; John Smiler; J. R. Tunsley; Lucus A. Boalwight; October 17 D. D. Poindexter; R. A. Cophill; A. I. P. Rucker; George Abbott; James M. Ogden; Charles A. Floyd; R. W. and Mrs. N. Conner; October 24 John Warlingdon; W. W. Floyd; Wesley Wright; Sally W. Pierce; J. T. Poindexter; D. D. Poindexter; F. G. Morreson; S. Patterson; November 1 John F. Hix; J. A. Watkins; William A. Gillian; November 5 S. B. Poindexter; J. P. Hughes; A. V. H. Watts; November 8 H. V. Grompron; I. A. Adams; R. P. Patterson; H. H. Wallace (William); James M. Ogden (Reubin); W. H. Tilanz (Stephen); P. C. Cabell (Willis); Charles A. Floyd (Henry); John Woodson (Joseph); Charwell Dabney (Sullivan); R. A. Caghill (Charles); November 11 Paul Hull (James, Sam); Thomas Moseley (Archy); W. H. Jobelb (Wash); R. P. Jenny (James); G. A. Clement (John); J. R. Tinsley (Cain); John Minor (Barney, Minor); Henry L. Tuggle (Philip); John H. Smith (Jim); H. D. Akeers (Isaac); H. D. Floods (Brunella);

Thompson); William A. Gillian (Charles); F. O. Thompkins (Abraham); Mary D. Buchanan (James); Mary W. Buchanan (Henry, William); John B. Dabney (Sam); C. L. Mosbey (Merchant); G. D. Davis (Ambrose Booker, Stephen); I. E. Baf (Iaihua); I. S. Harris (Ned); Allen Ogden (Ned); I. A. Furguson (William); I. A. Stigler (Fosley); Charles Henderson (Henry); James I Hicklin (Henry, Bob, Jack, Titer, Henry; Charles) Mrs. Eliza Epps (I. Day); Thomas Moseley; November 26 W. W. Boher; John Buford, John Goode; William Leftwich; December 8 John H. Knight; December 11 Jane S. Nowlin; C. K. Taylor; Susan A. Hobon; December 16 R. C. Allen; Matilda M. Williams; B. W. Connor and Mrs. Nancy Conner (Willis, Jim, Dave); D. D. Minor (Philip); G. C. H. Wingfield; Thomas P. Jopling; December 22 I. L. Schoolfield (George); Robert Michell (Polk); W. H. Caruthers; R. R. Dunslall (Jacob); John Minor (Thornton); Henry D. Flood (Wesley); D. H. Hogue (Harry); I. H. Sweatman (Henry); B. W. Conner and Mrs. B. Conner (Jim, William); December 23 G. W. Clements; P. D. Cofer; Sarah Soretman; I. D. Soretman; S. Rutherford; Martha Cheadle; E. W. Clayton; Mrs. M. A. Cheadle (Peter); I. H. Hugh (Harry); Dr. Robert Patterson; I. B. Dabney; R. P. Hurney; Mary Hurb; I. M. Watts; C. A. Floyd; H. C. Hill; December 25 W. H. Kinckle; James Cambell; S. C. Hunt (Bob); D. B. Poindexter (Gabriel); H. Flood (Ambrose); I. B. Hoplin (Charles); I. B. Layne (Martin); E. M. Clapton (Seroanh); H. T. Minor (Phil); John Minor (Lewis); Sarah Stoetman (Joe); N. McGinnis (Sandy); Seth Halsey (Henry); R. R. Owens (Henry); B. W. and Mrs. N. Conner (Jim); Sam Miller (Grant); I. W. McAllister; John H. Smith (Jim); M. M. Williams (George); I. R. McDaniel (Henry); I. C. Saunders (Mason); D. Mann (William); Seth Halsey (Henry); I. S. Crockett (Spencer); I. D. Davis; I. R. Tinley (Cam); R. C. James (Harry); December 26 I. A. Stigler (Harley); W. W. Floyd; I. D. Davis (Booker); Charles Henderson (Henry); I. P. Hughes (Phil); I. B. Dabney (Emond); Henry D. Flood; I. H. Smith (John Keys); L. Mosby; W. B. Preston; December 29 Paul J. Davis; J. T. Davis; A. C. White; T. L. Arrington; J. H. Buford; John W. Wingfield; Mrs. E. W. Withers; I. A. Buchanan; F. A. Morrison; December 31 A. Pettyjohn; R. Herring; A. Wade; Thomas Moseley; Samuel A. Patterson; A. A. Legnan; S. A. Williams; R. P. Patterson; John Williams; Charles Wingfield; H. T. Minor; J. W. McAllister; Sarah Wade. W. I. Allen; Charles A. Floyd; John Goode (Martin); W. H. Kinkle (Mush); Robert Jeter (Ellen); December 31 John Smither (Morris); A. C. White (James, Edmond); I. B. Dabney (Sam); R. R. Duntall (John).

1863 - January 1 Nancy Lugrand; William Leftwich; H. B. Diane; F. L. Walker; S. B. Poindexter; I. A. Fanner; James M. Rucker; S. C. Hursh; I. N. Gordon; Seth Healey; Ian L. Harris; Charles A. Floyd; E. C. Hugua, I. I. Poindexter; D. K. Flood; John Goode; W. A. Gillian; R. W. and Mrs. N. Conner; D. D. Poindexter; I. Gordon; Dave S. Harris; John S. Poindexter; Charles A. Flood; Thomas H. Flood; John Goode; W. A. Gillian B. W. and Mrs. N. Conner; January 2 C. R. Slaughter; S. Garland; Mary R. Slaughter; P. H. Ryan; Hugh Nelson; Susan A. Hobon; William Daniel; S. P. Browning; A. V. H. Watts; I. I. Reynolds; H. H. Crevor; James M. Ogden; I. P. Yuill; D. A. Langhorne; W. W. Floyd; A. B. Nichols; D. I. Mann; A. Chon; H. O. Thompkins; John Minor; R. A. Coghill; I. W. Stuart; W. D. Christian; C. P. North; R. M. Cock; A. F. C. Rucker; Sarah Wright; James A. Hammer; C. Dabney; Henry L Tuggle January 3 I. H. Smith; John Smith; Henry L. Tuggle; S. C. Houry; R. P. Dumstalb; C. K. Taylor; P. K. Dillard; I. E. Bab; S. A. Campbell; M. M. Williams; E. W. Epps; K. D. Acore; A. M. Alapell; I. G. Bentley; B. B. Turtall; I. I. Goodloc; E. A. Gibbs; I. B. Dabney; Jaque P. Scott; Thomas McKuprey; A. M. Glapell; I. P. Bass January 6 S. C. Kurt; E. W. Morris; D. K. Kipue; W. W. Stickly; Sallie W. Pierce; William C. Perrow; R. K. Bucker; Charles Hendron; I. I. Hicklin; R. H. Buckner; D. H. Hape; January 7 John Woodson; Walter Perkus; W. W. Isbell; Sam S. Nowlin; John P. Hughes; M. D.

Harry; John M. Spud; M. L. Harry; W. W. Isbell; Jane S. Nowlin; S. Chalmer; Sam Pryor; A. G. Hognock; John Smiller; J. M. Robinson; George I. Cock; C. W. Gilf; January 10 D. D. Poindexter; Asa Gill; I. W. Pettygrew; David Poindexter; January 12 I. M. Watts; John Goode; E. W. Howley; James M. Watts; January 14 John Washington; Joshua P. Oglesby; I. L. Schoolful; I. I. Bayner; B. S. Hulter; S. Rutherford; R. Mitchell; H. V. Thompson; R. R. Owen; W. K. Trent; I. I. Saunders; R. R. Owen; A. Dearing; January 16 John L. Muffitt; C. L. Mosbey; Lucis A. Boatwright; I. D. Davis; I. R. Tusley; John Davis; William Leftwich; W. A. Gillian; L. W. Wingfield; W. I. Adams; January 17 P. C. Cabell; I. S. Crockett; C. H. Harris; January 21 R. H. Rucker; D. D. Jellis; Allison Ogden; E. M. Clayton; A. Leftwich; I. W. Callihan; January 23 I. C. Slaughter; W. W. Leftwich; Wesley Hugh; R. C. Gary; Wesley Wright; William Trent; John Lowy; I. A. Stiples; Henry A. Allen; I. A. Furguson; January 26 H. D. Flood; John Buford; Thomas Cooke; January 31 Robert C. James; Greg D. Davis; George D. Davis; R. C. James; February 2 A. C. White; I. K. Knight; John H. Knight; L. M. Oglesby; James A. Watkins; February 5 John P. Sale; W. H. Petts; A. McSmith; February 11 P. W. Burnes; B. H. Peter; P. W. Noell; John W. Burnett; George Abbott; February 19 Francis Deaton; March 2 D. W. Wingfield; E. A. Gibbs; March 9 H. I. McGunnis; R. D. Moore; March 14 I. E. Taliaferra; H. Dunington; March 18 W. A. Hardy; Samuel Miller; March 25 I. H. Adams; H. H. Wallace; John M. Wingfield; March 28 John Smelner; Mrs. M. A. Daniel; W. H. Kinkle; April 1 P. C. Cabell; I. P. Hughes; I. S. Baf; I. N. Goran; Charwell Dabney; Thomas W. Jenny; Martha Chisdle; I. H. Knyght; W. H. Hughes; Samuel Pryor; Bettie W. Nelson; Mary H. Fugu; W. H. Caruther; William Tucker; W. W. Leftwich; I. W. Wingfield; W. D. Tucker; April 3 Thomas D. Walthall; Henry Mapie; H. D. Flood; Seth Halsey; William Daniel; P. H. Ryan; R. B. Tunstaff (Talton); A. M. Glapue (Walker); W. H. Grey (William); April 9 W. W. Crisor; R. Gary; R. D. Dalton; Susan M. Thiftherd; Martha Tuggle; John Goode; W. H. Hughes; April 13 R. C. Sutton; I. B. Dabney; A. M. D. Smith; F. G. Morrison; I. R. McDaniel; John McDaniel; Samuel Miller; April 16 Sarah McFadden; William Leftwich; H. A. Legrand; James Saunders; R. H. Ward; S. M. Lynch; James Dearing; C. H. Lynch; April 18 R. H. Hard; S. M. Lynch; James Dearing; D. Shalton; H. C. Hill; P. H. Noell; C. P. North; H. C. Hill; April 22 I. P. Popling; John M. Wallace; Sam. Nowlin; E. S. Hutter; A. Harrison (James); April 25 L. P. Poindexter; John L. Poindexter; R. A. Coghill; P. H. Christian; Tim Minnis; A. Cohn; Mrs. E. W. Withers; Lucas A. Boatwright; M. Langhorne; M. M. Williams; May 1 Thomas H. Flood; I. C. Taylor; James W. Stuart; Robert Mitchell; Seth Woodruff; I. R. McDaniel; May 7 I. M. Ogden; Mrs. M. Hurt; R. W. Crenshaw; May 19 Hector Harris; W. J. Walthall; H. H. Arthur; Stephen A. Fare; July 1 Susan A. Campbilt; I. S. Bap; Mrs. E. Withers; Mrs. M. A. Daniel; W. W. Kinkle; John Kilmer; C. Dabney; I. N. Gordon; D. H. Miller; Hugh Nelson; G. B. Wallace; July 9 I. M. Odgen; P. H. Christian; Thomas K. Floyd; Charles Carter; D. A. Langhorne; July 11 A. McSmith; C. Hundon; Paul Cabell; Samuel Nowlin; Jim Minis; G. H. Watson; Seth Heasley; I. S. Langhorne; July 14 A. A. Legrand; A. C. Hearson; Henry Mapin; A. C. Harrison; M. M. Fellias; A. H. Yancy; John I. Hinn; I. B. Doplin; July 17 John P. Hughes; July 18 John McAllister; William Daniel; P. H. Ryan; Marshall Harrison; John Goode; I. B. Dance; Lucas A. Boatwright; July 25 I. M. Wallace; C. P. North; I. H. Stone; Martha Tuggle; S. Tuntoll; July 31 W. Hobson; August 3 Michael Wallace; August 10 P. E. Bab; John Harrington; I. O. Washington; I. W. Sale; August 19 David I. Mann; Sallie Wright; Robert Mitchell; August 26 Ellen Thompson; John B. Dabney; R. B. Tuntall (Jim); I. F. Smitman; Sarah Smitman; September 1 William Owen; John Mitchell; George Ground; G. W. Ground; September 8 I. Pettelf; I. Maupin; David Wyant; T. E. Loury; Charles Lunford; September 14 William Leftwich; I. W. Callahan; September 30 A. K. Yancy; George W. Dillard; John Minor; October 1 F. G. Morrison; W. H. Kinkle; Mrs. M. A. Daniel; Charles Scott; Samuel Pryor;

Samuel Nowlin; I. M. McAllister; Seth Halsey; R. P. Patterson; I. N. Gordon; October 9 A. McSmith; D. E. Lowry; Martha Tuggle; Hugh Nelson; A. L. Stiff; C. Dabney; Paul C. Cabell; I. S. Bap. W. W. Leftwich; A. Cohn; D. A. Langhorne; I. W. Stuart; Mrs. Mary Hurt; R. W. Crenshaw; S. C. Hurt; October 21 John B. Dabney; John P. Hughes; William Tucker; Judith B. Cardwell; William Owen; Henry D. Flood; P. O. Thompkins; W. C. Perrow; W. H. Hughes; Mrs. Mary w. Fuqua; Billies W. Wilson; R. M. Cock; I. W. Wingfield; John Goode; F. B. Dean (Matt); C. P. North; Daniel Shalton; W. W. Crevor; I. R. McDaniel; October 22 Nancy Legrand; James Harris; A. Wade; October 27 James M. Odgen; I. W. Sale; P. W. North; S. W. Isbell; George W. Watson; I. Berry; November 6 W. B. Byars; D. B. Dopkins; R. H. Bucknor; R. H. Coghill; W. Leftwich; November 11 W. L. Wyantt (Waller); R. M. Coke (Micayah); M. Allen (William); D. E. Caldwell (Steve); C. M. Garnett (Dick); November 16 W. H. Kinkle (Nelson); Rich Dennis (Clem); November 23 Paul Hulb; John Smelson; November 25 M. M. Williams; Charles H. McCurdy; I. W. McCurdy; John W. McCurdy; J. E. Caldwell; Stephen H. Hove; Mrs. A. E. Dangerfield (John, Tom, Dick); John Smiler (Morris); Mrs. Mary Hush (Newton); I. S. Langhorne (Ralph); December 5 Henry Map; I. M. Wallace; James W. Callahan; December 9 I. M. Gayle; Stokes Tuntal; I. I. Saunders; A. Wayland; I. Woodson; December 17 Charles Carter (Barney); William Leftwich (Plinnant); Samuel Hobson (Edum); William Hoben (Jeff, Wyatt); Tucker Woodson (Randall); S. R. Tuggle (Berry); Mrs. A. E. Dangerfield; I. S. Langhorne (Ralph); S. A. Fore (Isaac); I. Maupin; Charles Henderson (William); Mrs. L. Allen (Peter); D. D. Mann (William); A. C. Harrison (Farley); I. W. Isbell (Wiatt); Wesley Wright (John); R. C. Gary (Richard); Sarah Wade (Geanville); D. D. Fricklin (Charles); D. James (William); December 25 James Stewart; R. Dalton; R. C. Gary; L. Oglesby; R. C. Gary; John B. Dabney (William); I. E. Caldwell; H. Gunst (John); E. W. Withers (George); H. D. Flood (Dereck); R. R. Tuntall (Darliton); S. B. Poindexter (Andrew); P. C. Cabell (Royal); I. I. Saunders (Rubin); W. H. Cuark (Emanuel); Dr. W. Owen; A. Hill Brown; Susan A. Campbell; Depres Shiffey; Rob Mitchell (Polk); H. D. Luckett (Bill); Laurind Henderson (Moes); I. L. Brown (George); W. W. Leftwich; H. I. Minor (Phil); R. M. Cox (Macagah); Sam Miller; William Tucker (Creed); James Harris (Ken); S. C. He (Ian); R. B. Tuntall (John); John H. Knight (Mat); E. M. Clayton (Henry); F. A. and G. B. Austin (Gilbert); William Kent (Randal); F. G. Morrison (Ned); R. A. Coghill (John); G. B. Wallace (Willis); D. H. Hoge (Jim, Bob); D. H. Hoge (Ian, Finney); John I. Winn (Ben); G. B. Wallace (Iac, Henry); John B. Dabney (Ned); John H. Smith (Jim); E. M. Clayton (Nelson); I. I. Fricklin (Sites); Seth Healey; Mrs. Sarah Wright (Green); Sam Nowlin (Peter); H. C. Minor (William); W. C. Perrow (John); M. M. Williams (Geo); Seth Halney (Henry); I. M. Gayle; December 29 R. S. Booton; H. L. Stiff; I. F. Smitman (Henry); Mrs. Mary W. Furgua (William); A. M. Clapell (Cyrus); G. B. Wallace (Ben); Miles C. Leftwich; R. H. Rucker; Robert Mitchell; E. B. Wallace; I. W. Berry; Susan A. Hobson; Thomas Cox (pp. 490)

No names of owners or slaves: January 31, 1861.

Journal, 1860 – 1864

1860 – November 9 C. E. Tuques; Nancy Edger; J. C. Slaughter; F. J. Ballard; November 13 John Stone; L. J. Gordon; W. Waller; W. W. Hanson; November 16 J. W. Sandris; H. H. Wallace; November 22 R. O. Doh; A. C. Hanson; J. H. Davidson; November 27 C. H. Leftwich; Charles Aunspaugh; November 29 S. Crockett; James Hammer; December 3 J. C. Shearer; December 5 R. W. Conner; December 8 H. V. Thompson; December 12 Susan Spiller; December 13 William Daniel; December 18 John Davis (Daniel); W. Leftwich (Micajah, Hanibal); William F. Lucads (Henry); James Metcalf (Carter); M. E. B. Wallace

(Emanuel); Elizabeth R. Holloway (Wellis); E. D. Feazer (Mat); A. E. Christian (Spencer); Robert Tinsley (Dick); James Franklin (John); William Preston (Jerry); Rich Taliaferra (Miles, Charles); Marshal Harris (Jim); Henry D. Flood (Anderson, Washington); Margaret Gaddir (John); John J. Moorman (Jacob); James Campbell (Albert, Westley, Edward, Isaac, Stephen); W. C. M. Ghee (Henry); Dr. J. S. Tendleton (William); Charles A. Floyd (Jordan); Nancy Edgar (Davy); C. E. Webb (Daniel); Robert Gibany; Sally M. Lynch; James C. Slaughter (Mason); Ann E. Dangerfield (Jam, Bob, Dick); George P. Luck (Henry); R. W. Conner (Wyatt); John Goode (Buck, Aaron, Martin, Booker, Zack); W. A. Hardy (Henry); Joseph Wilson (William, Briston, Philip, Frederick, Sam); Sam Miller (Jepee); J. J. Blanton; John Deckerson; December 21 I. H. Wilson (William); W. A. Gilliam; R. A. Coghill (Moses, Alexander); T. T. Saunders (Robert); I. W. Wilson (Sam, Frederick); Seth Haley (Henry, Bob); Washington Hunter (Tom); John Dickerson (Smith); William Leftwich (Jim, William, Hanibal, Jac); J. J. Saunders; James Saunders (Tom); John R. Daniel (Henry); Thomas Trunt (Stephen); Robert Geboning (Hays); December 22 William Hunt (Randal); Thomas Buah (Ben); H. H. Wallace (Pampey); F. G. Morreson (Ned); W. B. Preston (Bob); I. S. Pendleton (Moses); M. R. Hurt (Peter, Tom); John Kay (Tharnton); December 25 G. A. Saunders (Ben, Stephen); W. Waller (George); Mrs. A. M. Saunders (Frank); G. B. Wallace (William, Richard); December 27 J. M. Odgen; J. L. Walker; William Gibboney (Jac); R. A. Hurst (Tom); Kirkwood Otey (Sawney); E. S. Hutter (Bob); N. L. McGinnis (Balis, Sandy, Chat); December 29 T. E. Reynolds; J. D. Pierce; Marc Murrell

No names of owners or slaves: December 3; December 18; December 21; December 22

1861 – January 1 R. C. Gary (John, Dick); R. C. L. Moncuro (Henry, James); John Deckerson (Henry); C. E. Webb (Daniel); W. Waller (Charles); T. Tunt (Stephen); T. Moseley (Archy); H. F. Bocock (Nixon, Jac); R. O. Doj (Bob, Randal, Tom); Col. James A. Hammer (Momot); Daniel Stratton (Patrick); Mrs. E. D. Frazier (Madison); C. P. North (Henry); H. D. Flood; I. B. Cruso (Charles); James N. Gordon (John, Jim); . . A. Bagbio (Ben); W. A. Leftwich (Sam); D. F. Patterson (Jepes); G. B. Wallace (Henry); Paul Hull (Sam, Jim); I. I. Blanton (Mitchell, Cooper); Robb Tensly (Coleman, Nat, Peter); R. A. Lee; R. Perkins; W. Hunters; J. M. White; J. Metcalf; C. A. Floyd; J. S. Pendleton; January 2 L. A. Bogbie; C. E. Webb; Thomas Trent; James A. Hammer; I. L. Stiff; I. D. Watthall; I. H. Burns; I. A. Gordon; Clifton Webb; Nancy Conner; James Metcalf; I. S. Pendleton; Thomas Tunt; C. A. Floyd; C. E. Webb; F. S. Thomas; J. M. Cobb; January 3 John N. Jane; J. J. Cocke; A. Nude; S. M. Shepherd; Susan Spiller; W. W. Hanson; Dr. John Minor; Rich Dearing; Seth Halsey; Charles W. Christian; J. M. Cobbs; I. M. Patterson; I. M. Nelson; I. W. Jones; D. Couch; I. T. Hughes; William Tucker; Thomas Mosley; M. L. Harris; John Stone; L. F. Gordon; M. L. Harris; January 5 E. G. Hill; A. B. White; I. B. Layne; I. I. Switman; I. W. Jones; James Franklin; I. I. Blanton; I. L. Steptoe; Withers Wallace; John Goode; R. C. Gary; Thomas Wallace; W. E. Patterson; Robert Tinsley; January 7 W. D. Tucker; D. A. Langhorne; W. O. Owen; C. F. Harris; A. E. Dangerfield; A. A. Hudson; John Dickson; H. V. Thompson; John Guy; Paul C. Cabell; R. I. Burney; F. L. Ballard; P. H. Gilmer; A. M. D. Smith; Dr. Charles Wright; Maria Wright; January 8 F. G. Morrison; Joseph Crevos; I. I. Saunders; P. Noell; Geo P. Luck; January 9 Paul Hill; I. W. Barnett; William Daniel; C. Dabney; Martha Puggle; E. M. Clayton; Paul Hull; January 10 C. S. Mosby; W. A. Gillian; John S. Cauldwill; Kirkwood W. Otey; Hector Harris; January 11 J. C. Shearus; January 14 E. D. Frazer; D. W. Price; I. F. Gordon; January 15 L. F. Johnson; Elijah Heally; Nancy Edgar; John Hancock; January 16 C. M. Lynch; R. M. Tuliaferro; January 18 D. Stratton; G. P. Locke; I. R. McDaniel; January 19; A. C. Harrison; January 22 I. H. Stone;

January 24 W. B. Preston; January 25 W. W. Stickley; I. Monchard; I. C. Slaughter; January 28 J. H. Buford; Sam Newton; H. F. Bocock; January 30 E. Wooduff; February 1 P. Smith; February 4 S. L. Dearing; Sally M. Lynch; February 6 M. N. Buchanan; G. B. Wallace; R. L. Woodson; John Bodkin; I. I. Kegley; A. Thompkins; Johnson Fitzhugh; H. H. Wallace; E. M. Garock; E. B. Halloway; William Gibboney; February 11 Alex Wade; J. J. Moorman; February 14 H. Cunningham; R. W. Conner; February 19 M. Gaddie; February 20 J. H. Smith; February 22 W. F. Luckady; February 26 A. Pettyjohn; Robert Coghill (Henry); J. B. Wallace (Pat); March 1 I. H. Davidson; William Kent; March 13 W. A. Hardy; G. A. Saunders; March 29 William Tucker; W. W. Roberts; April 1 A. C. White; April 2 T. D. Watthall; F. B. Dear; Wyndham Roberts; M. L. Hardy; I. W. Callahan; I. I. Burke; C. O. Willis; April 4 Mary R. Willy; James B. Taylor; James P. Scott; April 6 A. A. Hudson; D. G. Hedgman; I. W. Utz; April 8 I. W. Wilson; William Owen; April 13 A. B. White; I. Merchant; S. D. Rice; April 17 W. C. Perrow; April 24 Catherine Gatewood; May 2 Elsey Burke; John T. Davis; T. D. Watthall; July 2 M. L. Harry; Samuel Garland; Emma Crenshaw; July 5 William Daniel; July 8 Jane Ficklin; F. B. Dean; July 12 Lucy Derkins; July 20 G. W. Grounds; W. C. Perno; July 25 W. A. Payne; August 1 P. F. Camden; August 13 P. B. Tunstell; P. H. Gilmore; September 2 Rich Dearing; September 20 R. D. Moore; October 1 A. G. Cox; October 3 C. Gatewood; October 17 P. D. Cofer; November 11 David Pointdexter (Gabriel); I. I. Saunders (Henry); John W. Barnett (Doc); James C. Slaughter (Mason); November 22 Samuel D. Rico (Booker); H. W. Hill (Randolph); John Guy (George); December 9 G. B. Wallace (Alex); Charles Henderson (Jerry); C. A. Floyd (James); Seth Halsey (Henry, Winston, Rilly, Bill); C. A. Floyd (Jim, Joshua); William Leftwich (Cagy, Jac, David, Bob, John, Isaac, Edmond, Stephen, Charles); James A. Buchannan (James); December 10 A. M. Pennings (James); Thomas N. Langhorne (Lewis); December 14 John Coats (Abram); December 16 Mrs. Jane Pecklin (Henry); Sally M. Lynch (Daniel); John S. Bah (Jordan, Steve); John Minor (John, Thornton); J. K. Jones (Riland); Charles Henderson (Caster); R. A. Coghill (John, Bill); December 17 N. W. Floyd (Dick); I. F. Camden (Caliborne); H. D. Flood (Ed, Peter, Dick, Wesley, Lewis); Paul C. Cabell (Tom); Archy Wade (Peter); I. H. Miller (Dan); W. Tucker (Frederick); James L. Campbell (Albert, Wesley); E. B. Wallace (Emanuel); R. Charles Wingfield (Clifton); W. H. Trunt (Stephen); Catherine Gatewood (Nennor); I. I. Burks (Henry); Richard Dearing (Fountam); D. C. Dunn (John, Adam); Michael Wallace (Ewing, Henderson); December 21 Seth Halsey (Vince); R. W. Withers (Flemming); John Dickerson (Calvin, Bob, Smith); R. B. Tunstall (John); T. Smiler (Morris); James Stewart (Claiborne); December 26 John H. Smith (James); Wyndham Robinson (Gilbert); John B. McDaniel (Harry); J. S. Caldwell (Henry); Samuel Miller (King, Rice); N. I. McGinnis (Dan, Penn); I. B. Layne (Martin); December 30 J. C. Walker (Bondurach); J. J. Flicklin (Henry); H. D. Flood (Brunella); A. Boutwell (Warner); J. D. Pierce (Joe); W. C. Perrow (Luke); A. C. White (William); W. W. Roberts (William); Beny Williams (Jacob); M. O. Buchanan (Jack, Wren); R. Tinsly (Abner, Peter, Nat); December 31 H. W. Hill (Randolph); James Franklin (John); John Guy (William, George); I. H. Stone (George)

No names of owners or slaves: January 1; January 2; January 3; January 5; January 7; January 9; January 10; January 11; January 15; January 18; January 19; January 25; January 28; January 30; February 4; February 6; February 11; February 14; February 20; February 22; February 26; March 5; March 13; March 29; April 1; April 2; April 4; April 6; April 8; April 13; April 22; April 24; April 30; May 1; May 6; May 8; May 13; May 16; May 20; May 24; May 29; June 1; June 4; June 22; June 29; July 1; July 2; July 3; July 5; July 8; July 10; July 12; July 17; July 18; July 20; July 27; July 31; August 1; August 5; August 8; August 15;

August 26; August 31; September 2; September 6; September 11; September 18; September 20; October 1; October 2; October 3; October 4; October 5; October 9; October 11; October 12; October 15; October 17; October 19; October 23; October 26; October 30; November 11; November 14; November 15; November 19; November 22; November 26; December 9; December 10; December 16; December 17; December 21; December 25; December 26; December 30; December 31

1862 – January 2 I. B. Layne; Ann E. Eubanks; January 3 C. F. Harry; A. M. Purning; J. A. Watkins; January 4 Paul C. Caleb; Alice Murrell; M. M. Williams; January 7 H. B. Bucker; Sam Garland; January 10 H. V. Thompson; January 14 Henry Dunnington; January 16 W. F. Yingling; January 18 John Smelson; Lucy Pirkins; W. M. Mcoy; January 24 I. W. Basnett; January 28 William Owen; W. A. Payne; W. A. Cump; H. H. Lewis; January 30 R. W. Brown; February 1 H. G. Mglue; A. M. Glapell; April 7 M. Ruark; April 12 J. H. DeJarnett; April 16 J. B. Jopling; April 19 A. Cohn; June 2 A. A. Legrand; July 1 I. Yonce; July 3 S. O. Yewell; August 1 N. N. Floyd (Dick, Jack); August 21; S. Butterford; L. Poatoright; W. E. Eakin; November 10 H. H. Wallace (William); James M. Ogden (Baubin); W. H. Trent (Stephen); P. C. Cabett (Willis); Charles A. Floyd (Henry); John Woodson (Pauph); C. Dabney (Sullivan, Sam); R. A. Coghill (Charles); Paul Hull (James, Sam); Thomas Mosley (Archy); W. N. Isbell (Nash); R. P. Jenny (James); G. W. Clement (John); J. R. Tinsley (Cain); John Minor (Barney); Henry L. Tuggle (Philip); John H. Smith (Jim); H. D. Akee (Isaac); H. D. Flood (Brunella, Thompson); W. A. Gillian (Charles); F. O. Thompkins (Abraham); Mary D. Buchannan (James, Henry, William); George D. Davis (Ambrose, Booker, Steve); I. E. Bale (Joshua); J. S. Harris (Ned); Allen Ogden (Jodran); I. A. Fingerson (William); Tammy A. Stipler (Farley); Charles Henderson (Henry); Jim I. Finkle (Henry, Bob, Jack, Charles); Robert Peter (Elem); R. A. Rucker (Moses, Giles); W. A. Houff (Lewis); A. Cohn (Charles); John C. Washington (William); December 16 R. C. Sutton (Sam); December 20 I. L. Schoolguild (George); R. Mitchell (Polk)

No names of owners or slaves: January 2; January 3; January 4; January 7; January 8; January 10; January 14; January 15; January 16; January 18; January 20; January 24; January 28; January 30; January 31; February 1; February 4; February 8; February 11; February 13; February 17; February 19; February 22; February 28; March 14; March 17; March 18; March 25; March 28; March 31; April 1; April 3; April 7; April 9; April 11; April 12; April 16; April 19; April 21; April 24; April 28; April 29; May 1; May 5; May 8; May 10; May 12; May 13; May 14; May 16; May 19; May 21; June 2; June 16; June 27; July 1; July 3; July 8; July 14; July 16; July 19; July 28; July 31; August 1; August 9; August 12; August 15; August 21; August 27; August 30; September 1; September 6; September 14; September 19; September 30; October 1; October 3; October 7; October 13; October 17; October 21; October 24; October 29; October 31; November 1; November 4; November 8; November 10; November 11; November 13; November 15; November 17; November 22; November 27; December 1; December 6; December 16; November 20; December 23; December 25; December 26; December 29; December 31

1863 – January 2 C. P. Hort; March 14 Henry Dunnington; November 2 Mrs. E. H. Withers

No names of owners or slaves: January 1; January 2; January 6; January 9; January 13; January 16; January 19; January 23; January 30; February 16; February 20; February 24; February 28; March 4; March 11; March 20; March 31; April 3; April 10; April 15; April 20; May 6; May 9; May 23; June 6; June 13; June 20; July 1; July 7; July 13; July 17; July 24; July 31; August 5; August 11; August 17; August 25; September 1; September 11; September

14; September 19; September 30; October 9; October 24; October 27; November 6;
November 19; November 28; December 1

1864 – January 2 F. A. Deaton (Henry); Rich Dennis (Cole, Alex); Daniel Hage (Dennis); H.
D. Flood (Edmond); January 23 C. E. Gary (Richard); January 25 William Kent (Randal);
January 28 L. Stiff (Allen); March 18 Laura Anderson; March 28 J. W. Isabell

No names of owners or slaves: January 1; January 5; January 7; January 12; January 15;
January 19; January 23; January 28; January 29 January 31; February 9; February 17;
February 29; March 18; March 28; March 31

Journal, 1861-1864

July 1, 1861 – January 1862: W. Wingfield to Francis J. Morrison for negro hire.

No names of slaves or owners or slaves: March, July, May, September 1863; January 1864.

Journal, 1861-1864

1861 - July 1 John W. Wingfield; John P. Hurley; John Muse

No names of owners or slaves: August 31, September 3; September 26; October 12; October
28; November 1; December 31

1862- A. Pettyjohn; James C. Deyule; Mayo David; William B. Byrnes; David Graham; John
Wygall; Henry Clark; William P. Bilbo; T. B. Lane; James Broady; Hayman L. Lange; John
June; Isaac Harrison; Thomas W. Daist; John W. Holt; James W. Williams; E. H. Erons;
Joseph Corrism; Solomon Buck; Andrew J. Deyanlo; Joseph James; Joshua P. Davidson; H.
H. Chitton; October 1 Richard H. Larry; Joseph H. Bendolph; Smith J. Herwood; John B.
Davis; John H. Phelps

No names of owners or slaves: January 1; January 31; February 28; March 31; June 30; July
1; October 1

1863 – January 1 H. H. Stickley; W. W. Robberts; Charles Wingfield; October 16 Richard P.
Gillian; Robert A. Paid; Mason H. Jarench; John P. Steptoe; William F. Lowns; December 11
Benjamin P. Browning

No names of owners or slaves: January 1; March 31; April 30; May 30; June 30; October 16;
December 11

1864 – January 11 Charles A. Floyd; May 31 Robert O. Brayson; Carlisle Henderson;
Rhodes Hilton; Thomas D. Jellis; Milton M. Lowry;

No names of owners or slaves: June 30

Journal, 1862 – 1868

December 31, 1864: James L. Campbell (Wesley, Isaac, Stephen); M. L. Harris; James
Johnson.

Journal No. 8, July 2, 1864 – June 30, 1867

No names of slaves.

Transactions from Negro Hire Account:

1864 - July 2 M. C. James Jr.; John W. Wingfield; Francis B. Deane Jr.; Justin K. Moore; James M. Kelp; Williams and Sowers; Johnston and Cassell; Williamd J. Swanson; Richard W. Robinson; William T. Granny; Samuel T. Williams; August 11 Saloman Herman; James Mearring; Richard W. Robinson; August 11 Colin Baseport; Beukin B. Davis; James C. Saughten; August 23 John W. Wingfield; Buefor Kent; B. S. Tinkle to John S. Hickey; October 28 Cobbs Mellingrice; M. B. Somey.

1865 - January 31 Clayton S. Filler.

Ledger B, April 1864 – May 1868

No names of slaves. Combined entries for amounts paid to named owners and aggregate amounts paid.

April 6-8 George W. Clement; 9, 11, 12 James M. Williams; 13, 14, 18 Francis B. Winston; 19, 20, 21, 23 Martin Hollins; 25 J. McDearman; 26; May 2, 4, 5, J.B. Howlin; 6, 7, 10, 13 J.W. Pettygrew; 18 Edward Scott; 30 A.C. Harrison; June 4, 6 P.E. Caldwell; 9 J.B. McClung; 10 W.A. Hardy; 11, 27, 30; July 1, 2, 4-7 R. T. Saunders; 8 John R. McDaniel; 9, 11-16, 18, 22, 23 Ann M. Saunders; 25, 27-30; August 2-4 Jim Johnston; 5 Davis Poindexter; 6, 8, 10, 12, 15, 16, 18, 19, 22 T. Hardy; 27 William Perrow); 29; September 1 Thomas P. Mitchell; 8, 10, 20 James Campbell; 22, 23; October 1, 3-8, 11-13, 15, 17-19, 21, 22, 25, 28; November 1, 5, 9-11, 14 Ann E. Dangerfield; 18, 22 Nancy L. Jones; 24, 25, 29 A.G. Harrison; December 1-3 T. Dodamead; 7, 12-15, 19, 20, 26-28, 1864; January 2-7, 9-14, 16-21, 23, 25; February 6 J. D. Williamson; 11, 13, 17, 18, 20-24, 28; March 13 J.R. McDaniel; 20, 21, 23, 30, 1865; May 8, 1865 R. Pollard.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Norfolk Southern Corporation

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party:

Three Commercial Place

Norfolk, Virginia 23510

C. Telephone: (757) 629-2836 Fax: (757) 629-2607 Email: Thomas.Ambler@nscorp.com

D. Name of contact person: Thomas W. Ambler

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Vacation of streets and alleys in the vicinity of 58th Street and Normal Avenue

G. Which City agency or department is requesting this EDS? Department of Transportation

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|--|--|
| ☐ Person | ☐ Limited liability company |
| ☒ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Virginia

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Charles W. Moorman	Director, Chairman & Chief Executive Officer
James A. Squires	President and Director
Mark D. Manion	Executive Vice President & Chief Operating Officer
Deborah H. Butler	Executive Vice President Planning & Chief Information Officer

(*Continued on Attachment II.B.1.a)

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
None		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N.A.

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
--	------------------	--	--

N.A.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☒ No

☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

A number of the questions in the City of Chicago's Economic Disclosure Statement and Affidavit (the "EDS Statement")

appear intended to solicit information from smaller organizations where all of the knowledge of that organization's

activities resides among a handful of people rather than to a large publicly-traded corporation like Norfolk Southern

Corporation ("NSC"), which owns Norfolk Southern Railway Company ("NSR," and together with NSC and NSC's other subsidiaries, "Norfolk Southern") . . . (*Continued on Attachment V.B.7)

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

David Martin Slavina (former employee of Chicago)

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

____ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

☒ 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

Note: Records on this list have references to slave labor. Owners' names are listed with slaves' names when given following in parenthesis. Where no names are given, those records with references to hiring provisioning or medical services for slaves are still noted. All surviving records of antecedent companies in the possession of Norfolk Southern Corporation for the period prior to 1866 have been searched; only those records with references to slave labor have been included in this list . . . ("Continued on Attachment V.E.2.)

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N.A.

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Norfolk Southern Corporation

(Print or type name of Disclosing Party)

By: _____

(Sign here)

James A. Hixon

(Print or type name of person signing)

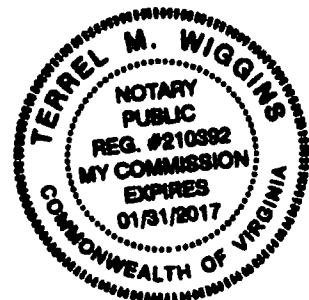
Executive Vice President-Law and Corporate Relations

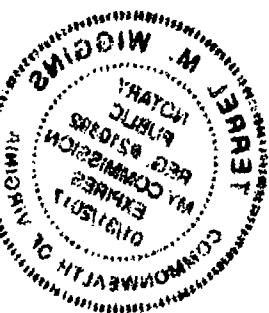
(Print or type title of person signing)

Signed and sworn to before me on (date) 7-11-14,
at Norfolk County, VA (state).

Terrel M. Wiggins Notary Public.

Commission expires: 1-31-17





**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

ATTACHMENT II.B.1.a

Cynthia C. Earhart	Executive Vice President - Administration
James A. Hixon	Executive Vice President – Law and Corporate Relations
Donald W. Seale	Executive Vice President and Chief Marketing Officer
Marta R. Stewart	Executive Vice President and Chief Financial Officer
Denise W. Hutson	Corporate Secretary
Thomas D. Bell, Jr.	Director
Erskine B. Bowles	Director
Robert A. Bradway	Director
Wesley G. Bush	Director
Daniel A. Carp	Director
Karen N. Horn	Director
Burton M. Joyce	Director
Steven F. Leer	Director
Michael D. Lockhart	Director
Amy E. Miles	Director
Martin H. Nesbitt	Director
John R. Thompson	Director

ATTACHMENT V.B.7

Norfolk Southern has thousands of employees and business operations in 22 states and the District of Columbia. NSR along with its consolidated rail subsidiaries ("NS Rail") is engaged primarily in the transportation of freight by rail. NS Rail operates approximately 21,000 miles, primarily in the east and Midwest, including approximately 861 miles in Illinois and 36 in Chicago. NSR and NSC used their best efforts to gather as much of the requested information as reasonably possible and without undue effort and expense, but, because of their large size, all responses given in all of the EDS Statement are only to the best of the reasonable knowledge of NSC and NSR, are at the risk of not having knowledge of certain information, and the executive officer signing the EDS Statement does so based on actual knowledge or information supplied to that executive officer. Due to the scope of its operations and the number of employees and contractors, Norfolk Southern makes no representations as to parties other than NSR, NSC and their respective executive officers and directors. Additional information about Norfolk Southern Corporation can be found at the website, www.nscorp.com, including its Annual Report for the fiscal year ended December 31, 2012, and at NSC's filings with the U.S. Securities and Exchange Commission ("US SEC"), where are found at <http://www.sec.gov>.

The following two paragraphs are responsive to Certification V.B.1.e. on page 5

Norfolk Southern is subject to extensive applicable federal, state, and local environmental laws and regulations. Norfolk Southern is, from time to time, investigated by these and other regulatory agencies in the ordinary course of business. Norfolk Southern cooperates with all government agency investigations. Due to the scope of its operations and the number of employees, however, Norfolk Southern cannot provide information regarding all such investigations without undue effort or expense, if at all. The list of certain investigations found attached in Table V.B.1.e is that information that Norfolk Southern was able to identify without undue effort or expense.

In addition to the regulation described in the preceding paragraph, Norfolk Southern is also subject to commercial regulation by the United States Surface Transportation Board, and its operations are regulated extensively by the United States Department of Transportation, including the Federal Railroad Administration, and the Department of Homeland Security and the Operational Safety and Health Administration, some of which in some cases has a preemptive effect. In addition, Norfolk Southern's operations are subject to extensive federal, state, and local environmental, labor and employment and other laws and regulations. Norfolk Southern is, from time to time, alleged to be in violation of administrative, statutory or regulatory provisions in the ordinary course of business. Norfolk Southern cooperates with all government agencies in seeking to resolve such alleged violations. Due to the scope of its operations and the number of employees, Norfolk Southern cannot provide detailed information regarding all such violations without undue effort or expense, if at all.

However, in addition to that material information which may be found at the noted US SEC website, also attached in Table V.B.1.e is that information which can be secured without undue effort or expense.

TABLE V.B.1.e

Part 1

1. In April, 2008, the United States Department of Justice filed suit against Norfolk Southern Railway Company seeking civil penalties and injunctive relief under the Clean Water Act and the Comprehensive Environmental Response, Compensation and Liability Act, related to the Jan. 6, 2005 derailment in Graniteville, S.C. and associated release of chlorine into the atmosphere. In April, 2010, the parties reached a consent decree settling the lawsuit, subject to court approval. In the consent decree, Norfolk Southern agreed to pay a civil penalty of \$4 million, to undertake emergency response training and undertake a supplemental environmental project. There is no admission of liability.
2. Norfolk Southern was sued by the State of Illinois in connection with a derailment that was caused when a Union Pacific train improperly entered an interlocking and struck a Norfolk Southern train in Momence, Illinois on November 24, 2005. As a result of the collision, diesel fuel was released from the locomotives of both trains and certain limited amounts of other hazardous and non-hazardous materials were released from rail cars. The State of Illinois sued both Norfolk Southern and Union Pacific alleging various violations of environmental laws as a result of these releases and demanding penalties and other relief. This case was settled for a non-penalty payment of \$9,900 in January, 2010.
3. On August 20, 2009, Norfolk Southern entered into two Consent Agreements with U.S. EPA Region 4, resolving two alleged violations of the Clean Water Act associated with two separate releases of fuel oil locomotive fuel tanks which were accidentally breached by a master shoe retarder in Norris Yard, Irondale, Alabama. Norfolk Southern paid a total of \$9,000 in penalties in these two dockets.
4. The United States Coast Guard issued a Notice of Violation in connection with the failure of a float level control switch at a waste water treatment plant pumping station that released approximately 500 gallons of oil and resulted in a light sheen on the Ohio River on December 18, 2009. An immediate response was undertaken to the release and the control switch was repaired. The Coast Guard's NOV assessed a \$1,500 penalty, which was paid.
5. The United States Coast Guard issued a Notice of Violation in connection with the release of diesel fuel from a valve on a loading dock that created a sheen on the Ohio River on March 2, 2010. An immediate response to the release was undertaken. The Coast Guard's NOV assessed a \$500 penalty, which was paid.
6. In late August 2010, as a result of an inspection by the Pipeline and Hazardous Materials Safety Administration, Norfolk Southern received a Notice of Probable Violation, Civil Penalty Assessment and Proposed Compliance Order (NOV) for alleged violations related to the operation and maintenance of a fuel pipeline in Macon, GA, including alleged recordkeeping deficiencies. A penalty of \$98,600 was paid on July 19, 2011 pursuant to a Final Order. Two additional Notices of Probable Violation and a Notice of Amendment were received in February 2011. On August 18, 2011 an additional penalty of \$77,500 was paid pursuant to a Final Order. Norfolk Southern has since retained a third party operator for this pipeline, thus resolving the alleged deficiencies.
8. In late June, 2010, the State of Ohio filed suit against Norfolk Southern alleging certain violations of state water laws at Norfolk Southern's coal transloading facility in Ashtabula, Ohio. Simultaneous with the filing of the complaint, the parties filed a

Consent Order for Preliminary Injunction with the court that requires certain actions be undertaken by Norfolk Southern at the facility. A final Consent Order was entered by the Court on August 11, 2011 that provided for final relief and the payment of an \$115,000 penalty.

9. NSR concluded negotiations with the Federal Railroad Administration and paid \$993,060 to resolve alleged violations of various FRA regulations (*e.g.*, mechanical defects, safety appliance, hazardous material handling) transmitted by FRA in 2012 and early 2013. Date of action: 8/12/2013
10. NSR concluded negotiations with the Federal Railroad Administration and paid \$1,412,495 to resolve alleged violations of various FRA regulations (*e.g.*, mechanical defects, safety appliance, hazardous material handling) transmitted by FRA in 2011 and early 2012. Date of action: 8/9/2012.
11. NSR concluded negotiations with the Federal Railroad Administration and paid \$1,160,965 to resolve alleged violations of various FRA regulations (*e.g.*, mechanical defects, safety appliance, hazardous material handling) transmitted by FRA in 2010 and early 2011. Date of action: 6/30/2011.
12. NSR concluded negotiations with the Federal Railroad Administration and paid \$1,334,005 to resolve alleged violations of various FRA regulations (*e.g.*, mechanical defects, safety appliance, hazardous material handling) transmitted by FRA in 2009 and early 2010. Date of action: 7/15/2010.
13. The Tennessee Department of Environment and Conservation issued a Notice of Violation to NSR concerning soil runoff in connection with construction of an intermodal facility near Memphis, TN. Date of action: 1/13/2012.
14. On June 22, 2012, in a case arising in Michigan, an Administrative Law Judge found Norfolk Southern had violated the anti-retaliation provisions of 49 U.S.C. 20109(a)(4), which prohibits employment discrimination against employees who report work-related injuries. Norfolk Southern was ordered to pay the complainant \$4,000; Norfolk Southern has appealed this decision.
15. On January 8, 2013, in a case arising in South Carolina, an Administrative Law Judge found Norfolk Southern had violated the anti-retaliation provisions of 49 U.S.C. 20109(a)(4), which prohibits employment discrimination against employees who report work-related injuries. Norfolk Southern was ordered to pay the complainant \$30,000; Norfolk Southern has appealed this decision and the case is now resolved.
16. Norfolk Southern received a Notice of Violation issued by the Tennessee Department of Environmental conservation in January 2012 related to sediment runoff in connection with construction of the Memphis Regional Intermodal Facility in Rossville, Tennessee. Norfolk Southern will contest liability and the imposition of any penalties, and this matter is described here consistent with SEC rules and requirements concerning governmental proceedings with respect to environmental laws and regulations. A monetary penalty has not been officially imposed, but we do not believe that the outcome of this proceeding will have a material effect on our financial position, results of operations, or liquidity.
17. Norfolk Southern received a Notice of Violation and a penalty in the amount of \$25,000.00 on May 14, 2013 from the Altoona Water Authority for POTW permit limit violations. Norfolk Southern contested liability and the basis of the imposition of this

penalty as the citation specifically identifies exceedances of permit conditions that occurred prior to the effective date of the amendments to establish the new permit limits. Subsequently, on August 27, 2013, Norfolk Southern entered into an Informal Settlement Agreement with Altoona Water Authority for full and final resolution and settlement of all violations and penalties and agreed to pay a total payment of \$11,000.

18. NSR entered into an Informal Settlement Agreement with the Occupational Safety and Health Administration to resolve alleged violations federal workplace safety regulations and paid \$12,750 to resolve these alleged violations issued by OSHA on June 11, 2013. Date of action: 7/3/2013
19. NSR entered into an Informal Settlement Agreement with the Occupational Safety and Health Administration to resolve alleged violations federal workplace safety regulations and agreed to remediate certain conditions to resolve these alleged violations issued by OSHA on May 5, 2013. Date of action: 6/10/2013

Part 2

<u>Month/Year Received</u>	<u>Location</u>	<u>Violation</u>
June, 2014	Painted Post, NY	Inadequate tank dike capacity
June 2014	Jacksonville, FL	Notice to Correct Source of Pollution/Violation issue for failure to maintain sewer lift station
May 2014	Ft. Wayne, IN	NPDES Stormwater Permit Violation
May 2014	Celina, OH	Alleged solid/hazardous waste violation on NS property leased to a short-line railroad, 2 nd NOV
April, 2014	Mechanicville, NY	Failure to submit NPDES Stormwater Annual Certification Report on time
April, 2014	Painted Post, NY	Failure to submit NPDES Stormwater Annual Certification Report on time
March, 2014	Austell, GA	Third Party dumping on NS ROW, Mathis Drive
March, 2014	Portlock, VA	NPDES Permit violation
March, 2014	Richmond, VA	Derailment caused oil release into Manchester Canal
March 2014	Chattanooga, TN	NPDES violation issue for stormwater & sedimentation concern
March, 2014	Allentown, PA	NPDES Permit violation for late submission of permit renewal application
March, 2014	Conway, PA	Storage tank system noncompliance issue for external coating failure, corrosion
February, 2014	Mullens, WV	Violation issued by ACOE for placing fill into Guyandotte River
February, 2014	Linwood, NC	NPDES Permit violation
January, 2014	Kansas City, MO	NPDES Permit violation
January, 2014	Macon, GA	POTW Permit violation
January, 2014	Roanoke, VA	Notification of Penalty Citation issued for alleged VA OSHA violation for not notifying employees of the presence of ACM
December, 2013	Celina, OH	Alleged solid/hazardous waste violation on NS property leased to a short line railroad
October 2013	Doraville, IL	Regulatory Advised Deficiency Notice Will Issue For Lack of TSS Sampling – TBT Facility
September 2013	Chicago, IL	Administrative Notice of Ordinance Violation for Alleged Treatment and Disposal of Solid or Liquid Waste – Intermodal Project
July 2013	Columbus, OH	Alleged solid waste and related violations
May 2013	Altoona, PA	WWF POTW Permit Violation – Juanita Locomotive Shop
May 2013	Glenfield, PA	Alleged solid waste violation for placing concrete railroad ties for slope stabilization within the normal high water level of the Ohio River
May 2013	Knoxville, TN	NOV by OSHA
May 2013	Knoxville, TN	NOV by OSHA
April 2013	Charlotte, NC	NPDES construction stormwater erosion & sedimentation violation
February 2013	Charlotte, NC	NPDES construction stormwater erosion & sedimentation violation
February 2013	Charlotte, NC	NPDES construction stormwater erosion & sedimentation violation
February 2013	Elkhart, IN	Violation noted for prior DMR exceedances in May & July 2012 – Elkhart Yard
January 2013	Mt. Vernon, IL	Derailment caused ethanol release

November 2012	Kankakee, IL	WWF NPDES Permit violation
November 2012	Elkhart, IN	POTW Permit violation
May 2012	Austell, GA	POTW Permit violation
April 2012	Harrisburg, PA	Sanitary Sewer Overflow
April 2012	Georgetown, KY	KPDES Stormwater Permit violation (9/27/11 inspection)
April, 2012	Melvindale, MI	Fugitive dust violation, blast-cleaning AST w/o approved BMPs
January 2012	Rossville, TN	Alleged violation of stormwater construction permit
January 2012	Arcadia, OH	Unpermitted discharge from train derailment
January 2012	Elkhart, IN	NPDES Permit violation (11/23/11 inspection)
January 2012	Cresson, PA	AST, external coating failure, corrosion (11/10/11 inspection)
December 2011	Sunbury, PA	Alleged solid waste violation @ TBT Transloading facility
November 2011	Greencastle, PA	Alleged violation of stormwater construction permit
November 2011	Atlanta, GA	Fab Plant WWF POTW permit noncompliance
October 2011	Kansas City, MO	WWF POTW permit noncompliance
October 2011	Harrisburg, PA	Enola Yard – WWF NPDES permit noncompliance
September 2011	Macon, GA	POTW violation – no advance notice of flowmeter totalizer reset
August 2011	Birmingham, AL	WWTP permit noncompliance
July 2011	McKinney, KY	Degradation of DO due to release
July 2011	Arcadia, OH	Ethanol discharge to surface water during cleanup
June 2011	Harrisburg, PA	Noncompliance notice for unregistered AST
May 2011	Titusville, FL	Storage tank system noncompliance
May 2011	Chattanooga, TN	Diesel shop – Hazardous waste inspection noncompliance
May 2011	Culpeper, VA	Line of road – Embankment erosion
Feb 2011/April 2011	Roanoke, VA	Material Yard Shop – Asbestos citation
February 2011	Memphis, TN	Forrest Yard – POTW permit noncompliance
February 2011	Manville, NJ	Odor from train
February 2011	Manville, NJ	Odor from train
February 2011	Manville, NJ	Odor from train
February 2011	Linwood, NC	Linwood Yard – WWF permit noncompliance
February 2011	Harrisburg, PA	Fueling facility WWF permit noncompliance
February 2011	Conway, PA	Oil release
February 2011	Granite City, IL	Third party illegal open dumping
February 2011	Voltz, MO	Late payment of fees
February 2011	Arcadia, OH	Ethanol Discharge to surface water
January 2011	Atlanta, GA	WWTP permit noncompliance
January 2011	Atlanta, GA	WWTP permit noncompliance
December 2010	Manville, NJ	Odor from train
December 2010	Manville, NJ	Odor from train
November 2010	Elkhart, IN	Total copper exceedence NPDES permit limit
October 2010	Conway, PA	Release of 80 gallons of lube oil
October 2010	Conway, PA	Release of 50 gallons of lube oil
September 2010	Decatur, IL	WWTP permit noncompliance @ Arrowood Yard
July 2010	Charlotte, NC	Incomplete SWP 3 monitoring
July 2010	Institute, WV	Lube oil release
July 2010	Morrisville, PA	Sanitary sewer overflow @ Intermodal
June 2010	Portsmouth, OH	Diesel fuel release
May 2010	Charlotte, NC	Incomplete SWP monitoring @ Charlotte Intermodal
May 2010	Roanoke, VA	Lube oil release
April 2010	Portlock, VA	Failure to perform stormwater benchmark monitoring
April 2010	Rock, WV	Hydraulic oil release

April 2010	Chicago, IL	Lube oil release
April 2010	Decatur, IL	Lysine tank car release
April 2010	Vanzant, VA	Diesel fuel release
March 2010	Maintland, WV	Coal release
February 2010	Belle, WV	Dimethylformamide vapor release
February 2010	Norfolk, VA	Failure to file updated signatory authorization
February 2010	Elkhart, IN (85-27 Monthly)	Total copper exceedence NPDES permit limit
January 2010	Knoxville, TN	Improper labeling of containers for waste batteries and waste fluorescent lamps
January 2010	West Point, VA	Fuel oil release
January 2010	Elkhart, IN (85-27 Monthly)	Total copper exceedence NPDES permit limit
December 2009	Ashtabula, OH	Coal dock (TSS exceedence NPDES limit)
September 2009	Elkhart, IN (85-27 Monthly)	Total copper exceedence NPDES permit limit
September 2009	Durham, NC	Alleged late reporting of sodium hydroxide spill to the NRC
August 2009	Jeanette, PA	Diesel fuel from ruptured locomotive fuel line
August 2009	Waynesburg, PA	Coal dust release from coal hopper
July 2009	Conway, PA	Conway Yard (NOV for 10 gal. diesel fuel on May 14)
July 2009	Conway, PA	Conway Yard (NOV for 20 gal. diesel fuel on May 14)
July 2009	Conway, PA	Conway Yard (NOV for 15 gal. lube oil on May 6)
July 2009	Waynesburg, PA	Diesel fuel spill from truck caused by grade crossing accident
July 2009	Pittsburgh, PA	Highland Ave. Yard (10 gal. hydraulic oil spill from locomotive)

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ATTACHMENT V.E.2.

Blue Ridge Railroad Company

Report to the Directors, November 1857

No names of owners or slaves.

Central Rail Road and Banking Company of Georgia

Report to the Directors May 1839

No names of owners or slaves.

Report to the Directors' December 4, 1839

No names of owners or slaves.

Charlotte and South Carolina Rail Road Company

Proceedings of the Stockholders' October 10, 1849

No names of owners or slaves.

Proceedings of the Stockholders' November 18, 1852

Table B – Paid for Negro Hire: L. G. Jones; J. Leroy Davies; Joseph Herndon; John H. Means; L. J. Vaughn; W. Brie; L. G. Jones (Conley); Carver Randell; Mrs. M. W. Postell; James Pagan; John A. Marion; J. T. Diseker; James Catheart ; Braley Oates; John W. Moore; P. M. Brown; B. H. Davidson; H. B. Williams; J. Leroy Davies; Mrs. Rachel Pharr; Dr. M. M. Orr; George Conley; W. H. Stringfellow; O. Woodward; Peter Nicholson; Edward Moor, adm.; Henry W. Yongue; M. J. Pickett; S. J. Randell, adm.; W. S. Gibbes; Mrs. M. A. Parks; Mrs. C. Z. Mills.

Proceedings of the Stockholders' February 8, 1854

Table B - Paid for Negro Hire 1852: Mrs. M. J. Pickett; James Bynum; Harriet English; W. H. B. Collins; John Adger; L. G. Jones; Carver Randell; R. C. McCalla; James T. Fleming; Rev. J. Leroy Davies; Mrs. Wylie; Mrs. M. W. Postell; John H. Means; William Pratt; S. N. Hutchison, Guard.; W. B. MacLean; G. F. Davidson; Jas. H. Houston; D. A. Caldwell; William Johnston; Dr. W. H. Stringfellow; Wm. M. Nicholson; S. J. Randell; Alexander Pinchback; James Pagan; H. B. Williams; F. G. Simril; B. H. Davidson; Joseph Steele; J. J. Sloan; J. R. Davidson; Joseph F. White; Clem. Williams; J. A. Huggins; Mrs. M. A. Parks; D. S. Patton; E. W. White; John F. Irwin; E. O. Elliott; J. Westmoreland; Thos. J. Grier; James Bynum; William Boyee; H. W. Yongue; J. P. Ross; John L. Younge; J. M. Doby; Thomas L Rosborough; C. J. Duffie, Adminis'r; Mrs. C. Z. Mills; W. D. Henry; A. Pinchback; Wm. R. Myers; Robert H. Stringfellow; Thos. W. Moore, Admr.; P. M. Brown; E. A. Dargan; J. Henderson.

Table C - Cost of Road - No names of owners or slaves.

Proceedings of the Stockholders' February 9, 1859

The Road reported that it owned 11 slaves. No names of slaves.

Proceedings of the Directors' 1860

"From this fund liberal expenditures have been made during the year, embracing ... \$1,527 for two slaves, George, a painter, and Alexander, a pump hand..."

Proceedings of the Directors' 1862

"From it is also to be deducted the cost of about 1000 bales of cotton and 40 slaves, purchased for the use and benefit of the Company..."

Annual Report January 20, 1864

No names of owners or slaves.

Chesterfield Railroad Company

Report to the Board of Public Works, 1834

No names of owners or slaves.

Report to the Board of Public Works, 1841

No names of owners or slaves.

Report to the Board of Public Works, 1843

No names of owners or slaves.

Report to the Board of Public Works, 1844

No names of owners or slaves.

Report to the Board of Public Works, 1846

No names of owners or slaves.

Report to the Board of Public Works, 1849

No names of owners or slaves.

Report to the Board of Public Works, 1850

No names of owners or slaves.

City Point Railroad Company

Report to the Board of Public Works, December 7, 1838

No names of owners or slaves.

Columbia and Hamburg Railroad Company

Report to the Stockholders' 1864

No names of owners or slaves.

East Tennessee and Georgia Railroad Company

Report to the Directors' June 30, 1860

"One passenger, and the first since the opening of your road nine years since, has been slightly injured by the breaking of an axle; that one a black woman, the property of James Gelson, of Campbell County. Demand was made for damages, which was settled by a purchase of the woman. She has been placed under the judicious medical treatment of Dr. Brown, of Cleveland, and will soon be well again, when she will be sold and proceeds placed in the hands of your Treasurer, thereby reducing that item of damage to a matter of small consequence." (pp.8) – R.C. Jackson, Superintendent.

Greenville and Columbia Railroad Company

Report to the Stockholders' January, 1857

No names of owners or slaves.

Louisville, Cincinnati, and Charleston Railroad Company

Report to the Stockholders' and Directors' September 1840

No names of owners or slaves.

Memphis and Charleston Railroad Company

Board of Directors Minutes, 1860 – 1872

Minutes, January 21, 1861: Mr. Crutcher

Report to the Directors' March 1, 1855

No names of owners or slaves.

Report to the Directors' July 1, 1857

No names of owners or slaves.

Report to the Directors' July 1, 1859

No names of owners or slaves.

Mobile & Ohio Railroad Company

Annual Reports, 1847 – 1863

Proceedings of the Stockholders' May 9, 1853

No names of owners or slaves.

Proceedings of the Stockholders' February 26, 1855

Table - Casualties of 1855: April 17th. Negro Jack, on pile-driving train, fell between the cars and was killed.

Otherwise no names of owners or slaves.

Proceedings of the Stockholders', February 26, 1859

Table - Casualties of 1859: July 14.—Two negro children belonging to Mrs. Hornsbarger.

Otherwise no names of owners or slaves.

Proceedings of the Stockholders', April 21, 1863

"We have also purchased sixty negroes, now in the service of the Road....."

Otherwise no names of owners or slaves.

North Carolina Railroad Company

Proceedings of the Directors' 1862

1862 - John Trollinger (Anderson, Alfred); Richard J. Ashe (Sam, Tom); Archibald Borland (Priam); J. A. Faucett (Isaac); J. S. Turrentine (Sam); Stephen T. Forrest (Ben); T. M. Crossan (Robert, Alfred, Aleck, Willis, Plot, Mat, Charles, Horace, Bob, Douglas, Joe); John T. Williams (Joe, Henderson, Brown, Solomon, Eaton, Tom); W.C. Williams (Tom, Lew, Yadkin, Cass, Isom, Albert, John); James N. Patterson (Hubbard); Jesse Grant (Jim, Harrison); W.J. Murray (Stephen, Charley); R.M. Sanders (Henry, Spencer); W.C. Williams (Haywood, John, Gaston); Jacob Holt (Pleasant); S.H. Hood (Bill, West); J.F. Ellington (Willis); William Ellis (Haywood); B.M. Isler (Louis, Henry, Tom, Peter); William K. Lane (Duncan, Henry, Jacob, John, Ben); P.C. Cameron (Reddin, Isaiah, Luke, Bob, Fetter, Fenton, William, Weldon, Wellington, John, Robin, Preston, Humphrey, Turner, Nathan, Kinchen, Rowland, Oliver); Mrs. Susan Mebane (Ruffin, Dick, Aleck); J.B. Balsley (Henry); W.N. Pratt (Paul, Sam, Perry); Wesley Robinson (John, John II, Jack); Mrs. D.F.J. Hill (Ben, Bill, Hardy, Ben); W.J. Allen (Henry, Sawney, Jacob, Eason, Edmund); R.D. Paschall (Beckham, Bob); G.E. Badger (Adam); T.H. Atkinson (Simon, Arnold); George Little (Sam, John, Henry II, Henry, Mills, Henry I, Charles); Mrs. L.D. Sasser (Wash, Tom, Jerry); J.M. Plamer (Henry); A.J. Heather (Cain, Green); Mary E. Holt (Haywood); Bryan Smith (George); James Pierce (Jim, Chap); W.T. Dortch (Warren); James Campbell; L.O.B. Branch (Major); S.A. White (Peter); W.F. Askew Jones, Henry, Dennis, Haywood, Marion, Wilson, Jerry, Abraham); J.F. Clark (Sylvester, Anson, Prive, Prince, Mars, Roden, Alexander, Thomas); Ben J. Parmalee (Ben, James, Henry, Harmon, Allen, Simon); Isaac Kelley (James); Mary E. Jarvis (Abraham); John H. Small (Jacob); Mrs. S.A. Carraway (Cesar, Stephen); Mrs. Susan Allen (Henry); A. Henderson (Ike, Levi, Frank, Julius); Dr. R. Hooker (Mordecai, Cyrus, Willy); W.B. Dunn (Charles, John); H.W. Montague (Henry, James, Jeff, Moses, Sidney); Mrs. Sarah L. Hogg (Henry, Joe, Lee); Mrs. John Sloan (James); Phoebe Taylor (Robert, Richard, John); William C. Blount (Stephen, Jacob, Dave, John); Dr. E.H. Gollet (Ben, Anthony); Dr. William H. McKee (Salem, Sam, Dick); S.S. Turner (Handy); Dempsey H. Blake (Jack, Yancy); James Smith (Pleasant); David W. Bell (Dick, Tom, Terry, Bed, Amos, John); James B. Bell (Issac, Nat); Dr. Sam Masters (Jesse, Alfred, John, Ben, James, Charles); Emmet Cuthbert (Charles); Miles Wagstaff (Peter); Dr. E.B. Haywood (Peter, Alex); Sarah Bryan (Bill); Mrs. Sarah Godwin (Monro); M.C. Hodge; John H. Nelson (Ennis, Stephen, Perry, Ben, Bob, Hardy, Alfred); S.W. Branch (Henry); James L. Dusenberry (Jerry); A.H. Lindsey (Bob); Harrison Harbor (Milton); Henry R. Bryan (Simon); Josiah Collins (Sam Barron, Providence, Henry Morsen, Charles, Oren, Lunite, Toney, Ishmael, Thompson, Aley King, Peter King, Angustus, Mills Tamar, Frank, Stephen Iredell, Josh Baum, Wallis, Simon, Josh. Elsey, Osborn, Daniel, Britton, Madison Jordan, Freeman,

Brian, Aaron, Mills Blount, Warren, Mitchell, Dunbar, Whitmell, Walter, Major); W.H. Jones (George); Mrs. Eliza Knox (Stephen); S.M. White (Ben); Henry R. Nash, Trustee (Sam); John U. Kirkland (Willis); Peter B. Ruffin (Henry); Lizzie Bason (Squire, Giles); William J. Long (Madison); Richard Tapp (Wesley); George W. Mordecai (Ellick, Henry Grip, Henry Bus, Matthew, George, Edmund, Harry, John House, Anderson Bailey, Tom); J.A. Rendleman (Moses); J.D. Flanner (Abel)

Proceedings of the Directors' 1864

1864 - James M. Hurdle (Chelsey); Jacob Holt (Pleasant); Jesse Gant (Harrison); William A. Littlejohn (Jacob, Nathan); James Gorrell (Nelson); Mrs. Ann Ivie Hill (Ben 1, Ben 2, Bill); Thomas. D. Martin (Obra, Alech); Nash Rouse (Jack, Ben, Jim, Alech, Haywood, Nepkin, Hazard); James Thompson (Bob); David McAdams (Grandison, Josiah, Bill); Josiah Collins (Hamilton, Freeman, Henry, Morson, Oem, Learnet, Thompson, Willis, Tamar, Frank, Stephen, Iredell, Josh, Baum, Simon, Wallace, Jordan, Aaron, Miles, Blount, Warren, Mitchell, Dunbar, Whitmel, Waldem, Reuben, Ross, Hobart, Mathias, Philliam Davidson, Rebecca, Daniel); Richard Trapp (Wesley); Mrs. E. H. Rux (Stephen); J.W. Norwood (Bill); James Graham (Sam); William J. Hayes (Bob, David Poe); Samuel P. Lowrie; Al. Mitchell (David); Jery Bason (Isaac, Peter); James S. Scott (Dick); Thomas H. Brem (Issac, Jerry); Samuel Harris (Moses, Jim); Mrs. Scott (Joe); Thomas Brem (Austin, Harty); McCorkle (Crawford); David W. Bell (Anderson); Ben J. Parmelee (Ben); R.C. Jenkins (Ben); D.A. Davis (Humphrey); Mrs. Sidney A Clark (Alylvester, Prince, Mac, Roden, Privo, Aleck, Aon); Sarah A. Caraway (Cesar, Stepheny); William Boylan (Wash, Jim, Bryan, Emanuel); Charles Gerock (Henry); Charles J. Freeland (George 1st George2nd, Edmund, John, Squire, Stephen, Tom, Charles, Jerry) R.M. Sanders (Spencer, Sidney, Henry); George W. Mordicai (Henry Bull, Nathan, Amos, Wesley, Iverson, Henry Grip, Matthew Jr., George, John Green, Harry Jr., Edmund, Ike, Jesse, Anderson Goss, Canaan, Sam Slick, Anderson Baily, Jesse Jr., John House, Ben Laws, Isham, Jerry, Macklin, Ellick, John Sears); Henry K. Nash, Trustee (Sam); Mrs. H.E. Page (Simon, Joe, Bartlett, Miles, Augustus, Sam, Chessire, Ben, Jeffry, Tom, Jerry, Harry, Mills, Miles Warf); Dr. Thomas D. Warren (Frank, Jerry, Harry, Henderson, Augustus, Reuben, Dave Skinner, Mike, John Skinner, Andrew, Richard, Jacob, Andrew, Ganger, Stretus, Armstead, Watson, Solomon, Moses, Ben, Andrew Skinner, Nea Skinner, William Skinner, Ransom Skinner, George Wilder, David, Isaah, Bob Skinner, Dickerson); Rufus S. Tucker (Vergil, Alonzo); Cyrus P. Mendenhall (Charles, David, Charles Ellick); Archibald Henderson (Isaac, Jake, Levi, Julius; Pompey; Mark; Jo); John H. Small (Jacob); Jo Graham (Frank); William A. Blount, Jr.(Major Bill); J. W. B. Watson (Alfred, Albert, Alvin, Ben, Bill Harry, Bill Lawson, Curtis, Dick, Gabriel, Gaston, George, Giles, Harry, Winton, Ishmael, Jacob, John Robert, John Jones, Joseph Larkin, Ludd, Switch, Marcus, Meekin, Primus, Railford, Randell, Reddick, Richard, Sam, Simon, Solomon, Washington Moodley, Bama); George W. Watson (Austin, Bill, Bryan, Daniel, Mac, Dick, Ellick, Giles, Guilford, Hill, Mumford Rufus, Shade); P. E. Watson (Daniel, Whilley, Henderson, Jacob, Jim, John Marshall); Mary Watson (Green, Isham); Jacob Brookfield; William Barringer (Henry, George, Edmund); William S. Gilmer (Sam, Mack); Samuel M. Whitaker (Jordan); Richard J. Ashe (Sam); Samuel M. White (Ben); Peter B. Ruffin (Henry); Samuel S. Biddle (Aaron, Levin, Richard, Downer); L. P. Olds (Aleck); Jacob Mordecai (Herring, William, Lewis, Nathan, Shade, Primus, Fab, Jacob, Joshua, Jack, Ruffin, Daniel, Anthony, Jerry, Henderson, Jim); Fred J. Jones (Henry, Amos); Ben J. Parmerlee (Simon, Henry, Allen, Harman); Mrs. Susan Kelly (Jim); Josiah Collins (Major); A. G. Jones (Peter, Harris, Willis, Ben);

Fred C. Roberts (Moses, Ben, Jim, Josiah, Amos Lane, John, Alex, Farney, Ed, Sneed, Bill, Aleck); John H. Nelson (Stephenny, Perry, Bob, Ennis, Ben, Hardy, Frank); D. W. Bell (Amos, Nathan, Ben, Tom, Issac, John, Jerry); Anderson Thompson (Jerry); Williamson Page, Administrator (Burt); A. J. Morris, Administrator (Alvis); Elizabeth Ferrell (Robert); William Gaylord; Dr. W. Carstaphen

North East & South West Alabama Rail Road Company

Report to the Directors' January 15, 1857

No names of owners or slaves.

Piedmont Rail Road Company

Directors Minutes, 1862-1895

".... the President and Chief Engineer and Chief of Construction in addition to the authority therefore given to hire labor, be also authorized to purchase for the company a number of slaves, intended for the purpose, not exceeding 400...."

Otherwise no names of owners or slaves

Richmond and Danville Railroad Company

Board of Directors' Minutes, December 1, 1847 – September 9, 1869

November 13, 1855: "A claim made by F. Lawson for expended in recovering a runaway slave, was submitted and in motion it was rejected."

Report to the Directors' October 1, 1851

No names of owners or slaves.

Report to the Directors' October 1, 1852

No names of owners or slaves.

Report to the Directors' October 1, 1854

Transportation Expenses (Owners providing contracted slave labor): Robert Bowman; Uriah Hankins; D.M. Branch; James M. Taylor; Otey & Goff; C. J. F. Netherland; Mrs. Mary Galt; J. Morrisett; Samuel Jones; Ann G. Pulliam; J.J. Robertson,.

Report to the Directors' October 1, 1856

No names of owners or slaves.

Report to the Directors' October 1, 1857

181 slaves employed at depots, at shops, and at stations, on sections, and on trains....

No names of owners or slaves.

Report to the Stockholders' December 8, 1858

No names of owners or slaves.

Auditor's Report, November 8, 1860

165 slaves employed at depots, at shops, and at stations, on sections, and on trains....

No names of owners or slaves.

Report to the Directors' October 1, 1865

No names of owners or slaves.

South-Carolina Canal and Rail-Road Company

Report of the Directors' July 1, 1832

On account of the extreme difficulty and expensiveness of occasional hiring, the Board judged it proper to purchase at certain number of Negroes, and the Company now own sixteen.

No names of owners or slaves.

Report of the Directors' June 30, 1833

Reference to "Purchase of Negroes." No names of owners or slaves.

Report of the Directors' January 1, 1833

No names of owners or slaves.

Report of the Directors' October 1, 1834

No names of owners or slaves.

Report of the Directors' January 11, 1836

No names of owners or slaves.

Report of the Directors' June 30, 1836

No names of owners or slaves.

Report of the Directors' December 31, 1836

No names of owners or slaves.

Report of the Directors' July 15, 1839

No names of owners or slaves.

Report of the Directors' January 18, 1840

No names of owners or slaves.

Report to the Directors' July 20, 1840

No names of owners or slaves.

Report to the Directors' January 18, 1841

No names of owners or slaves.

Report to the Directors' July 19, 1841

No names of owners or slaves.

Report to the Directors' January 17, 1842

No names of owners or slaves.

Report to the Directors' July 20, 1842

No names of owners or slaves.

Report to the Directors' January 1, 1843

No names of owners or slaves.

Report to the Directors' July 20, 1843

No names of owners or slaves.

Book of Relinquishments, 1835 - 1845

L.F. Schuls; Issac Murray; Sara McBurney; David D. Cohen (Peter, Abraham, Moses); Samuel Seyle (Thomas); John Bukley (Jim, Charles, Owen); Thomas N. Gadsden (John, Anthony); Charles L. Desel (Sam, Jack); Daniel Byrd (Lewis, Harry); Emanuel Moon (Jam, Jim, Sam, Mike); Smith Miles (Sam); Henry H. Schulz (Charles, Will, Harry, Jack, Ned); John Gilbert (Ned); L. C. Brown (Apollo, Harry, Joe, John, Dick); J. Fred Butt; John Juabnet; J. S. Murray (Jim); Charles A. Teandan (William); Jamh Veronu (James); Eden Ferret; T. Tupper; H. V. Firith (Bacchur); Samuel Beronee (Sandy); Jacob Inabnet; R. J. Edwards; M. M. Keun; C. Dupont; S. Henry Dickerson (Paul Todd); F. T. Stall; H. Rausuer; A. H. Mulstom; D. H. Inplesley; D. G. Hairraudelf; Nath Coopen; Joseph Fasabinet (Carolina, William); V. Durande; W. T. Nell (Jim, Pompey); M. Fudlandbulayzeck; John D. Muckinfuff (Isaac); Mr. L. Humayor (Peter, Harry, Lapio, Stephey); W. Sindumme (Joe); H. L. Huckson (Harry, Stewey, Peter, Jario); Elira M. Burry (Bulca); Henry B. Toomer (Charles); L. Lloyd (Pompey); C. Dupont; L. H. Shultz; Jacob F. Schirmu; Richard Way (Peter); W. Vanel (Lugh); Andrew P. Wag; John M. Brut; Edeth Spert (Kelly); A. Rison

No names of owners or slaves: August 8, August 12, August 24, September 9, September 30, October 29, November 14, November 26, December 10, December 31, 1835, January 12, January 25, February 12, April 12, June 15, 1836 and May 12, 1837

Board of Directors Minutes, 1835 – 1841

1835 – L.C. Boyles (Stephen); Gibbes (Bell)

1836 - "The motion that the President is ordered to purchase, for the use of the company, gangs of negroes not excluding 300 in number – this motion was adopted."

1839 – Mrs. Dupont (Stephen)

South Carolina Rail Road Company**Proceedings of the Stockholders', February 1851**

No names of owners or slaves.

Proceedings of the Stockholders', February 1852

No names of owners or slaves.

Proceedings of the Stockholders', February 1853

No names of owners or slaves.

Semi-Annual Report of the President, June 30, 1853

No names of owners or slaves.

Proceedings of the Stockholders' February 14, 1854

No names of owners or slaves.

Southside Railroad Company

Board of Directors' Minutes, 1853-1865

1854 – January 2 Thomas H. Daniel; January 3 George W. Butts; William Avent; John G. Adkins; David Adkins; M. Ann Clarke; James F. Deane; Thomas Jones; John F. May; Eliza I. Fowlkes; David Justice; R. C. Motley; R. I. Pennington; William D. Southall; January 5 William L. Lithgow; Edwin James; M. Angelica Hawkes; Elizabeth Booth; John W. Baily; Edward A. Wyatte; January 6 Thomas Armes; Richard Marshall; James A. Vaughn; Raymin Vaughn; Thomas W. Bell; Thomas Brannah; January 9 Robert W. Awin; January 11 William P. Mumford; Green Hill; M. Laura A. Chaffer; Larry; W. Cutter; P. Steth; William H. Brown; January 12 John W. Spice; Dr. Henry E. Thore; John Clay; B. Cam; January 13 Joseph H. Prichard; January 16 John Mann; January 17 Frances Fitzgerald; Mary Fitzgerald; W. W. Guy; January 24 James J. Oliver;

January 27 William Harper; William H. Magee; Larah H. Magee; January 28 Catherine Reese; January 31 George Fitzgerald

No date listed: C. Hamblin; J. A. Ammtiard; Mrs. M. A. Bolling; J. F. May; Mrs. Archer

1854 – December 3 Ann R. Jones; Elisha Chapman; B. F. Cos; John C. Daniel; Thomas H. Daniel; H. F. Bardwell; James Briggs; F. Carr; W. B. Ellington

1855 – February W. F. Davis; W. A. Juckim; A. R. Shaur; A. W. Horner; J. H. Robertson; William Motley; W. P. Emmer; A. J. Revel; Thomas H. Daniel; M. Ann R. Jones; Soloman Davis; I. W. Mingae; P. B. Will

1860 – May W. B. Grigg; T. B. Reed; R. A. Moody; C. H. Wilson; J. A. Wilson; N. Pamplin; B. F. Flippin; T. L. Arrington; R. N. Davis; R. W. Pegrma; J. E. Suth

1863 – July 1 J. P. Hall; T. M. Beard; C. S. Hill; J. S. Belcher; W. B. Conway; R. E. Stung; A. A. Moody; R. A. Moody; J. H. Plunkett; C. H. Wilson; T. B. Rice; M. C. Crenshaw; B. F. Flippin; W. H. Hallowill; L. D. McDearman; T. L. Arrington; N. Pample; R. V. Davis; I. A. Wilson; W. B. Gregg; August 1 N. N. Moody; C. H. Wilson; I. L. Arrington; F. B. Rue; W. C. Curshaw; W. H. Halloway; N. Pamplew; B. F. Fleppin; K. W. Pegsam; W. B. Gregg; J. P. Hall; T. M. Board

Ledger D, January 1860 – March 1865

No names of owners or slaves.

Side Ledger, July 1864- March 1865

1864 - July S. Clottie; R. Atkinson; J. B. Muntur; N. Pamplin; J. J. N. Rice; McCorkle; N. B. Janny; N. E. H. Durphy; Geo E. Baytor; September E. W. Moring; Maria Way; Al Arrington; Kurt Paice; A. Moses; N. N. Bell; A. Johnson; E. P. Evans; Maria Way; D. Lackland; H. Raughan; T. B. Rice; D. G. Potts; Patrick Anderson; November M. V. Dove; J. B. Hilliard;

1865 - January Geo Abbott; L. McDunnan; T. L. Larratt; March 1865: M. M. Campbell; W. C. C; J. H. Wilson; F. Marshall; J. T. Luther; Thomas L. Arrington; E. W. Friend; S. W. Gay; D. P. Harrison; Geo Whitmore (George); Mary Gary; R. S. Gillian; S. A. Gary; M. Fistin; Mrs. Stanberry; H. P. Taylor; Geo D. Saunders (Joe, Richard, Payton, Nathan, Davy, John); Geo E. Gary (Theo, Harvey); A. Johnson; James Coleman; John O. Elam (Hampton, Toby)

No names of owners or slaves: March 1865

South-Western Railroad Company**Superintendent's Report, August 1, 1853**

No names of owners or slaves.

Superintendent's Report, August 1, 1854

No names of owners or slaves.

Superintendent's Report, August 1, 1855

No names of owners or slaves.

Superintendent's Report, August 1, 1856

No names of owners or slaves.

Superintendent's Report, August 1, 1857

No names of owners or slaves.

Superintendent's Report, August 1, 1858

No names of owners or slaves.

Superintendent's Report, August 1, 1859

No names of owners or slaves.

Superintendent's Report, August 1, 1860

No names of owners or slaves.

Superintendent's Report, August 1, 1861

No names of owners or slaves.

Superintendent's Report, August 1, 1862

No names of owners or slaves.

Superintendent's Report, August 1, 1863

No names of owners or slaves.

Superintendent's Report, August 1, 1864

No names of owners or slaves.

Spartanburg and Union Railroad Company

Board of Directors' Minutes, 1851-1873

December 21st and 22nd, 1855: "The matter concerning compensation claimed by J. J. Peter and Jacob Walker for the negro men used in whole in the company was said to lesson Bob Trains to be reported upon."

Virginia and Tennessee Rail Road Company

Annual Report, November 27, 1850

"...1 Negro man sold..." No names of owners or slaves.

Annual Report, November 3, 1852

"One of the attendants of the train, Patrick, a slave, was separated from the engine only by an open platform car, loaded with railroad iron – he stood to the brake without relaxing his hold, and doubtless was instrumental in preventing additional damage to the train."

Annual Report, October 26 – 28, 1853

No names of slaves. Hands Employed: Depots, 18 slaves; Passenger Trains, 4 slaves; Freight Trains, 10 slaves, Machine Shop, 15 hands, 2 slaves; Carpenter's Shop, 6 hands; Blacksmith's Shop, 9 hands; Repairs, 90 slaves; Bridges, 6 hands; Masonry, 12 slaves.

Board of Directors' Minutes, December 8, 1853 – March 13, 1857

No names of slaves: May 1, 1855 N. W. Leftwich; J. M. Rucker; W. Nation.

No names of owners or slaves: May 1, 1855; July 11, November 12, 1856.

Annual Report, October 25 – 26, 1854

No names of slaves. Force Employed: at the work shops...3 apprentices and 4 slaves; 5 journeymen and 8 slaves; 1 watchman and 7 slaves. At the Depots...18 Agents and Clerks, 2 watchmen and 50 slaves

On the Repairs of the Road...1 Road master, 1 repairer of bridges, with 5 men; 11 Section masters and 145 slaves running engines and trains...4 conductors, 9 engine runners, 8 Firemen and 15 slaves as Brakes-men

Annual Report, October 31 – November 1, 1855

No names of slaves.

Accidents Resulting in the Loss of Life or Limb:

Involving slaves: 1855, February 20, June 17 and July 18. No names of owners or slaves.

Force Employed: At the Depots...58 slaves; Machine Shop...5 slaves; Carpenter Shop...7 slaves; Smith Shop...11 slaves; Road...160 slaves; Bridges...5 slaves; Trains...18 slaves; Engine House...3 slaves.

299 slaves employed (13 of which are mechanics,...)

Day Book 1854-1857

1854 – December 4 A. J. Black; J. E. Morgan; December 11 William Baniel (Henry); F. G. Morrison; December 15 P. H. Gilmer

1855 – January 3 T. F. Goodwin; J. A. Stigler; H. A. Flood; Charly Seth; Caleb Williamson; Peter Copland; Ben Hunter; James H. Harris; Jepe A. Burton; Washington Hunter; Lawrence Anderson; Thomas Lovchson; S. White; M. G. Talisfere; William S. Minonfor; J. H. Thompson; Luc F. Johnson; James Franklin; Jacques A. Hawnen; R. C. Gary; Charles F. North; B. Barker; Murrell Thatton; Nelson Lowry; James M. Rucker; R. K. Watkins; J. J. Lavington; John W. Quarles; Thomas Dillard; E. J. Gillian; January 5 William Pettyjohn; Richard Ellis; Wilkins Watson; John Hughes; E. M. Poindexter; Mary M. George; Alex Tompkins; Richard Moncure; John Abbott; John F. Slaughter; William Ellis; Jacques Benagh; M. M. Richardson; S. L. Moorman; T. L. Jennings; J. Wilson; Jacques L. Campbell; Susan Campbell; January 6 R. C. Campbell; Nancy Campbell; William Campbell; S. Lawnen; Granville Lane; Thomas L. Saunders; Nowlin Appelson; C. W. Garnett; T. R. Muse; B. P. Walker; Hector Harris; Isaac Drewy; S. H. Early; John M. Oley; T. M. Harwick; B. B. Taliaferro; A. C. Harrison; A. Woodson; John T. Davis; P. H. Burras; January 9 Thomas Blackford; John Hughes; R. Tinsley; Peter Copland; Henry Christian; A. J. Black; G. A. Saunders; Mark Anthony; W. C. Jones; E. J. Anderson; Richard Crawford; C. H. Lynch; January 10 Andrew Hotty; Henry Slaggle; W. B. Preston; C. F. Harris; B. Fitzer; J. S. Goggin; A. L. Stiff; January 12 Robert C. Mitchell; D. R. Edley; R. H. Coleman; Robert Coghill; Jacques Elliott; D. Stratton; February 6 E. Burkley; February 9 Mrs. F. Browning; C. C. Broadders; Tanner Asher; S. C. Anderson; N. L. Buttail; W. R. Gordan; February 14 A. Tompkins; W. J. Green; J. B. Coats; Mrs. S. T. Hackett; W. H. Fitzhugh; J. T. White; G. A. Wingfield; Mrs. Elizabeth Dennis; March 21 William Owenson; William J. Anderson; Jen Gyle; March 27 M. Daniel Hart; John L. Barnett; M. Daniel; Peter Phelpy; J. C. Kasey; H. Harmingly; B. H. Stanley; Robert Latimer; Rob C. Mitchell; E. S. Hunter; J. H. Hoge; Alfred Williamson; Sam Peter; Noble Jordan; Daniel A. Langhorne; George W. Anderson; James M. Robinson; April 2 Abner Early; T. E. Reynolds; Peter Spencer; Mary L. Taliaferro; T. E. Reynolds; Lacey Terry; Anderson Duke; R. Sayers; B. A. Langhorne; G. W. Anderson; April 10 Frances T. Fithugh; John M. Otey; E. A. Craddock; John A. Sowers; J. M. Deel; J. M. Robinson; W. A. Irwin; T. N. Cobbs; April 12 J. Peyton; Theodore Moren; J. J. Meadow; T. M. Jones; T. L. Wade; J. L. Prichard; April 18 M. Daniel Hurst; J. H. Buford; July 9 J. Driscall; J. B. Stone; J. W. Jones; Ann E. Dangerfield; July 14 J. M. Robinson; J. W. Kinney; Samuel Nowlin; J. A. Elliott; Hurt Preston; W. H. Burks; July 18 A. B. White; H. D. Flood; W. Owens; Sam Maurry; A. Tompkins; Dan H. Hog; B. P. Walker; July 19 J. C. Walton; W. W. Leftwich; R. H. Staton; C. S. Flicker; H. H. Lewis; J. B. Shackelford; Richard Dennis (Elizabeth); J. W. Keith; John P. Hughes; July 21 Chriswell Dabney; W. S. Morris; R. K. Cradle; T. Dillard; V. McGinnis; Joseph Lancaster; Charles M. Garnett; J. A. Cowardin; G. M. Saunders; July 25 T. J. Kirkpatrick; Richard Crawford; J. Franklin; E. N. Eubank; July 27 Wyatt Abbitt; August 1 H. C. Pierce; August 6 Daniel Stratton; Henry Harmiling; G. Siggon; Henry Gillian; August 9 Peter Spence; W. K. Bill; E. J. Gillian; Peter Sullenburger; August 16 Nelson Lowry; September 6 D. G. Kean; F. M. Cabbell; September 10 Edwin Tatod (Jeff); S. Dunnington (Jeff); September 11 Hugh Brown; B. B. Dickinson; September 14

Noble Jordan; J. Boud; September 31 S. Poindexter; M. L. Harris; October 6 John P. Hughes; C. B. Christian; A. B. McDonald; T. J. Burke; B. F. Stone; J. Milon; Hector Harris; October 8 H. H. Lewis; Ben Hunter Gard; R. J. Gillian; October 11 B. P. Walker; R. K. Watkins; T. C. Jerning; W. H. Hall; R. C. Prince; October 15 P. Winter; E. N. Eubank; T. K. Jones; J. J. Meaddom; November 1 Lucy Fitzhugh; R. Caiborne; November 21 Charles Miller; Mrs. E. M. Poindexter; November 13 John Dacey; Charles Byrnes; Rich Dennis; December 4 J. V. Knight (Alfred, William); R. Christian (Steven); W. H. Mountcastle; V. M. Ginn; John H. Smith (Randal, Ballard); December 19 G. Fitzhugh (Richard); C. F. Garnett; December 31 F. G. Morrison; R. S. Ellis; F. M. Barker; J. Crouch; Hugh Brown

1856 – January 1 George D. Davis; Ben Williams; H. V. Thompson; January 2 Thomas F. Goodman; T. K. Jones; T. Dillard; N. B. Magruder; W. S. Minor; January 3 T. L. Walker; J. M. Coble; Hector Harrisin; January 4 T. W. Saunders; J. R. Holcombe; J. D. Taylor; R. K. Watkins; W. Gish; January 7 Dade Hood; January 12 L. F. Johnson; January 18 L. B. Jacobs; January 31 E. J. Gillian; February 1 Mrs. J. M. Boyd; F. G. Morrison; W. Daniel; J. A. Burton; February 6 Ben Hunter; J. S. Payne; M. L. Harris; February 8 W. Kent; Lucy Tate; J. W. Stewart; February 9 Charles B. Christian; February 12 T. Jones; R. Crawford; W. W. Broadus; February 15 W. Stitzer; F. Fitzgerald; M. Humbly; E. M. Hoffman; Isaac Hunter; W. H. Yenglin; F. K. Nelson; C. J. Meriweather; C. Floyd; R. K. Watkins; February 16 Daniel H. Stone; H. F. Sale; February 22 Francis E. Hall; J. Abraham; February 23 C. M. Merridith; C. T. Wills; February 25 Caleb Williamson; February 28 Hector Harris; J. J. Meadow; March 3 E. D. Frazier; Henry Wade; F. M. Barker; N. C. Gary; March 17 Nelson Lowry; W. J. Green; Paul Hull; R. Peyton; March 22 J. S. Payne; Ann M. Ellis; March 24 J. H. Buford; Maria Board; March 28 Mary Whitehall; T. S. Bocock; W. G. Jackson; April 1 A. A. White; J. J. Mays; April 14 John T. Davis; April 19 Mark Anthony; A. W. Saunders; April 28 J. C. Burchfield; April 28 R. K. Watkins; May 10 Josiah B. Sancaster; Richard Dennis; May 13 J. H. Wilson; W. T. Anderson; May 16 R. Crockhill; May 17 Henry Brown; W. G. Jackson; Marshall S. Harris; T. C. Jennings; May 20 John T. Everett; Alexander Kinnier; May 21 Randolph Payton; May 22 Archibald Wade; Dan Stratton; May 26 W. S. Hunter; C. A. Miller; May 30 Sam McDaniel; June 4 S. Brown; June 13 W. B. Preston; A. B. Nichols; June 14 H. H. Lewis; June 18 Melvin Talbott; George Earhart; June 20 R. M. Waddill; June 27 Ben Hunter; G. D. Davis; July 3 John T. Davis; D. C. Clay; July 5 Charles A. Floyd; July 7 Charles S. Scott; July 10 Alfred A. White; July 11 Seth Woodroof (Peter); July 22 G. Whitcock; July 26 J. Downing; August 9 Gilmer Walker; August 16 A. S. Whaling; August 26 Charles J. Harris; J. Cole; R. C. Gary; September 1 T. J. Kirkpatrick; October 1 J. B. Shackelford; October 4 T. S. Wright; October 7 Aubrose M. Donald; October 11 Mary Whitehead; December 6 Randolph Peyton; December 25 G. Calahan; December 26 D. Untermeyer; Pat Callan;

1857 – January 2 Archibald Bowling; January 5 Sarah Wiatt; Marshall Harrison; January 8 Gilmer Walker; January 13 A. Wade; January 24 A. Bolling; February 7 M. Curle; February 25 E. H. Gill; March 2 B. Lee; J. B. Radford; March 30 Stopswood Brown

Ledger, 1855-1857

No names of owners or slaves: January 24; October 6, 8, 10, 12, 13, 16-19, 26; November 3, 6, 12, 13, 14, 16; December 4, 5, 7, 10, 14, 15, 18, 19, 22, 26, 31, 1855; January 2-4, 9, 10, 14, 17, 18, 24, 28, 31; February 1, 2, 4, 6, 8, 9, 12, 14, 16, 19, 20-23, 25, 27, 28; March 3-6, 8, 13, 15, 19, 21, 24, 25, 29, 31; April 1, 12, 14, 16, 19, 28; May 12-17, 19, 20-22, 24, 26-28, 31; June 2, 4, 5, 11-14, 16, 19-21, 23, 30; July 1-5, 7-11, 14-16, 19, 21-24, 26, 28-31; August

1, 5, 6, 11, 12, 14, 16, 20, 26; September 1, 4, 5, 8, 17, 30; October 2, 3, 4, 6-8, 10, 13, 14-18, 21-24, 30; November 3, 4, 7, 21, 28, 29; December 11, 12, 16, 23, 25, 26, 29, 30, 31, 1856; January 2, 3, 5-10, 12, 13-17, 19, 22-24, 26, 28, 30, 1857; February 3, 5, 6, 9, 11, 12, 13, 27; March 5, 20, 25, 31, 1857.

Annual Report, October 29 – 30, 1856

Accidents Resulting in the Loss of Life or Limb:

Involving slaves: 1856, February 5 and April 23. No names of owners or slaves.

Force Employed: At the Depots...66 slaves; Machine Shop...4 slaves; Carpenter Shop...10 slaves; Smith Shop...11 slaves; Road...240 slaves; Bridges...5 slaves; Trains...15 slaves; Engine House...4 slaves

360 slaves employed (16 of which are mechanics.)...

Annual Report, September 16-17, 1857

No names of slaves.

Force Employed: At the Depots...67 slaves; Machine Shop...5 slaves; Carpenter Shop...13 slaves; Smith Shop...13 slaves

435 slaves employed – including 30 mechanics...

22 Negro Houses recorded along the road to house hands

Liabilities: Due for three quarters Negro hires for 1857...\$49,172.26

Journal, July 1, 1857- March 31, 1859

July, 1857 – May 1859: John R. Hughes to Francis J. Morrison for negro hire.

No names of other owners or slaves.

Journal, July 1, 1857 – May 20, 1859

1857 – July 1 John A. Hughes; December 24 F. B. Deane

Other references made: July 3; July 8; August 12; August 20; August 31; September 18; September 30; October 1; October 28; October 31; November 30; December 24; December 31

1858- January 9 Alexander M. Gray (Jordan); January 14 Walter C. Deyerle; January 15 Parker Smith; Henry D. Flood

Other references made: January 9; January 14; January 21; February 1; February 27; March 12; March 31; April 1; April 7; April 30; May 31; June 30; July 31; August 31; September 30; October 1; October 6; October 9; October 18; October 30; November 12; November 30; December 27; December 31

1859 – January 13 I. E. Harrison; January 13 Charles A Floyd (Allen); May 20 B. F. Coleman

No names of owners or slaves: January 3; January 7; January 26; January 28; February 28; May 20; May 23; June 30

Ledger, 1857-1860

Owners: R. W. Jones; R. C. Strother; C. S. Pepper; W. M. Dinwiddie; Clement Page; Robert Eurlay MD; William Owenston; W. M. Sorry MD; Withers G. Martin; John P. Hughes; J. R. Phillips MD; J. Garrett MD; R. Crockett; James; Gilmer Walker; Hugh M. Ewing; William Dennis; R. E. Jetson MD; Robert Crockett and Son; James Leammer MD; Samuel M. Conkey MD; J. J. Loving MD; Campbell White MD; Preston Pitt MD; John H. Griffon MD; E. F. Shettman MD; I. H. Nowlin MD; Phillips Pepper MD; J. L. White MD.

No names of owners or slaves: May 30, June 30, July 2, July 6, July 11, July 29, July 31, August 31, September 30, October 5, October 8, October 12, October 20, October 31, November 11, November 30, December 19, 1857; January 2, January 4-9, January 13-15, January 18, January 21, January 22, January 26, January 27, February 2, February 3, February 9-11, February 24-26, March 4, March 15, March 20, March 22, April 3, April 5, April 14, April 23, April 28, June 9, July 3, October 4, October 4, October 5, October 9, November 9, November 23, December 15, 1858; January 5-7, January 24-26, January 29, February 1, February 2, February 4, February 7, February 17, February 18, March 28, April 20, May 12, July 30, August 11, October 29, December 31, 1859; January 31, 1860.

Day Book 1857-1860

1857 – April 1 W.W. Leftwich; Randolph Peton; Saml. Nolan; Mrs. James Garland; John P. Hugley; John W. Calahan; Charles M. Blackford; A. Tompkins for D. H. Hoge; Robert Crockett; April 2 H.H. Lumis Agt for Estate of F. R. Shackelford; E.J. Gilliam; James A. Haumer for Daniel Stratton; E.R. Page; Garland & Slaughter for C.A. Floyd; James A. Stiegler; April 4 Tho. W. Leftwish; Richard Curle [freeman]; J.R. McDaniel; Wm. Neighbours for Martha Tuggle; F. G. Morrison for S. White; Marshall S. Harris; Wm Owens & Son for John H. Moorman; April 7 Wm A. Millen for E. Wortham & Co. assignee of Samuel Schoolers; Langhorne & Holcombe; Mrs.. DE. Dangerfield; John M. Speed; Mariah Wright; E. N. Eubank; Thomas H. Burnesfirst; N. C. Manson; Samuel H. Early; John Goode; Edgar Whitehead; Thos. G. Hill; Thomas E. Jennings; P.H. Ryan for Wm Daniel; Charles W. Christian for C.F.M Garnett; April 9 James Shearer; Clifton V. Webb; Joseph H. Wilson; H. Whyte for B.B. Jackson; John M. Otey for Charles S. Scott; John M. Otey for William Waller; Samuel P. Poindexter; April 11 Peter Spence & Co. for Lucy Fitzhugh; April 13 Thos. Flood for T.S. Bocock; Samuel Crockett; April 15 A. Tompkins for F. Allison Sr.; Mrs.. P.J. Thompson for H.V. Thompson; John M. Otey for F.H. Conway; James Elliott; H. B. Sumpter for Miss S. W. Percival; J. Franklin for A. R. White; James Franklin; April 18 Thos. G. Hill; T.T. Moorman for Judith Moorman; William S. Morris; Frank Gaium; Wm P. Noal; Mary D. Buchanan; April 21 Charles H. Ruckin assignee of Francis E. Hall; A. Tompkins for R. H. Maurry & Co. assignees of John C. Downing; A. Tompkins & Co. for Philip Samuel; April 25 Alfred A. White; John W. Lowry agt for T.E.R. M.E. Lowry; May 1 Mark Andrews; Mark Andrews for John W. Andrews; T. C. Ivey for E. O. Daniel; May 9 A. Tompkins for Geo. W. Coleman; John M. Otey for Walker Washington; M. Davis for T. K. Jones; May 13 Thomas Kelso for Hugh Brown; A. Tompkins for Wm N. Jordan; May 16 F. G. Morrison for George B. Washington; T. I. Brown; Benjn. Wilkes for Francis Christian; May 22 Alexander Mc Daniel; W. R. Preston; Wm Musit; May 28 W. A. Leftwich; June 1 H. Dannington for Mrs.. Lucy Tate; June 9 F. G. Morrison for R. C. Combs; F. G. Morrison for J. H. Dickinson; June 16 R. C. Mitchell for F. R. Stone; John A. Somers for James Campbell [free]; June 30 J. W. Andrews; W. W. Hanson; July 1 John P. Huglin; S. White Trustee; Langhorn & Holcombe for Mrs. Ann E. Dangerfield; Farmers Bank VA for F. W. Coleman;

M. D. Buchannan; Mary W. Buchannan; Saml Nowlin; Mrs.. James Garland; Alexr McDaniel; R. G. h. Kane for C. M. Blackford; July 2 Richd Crawford; Eliza B. Christian; Richard Curle [free]; James M. Cobb for W. H. Lee; James M. Cobb for Ben Hunter; Jos. H. Wilson; Garland & Slaughter for Charles A. Floyd; N. C. Manson for R. E. Manson Trustee for J. Merriwither assignee of Saml H. Early; Jos H. Philips assignee of J. W. Callahan; July 3 Charles W. Christian agt for C. F. M Garnett; Jn M. Otey for C. S. Scott; Jn M. Otey for Withers Waller; Jn M. Otey for F. H. Conway; Jn M. Otey for T. K. Jones; Jn M. Otey for J.G. Wallace; Thos S. Richardson for Tho. J. Brown; July 6 Samuel Crockett; Phil Samuel; Samuel S. Schooler; John T. davis; M. S. Harris; Richd Perkins; F. G. Morrision for E. S. Motley; Alfred A. White; Tho. C. Walsh for R. McDaniel; July 7 W. O. Owen; Tho. W. Leftwich; Henry White for Ben B. Jackson; W. W. Leftwich; C. F. Harris; A. R. Nichol assignee of John Goode; P. J. Thompson for H. V. Thompson; July 8 James Franklin; James Franklin for A. R. White; T. C. Jennings; John W. Lowry for P. E. & M. E. Lowry; T. W. Sanders for J. B. Sanders; H. H. Lewis agt for H. R. Shackleford; T. H. Ivey agt for E. O. Dance; July 11 H. B. Sumpter for S. W. Sumpter; July 13 James A. Hacuner for "an ill Stratton"; James A. Hacuner for Jesse Thornhill; James A. Hacuner; James A. Hacuner for R. C. Gary; C. M. Wade for Archibald Wade; L. A. Horton; W. R. Neighbour for Martha Tuggle; J. H. Moorman; July 15 R. C. Mitchell for F. B. Stone; Judith Moorman; Hector Harris; July 17 R. C. Hackett; July 24 Henry D. Flood; Henry D. Flood for F. S. Bocock; Geo. W. Dawson for R. A. Coghill; F. G. Morrison for Walker Washington; July 27 F. G. Morrison for P.H. Burress assignee of John Smelser; Randolph Peyton; Wm T. Booker for Garnett H. Leo; August 1 Saml Pryor; John W. Jones; Mark Andrews; August 4 Saml T. Poindexter; John W. Sale; August 8 L.P. Preston for James A. Stiegler; August 10 John W. Sheed for Mrs. Inarnia Wright; Washington Hunter; August 15 Jos H. Stone; J. Morton Shue for Maria L. Leftwich; August 17 Joseph M. Shue for Jn O. Leftwich dec'd; P. H. Ryan for Wm Daniel; Archibald Bolling; August 22 B.W. Jones for Abram L. Stiff; Wm A. Hardy; September 7 Waters Shined & Co for P. Moncure; September 14 Bowling Clark; September 16 Winston W. Faukesley for Caleb Williamson; September 19 Christopher Moore assignee of Judith B. Cardwell admis. Of the Estate of J. E. A. Cardwell dec'd; September 21 F. G. Coleman (Ben); October 3 S. White Trustee; C. R. Slaughter atty for Charles A. Floyd; H. Whyte for Ben B. Jackson; Withers Waller; Jos. H. Philips assignee of J. W. Callahan; C. S. Scott; H. Conway; H. D. Flood; H. D. Flood for Thos S. Bocock; Saml Crockett; Wm R. Mosby for C. S. Mosby (Merchant); October 5 James A. Hamner; James A. Hamner for R.C. Gary; James A. Hamner for Jesse Thornhill; James A. Hamner for Daniel Stratton; Joly & Saunders for Thos W. Leftwich; Geo. McRucker assignee of John P. Hogley; James Franklin for A. B. white; R. E. Manson trustee assignee S. H. Early; T. H. Ivey for E., O Dance; James Franklin; John R. McDaniel; F. G. Morrison atty for E. S. Mottey; October 6 Wm Neighbour for Mrs. Martha Tuggle; J. W. Anderson; P. J. Thompson for H. V. Thompson; Phill Samuel; A.R. Nichol assignee of John Goode; Tho. T. Moorman for Judith Moorman; Langhorne & Holcombe for Mrs. Ann E. Dangerfield; J. H. Moorman; October 8 S.C. Hunt for Mrs. Frances Christian; Richard Curle [free]; W. H. Taylor for Wm H. Hall assignee of Wm A. Leftwich; Jos H. Wilson; Nelson Lowry assignee of A. M. Lowry; October 12 James M. Cobbs for J. W. Jones; October 15 James A. Stiegler; J. M. Reese for Hugh Brown; F. G. Morrison for W. W. Hanson; Wm L. Bell admr of the estate of John O. Leftwich; October 17 F. W. Coleman; October 20 F. Bank for Mary D. Buchannan; Mary W. Buchannan; James A. Duffan; F. W. Coleman; Beverly Crump; C. D. Reynolds assignee of Wm Gishassignee of Wm B. Preston; Mark Andrews; Joby & Saunders for Wm Muse; October 21; Alexr McDaniel; James Elliott; Wm A. Miller for Saml Schooler; October 24 A. Tompkins for Michael Wallace; H. B. Sumpter for Alfred A. White; Saml Pryor; October 28 J. Morton

Spruce for his wife formerly Maria L. Leftwich; Thos J. Brown; R. G. Bell for S. S. Thomas; A. Tompkins [for] R. H. Maury; P. H. Gilmen; November 2 John W. Lowry agt for T. E. & M. E. Lowry; R. C. Mitchell and R. C. Combs; F. B. Stones; November 7 Saml T. Poindexter; John C. Marrell for B. Cox; Walker Washington; Gustave A. Saunders; November 17 Chas W. Price for H. L. Stiff; November 19 Wm H. Hall assignee of Sarah Wright; November 23 Caleb Williams; November 27 P.H. Ryan for McDaniel; G. A. Wingfield for E. B. Christian; December 1 Mrs. P. J. Thompson for H. V. Thompson; Peter Spence & Co for Lucy Fitzhugh; W. O. Owens; December 10 Daniel Stratton; December 19 Thos P. Mitchell; December 28 F. G. Morrison

1858 – January 2 F. R. Deanne for Elizabeth Fraizer; James A. Hamner; Jesse Conch for Doris Conch; Tho. C. Walch for John R. McDaniel; L. C. Mosley for C. L. Mosby; Saml. H. Quaren for Mary J. G. Watkin; Washington Hunter; Wm Hagden for A. Ogden; John T. Murrell for Garland and Slaughter in full of C. A. Floyd; E. S. Hutter; Peters Spence & Co for P. Moncure; Peters Spence & Co for Lucy Fitzhugh; H.J. Garrett; H. D. Flood for T.S. Bocock; January 4 James Franklin; Wm H. Leo admr; J. P. Whaling for J. W. Neal; M. R. Bohannan for Alexr Wade; H.D. Flood; James Benogh for Mrs. M. Richardson; R. K. Watkins for C. F. Harris; James Garland; Chisince Dabney; James H. Philips for J. W. Callahan; Leo Johnson & Co. for D.C. Dunn; Thos M. McKinney; Wm T. Booker for Garnet Lee; E. S. Halsey for Seth Halsey; Franklin & Bro for A. B. White; Jos. H. Wilson; T. J. Brown; Phillip Samuels; J. R. Holcombe & Co for Ann E. Dangerfield; W. H. Hall assignee of Sarah Wright & Wm A. Leftwich; H. H. Lewis agt for the estate of F. B. Shackelford; Marrell & Stratton for Alexr McDaniel; Marrell & Stratton for James A. Marshall; T. C. Jennings; W. O. Owen; McConkle & Co for Floyd Rocke; January 5 W.W. Leftwich; William Neighbours for Martha Tuggle; M. S. Harris; A. B. Nichols; W. V. Jordan for Richard Dearing; Wm L. Bell admr of Jn O. Leftwich dec'd; Richard Curle [free]; James F. Paine for Fleming Saunders; J. T. Davis; James Elliott; C. M. Blackford; C. Blackford Trustee for M. M. Leftwich; January 6 A. B. Rucker for T. G. Hill; James W. Stewart; Spotswood Jones; L.A. Horton; W. G. Morrison; James A. Stiegler; F. H. Conway; Paul Hull; Withers Waller; Charles S. Scott; N.C. Manson for J. H. early; F. G. Morrison for P. H. Gilmus; January 7 Judith Moorman; F. G. Morrison for E. S. Motley; Wm Q. Spinedteller; F. G. Morrison for Geo. B. Washington; Jn. M. Otey for James Montith; T.T. Saunders; Alfred W. Anderson for Archibald Wade Sr.; Hector Harris; January 8 Richd Crawford; John H. Moorman; John A. Harris for Dabney & Co MD assignees of R. C. Hackett; John Irby; January 13 James L. Campbell; Hugh Brown; John M. Preston for James Saunders; Saml Ferguson for Eliza B. Christian; January 14 R. D. Moor assignee of John P. Hughes; H. Whyte for B. B. Jackson; Beverly Crump; R. D. Moore; P. j. Thompson for H. V. Thompson; Tho. W. Saunders for James B. Saunders; J. J. Williamson for Caleb Williamson; G. A. Saunders; Saml Crockett; Thos H. Burnes; Irby & Saunders for Sawney Price; C. E. Jones for A. L. Stiff; James Shearer; January 16 Saml Nowlin; C. V. Winfree for E. O. Dance; Saml Pryor; January 18 J. H. Thompson assignee of J. W. Sale; J. W. Jones; Wm H. Moorman; Jhn W. Jones; A. Tompkins for Mary D. Buchannan; A. Tompkins for E. A Craddock; A. Tompkins for W. W. Hanson; A. Tompkins for Michael Wallace; A. Tompkins for Michael Wallace; A. Tompkins for M. W. Buchannan; A. Tompkins for James Duffin; A. Tompkins for B. B. Wright; A. Tompkins for Jn Smith; January 21 A. Tompkins for F. W. Coleman; A. Tompkins for N. S. Battaile; A. Tompkins for John Collins; A. Tompkins for Margaret B. Crump; A. Tompkins for B. F. Coleman; A. Tompkins for Johnson Fitzhugh; Bolling Clark; Charles W. Christian agt for C. F. M. Garnett; J. m. Spence for M.L. Leftwich; Simpson & Brothers for Matilda Thareton; Jos Wilson for Winston Radford trustee for Elizabeth Wilson; Jn M. Otey for

J. H. Wilkinson; Jn M. Otey for W.W. Forbes; January 22 Saml T. Poindexter; Jn M. Otey for Wm Jordan; F. K. Nelson; January 25 Richard Perkins; E. R. Page; A. Tompkins for Geo. W. Burke; January 26 John W. Lowry agt; Jn M. Otey for Sarah Sweatman; January 27 F. B. Stone; C. T. Wills; January 29 C. W. Hardy for Wm A. Hardy; P. H. Ryan for Wm Daniel; James C. Hazter; February 3 John H. Moore; Younger & Smith for R. Sedgwick assignee of O.P. Read; Jn M. Otey for J. G. Wallace agt; Wm B. Preston; February 20 Ben Wilkes; February 24 Mark Andrews; Wm A. Millen for Saml Schooler; February 25 Dinwiddie Committee; B. C. Combs; March 1 Wm T. Anderson for Alfred A. White; March 15 A Tompkins for A. G. Broadders; Spotwood Brown Gardn; March 18 C. J. Harris; March 20 L. F. Johnson; March 27 David R. Edley; Thos F. Pollard for Archibald Wade; F. G. Morrison for S. White Trustee; April 1

1859 - January 3 Sara Crockett; Pelinn Skinner for H. H. Wallace; for Peter Spencer and for J. W. Kay; R. Holcometree for James Riaz; J. R. Holcomtree for Ann E. Dangerfield; J. H. Wilson; B.L. Whitenbier; Preston L. W.; E.D. Fraylon; Dr. for W. Owens; January 4 Hugh Chandler; Kinni Sanders for Agnes; Thos. W. Sanders; D.V. Woodroffs; Alison Wade; James S.; W.G.M.; C. Dobney; A.F. Wilson; Washington Hunter; L.T. Hopkinson; N.V. Thompson; J. McGinnis; Richard M. Wells; J.H. Griffinson; St. Griffin for Charles A. H.; Mr. Gillian; M.L. Harris; W. Anderson; Wilbert L. Martin; G.S. Pepper; January 5 Richard Perkins; A.J. Cawfford; Mr. S. Morris; J. M. Lucker; G.G. Waile for Thos. W. Leftwick; J.W. Gallahan; Richard Draring; John M. ShuDin; John M. O'ley; James Monlush; A.H. Lucken; R.R. Junstaw; R.J. Crockett; G.R. Wallace; James L. Hammand; Jonny Montuth for Sarah Swuatman; Ms. M. Spied for Mrs. Maria Wright; January 6 C.C. Wade for J.A. Burton; J.H. Wilmer; R.H. Wilmer; J.H. Brown; Tho. S. Walker; Geo. W. Valentine for Tho. J. Brown; M. Daniel; Charles L. Masby; John T. Davis; James A. Hammond for Charles P. North; Mr. Owens; January 7 J.N. Jorden; John J. Goodwin; W.M. Flanton; M. Wallace; Mary D. Buchanan; A.G. Rroaddey; John Owens; M.R. Crunch; Paul Haw; J.R. Phillips; H.M. Erving; B.R. Wright; John Owens; M. A. Wright; January 8 Alex M. Gray; John A. Hughes; A. McDaniel; January 10 Mr. St. Wright; January 24 Charles W. Christian; Rich Crawford; John Smilfan; Hector Harris; J.D. Pierree; W. J. Green; W. J. Moor; E.O. Daniee; W. Lefton; W. Wallace; James Suanders; R. O. Dob; G.H. Gilmore; M.M. Leftwich; J.C. Jennings; Sarah Wright; Henry Dunnington; T.T. Sunders; D. Crouch; J.C. Moncuro; J.H. Smith; Geo. Callahan; W.H. Moorman; E.M. Poindexter; Davis D. Poindexter; Charles E. Auqua; James W. Stewart; John S. Caldanice; J.C. Hyter; P. Saunders; J.C. Hammeth; John H. Smith; J.H. Nomlin; Caleb Williamson; C. Christian Arlington; Mr. Owens; James L. Crawford; John H. Griffin; J.H. Nomlin; January 26 Peter Spence for N.S. Rautails; January 29 John R. McDaniel; Peter W. Hairston trustee for E.S. Stuart; C.T. Wells; Tho. J. Jarratt; Ro. A. Coghice; Charles W. Christian; January 31 Mr. Owens; Daniel Stratton; Agnes L. Ingles; February 3 W. Kent; R & J Crockett; February 4 J.C. Burchfield; James L. Morgan; Wm. Plunkett; R. & J. Crockett; February 7 Seth Halsey; Thos. A. Harris; February 15 F.G.M. Tho. McKinny; Ro. C. Mitchell for F.K. Nelson; J.S. Plain for Levi C. Dowdy; February 17 John L. Saunders for S. F. Johnson; Wm. Owens; A. Tompkin for J. H. Dejarnett; William Owens; Wm. Hobson; February 23 Parker Smith; February 25 G. A. Saunders; February 28 Ro. Earlys; Wm. Owen; E.J. Sheltuian;

No names of owners or slaves: 1857 - April 2, 4, 13, 30; May 30; June 30; July 30; August 29; October 5; October 31; November 27; December 19, 28, 31. 1858 - January 2, 4, 5, 6, 7, 8, 14, 16, 18, 21, 22, 25, 27, 30; February 3, 24, 25, 27; March 1, 5, 15, 20, 31; 1859 - January 6, 7, 24,

Ledger, 1857 – 1860

1857- April 1 W. W. Leftwich; Randolph Payton; Sam. Nowlin; Mrs. J. Garland; John P. Hughes; John W. Calohan; Charles M. Blackford; A. Tompkins; April 2 Dr. F. Robert Crockett; H. H. Lewis; E. J. Gillian; J. A. Hawner; E. R. Payne; Garland Slaughter; J. A. Stigler; April 4 T. W. Leftwich; Richard Curle; W. Nughborun; John J. Flonnoy; F. G. Morrison; Marshall S. Harris; Dr. F. Cash; W. Owens; April 7 W. A. Miller; Sam Schooler; John M. Speed; E. N. Eubank; N. Manson; John Goode; Edgar Hetchard; Thomas Jennings; P. H. Ryan; Charles W. Christian; April 9 J. Shearer; Clifton V. Webb; W. R. Bohannan; J. H. Wilson; H. Whyte; John M. Otey; Samuel T. Poindexter; April 11 Peter Spence; April 13 H. D. Flood; Samuel Crockett; D. J. Saundries; I. F. Loving; Robert Early; W. Owen; April 15 A. Tompkins; P. J. Thompson; J. Elliott; J. Franklin; April 18 T. G. Heill; T. T. Moorman; William S. Morris; Frank Gains; W. P. Noel; Mary D. Buchannan; April 21 Charles H. Rucker; April 25 Alfred White; John W. Lowry; May 1 Mark Andrews; E. O. Dance; May 9 M. Davis; May 13 T. Kelso; R. C. Saunders; May 16 T. G. Morrison; T. J. Brown; B. Wilker; May 22 Alexander McDaniel; W. B. Preston; June 1 H. Dennington; June 16 R. C. Mitchell; John A. Somers; June 30 J. W. Andrews; W. W. Hanson; Robert Crockett; July 1 John P. Hughes; S. White; Langhorn Holcombe; F. Bank; Mrs. James Garland; July 2 Rich Crawford; Eliza B. Christian; Richard Curle; James M. Cobb; J. H. Wilson; Garland Slaughter; M. Manson; J. H. Philapine; July 3 Charles W. Christian; T. S. Richardson; July 6 Phil Samuels; Sam S. Schooler; John J. Davis; M. S. Harris; Rich Perkins; J. G. Morrison; Alfred A. White; T. C. Walch; July 7 Henry White; C. F. Harris; A. B. Nichol; N. C. Taliaferro; July 8 J. Franklin; J. C. Jeaning; John W. Lowry; T. W. Saunders; H. H. Lewis; July 11 H. B. Slaughter; July 13 J. H. Hammer; C. M. Wade; L. A. Horton; W. R. Neightor; J. H. Moorman; July 15 Judith Moorman; Hector Harris; July 17 R. C. Hackett; July 24 Henry D. Flood; G. W. Dawson; July 27 Randolph Payton; July 30 I. F. Loving; August 1 Sam Pryor; John W. Jones; Mark Andrews; Sam Poindexter; John W. Sale; August 8 L. Preston; August 10 John M. Spud; Washington Hunter; August 15 J. H. Stone; J. Morton; August 17 Joseph M. Shucford; Archibald Bolling; August 22 B. W. Jones; W. A. Hardy; Peter Spine; September 14 Bowling Clark; September 16 Winston W. Faukerey; September 19 Christopher Moore; September 24 F. G. Coleman; October 3 S. White; H. Whyte; C. S. Scott; F. H. Conway; W. R. Mosby; October 5 J. A. Hawner; Daniel Stratton; G. M. Rucker; R. E. Manson; October 6 Mrs. P. J. Thompson; Phil Samuel; A. B. Nichol; October 8 S. C. Hunt; W. H. Taylor; Nelson Lowry; October 12 J. M. Cobbs; October 15 J. M. Reese; W. L. Bellamore; Beverly Crump; C. D. Reynolds; October 21 A. McDaniel; J. Elliott; W. A. Miller; October 28 I. Morton; J. C. Brown; R. G. Ball; P. H. Gilmer; November 2 R. C. Whitehall; November 17 C. M. Price; November 19 Sarah Wright; November 23 Caleb Williamson; November 27 P. H. Ryan; G. A. Wingfield; December 19 S. Pepper

No names of owners or slaves: April 9; April 15; April 18; April 21; April 25; April 30; May 1; May 9; May 13; May 28; May 30; June 1; June 9; June 30; July 1; July 2; July 3; July 6; July 7; July 8; July 13; July 15; July 24; July 27; July 30; August 1; August 29; October 3; October 5; October 6; October 8; October 15; October 17; October 20; October 24; October 28; October 31; November 2; November 7; November 27; December 1; December 10; December 19; December 28

1858 – January 2 F. B. Deanne; T. C. Walch; Sean H. Tuarler; L. Page; W. Hogden; John I. Murru; E. S. Hutter; Peter Spence; James M. Cobb; January 4 T. McKinney; E. S. Halsey; I. R. Phillips; Franklin Booker; R. C. Strother; Mursell Stratton; January 5 Wither Martin; J. T. Davis; January 6 J. W. Stewart; H. I. Garrett; L. A. Horton; Charles S. Sett; January 7

Judith Vicoorman; January 13 Hugh Brown; John M. Preston; Sam H. Ferguson; January 14 R. D. Moore; J. J. Williamson; T. H. Burnes; January 16 C. V. Winfred; Silas P. Vaughter; January 25 Richard Perkins; January 29 C. W. Hardy; February 20 R. C. Hurt; March 15 Spotswood Brown; March 18 C. J. Harris; March 27 David R. Ealey; T. J. Pollard; April 1 T. K. Jones; G. A. Burton; S. C. Woodruff; John T. Davis; April 2 John H. Stone; Marshall L. Harris; April 16 G. R. Peake; April 27 George W. Witt; April 28 P. H. Barr; May 1 Hector Harris; June 15 James L. Morgan; July 8 Murrell Stratton; July 9 William Moon; August 5 W. Hobson; August 7 T. J. Walker; September 1 Francis Thornton; M. M. Ewing; September 17 R. H. Kane; October 1 T. K. Jones; October 4 W. M. Black; October 5 J. T. Davis; October 17 I. B. Anthony; October 30 M. W. Hutcherson; November 8 B. B. Wright; December 27 A. M. Smith; December 28 Abram Shelton;

No names of owners or slaves: January 2; January 4; January 5; January 6; January 7; January 8; January 14; January 16; January 18; January 21; January 22; January 25; January 26; January 29; January 30; February 3; February 24; February 25; February 27; March 1; March 5; March 15; March 18; March 20; March 27; March 31; April 1; April 2; April 7; April 10; April 16; April 19; April 23; April 30; May 1; May 17; May 31; June 3; June 9; June 30; July 1; July 3; July 8; July 9; July 15; July 19; July 22; July 24; July 27; July 30; July 31; August 2; August 5; August 7; August 16; August 19; August 21; August 28; August 31; September 1; September 6; September 22; September 25; September 30; October 1; October 4; October 5; October 6; October 8; October 17; October 21; October 22; October 26; October 30; November 8; November 27; November 30; December 1; December 8; December 15; December 18; December 23; December 27; December 28; December 31

1859 – March 22 G. P. Luck; R. W. Burton; March 28 A. Tompkins; W. Owen; J. J. Johnson; March 31 H. M. Ewing; J. F. Loving; W. F. Figgatt; Preston Pitts; April 1 F. G. Morrison; J. H. Wilson; W. M. Goodman; Sam Nowlin; Charles Christian; J. Franklin; I. C. Slaughter; John P. Hughes; D. C. Dunn; W. Daniel; A. A. Hudson; John R. McDaniel; J. N. Gordon; John J. Davis; April 3 Sam Crockett; J. A. Buchannan; W. A. Preston; T. A. Harris; R. J. Crockett; April 7 T. G. Hedgman; C. V. Newfru; J. A. Hammer; J. M. Rucker; R. Crawford; S. C. Woodroof; Dr. Cash; April 11 John H. Patterson; John H. Flood; John S. Map; J. A. Pierce; Phillip Samuels; W. Gibboney; J. W. Kay; R. Dennis; G. A. Raine; G. I. Cock; E. McGavock; W. G. Coleman; W. W. Mosby; R. Sawyer; J. S. Morgan; W. W. Hanson; April 15 J. Fitzhugh; W. W. Leftwich; John Smeller; Elijah Smeller; April 20 Richard Dennis; D. C. Dunn; W. Terry; T. Wood; April 20 H. M. Sanders; J. M. Harris; April 22 Gary D. Buchannan; J. C. Haytem; W. Tucker; John Greg; R. Tinsley; April 26 B. Carnifit; R. Dop; April 28 S. Baker; Daniel Stratton; May 3 F. J. Bauard; W. A. Hardy; E. S. Hatter; A. McDaniel; May 6 R. C. Steptoe; W. C. Perrow; May 11 Washington Hunter; C. V. Webb; W. Waller; Hector Harris; R. R. Taliaferro; May 16 C. Smith; H. V. Thompson; May 19 A. B. White; L. Hocke; May 23 Joseph Leonard; May 24 R. A. Coghill; May 28 W. J. Campbell; Marion J. Victor; M. W. Lowry; Withers Martin; T. A. Harris; June 17 G. P. Luck; S. A. Patterson; June 20 Sam G. Staples; C. Page; June 22 A. P. Price; June 24 William J. Green; July 2 J. A. Pierce; Ann E. Dangerfield; William A. Gillian; John Gray; J. W. Kay; F. M. Barker; A. S. Stiff; Lu Rocke; Paul C. Cabell; July 5 A. A. Hudson; T. Wood; R. Sawyer; W. W. Hanson; July 8 T. J. Walker; John M. Speed; Robert Dennis; John Young; July 20 John H. Patterson; R. A. Hunter; July 25 J. Franklin; A. B. White; John B. Lie; July 26 D. A. Langhorne; J. M. Callahan; July 27 W. C. Cerrow; J. L. Morgan; July 28 T. H. Burnes; August 3 T. Trent; B. B. Wright; D. P. Jones; C. V. Webb; August 9 H. V. Thompson; August 12 Charles W. Christian; August 20 E. G. Hill; S. A. Patterson; August 26 L. Rocke;

S. J. Blanton; August 30 J. H. Griffin; September 2 F. S. Thomas; Rich Dennis; September 7 C. P. Seth; September 28 C. F. Harris; October 3 R. A. Hurt; R. Sayer; H. B. Homes; October 19 J. W. Utz; C. S. Jones; October 29 Richard Perkins; W. J. Green; Tom Wood; Frederick Roberts; Elijah Holley; John B. Lee; M. B. Preston; October 29 G. A. Saunders; November 30 Florentine Robinson; W. Terry; Marrion J. Victor; W. C. Coleman; December 31 John Earhart; W. C. Smith; Charles F. Garnett; Sally W. Price; A. Wade; S. Wright

No names of owners or slaves: April 1, April 3; April 11; April 15; April 22; April 26; April 28, May 3; May 11; June 20; June 30; July 2; July 5; July 8; July 20; July 25; July 26; July 27; July 28; July 30; August 3; August 9; August 12; August 26; August 30; September 6; September 7; September 22; September 30; October 3; October 19; October 29; November 30; December 31

1860 – January 20 Joseph Patterson; John M. White; Benjamin S. Carnifit; January 31 W. C. Park; F. J. Ballard; E. G. Hill; T. McKinney; E. H. Craddock; Frederick Roberts; John H. Smith; A. Pettyjohn; John H. Patterson; Charles H. Lynch; Richard Dearing; Alexander Wade; W. Wright; T. K. Nelson; Paul Hull; M. Watson; James Shearer; D. H. Griffin; W. J. Alexander; R. Early; H. J. Garrett; L. Bowyer; J. Fitzhugh; Stephen Perrow; Phil Samuels; Frederick Robertson; W. C. Parks; February 29 Hector Harris; Maria Wright; William Kent; James S. Irwine; March 31 Jacob Fowler; J. C. Hayter

No names of owners or slaves: January 20; January 31; February 29; March 31

Journal, August 5, 1858 – March 31, 1860

1858 - August 5 William Hobson; Walker Washington; J. R. H. Combe; Ann E. Dauger; R. C. Mitchen; Withers Walker; William Leftwich; W. J. Warren; A. J. Wilson; August 7 C. A. Floyd; Thomas S. Walker; James M. Spud; Maria Weight; August 19 R. H. Ryan; William Daniel; August 23 J. H. Buford; Bob Dennis; August 25 John H. Brown (Griffin); August 28 Thomas M. Stay; William Owen; September 1 Alex McDaniel; Francis Thornton; John J. Brown; R. J. Crockett; H. Ewing; September 10 J. Woodall; Jave B. June; Florence Robinson; September 21 R. G. H. Keana; Oneill W. Sutton; Cyrus Fisher (Henry); Noble Jordan; G. A. Saunders; September 23 Mary Morarity (Thomas); September 27 William R. Mosby; Charles L. Mosby; September 30, 1858: J. G. Morrison; October 1 J. B. Diane; Mrs. E. D. Frayser; John R. McDaniel; Peter Spud; J. R. H. Combe; E. Dangerfield; L. K. Jones; October 4 Sara Nowlin; A. B. Rucker; William D. Tucker; C. J. M. Garnett; E. A. Dance; S. C. Woodrod; Rich Cramford; G. A. Burton; Timble Black; William J. Moon; M. S. Harris; Sara Crockett; Josh H. Wilson; Toby Saunders; Daniel Stratton; A. Tompkins; J. North (James); J. B. Wallace; B. B. Tunstall; October 5 James Saunders; A. Tompkins; Geo. Earnhart; John T. Sain; J. A. Hawner (Hugh); Thomas W. Saunders; Kinner Sander; A. Ogden; Richard Dennis; October 7 Charles A. Floyd; Thomas L. Jones; A. J. C.; James M. Jordan; A. Tompkins; October 11 Sara Hobson; John Goode; J. B. Author; October 12 W. Waller; R. C. Mitchell; William G. Green; October 14 James M. Tucker; A. B. White; James Franklin; October 18 John P. Hughes; F. G. Morrison; October 19 William Hobson; J. H. Buford; October 21 Woodall A. Finer; R. P. Jones; Alex McDaniel; W. B. Preston; William S. P. Hopkins; October 22 William Daniel; October 27 William A. Hardy; J. M. Reese; October 30 William Hutcheson; November 1 B. B. Wright; November 9 Victor Spence; Geo. K. Taylor; H. H. Wallace; November 23 H. Gilmore; A. W. Price; J. C. Murrell; November 29 J. A. Stigler; J. C. Jeaning; December 1 R. M. Wells; December 13 James Campbell; December 18 Seth Halsey (Randall); J. H. Buford;

December 22 Sandy K. Jones; Dan Stratton; December 28 W. W. Jordan; A. W. Smith; William J. Robinson; John S. Blair; Sam C. Miller; December 29 Abram Shelton; Geo R. Peake; Thomas L. Jones; J. G. Morrison.

1859 - January 3 Sam Crockett; Peter Spence; H. H. Wallace; Peter Spence; Josh W. Key; James C. Hammock; J. R. Malcombe (Jane); Jason Nowlin; J. R. Holcombe; Anne E. Sangorfield; J. J. Loving; R. L. Crockett; Josh Wilson; Geston Pitty; C. L. White; T. E. Lowey; E. D. Froyer; Will Owen; January 4 Kinner Sanders; Hugh Chandler; Thomas W. Saunders; S. C. Woodcroft; Alex Wade; James Shearer; William G. Ghet; Chris Dabney; A. Wilson; Washington Hunter; W. L. Hohkis; H. V. Thompson; Noble J. McGinn; Rich M. Wells; John H. Griffin; Charles H. Floyd; William A. Gillian; Marshall L. Harris; Wither L. Martin; C. S. Pepper; January 5 Richard Perkins; A. J. Caugh; J. C. Murru; W. S. Morris; W. H. Agrew; James M. Rucker; A. J. Engledom; C. L. Wade; J. W. Callahan; Richard Dearing; John M. Shed; John M. Oley; A. B. Rucker; B. B. Dunsiau (Woodfon, Wright); R. J. Crockett; G. B. Wallace; James P. Hammett; R. J. Crockett; James Monteth; Maria Wright; January 6 C. C. Wade; H. V. Thompson; L. Walker; L. W. Valentine; William Daniel; William K. Mosby; John T. Davis; J. A. Hawney (Charles); William Owen; J. Carly; January 7 J. N. Gordon; W. W. Hagon; Michael Wallace; Mary D. Buchannan; A. G. Broaddom; M. B. Crunch; J. P. Hammett; Dave Hill; R. J. Crockett; J. R. Holt; J. R. C.; H. M. Earing; B. B. Wright; William A. Wright; January 8 J. M. Otey; Alex. M. Geran; A. T. Johnson; A. Thompkins; John C. Hughes; Alex McDaniel; January 10 A. Thomkins; William H. Wright; January 24 Clement F. Harris; Charles W. Christian; C. M. Garnett; Richard Crawford; John Smeller; Hector Harris; J. A. Price; William J. Green; William J. Moon; E. O. Dance; William Leftwich; Withers Waller; James Saunder; R. O. Dole; P. H. Gilmer; William W. Leftwich; J. C. Jennings; William A. Leftwich; Sara Wright; Henry Dunnington; T. T. Saunders; J. C. Moncure; J. H. Smith; Jes Callahan; William H. Moonman; E. M. Poindexter; Davis D. Poindexter; Charles E. Fugud; James W. Stewart; John S. Caldwell; Phill Samuels; John H. Smith; J. H. Nowlin; R. C. Mitchell; Caleb Williamson; A. Ogden; William Owens; S. Hobson; John Goode; R. J. Crockett; James Campbell; January 25 Paton Spencer; W. S. Battle; January 29 John R. McDaniel; James Franklin; A. B. White; Peter W. Haiston; E. L. Stewart; January 29 C. T. Wills; Thomas J. Jarrett; R. H. Coghill; February 1 Daniel Stratton; Agnes L. Angles; February 3 William Kent; R. J. Crockett; J. C. Burchfield; James L. Morgan; W. N. Plunkett; February 5, 1859 Seth Halsey; Thomas A. Harris; April 9 Ann E. Dangerfield; H. Patterson; John H. Flood; J. S. Pirce; Phillip Samuel JR.; William Gibboney; J. M. Kay; Rob. Dennis; J. W. A. Raine; April 15 Geo L. Cock; E. McGavock; William Owen; G. Coleman; W. W. Mosby; Rob Sawyer; James L. Morgan; C. Dabney; W. W. Hanson; C. H. Leftwich; April 20 J. Fitzhugh; W. W. Leftwich; J. W. Leftwich; John Smeller; Elijah Halley; Richard Dennis; C. Dunn; William Terry; Thomas Wood; April 20 A. M. Saunders; J. M. Harris; James Franklin; E. Ward; Mary D. Buchannan; April 22 J. C. Hunter; William Jacken; John Greg; R. Chimley; April 26 R. S. Carne; R. O. Dap; R. H. Wiatt; R. Gibboney; L. Rake; April 29 Daniel Stratton; May 3 F. J. Ballard; William Leftwich; W. A. Hardy; E. S. Hutter; K. M. Daniel; May 6 R. C. Steptoe; Clement F. Harris; William C. Perrow; May 11 John M. Otey; C. V. Webb; W. Hunter; W. Waller; Hector Harris; Thomas A. Harris; May 13 B. B. Tuliaferro; C. Smith; J. M. Anderson; William A. Gillian; May 17 H. V. Thomson; C. E. Webb; May 19 A. B. White; L. Locke; Jacob Merchant; May 23 Joseph Leonard; May 27 R. A. Coghin; W. Campbell; Marion J. Victor; June 9 R. A. Hurt; June 17 Geo. P. Luck; S. A. Patterson; William Hobson; June 20 Sam G. Stapler; J. P. Hammett; William Owen; C. Page; June 29 S. White; July 2 J. A. Pierce; J. R. Holcombe; Mrs. Ann E. Dangerfield; William A. Gillian; W. T. Anderson;

Mary W. Buchannan; Mary D. Buchannan; John Gray; J. W. Kay; W. Waller; A. Price; Peter D. Hedgman; F. M. Barker; J. C. Hayter; R. P. Patterson; A. S. Stiff; William Terry; A. L. Stiff; J. H. Wilson; Ben S. Carnifer; R. C. Mitchell; S. Rocke; Jacob Merchant; William Hobson; July 5 Paul C. Cabu; R. Coghill; C. M. Garnett; F. M. Garnett; William M. Blackfoot; W. C. Smith; A. A. Hudson; Thomas Wood; William Green; Thomas W. Leftwich; S. C. Woodroof; Sam Crockett; Sam Nowlin; R. H. Wiatt; R. Sawyer; R. Gibboney; John Rake; F. L. Ballard; W. W. Hanson; John R. McDaniel; July 6 William Gibbs; R. Crawford; July 8 J. T. Davis; John Davis; W. W. Mosby; I. L. Walker; A. M. Saunders; G. L. Cock; John M. Spud; Mariah Wright; J. N. Gordon; P. H. Gilmer; J. R. Tinsley; J. M. Spud; R. Dennis; Lawly Baker; John Young; E. O. Dance (Mike); July 11 E. McGavock; July 20 John H. Patterson; James M. Rucker; John M. Otey; James Saunders; R. A. Hurt; E. Frazier; Charles L. Mosby; July 25 A. C. Duhn; C. Samuel; James Franklin; John B. Lee; R. C. Stiploe; C. E. Webb; R. M. Toliferro; John C. Hughes; Washington Hunter; D. A. Langhorne; John Smiller; Elijah Holley; July 26 D. A. Langhorne; J. W. Callahan; July 27 W. C. Perrow; J. W. Saunders; James L. Morgan; James M. Odgen; J. W. Sanders; July 28 C. S. Jones; Joseph Crew; James A. Hammer; I. H. Burnes; July 30 Marion J. Victor; August 3 T. Trent; William Tucker; B. B. Wright; D. P. Jones; Sarah Wright; R. Tinsley; C. V. Webb; August 16 Paul C. Cabell; Sally E. Rose; R. A. Coghill; August 26 E. G. Hill; S. A. Patterson; August 26 D. C. Dunn; W. O. Owen; J. J. Blunton; G. W. Raine; I. Fitzhugh; C. S. Mosby; A. Noell; September 6 F. S. Thomas; Richard Dennis; C. S. Mosby; Sally M. Pierce; A. McDaniel; Pepper Stirna; September 7 William Daniel; C. P. North; J. H. Buford; Sept. 9 G. B. Wallace; September 26 W. N. Jordan; September 27 C. F. Harris; John L. Oglesby; Sept. 30 S. White; Rob Gibboney; C. H. Gilmer; October 3 R. M. Taliaferro; R. A. Hurt; R. Sayer; W. O. Owen; R. H. Wyatt; W. N. Jordan; C. F. Harr; John Goode; R. A. Coghill; W. Hobson; H. B. Hoomey; A. A. Hudson; W. W. Leftwich; October 5 J. M. Kay; Sam Nowlin; A. P. Rice; J. M. Rucker; G. I. Cock; W. W. Hanson; E. McGavock; Daniel Stratton; R. Crawford; Dave A. Hammer; Joseph Crew; W. Waller; Charles P. North; W. Saunders; A. M. Saunders; C. M. Garrett; D. C. Dunn; G. W. Kain; J. A. Price; S. C. Woodroff; October 17 Sam Miller; Frederick Robertson; James C. Hawwett; October 29 John T. Davis; G. B. Wallace; John H. Flood; D. A. Langhorne; A. C. White; J. Slaughter; James Franklin; J. W. Utz; John C. Henderson; J. M. Barker; M. G. Perrow; John M. Otey; C. S. Mosby; J. N. Gordon; S. C. Woodroof; C. S. Jones; A. S. Stiff; Richard Perkins; F. J. Ballard; John Gray; Peter Hidge; J. Tinsley; J. Caldwell; E. G. Hill; J. H. Wilson; Barry Carnift; I. Wood; Phill Samuel; John McDaniel; October 29 Ann E. Dangerfield; W. Leftwich; W. Gibboney; Henderick Roberts; John Smeller; Elijah Holley; C. S. Mosbey; J. H. Dejarrett; J. H. Burnes; John B. Lee; Jacob Merchant; S. Baker; Sam Crockett; A. McDaniel; R. C. Steptoe; Richard Dennis; James Harris; W. Tucker; H. Keaton; G. A. Saunders; M. B. Preston; R. P. Patterson; G. A. Saunders; H. M. Knet; G. A. Saunders; Mary W. Buchannan; November 30 W. W. Leftwich; Ian Charles; J. S. Morgan; Hector Harris; J. W. Callahan; C. E. Webb; J. W. Utz; M. E. Hurt; G. Luck; Florentine Robinson; W. Terry; John Davis; D. P. Jones; A. C. Dunn; W. A. Gillian; Marion J. Victor; W. Daniel; M. E. Hurt; W. G. Coleman; M. W. Jones; Nov. 30 Robert Tinsley; December 31 J. Shearer; R. A. Christian; Sam Miller; J. R. Phillip; J. S. White; C. Christian; R. Crockett; Thomas Frost; Rich Dearing; A. Pettyjohn; A. Wade; S. Wright.

1860 - January 31 John H. Patterson; W. Hardy; Rich Dennis; F. M. Barker; W. A. Haron; P. H. Gillian; John M. White; R. S. Comfort; John B. Swim; J. Saunders; A. Noel; John S. Bafs; A. C. Dunn; T. W. Saunders; Elizabeth Crawford; H. B. Homer; John Goode; J. M. Ogden; W. Hobbs; R. H. Wyatt; R. H. William; W. C. Parks; W. Gibboney; R. Sayer; W. Waller; E. McGavock; W. W. Hanson; G. B. Wallace; Woodson Wright; C. F. Harrison; John Earhart;

W. J. Green; W. F. Hart; J. M. Harrison; Washington Hunter; G. A. Raine; F. J. Ballard; Mary W. Buchannan; Chapman S. Jones; A. S. Stiff; John M. Otey; John Pierce; Francis Christian; A. A. Hudson; Mary Buchannan; S. A. Patterson; T. Wood; John A. Young; C. S. Mosby; Johnson Fitzhugh; A. B. White; A. Gillian; Joseph Crew; J. M. Harris; C. E. Webb; W. Tucker; J. A. Hammer; J. M. Harrison; E. G. Hill; A. M. Saunders; John P. Hugh; M. W. Jones; M. Walker; T. McKinney; John Sennis; W. Terry; M. Wallace; J. S. Walker; W. Daniel; J. W. Callahan; E. A. Craddock; Sarah Wright; James W. Harris; W. G. Coleman; W. Daniel; A. Pettyjohn; M. M. Kigley; T. McKinney; C. B. Wallace; J. R. Tinsley; J. S. Caldwell; R. Moon; J. J. Blanton; T. T. Saudnerson; M. E. Hurt; S. Crockett; W. O. Owen; Sam Nowlin; J. H. Patterson; James Saunders; W. W. Mosby; Alex Wade; Woodson Wright; R. Moone; J. J. Kent; J. H. Stone; Paul Hill; E. A. Craddock; C. Dabney; J. M. White; T. McKinney; James Saunders; B. B. Wright; John H. Patterson; M. Wallace; M. Wallace; M. M. Kigley; J. M. White; T. T. Saunders; E. Crawford; J. J. Saunders; John H. Smith; R. D. Moon; John Stone; A. Pettyjohn; T. McKinney; W. H. Wright; T. McKinney; M. M. Kingsley; W. H. Wright; E. Crawford; T. T. Saunders; T. McKinney; John Blanton; A. Pettjohn; E. Crawford; John H. Patterson; J. McGinnis; S. F. Johnson; J. F. Kent; M. Wallace; T. K. Watson; J. F. Kent; J. K. Nelson; T. W. Leftwich; J. Mont; J. S. Campbell; Charles Hogmouth; N. F. Thornton; S. Johnson; John Young; Rich Drarington; N. F. Thorton; Alex Wade; W. Hunter; Frances Christian; Woodson Wright; J. K. Nelson; J. Mogden; J. Christian; Paul Hill; W. Owen; R. Tinsley; A. P. Price; J. Griffin; D. Saunders; R. Crockett; W. W. Leftwich; R. Crockett; R. A. Hurt; L. Bowyer; R. R. Wright; W. Owen; J. H. Stain; John Owen; Peter Hedgman; R. E. Jeter; W. C. Person; Ann E. Dangerfield; J. T. Loving; W. W. Wright; W. Owen; M. P. Watson; James Sharer; D. P. Jones; J. H. Griffin; W. J. Alexander; J. McGinnis; Preston Pitty; R. C. Strother; Preston Pitty; R. C. Strother; W. A. Leftwich; R. C. Strother; R. M. Taliafer; J. H. Griffin; W. Owen; W. J. Alexander; S. Johnson; L. Baker; R. Crockett; J. H. Patterson; R. Early; J. C. Slaughter; W. Owen; J. H. Loving; K. Nelson; J. H. Smith; J. F. Loving; C. V. Webb; J. W. Leftwich; Pepper Sharner; R. Crockett; L. F. Loving; J. Montuth; H. J. Garrett; J. S. Cawhill; Daniel Stratton; T. Arent; R. Coghill; Rich Dearing; R. Crockett; J. Franklin; J. Fitt; H. J. Garrett; Stephen Perrowin; C. P. North; J. N. Jordan; M. Patterson; John Jones; S. A. McConkey; R. P. Patterson; J. F. Loving; R. Gibboney; J. C. Haurauth; W. Owen; L. J. Cock; Phil Sam; J. H. Wilson; R. Crockett; Preston Pitt; Jacob Merchant; W. Leftwich; W. Owen; Pepper Sharner; W. Wright; John Sutton; J. C. Harmouth; H. J. Garrett; W. Owen; Woodson Wright; J. H. Dejarrett; R. Early; J. M. Rucker; Charles L. Mosby (Martha); Frederick Roberts; Albert M. Daniel; T. H. Burnes; James L. Morgan; W. C. Parks; February 29 R. Clement; H. V. Thompson; W. Campbell; Dr. Hector Harrison; W. Owen; Maria Wright; W. Kent; R. Crockett; R. C. Steptoe; February 29 W. Kent; John M. Sherd; Maria Wright; Jane S. Irvine; Fleming Thomas; C. E. Frig; C. E. Fryer; D. A. Langhorne; March 31 C. H. Leftwich; Sam. L. Gray; Jacob Fowler; W. Hughes; Rich Perkins; G. Luck; F. G. Morrison; J. C. Hayton; Sam Gray; M. J. Victor; J. Robinson; E. Hutter; J. S. Jameson; R. Fancy; H. Thornhill.

Annual Report, September 15-16, 1858

No names of slaves.

Force Employed:

There are 341 slaves in all at Depots, on the Road, with Passenger Trains, Freight Trains, Gravel, Material and Wood Trains, including 34 mechanics...

Annual Report, September 12-13, 1860

No names of slaves.

Slaves in depots, 75; on repairs of road, 231; in carpenter shops, 10; in smith shops, 13; in copper and paint shops, 3; machine shops, 10; train service, 37; wood and gravel trains, 24. In all 407...

Journal, 1860

June 13, Washington Hunter; June 30, 1860 - Samuel G. Staples.

No names of owners or slaves: March 31, May 31, 1860.

Ledger A., November 1860 – March 1864

No names of slaves. Combined entries for amounts paid to named owners and aggregate amounts paid.

November 9, 10, 13, 15-17, 22, 23, 28, 29, 30, 1860; December 1, 3-5, 8, 12, 13, 18, 21, 22, 26, 27, 31, 1860; January 1-3, 1861; January 4, 5, 7-12 W. A. Leftwich; 14, 15 Nancy Edgar; 16, 17 R. M. Taliaferro; 18, 22-24 Mrs. F. Christian; 25 W. P. Preston; 26, 28 J. C. Slaughter; 29, 30 H. F. Bocock; 31; February 2, 5 Sally M. Lynch, 6, 7, 1861; 7, 12, 14 R.W. Comer; 20, 21 Margart Gartdil; 22 W.F. Tuckade; 26; March 1, 2 William Kent; 5, 14, 15, 29, 30; April 1, 2, 3, 4, 5, 6, 8 James Campbell; 9, 10, 11, 12 R.A. Coghill); 13, 15, 16; April 16, 17, 19, 22, 23 Jason M. Ogden; 24, 26, 29, 30; May 1, 2 J.F. Davis; 7, 8, 9, 13, 14, 16, 20, 27, 28, 29, 31; June 1, 3, 4, 22, 29; July 1, 2, 3, 4, 5, 6, 8-12; 12, 13, 15-18 M.H. Crump; 20, 23, 25, 26, 30, 31; August 1, 2, 3, 5, 8, 13-15, 22, 23, 28, 29; September 4, 5, 12, 16, 19, 25, 26, 30; October 1, 2 G.B. Wallace; 3 W. Robinson; 4, 5, 7-10 John Jones; 11, 12, 14-19, 23, 26, 28-30; November 5, 6, 12-14, 16, 19-21, 23, 26; December 9, 11, 12, 17, 19, 20 William H. Trent; 21, 24; 26, 27, 30 Rev. Charles Wingfield; 31 Richard Dearing; January 1, 2, 5, 6-8, 10, 11, 13-15 Jacob Merchant; 16 W.F. Yingling; 17, 18, 20, 21 H.D. Flood; 22 J.P. Hughes; 23, 24 J.W. Barnett; 29, 30, 1862; February 1, 2, 6, 7, 8, 11, 13, 15, 18, 19, 20, 25, 26; March 17, 20, 21, 27, 30; April 1, 2, 3; 4, 5, 7, 9-12, 14-19, 23, 24, 26, 28, 30 W.C. Smith; May 2, 3, 6-8, 10, 12-14 G. Saunders; 15, 19-22, 30, 31; June 2, 4, 5, 12, 31; July 1-5, 7-13, 15-17, 19, 21-24, 26, 28, 29-31; August 1, 2, 4-7, 9, 12-16, 18, 20, 21, 23, 25, 27-29; September 1, 3, 8, 10, 11, 13, 16, 17, 19, 25, 27, 29, 30;

October 1, 2, 3, 4, 6, 7, 9, 10, 11, 13, 14, 15, 16; 16-18, 21, 22 H.W. Floyd; 24, 25, 27-29; November 1, 3, 4, 7, 8, 10-12, 14, 15, 20, 21, 22, 24, 26, 27, 29; December 2, 6, 12, 16, 17, 18, 19, 22, 23, 24, 25, 27, 29-31, 1862; January 1, 2, 3, 5 S.C. Hurt; 6-10, 13-17 P.G. Cabell; 19 J.S. Crockett; 20, 21, 22; 23, 24, 26, 29, 30 Thomas Corke; 31, 1863; February 2-4 L.M. Oglesby; 5, 9, 12, 13, 19, 25, 27 F.A. Austin; March 4 E.A. Gibbs; 5-7 R.P. Finny; 11, 13, 14, 16, 17, 18; March 19 W.A. Hardy; 20, 24 Samuel Miller; 25, 31; April 1-4, 6-11, 13 R.G. Sutton; 14, 15 J.R. McDaniel; 16-18, 20, 22, 24, 25, 27-30; May 2, 4-8, 11, 20, 25, 26, 29; June 4, 11, 12 G.W. Clement); 13, 17, 19, 24, 29, 30; July 1-4, 6-11, 13-18, 20-25, 27, 28, 30; 31 William Hobson; August 3 Michael Wallace; 6, 10 John Washington; 11-13, 18-20, 24, 26, 28; September 3, 5, 8-12 Maj. William Leftwich; 14, 15, 18-21, 29, 30 G.W. Dillard; October 1-3, 9, 21; 22-24, 28, 29, 31 J.W. Berry; November 3, 5-7, 11, 13 H.T. Minor; 14, 17-19, 24, 25, 27; December 3, 5, 7 J.M. Wallace; 8, 10-12, 16 Kent Mitchell; 22, 23 R.E. Garey; 26 Mrs. S. A. Campbell; 28, 29, 30; 30 A.L. Stiff; 31 J. W. Perry 1863; January 1, 2, 4, 5-8 W.H. Kinkle; 9 Seth Halsey; 11 R.H. Bucknor; 12, 13, 14, 15, 16, 18, 19

Wesley Wright; 27, 28, 29, 30; February 1-3, 6, 8 M.M. Williams; 9, 10, 15, 16, 18 Mrs. Sarah Wright; 20, 29; March 2, 14 John O. Washington; 15 Samuel Miller; 16 (J.H. Knight); 17, 18 L. Anderson; 19, 21, 26, 28, 30, 1864. March 30, 31 (W.H. Gray), 1864.

Journal, 1860-1863

1860 - April 30 D. C. Dunn; John Goodwin; John T. Davis; Dan A. Longhorne; R. Hoghill; J. A. Pinco; W. W. Leftwich; E. W. Gavock; Jason Franklin; Robert Tinkey; W. D. Tucker; A. Pettyjohn; A. A. Hudson; Jackson Fitzhugh; J. N. Jordon; R. A. See; T. A. Walthall; J. H. Wilson; H. V. Thompson; John Greg; E. Woodhoop; Thomas Moseley; A. Tompkin; Thomas Mouly; J. A. Hawner; G. L. Locke; Palestine W. Noell; H. Wallace; Ann E. Dangerfield; E. G. Hill; Charles A. Plogs; I. Merchant; Mr. A. M. Saunders; J. W. Kay; John A. Daniel; J. W. Jones; P. A. Gilmer; J. P. Hughes; W. W. Stickley; J. C. Slaughter; R. J. Rurmey; William Trucker; Martha Tuggle; Sarah Wright; John C. Moncure; T. Wood; J. H. Davidson; C. J. Harris; John Gibboney; John Leftwich; L. A. Bagbie; W. E. Patterson; M. S. Harrisin; Robert Gibboney; Sam Nowlin; R. Soft; R. Sayer; Sam Crockett; Paul Cabcell; Reston Getty; Robert Early; William Owens; R. A. Moone; J. H. Ruford; W. B. Preston; Dan H. Rusney; Thomas Owens; R. Crockett; R. A. Coghill; G. B. Maunce; John Minon; Joseph Crewin; C. V. Webb; John Sowny; D. S. Patterson; William Daniel; T. Wallace; May 3 M. P. Watson; Robert Crockett; T. W. Saunders; M. Liftwich; R. M. Connor; Elijah Holley; J. Ballard; June 30 F. Morrison; Huston Harrison; Michael Riley; William Waller; D. Dunn; R. A. Coghill; W. W. Latwich; J. Lock.; Jacues Wauklin; August 31 A. C. Harrison; John Minor; J. H. Buford; Phillip Achler; Martha Tuggle; Sally M. Price; H. H. Wallace; Margaret Gaddisin; Ralph Conner; Flaming Thomasin; James Metcalf; D. S. Patterson; William Owens; October 23 William Settwich; J. D. Walthace; M. Wallace; G. B. Wallace; E. B. Halloway; A. A. Hudson; R. M. Talin; Sarah Wright; W. C. Smith; James Campbell; J. T. Davis; John Sowny; M. D. Buchannon; John Goodwin; E. Woodoof; E. Halley; H. McKent; R. M. Talin; D. A. Longhorne; R. M. Taliaferro; J. S. Steptoe; John Moorman; John Dickinson; Charles A. Floyd; L. A. Bagbee; C. V. Webb; C. F. Harris; W. O. Owen; Thomas Mosley; C. V. Webb; W. W. Leftwich; D. C. Dunn; D. S. Patterson; Martha Tuggle; Paul Cabu; R. McDaniel; Robert Tinsley; William Tucker; W. W. Stickley; C. Dabney; J. S. Crockett; W. L. Grun; C. S. Mosby; Mrs. A. M. Saunders; A. M. Smith; W. A. Softwick; G. W. Noel; T. Wallace; James Campbell; Ann E. Daugerfield; M. E. Harris; John Rod; E. McGavock; William Gibboney; J. Merchant; J. W. Jones; John S. Calwell; R. Aleoghill; J. G. Morrison; T. Mosley; Fleming S. Thomas; A. B. White; R. S. Wooson; Sam Nowlin; R. A. Coghill; P. H. Gilmer; J. N. Gordon; R. Pirkins; E. B. Wallar; T. Wood; Rob Gibboney; James Campbell; Mary W. Buchannon; M. W. Buchannon; James Campbell; Josh Giny; David Duncan; Rob Sawyer; W. D. Tucker; G. A. Saunders; R. A. Sye; John Gary; H. Burner; M. A. Gillian; M. Leftwich; R. J. Burnegin; A. S. Stiff; J. M. Kay; J. B. Sayne; J. H. Wilson; C. E. Webb; John Smilser; Archibald Wade; John Moorman; T. G. Burrup; Sam Staples; John Moncure; George A. Cock; A. S. Whaling; Frances Christian. November 3 J. G. Shears; James A. Wamner; Robert W. Conner; November 22 J. H. Dands; December 8 H. V. Thompson.

1861 - January 1 R. A. Lee; R. Perkins; Washington Hunter; John M. White; Mrs. A. M. Saunders; Washington Hunter; James Metcalf; C. A. Floyd; I. S. Pendleton; L. A. Bagbio; C. E. Webb; Thomas Trust; James A. Hammer; D. F. Patterson; J. L. Stiff; J. D. Walltrall; J. H. Burnes; J. H. Gordon; Clifton V. Webb; Nancy Conner; January 3 G. J. Cocke; A. Wade; Susan Shephard; Susan Speller; W. W. Manson; Dr. John Minor; Jack Dearing; T. E. Reynolds; Seth Halsey; January 2 Thomas Trunt; F. S. Thomas; James M. Cobbs; I. M. Patterson; I. M. Wilson; I. M. Jones; D. Cauch; I. I. Hughes; R. A. Caghill; William Tucker;

Thomas Mosley; M. L. Morris; John Stone; W. W. Liftwich; Lillian F. Jordan; John Jonis; January 5 E. G. Hill; A. B. White; I. B. Layou; I. F. Switman; I. H. Jones; James Franklin; I. I. Alanton; I. L. Stephon; W. Waller; John Goode; R. C. Gary; Thomas Wallace; W. E. Satterson; R. Tinsley; I. F. Switman; William Liftwich Jr.; I. I. Planton; W. D. Tucker; D. A. Longhorne; W. O. Owen; D. L. Dunn; C. F. Harris; January 7 Anne E. Dangerfield; A. A. Hudson; John Dickson; L. P. North; H. V. Thompson; T. T. Saunders; I. Crown; John Guy; Paul Cabell; R. I. Burney; T. G. Morrison; F. T. Ballard; T. H. Gilmer; A. M. Smith; Dr. Charles Fright; Maria Fright; F. G. Morrison; Joseph Cown; T. T. Saunders; C. J. North; John Dickson; January 8 F. W. Naill; Ges F. Luck; John C. Mancure; Paul Hill; J. A. Pannett; William Daniel; C. Dabney; Charles Sunsbrough; Martha Tuggle; John Lowey; E. M. Clay; R. O. Dof; January 22 A. C. Harrison; M. C. Leftivoch; South Side Railroad Company; J. H. Stone; Mary M. Chambers; January 25 R. B. Preston N. P. Preston; W. W. Hickley; L. Merchant; J. L. Slaughter; James N. Buford; H. M. G. Hunt; January 30, 1861: Samuel Newlin; H. F. Bocock; E. Woodruff; February 1 Parker Jones; February 5 S. L. Dearing; Sally M. Lynch; Mary W. Burchanan; G. B. Rallaco; R. L. Woodson; John Bodken; J. Kegley; A. Thampkey; February 6 Johnson Pitzhugh; H. H. Wallace; E. M. Garack; Mrs. E. R. Wallace; Elizabeth B. Halloway; Michael Wallace; Mary R. Cuchanan; G. B. Wallace; J. S. Crockett; William Gibboney; R. S; Robert Sayers; Samuel Crockett; W. J. Greene; Robert Gibboney; Thomas Woods; February 25 Ancher Pettyjohn; March 1 J. H. Davidson; William Henry; W. G. M. Gennis; March 5 W. J. M. Ginny;

April 8 W. C. Smith; T. W. Sanders; James L. Campbell; William Leftwich; J. W. Wilson; John B. Dabrey; William Owen; J. W. Ians; R. D. Moore; J. B. Sayore; John Guy; Jane J. Hicklin; April 20 W. H. Moorman; W. D. Woodson; James A. Watkins; W. A. Leftwich; James M. Ogden; J. W. Pettigrew; James L. White; M. M. Williams; April 26 J. D. Coler; Henrietta Cunningham; Catherine Gatewood; William Morely; William Saunders; A. J. B. Rucker; W. A. Gillian; May 2 A. M. D. Smith; May 9 C. A. Slaughter; A. C. Harrison; July 23 Mrs. E. W. Withers; John Guy; A. Wade Sr.; J. M. Philips; July 25 J. S. Caldwell; H. D. Flood; W. A. Payne; I. V. Deaton; August 21 John Waller Jones; R. C. Gary; E. M. Clayton; J. V. Depton; August 24 P. H. Noell; September 2 Geo P. Rick; Rick Dearing; September 9 W. Robinson (David); A. M. Maybell (Isaac); J. J. Camden (Jack); October 2 William Leftwich; J. N. Gordon; R. A. Coghill; P. A. Gilmore; John Minor; J. D. Prince; A. G. Cox; Wynham Robinson; J. D. Peirce; J. L. Crockett; M. L. Slaughter; H. W. Hill; Samuel Pryor; J. P. Haphur; J. M. Longhorne; J. W. Callahan; C. L. Morby; October 3 C. Gatewood; R. W. Crenshaw; Jane L. Hicklin; Charles Hendson; J. H. Wilson; Emma S. Crenshaw; John Goode; A. J. Rucker; M. L. Harris; P. W. Noell; J. H. Davis; J. W. Pettygrew; J. J. Saunders; James Franklin; A. B. White; William Daniel; Mary A. Wills; James D. Taylor; Samuel Fries; October 11 M. S. Stiffey; William M. Pettyjohn; D. D. Pointdexter; John Smilser; J. M. Rucker; November 25 John Guy; W. B. Prestons; December 17, 1861: Mrs. Jane Picklin (Henry); Mrs. Sally M. Lynch (Daniel); John S. Ralph (Jordan); John Minor (John); J. K. Jones (Riland); Charles Henderson (Carter); G. B. Wallace (Jim); R. A. Coghill (John, Bill); E. B. Wallace (Emanuel); Rev. Charles Wingfield (Cliff); W. H. Lunch (Stephen); Catherine Gatewood (Nimrod); J. J. Burks (Henry); Rich Dearing (Fountain); Warhinton Hunter (Nathan); D. C. Dunn (John, Adam); Michel Wallace (Henderson); Washington Hunter (Umphrey); J. S. Thomas; W. W. Floyd (Dick); John Minor (Thornton); December 26 J. W. Wingfield; W. W. Mickley (Henry); December 30, 1861: P. H. Gilmer; W. W. Roberts; G. C. N. W.; F. G. Morrison; James Dicklin (Henry); H. D. Flood (Priscilla); A. Boutwell (Warner); J. D. Prince (Ian); W. A. Liftwich (Charles); W. C. Perrow (Luke); A. C. White (William); J. M. Ogden (Robert); Samuel D. Rice (Booker); L. D. Robinson (Henry); J. W.

Calahan (Jim); Thomas Mosely (Dick, Andrew); P. C. Cabell (Don); W. W. Roberts (William); Susan L. Dearing (Henry); Benny Williams (Jacob); R. C. Gary (Dick); C. A. Floyd (Jordan); M. O. Richardson (Jack); Robert Family (John, Peter, Noah); C. A. Slaughter (Alex); G. C. Wingfield (Henry); M. D. Richardson (James); Hugh Nilson (Nick); John Camden (Jack); C. Dabney (Sullivan)

1862 - January 1 Jason H. Harris; Jas A. Hammer; R. Y. Hosseley; A. Wade; U. C. Walker; J. J. Stratton; I. C. Slaughter; J. R. Crews; A. Wade; J. C. Walker; I. W. Harris; H. J. Minor; J. F. Suitman; Thomas Wallace; Ann E. Eubanks; G. J. Cock; P. D. G. Hedgeman; Robert Dinsley; J. W. Andrews; Hugh Nelson; A. C. White; I. N. Gordon; A. Pettyjohn; W. A. Mason; D. A. Langhorne; H. A. Gillian; Seth Halsey; Beiger Williams; J. D. Pierce January 2 Thomas Floyd; J. M. Camden; J. B. Layne; J. W. Callahan; John Guy; A. J. Minor; John Guy; Seth Halsey; W. A. Gillian; J. B. Layne; J. N. Gordon; A. C. White; Hugh Nelson; R. Tinsley; Ann E. Eubank; John Camden; J. D. Price; Benjamin Williams; C. J. Harris; J. Scott; J. A. Smith; A. C. Harrison; John Dickison; W. C. Perrow; C. R. Slaughter; C. W. Jones; R. W. Chrinshaw; R. A. Pendleton; R. A. Coghill; Emmanuel Chrinshaw; Thomas Cock; C. L. Moorbey; A. M. Jennings; W. Hunter; William Ducker; January 3 J. Goode; P. W. Noe; Thomas Moseley; Jus M. Smith; Jim Dickerson; William C. Perrow; C. R. Slaughter A. M. Jennings; R. A. Coghill; William Cock; Washington Hunter; William Tucker; Joe Grade, Thomas Moseley; Sally M. Lynch; I. G. Dearing; Susan Dearing; John Minor; E. Hill; I. J. Crockett; Samuel Prince; Wynham Robinson; I. H. Wilson; J. H. Miller; I. A. Watkins; C. Dabney; I. B. Dabney; Mrs. E. D. Frayzer; P. C. Cabell; J. M. White; A. B. White; James Franklin; P. W. Withers; January 4 Lamb Nowlin; R. W. Withers; Sally M. Lynch; J. G. Dearing; John Minor; E. G. Hill; J. H. Miller; E. Dabney; Paul C. Cabell; Wyndham Robinson; James Franklin; C. H. Lynch; Thomas L. Walker; C. P. Luck; W. Dandel; John Hancock; Hector Harris; Elijah Hally; J. M. Ogden; Alice Mussell; E. M. Poindexter; R. A. Coghill; M. M. Williams; M. L. Harris; January 9 R. A. Fogus; Samuel Garland (John); H. V. Thompson; J. L. White; H. Boyd; J. R. Price; J. D. Taylor; M. R. Wills; January 7 A. Thompkins; A. Pucker; Robert Giboney; M D. Buchannan; Michael Wallace; G. B. Wallace; C. A. Floyd; M. W. Buchannan; I. R. M. Daniel; W. W. Leftwich; Samuel Garland; M. O. Buchannan; I. R. McDaniel; January 11 John Smeler; H. Dunnington; Spingly Hamlin; J. W. Bettygreed; J. D. Dicklin; Catherine Gatewoods; Samuel Miller; Charles Henderson; R. D. Moore; A. G. Cox; A. A. Henderson; A. W. Floyd; January 13 Samuel Miller; N. W. Floyd; Charles Henderson; Catherine Gatewood; I. I. Ficklin; John Smilon; January 14 Lucy Perkins; J. S. Campbell; Arthur Lewis; W. A. Leftwich; J. H. Adams; Jacob Merchant; January 16 W. A. Yingling; Sarah Wright; S. B. Poindexter; Seth Woodruff; S. W. Prince; H. H. Wallace; D. D. Poindexter; January 18 A. Ogden; Samuel Pryor; Thomas Longhorne; W. A. Mosbey; January 21 H. D. Flood; I. I. Davis; I. P. Hughes; January 23 William Leftwich; J. W. Burrows; J. W. Basnech; W. J. Parker; John Loury; January 27 William Owens; W. A. Payne; W. A. Hands; James Mucalfe; W. H. Crump; H. H. Lewis; Robert W. Brown; January 31 J. H. Moorman; K. D. Woodson; J. M. Rucker; February 8 Mrs. E. B. Wallace; J. P. Mitchell; F. A. Deaton; February 24 A. D. Stiff; C. J. Merryweather; March 15 W. B. Preston; March 18 John Mitchum; N. J. MCGunnis; W. A. Payne; April 1 Samuel Howlin; C. Wingfield; E. A. Gibbs; C. Dabrey; J. G. Morrison; A. J. Rucker; J. C. Slaughter; Paul C. Cavell; H. D. Flood; J. A. Huyson; W. W. Roberts; Hugh Nelson; Seth Halsy; S. Rutherford; Scott Hix; A. Hancock; J. L. Schoolfield; S. C. Hush; J. A. Watkins; J. L. Arrington; S. C. Hugh; Samuel Payor; J. M. Ogden; April 4 S. A. Campbell; I. I. Reynolds; I. W. Sale; L. B. Harwick; William C. Perrow; William Daniel; S. D. Williams; I. N. Goron; E. W. Westers; I. W. Pettygreew; I. H. Stone; I. H. Chalmus; C. A. Floyd; W. N. Floyd; Thomas Moseley; P. H.

Ryan; D. D. Poindexter; H. L. Duggle; J. D. Poindexter; C. P. North; W. D. Christian; W. W. Crius; J. B. Oglesby; J. W. Callahan; M. M. Williams; April 11 J. M. Rucker; Thomas Jillis; John Goode; G. C. N. Wingfield; I. A. Dannes; John Smucker; I. W. Jennings; John Williams; P. W. Noell; W. C. Perrow; April 17 Robert Patterson; M. Langhorne; Daniel A. Langhorne; D. B. Joplins; I. L. Burts; I. S. Davis; I. E. Baze; R. B. Dumstall; C. K. Taylor; May 6 Thomas H. Flood; I. M. Watts; E. H. Epps; Rich Dennis; May 12 S. L. Moorman; William Hobson; May 13 Wesley Hugh; G. Abbot; I. O. Scott; T. T. Saunders; May 19 S. A. Patterson; S. S. Poindexter; May 30 H. A. Payne; A. C. Hill; June 2 I. C. Daliaferror; A. A. Legrand; P. D. Cofer; Samuel Pryor; Mrs. P. H. N. Connor; June 25 J. K. Knight; M. A. Church; Thomas Cork; June 30 G. C. N. Wingfield July 1 D. T. Mann; J. J. Reynolds; S. Garland; C. Dabey; Hugh Neilson; W. Kinklin; Samuel Nowlin; M. Langhorne; C. R. Slaughter; M. R. Slaughter; J. J. Cocke; A. Cohn; J. W. Pettyjohn; F. O. Thompkins; Thomas L. Arrington; J. S. Crockett; J. M. Gordon; H. S. Chatmore; P. L. Moorman; F. G. Morrison; S. A. Campbell; W. W. Floyd; B. A. Gibbs; P. H. Dillard (Patrick); H. D. Flood; Sammuel Rucker; J. W. McAlliter; July 5 S. D. Williamson; A. C. White; Charles Wingfield; I. A. Furguson; W. W. Leftwich; A. T. B. Rucker; Charles A. Floyd; John Saulser; John B. Dabney; John Manor; W. D. Tucker; I. W. Callahan; I. P. Yuell; Matilda M. Williams; I. L. Schoalfeld; A. G. Hancock; P. H. Ryan; William Daniel; I. L. Burts; R. A. Coghill; John A. Tanner July 11 J. E. Faliafor; W. C. Perrow; July 12 L. M. Agliobe; H. Dunnington; J. P. Hughes; Joseph B. Oglesby; S. P. Browning; Ges Abbott; I. H. Buford; M. S. Steffey; Nancy Legrand; A. Liftwich; I. H. Miller; I. C. Slaughter; July 15 I. M. Ogden; S. C. Hurt; I. S. Harris; A. A. Arthur; John Buford; R. P. Jenny; John Fuller Jr; R. M. Cocke; P. A. Talbot; July 21 R. C. Gary; G. W. Clements; John Williams; S. P. Poindexter; John T. Poindexter; David D. Poindexter; W. W. Roberts; Henry Cooper; I. W. Stuart; Susan A. Hobron; H. V. Thompson; I. E. Bayle; W. A. Thompson; Rick Dennis; Hector Harris; K. C. Hill; I. H. Adams; S. A. Patterson; July 26 M. L. Harris; L. B. Harwick; P. W. Hall; R. H. Teter; E. H. Withers; R. C. James; August 1 C. W. Gill; John Goode; H. A. Allen; H. H. Wallace; Thomas P. Topling; H. H. Wallace (Thornton); William Hobson; Asa Gill; R. H. Buckner; William D. Christian; I. A. Stigler; August 9 John R. McDaniel; E. H. Morris; S. H. Pierce; August 12 Paul Cabell; Thomas Mosseley; Henry L. Tuggle; August 15 I. E. Bayle; I. A. Hammer; E. W. Epps; Jas. A. Watkins; August 26 Seth Halsey; John Lowey; U. A. Isbell; September 1 James B. Scott; P. D. Cofer; A. McSmith; A. Pettyjohn; October 1 Charles Wingfield; J. J. Reynolds; J. A. Furgusan; Martha A. Chadle; Samuel Nowlin; W. H. Kinckle; James M. Rucker; W. H. Kinckle; W. H. Caruter; I. H. Pettigust; Samuel Pryor; C. Dabney; H. L. Tuggle; W. H. Roberts; I. W. McAllister; Thomas L. Arlington; F. O. Thompkins; I. N. Gordon; Hugh Nelson; P. C. Cabell; E. A. Gibbs; William Owen; S. D. Williamson; E. W. Withers; C. R. Slaughter; Mary R. Slaughter; October 14 Thomas Pellis; Henry Dunnington; A. McNesmith; R. P. Timmy; James H. Buford; R. R. Owens; John Smiler; J. R. Tunsley; Lucus A. Boalwight; October 17 D. D. Poindexter; R. A. Cophill; A. I. P. Rucker; George Abbott; James M. Ogden; Charles A. Floyd; R. W. and Mrs. N. Conner; October 24 John Warlingdon; W. W. Floyd; Wesley Wright; Sally W. Pierce; J. T. Poindexter; D. D. Poindexter; F. G. Morreson; S. Patterson; November 1 John F. Hix; J. A. Watkins; William A. Gillian; November 5 S. B. Poindexter; J. P. Hughes; A. V. H. Watts; November 8 H. V. Grompron; I. A. Adams; R. P. Patterson; H. H. Wallace (William); James M. Ogden (Reubin); W. H. Tilanz (Stephen); P. C. Cabell (Willis); Charles A. Floyd (Henry); John Woodson (Joseph); Charwell Dabney (Sullivan); R. A. Caghill (Charles); November 11 Paul Hull (James, Sam); Thomas Moseley (Archy); W. H. Jobelb (Wash); R. P. Jenny (James); G. A. Clement (John); J. R. Tinsley (Cain); John Minor (Barney, Minor); Henry L. Tuggle (Philip); John H. Smith (Jim); H. D. Akeers (Isaac); H. D. Floods (Brunella,

Thompson); William A. Gillian (Charles); F. O. Thompkins (Abraham); Mary D. Buchanan (James); Mary W. Buchanan (Henry, William); John B. Dabney (Sam); C. L. Mosbey (Merchant); G. D. Davis (Ambrose Booker, Stephen); I. E. Baf (Iaihua); I. S. Harris (Ned); Allen Ogden (Ned); I. A. Furguson (William); I. A. Stigler (Fosley); Charles Henderson (Henry); James I Hicklin (Henry, Bob, Jack, Titer, Henry; Charles) Mrs. Eliza Epps (I. Day); Thomas Moseley; November 26 W. W. Boher; John Buford, John Goode; William Leftwich; December 8 John H. Knight; December 11 Jane S. Nowlin; C. K. Taylor; Susan A. Hobon; December 16 R. C. Allen; Matilda M. Williams; B. W. Connor and Mrs. Nancy Conner (Willis, Jim, Dave); D. D. Minor (Philip); G. C. H. Wingfield; Thomas P. Jopling; December 22 I. L. Schoolfield (George); Robert Michell (Polk); W. H. Caruthers; R. R. Dunsall (Jacob); John Minor (Thornton); Henry D. Flood (Wesley); D. H. Hogue (Harry); I. H. Sweatman (Henry); B. W. Conner and Mrs. B. Conner (Jim, William); December 23 G. W. Clements; P. D. Cofer; Sarah Soretman; I. D. Soretman; S. Rutherford; Martha Cheadle; E. W. Clayton; Mrs. M. A. Cheadle (Peter); I. H. Hugh (Harry); Dr. Robert Patterson; I. B. Dabney; R. P. Hurney; Mary Hurb; I. M. Watts; C. A. Floyd; H. C. Hill; December 25 W. H. Kinckle; James Cambell; S. C. Hunt (Bob); D. B. Poindexter (Gabriel); H. Flood (Ambrose); I. B. Hoplin (Charles); I. B. Layne (Martin); E. M. Clapton (Seroanh); H. T. Minor (Phil); John Minor (Lewis); Sarah Stoetman (Joe); N. McGinnis (Sandy); Seth Halsey (Henry); R. R. Owens (Henry); B. W. and Mrs. N. Conner (Jim); Sam Miller (Grant); I. W. McAllister; John H. Smith (Jim); M. M. Williams (George); I. R. McDaniel (Henry); I. C. Saunders (Mason); D. Mann (William); Seth Halsey (Henry); I. S. Crockett (Spencer); I. D. Davis; I. R. Tinley (Cam); R. C. James (Harry); December 26 I. A. Stigler (Harley); W. W. Floyd; I. D. Davis (Booker); Charles Henderson (Henry); I. P. Hughes (Phil); I. B. Dabney (Emond); Henry D. Flood; I. H. Smith (John Keys); L. Mosby; W. B. Preston; December 29 Paul J. Davis; J. T. Davis; A. C. White; T. L. Arrington; J. H. Buford; John W. Wingfield; Mrs. E. W. Withers; I. A. Buchanan; F. A. Morrison; December 31 A. Pettyjohn; R. Herring; A. Wade; Thomas Moseley; Samuel A. Patterson; A. A. Legnan; S. A. Williams; R. P. Patterson; John Williams; Charles Wingfield; H. T. Minor; J. W. McAllister; Sarah Wade. W. I. Allen; Charles A. Floyd; John Goode (Martin); W. H. Kinkle (Mush); Robert Jeter (Ellen); December 31 John Smither (Morris); A. C. White (James, Edmond); I. B. Dabney (Sam); R. R. Duntall (John).

1863 - January 1 Nancy Lugrand; William Leftwich; H. B. Diane; F. L. Walker; S. B. Poindexter; I. A. Fanner; James M. Rucker; S. C. Hursh; I. N. Gordon; Seth Healey; Ian L. Harris; Charles A. Floyd; E. C. Hugua, I. I. Poindexter; D. K. Flood; John Goode; W. A. Gillian; R. W. and Mrs. N. Conner; D. D. Poindexter; I. Gordon; Dave S. Harris; John S. Poindexter; Charles A. Flood; Thomas H. Flood; John Goode; W. A. Gillian B. W. and Mrs. N. Conner; January 2 C. R. Slaughter; S. Garland; Mary R. Slaughter; P. H. Ryan; Hugh Nelson; Susan A. Hobon; William Daniel; S. P. Browning; A. V. H. Watts; I. I. Reynolds; H. H. Crevor; James M. Ogden; I. P. Yuill; D. A. Langhorne; W. W. Floyd; A. B. Nichols; D. I. Mann; A. Chon; H. O. Thompkins; John Minor; R. A. Coghill; I. W. Stuart; W. D. Christian; C. P. North; R. M. Cock; A. F. C. Rucker; Sarah Wright; James A. Hammer; C. Dabney; Henry L. Tuggle January 3 I. H. Smith; John Smith; Henry L. Tuggle; S. C. Houry; R. P. Dumstalb; C. K. Taylor; P. K. Dillard; I. E. Bab; S. A. Campbell; M. M. Williams; E. W. Epps; K. D. Acore; A. M. Alapell; I. G. Bentley; B. B. Turtall; I. I. Goodloc; E. A. Gibbs; I. B. Dabney; Jaque P. Scott; Thomas McKuprey; A. M. Glapell; I. P. Bass January 6 S. C. Kurt; E. W. Morris; D. K. Kipue; W. W. Stickly; Sallie W. Pierce; William C. Perrow; R. K. Bucker; Charles Hendron; I. I. Hicklin; R. H. Buckner; D. H. Hape; January 7 John Woodson; Walter Perkus; W. W. Isbell; Sam S. Nowlin; John P. Hughes; M. D.

Harry; John M. Spud; M. L. Harry; W. W. Isbell; Jane S. Nowlin; S. Chalmer; Sam Pryor; A. G. Hognock; John Smiller; J. M. Robinson; George I. Cock; C. W. Gilf; January 10 D. D. Poindexter; Asa Gill; I. W. Pettygrew; David Poindexter; January 12 I. M. Watts; John Goode; E. W. Howley; James M. Watts; January 14 John Washington; Joshua P. Oglesby; I. L. Schoolful; I. I. Bayner; B. S. Hulter; S. Rutherford; R. Mitchell; H. V. Thompson; R. R. Owen; W. K. Trent; I. I. Saunders; R. R. Owen; A. Dearing; January 16 John L. Muffitt; C. L. Mosbey; Lucis A. Boatwright; I. D. Davis; I. R. Tusley; John Davis; William Leftwich; W. A. Gillian; L. W. Wingfield; W. I. Adams; January 17 P. C. Cabell; I. S. Crockett; C. H. Harris; January 21 R. H. Rucker; D. D. Jellis; Allison Ogden; E. M. Clayton; A. Leftwich; I. W. Callihan; January 23 I. C. Slaughter; W. W. Leftwich; Wesley Hugh; R. C. Gary; Wesley Wright; William Trent; John Lowy; I. A. Stiples; Henry A. Allen; I. A. Furguson; January 26 H. D. Flood; John Buford; Thomas Cooke; January 31 Robert C. James; Greg D. Davis; George D. Davis; R. C. James; February 2 A. C. White; I. K. Knight; John H. Knight; L. M. Oglesby; James A. Watkins; February 5 John P. Sale; W. H. Petts; A. McSmith; February 11 P. W. Burnes; B. H. Peter; P. W. Noell; John W. Burnett; George Abbott; February 19 Francis Deaton; March 2 D. W. Wingfield; E. A. Gibbs; March 9 H. I. McGunnis; R. D. Moore; March 14 I. E. Taliaferro; H. Dunington; March 18 W. A. Hardy; Samuel Miller; March 25 I. H. Adams; H. H. Wallace; John M. Wingfield; March 28 John Smelner; Mrs. M. A. Daniel; W. H. Kinkle; April 1 P. C. Cabell; I. P. Hughes; I. S. Baf; I. N. Goran; Charwell Dabney; Thomas W. Jenny; Martha Chisdle; I. H. Knyght; W. H. Hughes; Samuel Pryor; Bettie W. Nelson; Mary H. Fugu; W. H. Caruther; William Tucker; W. W. Leftwich; I. W. Wingfield; W. D. Tucker; April 3 Thomas D. Walthall; Henry Mapie; H. D. Flood; Seth Halsey; William Daniel; P. H. Ryan; R. B. Tunstaff (Talton); A. M. Glapue (Walker); W. H. Grey (William); April 9 W. W. Crisor; R. Gary; R. D. Dalton; Susan M. Thiftherd; Martha Tuggle; John Goode; W. H. Hughes; April 13 R. C. Sutton; I. B. Dabney; A. M. D. Smith; F. G. Morrison; I. R. McDaniel; John McDaniel; Samuel Miller; April 16 Sarah McFadden; William Leftwich; H. A. Legrand; James Saunders; R. H. Ward; S. M. Lynch; James Dearing; C. H. Lynch; April 18 R. H. Hard; S. M. Lynch; James Dearing; D. Shalton; H. C. Hill; P. H. Noell; C. P. North; H. C. Hill; April 22 I. P. Popling; John M. Wallace; Sam. Nowlin; E. S. Hutter; A. Harrison (James); April 25 L. P. Poindexter; John L. Poindexter; R. A. Coghill; P. H. Christian; Tim Minnis; A. Cohn; Mrs. E. W. Withers; Lucas A. Boatwright; M. Langhorne; M. M. Williams; May 1 Thomas H. Flood; I. C. Taylor; James W. Stuart; Robert Mitchell; Seth Woodruff; I. R. McDaniel; May 7 I. M. Ogden; Mrs. M. Hurt; R. W. Crenshaw; May 19 Hector Harris; W. J. Walthall; H. H. Arthur; Stephen A. Fare; July 1 Susan A. Campbilt; I. S. Bap; Mrs. E. Withers; Mrs. M. A. Daniel; W. W. Kinkle; John Kilmer; C. Dabney; I. N. Gordon; D. H. Miller; Hugh Nelson; G. B. Wallace; July 9 I. M. Odgen; P. H. Christian; Thomas K. Floyd; Charles Carter; D. A. Langhorne; July 11 A. McSmith; C. Hundon; Paul Cabell; Samuel Nowlin; Jim Minis; G. H. Watson; Seth Heasley; I. S. Langhorne; July 14 A. A. Legrand; A. C. Hearson; Henry Mapin; A. C. Harrison; M. M. Fellias; A. H. Yancy; John I. Hinn; I. B. Doplin; July 17 John P. Hughes; July 18 John McAllister; William Daniel; P. H. Ryan; Marshall Harrison; John Goode; I. B. Dance; Lucas A. Boatwright; July 25 I. M. Wallace; C. P. North; I. H. Stone; Martha Tuggle; S. Tuntoll; July 31 W. Hobson; August 3 Michael Wallace; August 10 P. E. Bab; John Harrington; I. O. Washington; I. W. Sale; August 19 David I. Mann; Sallie Wright; Robert Mitchell; August 26 Ellen Thompson; John B. Dabney; R. B. Tuntall (Jim); I. F. Smitman; Sarah Smitman; September 1 William Owen; John Mitchell; George Ground; G. W. Ground; September 8 I. Pettelf; I. Maupin; David Wyant; T. E. Loury; Charles Lunford; September 14 William Leftwich; I. W. Callahan; September 30 A. K. Yancy; George W. Dillard; John Minor; October 1 F. G. Morrison; W. H. Kinkle; Mrs. M. A. Daniel; Charles Scott; Samuel Pryor;

Samuel Nowlin; I. M. McAllister; Seth Halsey; R. P. Patterson; I. N. Gordon; October 9 A. McSmith; D. E. Lowry; Martha Tuggle; Hugh Nelson; A. L. Stiff; C. Dabney; Paul C. Cabell; I. S. Bap. W. W. Leftwich; A. Cohn; D. A. Langhorne; I. W. Stuart; Mrs. Mary Hurt; R. W. Crenshaw; S. C. Hurt; October 21 John B. Dabney; John P. Hughes; William Tucker; Judith B. Cardwell; William Owen; Henry D. Flood; P. O. Thompkins; W. C. Perrow; W. H. Hughes; Mrs. Mary w. Fuqua; Billies W. Wilson; R. M. Cock; I. W. Wingfield; John Goode; F. B. Dean (Matt); C. P. North; Daniel Shalton; W. W. Crevor; I. R. McDaniel; October 22 Nancy Legrand; James Harris; A. Wade; October 27 James M. Odgen; I. W. Sale; P. W. North; S. W. Isbell; George W. Watson; I. Berry; November 6 W. B. Byars; D. B. Doplins; R. H. Bucknor; R. H. Coghill; W. Leftwich; November 11 W. L. Wyantt (Waller); R. M. Coke (Micayah); M. Allen (William); D. E. Caldwell (Steve); C. M. Garnett (Dick); November 16 W. H. Kinkle (Nelson); Rich Dennis (Clem); November 23 Paul Hulb; John Smelson; November 25 M. M. Williams; Charles H. McCurdy; I. W. McCurdy; John W. McCurdy; J. E. Caldwell; Stephen H. Hove; Mrs. A. E. Dangerfield (John, Tom, Dick); John Smiler (Morris); Mrs. Mary Hush (Newton); I. S. Langhorne (Ralph); December 5 Henry Map; I. M. Wallace; James W. Callahan; December 9 I. M. Gayle; Stokes Tuntal; I. I. Saunders; A. Wayland; I. Woodson; December 17 Charles Carter (Barney); William Leftwich (Plinnant); Samuel Hobson (Edum); William Hoben (Jeff, Wyatt); Tucker Woodson (Randall); S. R. Tuggle (Berry); Mrs. A. E. Dangerfield; I. S. Langhorne (Ralph); S. A. Fore (Isaac); I. Maupin; Charles Henderson (William); Mrs. L. Allen (Peter); D. D. Mann (William); A. C. Harrison (Farley); I. W. Isbell (Wiatt); Wesley Wright (John); R. C. Gary (Richard); Sarah Wade (Geanville); D. D. Fricklin (Charles); D. James (William); December 25 James Stewart; R. Dalton; R. C. Gary; L. Oglesby; R. C. Gary; John B. Dabney (William); I. E. Caldwell; H. Gunst (John); E. W. Withers (George); H. D. Flood (Dereck); R. R. Tuntall (Darliton); S. B. Poindexter (Andrew); P. C. Cabell (Royal); I. I. Saunders (Rubin); W. H. Cuark (Emanuel); Dr. W. Owen; A. Hill Brown; Susan A. Campbell; Depres Shiffey; Rob Mitchell (Polk); H. D. Luckett (Bill); Laurind Henderson (Moes); I. L. Brown (George); W. W. Leftwich; H. I. Minor (Phil); R. M. Cox (Macagah); Sam Miller; William Tucker (Creed); James Harris (Ken); S. C. He (Ian); R. B. Tuntall (John); John H. Knight (Mat); E. M. Clayton (Henry); F. A. and G. B. Austin (Gilbert); William Kent (Randal); F. G. Morrison (Ned); R. A. Coghill (John); G. B. Wallace (Willis); D. H. Hoge (Jim, Bob); D. H. Hoge (Ian, Finney); John I. Winn (Ben); G. B. Wallace (Iac, Henry); John B. Dabney (Ned); John H. Smith (Jim); E. M. Clayton (Nelson); I. I. Fricklin (Sites); Seth Healey; Mrs. Sarah Wright (Green); Sam Nowlin (Peter); H. C. Minor (William); W. C. Perrow (John); M. M. Williams (Geo); Seth Halney (Henry); I. M. Gayle; December 29 R. S. Booton; H. L. Stiff; I. F. Smitman (Henry); Mrs. Mary W. Furgua (William); A. M. Clapell (Cyrus); G. B. Wallace (Ben); Miles C. Leftwich; R. H. Rucker; Robert Mitchell; E. B. Wallace; I. W. Berry; Susan A. Hobson; Thomas Cox (pp. 490)

No names of owners or slaves: January 31, 1861.

Journal, 1860 – 1864

1860 – November 9 C. E. Tuques; Nancy Edger; J. C. Slaughter; F. J. Ballard; November 13 John Stone; L. J. Gordon; W. Waller; W. W. Hanson; November 16 J. W. Sandris; H. H. Wallace; November 22 R. O. Doh; A. C. Hanson; J. H. Davidson; November 27 C. H. Leftwich; Charles Aunspaugh; November 29 S. Crockett; James Hammer; December 3 J. C. Shearer; December 5 R. W. Conner; December 8 H. V. Thompson; December 12 Susan Spiller; December 13 William Daniel; December 18 John Davis (Daniel); W. Leftwich (Micajah, Hanibal); William F. Lucads (Henry); James Metcalf (Carter); M. E. B. Wallace

(Emanuel); Elizabeth R. Holloway (Wellis); E. D. Feazer (Mat); A. E. Christian (Spencer); Robert Tinsley (Dick); James Franklin (John); William Preston (Jerry); Rich Taliaferro (Miles, Charles); Marshal Harris (Jim); Henry D. Flood (Anderson, Washington); Margaret Gaddir (John); John J. Moorman (Jacob); James Campbell (Albert, Westley, Edward, Isaac, Stephen); W. C. M. Ghee (Henry); Dr. J. S. Tendleton (William); Charles A. Floyd (Jordan); Nancy Edgar (Davy); C. E. Webb (Daniel); Robert Gibany; Sally M. Lynch; James C. Slaughter (Mason); Ann E. Dangerfield (Jam, Bob, Dick); George P. Luck (Henry); R. W. Conner (Wyatt); John Goode (Buck, Aaron, Martin, Booker, Zack); W. A. Hardy (Henry); Joseph Wilson (William, Briston, Philip, Frederick, Sam); Sam Miller (Jepee); J. J. Blanton; John Deckerson; December 21 I. H. Wilson (William); W. A. Gilliam; R. A. Coghill (Moses, Alexander); T. T. Saunders (Robert); I. W. Wilson (Sam, Frederick); Seth Haley (Henry, Bob); Washington Hunter (Tom); John Dickerson (Smith); William Leftwich (Jim, William, Hanibal, Jac); J. J. Saunders; James Saunders (Tom); John R. Daniel (Henry); Thomas Trunt (Stephen); Robert Geboning (Hays); December 22 William Hunt (Randal); Thomas Buah (Ben); H. H. Wallace (Pampey); F. G. Morreson (Ned); W. B. Preston (Bob); I. S. Pendleton (Moses); M. R. Hurt (Peter, Tom); John Kay (Tharnton); December 25 G. A. Saunders (Ben, Stephen); W. Waller (George); Mrs. A. M. Saunders (Frank); G. B. Wallace (William, Richard); December 27 J. M. Odgen; J. L. Walker; William Gibboney (Jac); R. A. Hurst (Tom); Kirkwood Otey (Sawney); E. S. Hutter (Bob); N. L. McGinnis (Balis, Sandy, Chat); December 29 T. E. Reynolds; J. D. Pierce; Marc Murrell

No names of owners or slaves: December 3; December 18; December 21; December 22

1861 – January 1 R. C. Gary (John, Dick); R. C. L. Moncuro (Henry, James); John Deckerson (Henry); C. E. Webb (Daniel); W. Waller (Charles); T. Tunt (Stephen); T. Moseley (Archy); H. F. Bocock (Nixon, Jac); R. O. Doj (Bob, Randal, Tom); Col. James A. Hammer (Momot); Daniel Stratton (Patrick); Mrs. E. D. Frazier (Madison); C. P. North (Henry); H. D. Flood; I. B. Cruso (Charles); James N. Gordon (John, Jim); . . A. Bagbio (Ben); W. A. Leftwich (Sam); D. F. Patterson (Jepes); G. B. Wallace (Henry); Paul Hull (Sam, Jim); I. I. Blanton (Mitchell, Cooper); Robb Tensly (Coleman, Nat, Peter); R. A. Lee; R. Perkins; W. Hunters; J. M. White; J. Metcalf; C. A. Floyd; J. S. Pendleton; January 2 L. A. Bogbie; C. E. Webb; Thomas Trent; James A. Hammer; I. L. Stiff; I. D. Watthall; I. H. Burns; I. A. Gordon; Clifton Webb; Nancy Conner; James Metcalf; I. S. Pendleton; Thomas Tunt; C. A. Floyd; C. E. Webb; F. S. Thomas; J. M. Cobb; January 3 John N. Jane; J. J. Cocke; A. Nude; S. M. Shepherd; Susan Spiller; W. W. Hanson; Dr. John Minor; Rich Dearing; Seth Halsey; Charles W. Christian; J. M. Cobbs; I. M. Patterson; I. M. Nelson; I. W. Jones; D. Couch; I. T. Hughes; William Tucker; Thomas Mosley; M. L. Harris; John Stone; L. F. Gordon; M. L. Harris; January 5 E. G. Hill; A. B. White; I. B. Layne; I. I. Switman; I. W. Jones; James Franklin; I. I. Blanton; I. L. Steptoe; Withers Wallace; John Goode; R. C. Gary; Thomas Wallace; W. E. Patterson; Robert Tinsley; January 7 W. D. Tucker; D. A. Langhorne; W. O. Owen; C. F. Harris; A. E. Dangerfield; A. A. Hudson; John Dickson; H. V. Thompson; John Guy; Paul C. Cabell; R. I. Burney; F. L. Ballard; P. H. Gilmer; A. M. D. Smith; Dr. Charles Wright; Maria Wright; January 8 F. G. Morrison; Joseph Crevos; I. I. Saunders; P. Noell; Geo P. Luck; January 9 Paul Hill; I. W. Barnett; William Daniel; C. Dabney; Martha Puggle; E. M. Clayton; Paul Hull; January 10 C. S. Mosby; W. A. Gillian; John S. Cauldwill; Kirkwood W. Otey; Hector Harris; January 11 J. C. Shearus; January 14 E. D. Frazer; D. W. Price; I. F. Gordon; January 15 L. F. Johnson; Elijah Heally; Nancy Edgar; John Hancock; January 16 C. M. Lynch; R. M. Tuliaferro; January 18 D. Stratton; G. P. Locke; I. R. McDaniel; January 19; A. C. Harrison; January 22 I. H. Stone;

January 24 W. B. Preston; January 25 W. W. Stickley; I. Monchard; I. C. Slaughter; January 28 J. H. Buford; Sam Newton; H. F. Boccock; January 30 E. Wooduff; February 1 P. Smith; February 4 S. L. Dearing; Sally M. Lynch; February 6 M. N. Buchanan; G. B. Wallace; R. L. Woodson; John Bodkin; I. I. Kegley; A. Thompkins; Johnson Fitzhugh; H. H. Wallace; E. M. Garock; E. B. Halloway; William Gibboney; February 11 Alex Wade; J. J. Moorman; February 14 H. Cunningham; R. W. Conner; February 19 M. Gaddie; February 20 J. H. Smith; February 22 W. F. Luckady; February 26 A. Pettyjohn; Robert Coghill (Henry); J. B. Wallace (Pat); March 1 I. H. Davidson; William Kent; March 13 W. A. Hardy; G. A. Saunders; March 29 William Tucker; W. W. Roberts; April 1 A. C. White; April 2 T. D. Watthall; F. B. Dear; Wyndham Roberts; M. L. Hardy; I. W. Callahan; I. I. Burke; C. O. Willis; April 4 Mary R. Willy; James B. Taylor; James P. Scott; April 6 A. A. Hudson; D. G. Hedgman; I. W. Utz; April 8 I. W. Wilson; William Owen; April 13 A. B. White; I. Merchant; S. D. Rice; April 17 W. C. Perrow; April 24 Catherine Gatewood; May 2 Elsey Burke; John T. Davis; T. D. Watthall; July 2 M. L. Harry; Samuel Garland; Emma Crenshaw; July 5 William Daniel; July 8 Jane Ficklin; F. B. Dean; July 12 Lucy Derkins; July 20 G. W. Grounds; W. C. Perno; July 25 W. A. Payne; August 1 P. F. Camden; August 13 P. B. Tunstell; P. H. Gilmore; September 2 Rich Dearing; September 20 R. D. Moore; October 1 A. G. Cox; October 3 C. Gatewood; October 17 P. D. Cofer; November 11 David Pointdexter (Gabriel); I. I. Saunders (Henry); John W. Barnett (Doc); James C. Slaughter (Mason); November 22 Samuel D. Rico (Booker); H. W. Hill (Randolph); John Guy (George); December 9 G. B. Wallace (Alex); Charles Henderson (Jerry); C. A. Floyd (James); Seth Halsey (Henry, Winston, Rilly, Bill); C. A. Floyd (Jim, Joshua); William Leftwich (Cagy, Jac, David, Bob, John, Isaac, Edmond, Stephen, Charles); James A. Buchannan (James); December 10 A. M. Pennings (James); Thomas N. Langhorne (Lewis); December 14 John Coats (Abram); December 16 Mrs. Jane Pecklin (Henry); Sally M. Lynch (Daniel); John S. Bah (Jordan, Steve); John Minor (John, Thornton); J. K. Jones (Riland); Charles Henderson (Caster); R. A. Coghill (John, Bill); December 17 N. W. Floyd (Dick); I. F. Camden (Caliborne); H. D. Flood (Ed, Peter, Dick, Wesley, Lewis); Paul C. Cabell (Tom); Archy Wade (Peter); I. H. Miller (Dan); W. Tucker (Frederick); James L. Campbell (Albert, Wesley); E. B. Wallace (Emanuel); R. Charles Wingfield (Clifton); W. H. Trunt (Stephen); Catherine Gatewood (Nennor); I. I. Burks (Henry); Richard Dearing (Fountam); D. C. Dunn (John, Adam); Michael Wallace (Ewing, Henderson); December 21 Seth Halsey (Vince); R. W. Withers (Flemming); John Dickerson (Calvin, Bob, Smith); R. B. Tunstall (John); T. Smiler (Morris); James Stewart (Claiborne); December 26 John H. Smith (James); Wyndham Robinson (Gilbert); John B. McDaniel (Harry); J. S. Caldwell (Henry); Samuel Miller (King, Rice); N. I. McGinnis (Dan, Penn); I. B. Layne (Martin); December 30 J. C. Walker (Bondurach); J. J. Flicklin (Henry); H. D. Flood (Brunella); A. Boutwell (Warner); J. D. Pierce (Joe); W. C. Perrow (Luke); A. C. White (William); W. W. Roberts (William); Beny Williams (Jacob); M. O. Buchanan (Jack, Wren); R. Tinsly (Abner, Peter, Nat); December 31 H. W. Hill (Randolph); James Franklin (John); John Guy (William, George); I. H. Stone (George)

No names of owners or slaves: January 1; January 2; January 3; January 5; January 7; January 9; January 10; January 11; January 15; January 18; January 19; January 25; January 28; January 30; February 4; February 6; February 11; February 14; February 20; February 22; February 26; March 5; March 13; March 29; April 1; April 2; April 4; April 6; April 8; April 13; April 22; April 24; April 30; May 1; May 6; May 8; May 13; May 16; May 20; May 24; May 29; June 1; June 4; June 22; June 29; July 1; July 2; July 3; July 5; July 8; July 10; July 12; July 17; July 18; July 20; July 27; July 31; August 1; August 5; August 8; August 15;

August 26; August 31; September 2; September 6; September 11; September 18; September 20; October 1; October 2; October 3; October 4; October 5; October 9; October 11; October 12; October 15; October 17; October 19; October 23; October 26; October 30; November 11; November 14; November 15; November 19; November 22; November 26; December 9; December 10; December 16; December 17; December 21; December 25; December 26; December 30; December 31

1862 – January 2 I. B. Layne; Ann E. Eubanks; January 3 C. F. Harry; A. M. Purning; J. A. Watkins; January 4 Paul C. Caleb; Alice Murrell; M. M. Williams; January 7 H. B. Buckner; Sam Garland; January 10 H. V. Thompson; January 14 Henry Dunnington; January 16 W. F. Yingling; January 18 John Smelson; Lucy Pirkins; W. M. McCoy; January 24 I. W. Basnett; January 28 William Owen; W. A. Payne; W. A. Cump; H. H. Lewis; January 30 R. W. Brown; February 1 H. G. Mglue; A. M. Glapell; April 7 M. Ruark; April 12 J. H. DeJarnett; April 16 J. B. Jopling; April 19 A. Cohn; June 2 A. A. Legrand; July 1 I. Yonce; July 3 S. O. Yewell; August 1 N. N. Floyd (Dick, Jack); August 21; S. Butterford; L. Poatoright; W. E. Eakin; November 10 H. H. Wallace (William); James M. Ogden (Baubin); W. H. Trent (Stephen); P. C. Cabett (Willis); Charles A. Floyd (Henry); John Woodson (Pauph); C. Dabney (Sullivan, Sam); R. A. Coghill (Charles); Paul Hull (James, Sam); Thomas Mosley (Archy); W. N. Isbell (Nash); R. P. Jenny (James); G. W. Clement (John); J. R. Tinsley (Cain); John Minor (Barney); Henry L. Tuggle (Philip); John H. Smith (Jim); H. D. Akee (Isaac); H. D. Flood (Brunella, Thompson); W. A. Gillian (Charles); F. O. Thompkins (Abraham); Mary D. Buchanan (James, Henry, William); George D. Davis (Ambrose, Booker, Steve); I. E. Bale (Joshua); J. S. Harris (Ned); Allen Ogden (Jodran); I. A. Fingerson (William); Tammy A. Stipler (Farley); Charles Henderson (Henry); Jim I. Finkle (Henry, Bob, Jack, Charles); Robert Peter (Elem); R. A. Rucker (Moses, Giles); W. A. Houff (Lewis); A. Cohn (Charles); John C. Washington (William); December 16 R. C. Sutton (Sam); December 20 I. L. Schoolguild (George); R. Mitchell (Polk)

No names of owners or slaves: January 2; January 3; January 4; January 7; January 8; January 10; January 14; January 15; January 16; January 18; January 20; January 24; January 28; January 30; January 31; February 1; February 4; February 8; February 11; February 13; February 17; February 19; February 22; February 28; March 14; March 17; March 18; March 25; March 28; March 31; April 1; April 3; April 7; April 9; April 11; April 12; April 16; April 19; April 21; April 24; April 28; April 29; May 1; May 5; May 8; May 10; May 12; May 13; May 14; May 16; May 19; May 21; June 2; June 16; June 27; July 1; July 3; July 8; July 14; July 16; July 19; July 28; July 31; August 1; August 9; August 12; August 15; August 21; August 27; August 30; September 1; September 6; September 14; September 19; September 30; October 1; October 3; October 7; October 13; October 17; October 21; October 24; October 29; October 31; November 1; November 4; November 8; November 10; November 11; November 13; November 15; November 17; November 22; November 27; December 1; December 6; December 16; November 20; December 23; December 25; December 26; December 29; December 31

1863 – January 2 C. P. Hort; March 14 Henry Dunnington; November 2 Mrs. E. H. Withers

No names of owners or slaves: January 1; January 2; January 6; January 9; January 13; January 16; January 19; January 23; January 30; February 16; February 20; February 24; February 28; March 4; March 11; March 20; March 31; April 3; April 10; April 15; April 20; May 6; May 9; May 23; June 6; June 13; June 20; July 1; July 7; July 13; July 17; July 24; July 31; August 5; August 11; August 17; August 25; September 1; September 11; September

14; September 19; September 30; October 9; October 24; October 27; November 6; November 19; November 28; December 1

1864 – January 2 F. A. Deaton (Henry); Rich Dennis (Cole, Alex); Daniel Hage (Dennis); H. D. Flood (Edmond); January 23 C. E. Gary (Richard); January 25 William Kent (Randal); January 28 L. Stiff (Allen); March 18 Laura Anderson; March 28 J. W. Isabell

No names of owners or slaves: January 1; January 5; January 7; January 12; January 15; January 19; January 23; January 28; January 29 January 31; February 9; February 17; February 29; March 18; March 28; March 31

Journal, 1861-1864

July 1, 1861 – January 1862: W. Wingfield to Francis J. Morrison for negro hire.

No names of slaves or owners or slaves: March, July, May, September 1863; January 1864.

Journal, 1861-1864

1861 - July 1 John W. Wingfield; John P. Hurley; John Muse

No names of owners or slaves: August 31, September 3; September 26; October 12; October 28; November 1; December 31

1862- A. Pettyjohn; James C. Deyule; Mayo David; William B. Byrnes; David Graham; John Wygal; Henry Clark; William P. Bilbo; T. B. Lane; James Broady; Hayman L. Lange; John June; Isaac Harrison; Thomas W. Daist; John W. Holt; James W. Williams; E. H. Erons; Joseph Corrim; Soloman Buck; Andrew J. Deyanlo; Joseph James; Joshua P. Davidson; H. H. Chitton; October 1 Richard H. Larry; Joseph H. Bendolph; Smith J. Herwood; John B. Davis; John H. Phelps

No names of owners or slaves: January 1; January 31; February 28; March 31; June 30; July 1; October 1

1863 – January 1 H. H. Stickley; W. W. Robberts; Charles Wingfield; October 16 Richard P. Gillian; Robert A. Paid; Mason H. Jarench; John P. Steptoe; William F. Lowns; December 11 Benjamin P. Browning

No names of owners or slaves: January 1; March 31; April 30; May 30; June 30; October 16; December 11

1864 – January 11 Charles A. Floyd; May 31 Robert O. Brayson; Carlisle Henderson; Rhodes Hilton; Thomas D. Jellis; Milton M. Lowry;

No names of owners or slaves: June 30

Journal, 1862 – 1868

December 31, 1864: James L. Campbell (Wesley, Isaac, Stephen); M. L. Harris; James Johnson.

Journal No. 8, July 2, 1864 – June 30, 1867

No names of slaves.

Transactions from Negro Hire Account:

1864 - July 2 M. C. James Jr.; John W. Wingfield; Francis B. Deane Jr.; Justin K. Moore; James M. Kelp; Williams and Sowers; Johnston and Cassell; Williamd J. Swanson; Richard W. Robinson; William T. Granny; Samuel T. Williams; August 11 Saloman Herman; James Mearring; Richard W. Robinson; August 11 Colin Baseport; Beukin B. Davis; James C. Saughten; August 23 John W. Wingfield; Buefor Kent; B. S. Tinkle to John S. Hickey; October 28 Cobbs Mellingrice; M. B. Somey.

1865 - January 31 Clayton S. Filler.

Ledger B, April 1864 – May 1868

No names of slaves. Combined entries for amounts paid to named owners and aggregate amounts paid.

April 6-8 George W. Clement; 9, 11, 12 James M. Williams; 13, 14, 18 Francis B. Winston; 19, 20, 21, 23 Martin Hollins; 25 J. McDearman; 26; May 2, 4, 5, J.B. Howlin; 6, 7, 10, 13 J.W. Pettygrew; 18 Edward Scott; 30 A.C. Harrison; June 4, 6 P.E. Caldwell; 9 J.B. McClung; 10 W.A. Hardy; 11, 27, 30; July 1, 2, 4-7 R. T. Saunders; 8 John R. McDaniel; 9, 11-16, 18, 22, 23 Ann M. Saunders; 25, 27-30; August 2-4 Jim Johnston; 5 Davis Poindexter; 6, 8, 10, 12, 15, 16, 18, 19, 22 T. Hardy; 27 William Perrow); 29; September 1 Thomas P. Mitchell; 8, 10, 20 James Campbell; 22, 23; October 1, 3-8, 11-13, 15, 17-19, 21, 22, 25, 28; November 1, 5, 9-11, 14 Ann E. Dangerfield; 18, 22 Nancy L. Jones; 24, 25, 29 A.G. Harrison; December 1-3 T. Dodamead; 7, 12-15, 19, 20, 26-28, 1864; January 2-7, 9-14, 16-21, 23, 25; February 6 J. D. Williamson; 11, 13, 17, 18, 20-24, 28; March 13 J.R. McDaniel; 20, 21, 23, 30, 1865; May 8, 1865 R. Pollard.



City of Chicago



O2014-5865

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	7/30/2014
Sponsor(s):	Burnett (27)
Type:	Ordinance
Title:	Vacation of public street and alley on portions of N Loomis St and W Ogden Ave
Committee(s) Assignment:	Committee on Transportation and Public Way

COMMERCIAL ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of local government pursuant to Article VII, Section 6 (a) of the 1970 constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the properties at 177-189 N. Ogden Avenue, 170-182 N. Loomis Street, and 165-183 N. Loomis Street are owned by FirstMerit Bank N.A. Land Trust 05-1-8368 (successor trustee to Midwest Bank and Trust Company), all other owners have quit claimed their interests to FirstMerit Bank N.A. Land Trust 05-1-8368; and

WHEREAS, FirstMerit Bank N.A. Land Trust 05-1-8368 proposes to use the portion of the street and adjacent alley to be vacated herein for additional Moretti's Restaurant parking; and

WHEREAS, the City Council of the City of Chicago, after due investigation and consideration, has determined that the nature and extent of the public use and the public interest to be subserved is such as to warrant the vacation of part of public street and adjacent alley, described in the following ordinance; now therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. THE VACATION OF:
THAT PART OF THE EAST-WEST 10 FOOT WIDE PUBLIC ALLEY LYING NORTH OF AND ADJOINING LOT 24 IN BLOCK 1 OF UNION PARK ADDITION TO CHICAGO, A SUBDIVISION OF LOTS 5 AND 6 IN CIRCUIT COURT PARTITION OF THE SOUTHWEST QUARTER OF SECTION 8, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN (RECORDED MARCH 20, 1854, ANTE-FIRE), LYING EASTERLY OF THE SOUTHEASTERLY LINE OF OGDEN AVENUE, AND LYING WEST OF THE WEST LINE OF N. LOOMIS STREET;

TOGETHER WITH THE VACATION OF:
THAT PART OF N. LOOMIS STREET LYING NORTH OF THAT PART OF HERETOFORE VACATED N. LOOMIS STREET (43.00 FEET IN WIDTH), VACATED BY DOCUMENT NO. 97071986, BEING ALSO NORTH OF A LINE 145.26 FEET NORTH OF AND PARALLEL WITH THE NORTH LINE OF W. RANDOLPH STREET, AS WIDENED, BY ORDER OF POSSESSION ENTERED BY THE COUNTY COURT OF COOK COUNTY, STATE OF ILLINOIS, ON FEBRUARY 9, 1945 AS DOCKET NO. 43426, AND LYING SOUTH OF THE SOUTH LINE OF W. LAKE STREET; IN COOK COUNTY, ILLINOIS as shaded and legally described by the words "HEREBY VACATED" on the Plat hereto attached as Exhibit A, which Plat for greater clarity, is hereby made a part of this ordinance, be and the same is hereby vacated and closed, inasmuch as the same is no longer required for public use and the public interest will be subserved by such vacations.

SECTION 2. The City of Chicago hereby reserves the alley and street as herein vacated, as a right of way for an existing Water Department main and appurtenances thereto, and for the installation of any additional water mains and appurtenances which in the future may be located in the

alley and street as herein vacated, and for the maintenance, renewal, and reconstruction of such facilities, with the right of ingress and egress at all times upon reasonable notice. It is further provided that no buildings or other structures shall be erected on the said right of way herein reserved or other use made of said area, which in the judgment of the municipal officials having control of the aforesaid service facilities would interfere with the use, maintenance, renewal, or reconstruction of said facilities, or the construction of additional municipally-owned service facilities. It is further provided that any vacation-beneficiary prompted adjustments to water facilities in the area to be vacated; and the repair, renewal or replacement of any private materials, or private property damaged in the area to be vacated as a result of the City exercising its easement rights shall be done at the beneficiary's expense.

SECTION 3. The City of Chicago hereby reserves the area herein vacated, as a right of way for existing Water Department sewers and sewer structures, for the installation of any additional sewers, sewer structures and appurtenances now located or, which in the future, and be located in the alley and street as herein vacated, and for the maintenance, renewal and reconstruction of such facilities. It is also provided that, the City shall have 24 hour access to the area to be vacated; that no buildings or other permanent structures shall be erected on said right of way herein reserved, or other use made of said area, which in the sole discretion and judgment of the respective municipal officials having control of the aforesaid service facilities, would interfere with the use, maintenance, renewal, or reconstruction of said facilities, or the construction of additional municipally-owned service facilities; and that all sewers structures shall be exposed to grade. It is further provided that any vacation-beneficiary prompted adjustments to sewer facilities in the area to be vacated; and the repair, renewal or replacement of any private materials, or private property damaged in the area to be vacated as a result of the City exercising its easement rights shall be done at the expense of the beneficiary of the vacation.

SECTION 4. Peoples Gas The City of Chicago hereby reserves for the benefit of The Peoples Gas Light and Coke Co. an easement to operate, maintain, repair, renew and replace existing underground facilities and to construct new facilities in all of the "to be vacated" with the right of ingress and egress at all times for any and all such purposes. It is further provided that no buildings or other structures shall be erected on said easement herein reserved for The Peoples Gas Light and Coke Co. or other use made of the said area which would interfere with the construction, operation, maintenance, repair, removal, or replacement of said facilities, or the construction of additional facilities. Any future vacation-beneficiary prompted relocation of Peoples Gas facilities lying within the area being vacated will be accomplished by Peoples Gas, and done at the expense of beneficiary of the vacation.

SECTION 5. The City of Chicago hereby reserves for the benefit of Commonwealth Edison and AT&T Illinois/ SBC, and their successors or assigns, a non-exclusive utility easement to operate, maintain, construct, replace and renew overhead wires, poles, and associated equipment and underground conduit, cables, and associated equipment for the transmission and distribution of electrical energy, telephonic and associated services under, over and along the alley and street herein vacated, with the right of ingress and egress. The grade of the vacated public way shall not be altered in a manner so as to interfere with the operation and maintenance of Commonwealth Edison or and AT&T Illinois/ SBC facilities. No buildings, permanent structures or obstructions shall be placed over Commonwealth Edison or AT&T Illinois/ SBC facilities without written release of easement by Commonwealth Edison or AT&T Illinois/ SBC. Any future vacation-beneficiary prompted relocation of Commonwealth Edison or AT&T Illinois/ SBC facilities lying within the area

being vacated will be accomplished by Commonwealth Edison or AT&T / SBC and done at the expense of beneficiary of the vacation.

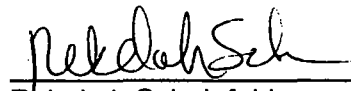
SECTION 6. The vacation herein provided for is made upon the express condition that within 180 days after the passage of this ordinance, FirstMerit Bank N.A. Land Trust 05-1-8368 or its agent shall deposit in the City Treasury of the City of Chicago, a sum sufficient to defray the costs of removing paving and curb returns and constructing sidewalk and curb at the entrance to that part of the public alley and street hereby vacated, similar to the adjacent and contiguous sidewalk and curb.

SECTION 7. The vacation herein provided for is made upon the express condition that within 180 days after the passage of this ordinance, FirstMerit Bank N.A. Land Trust 05-1-8368 shall pay or cause to be paid to the City of Chicago as compensation for the benefits which will accrue to the owner of the property abutting said part of public street hereby vacated the sum _____ dollars (\$ _____), which sum in the judgment of this body will be equal to such benefits.

SECTION 8. The vacation herein provided for is made upon the express condition that within one hundred eighty (180) days after the passage of this ordinance, the applicant shall file or cause to be filed for record in the Office of the Recorder of Deeds of Cook County, Illinois a certified copy of this ordinance, together with its attached drawing approved by the Superintendent of Maps and Plats; and an oversized plat of the area being vacated.

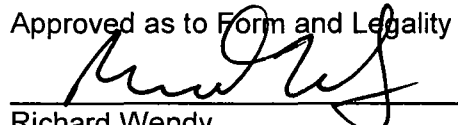
SECTION 9. This ordinance shall take effect and be in force from and after its passage. The vacation shall take effect and be in force from and after its recording.

Vacation Approved:



Rebekah Scheinfeld
Commissioner of Transportation

Approved as to Form and Legality



Richard Wendy
Deputy Corporation Counsel



Honorable Walter Burnett
Alderman, 27th Ward

PLAT OF VACATION

THAT PART OF THE EAST-WEST 10 FOOT WIDE PUBLIC ALLEY LYING NORTH OF AND ADJOINING LOT 24 IN BLOCK 1 OF UNION PARK ADDITION TO CHICAGO, A SUBDIVISION OF LOTS 5 AND 6 IN CIRCUIT COURT PARTITION OF THE SOUTHWEST QUARTER OF SECTION 8, TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN (RECORDED MARCH 20, 1854, ANTE-FIRE), LYING EASTERLY OF THE SOUTHEASTERLY LINE OF OGDEN AVENUE, AND LYING WEST OF THE WEST LINE OF N. LOOMIS STREET;

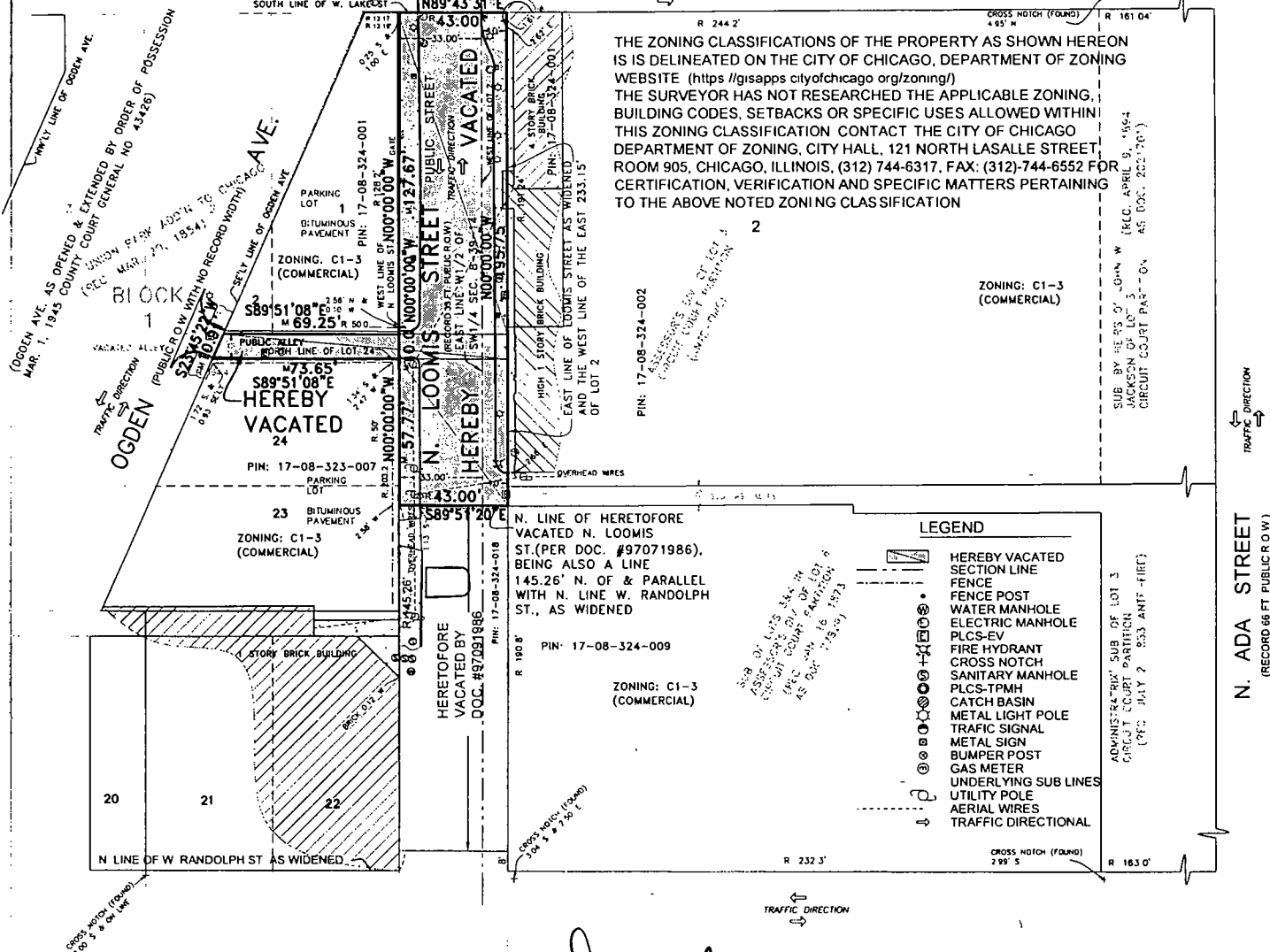
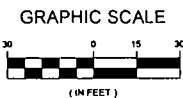
TOGETHER WITH

THAT PART OF N. LOOMIS STREET LYING NORTH OF THAT PART OF HERETOFORE VACATED N. LOOMIS STREET (43.00 FEET IN WIDTH), VACATED BY DOCUMENT NO. 97071986, BEING ALSO NORTH OF A LINE 145.26 FEET NORTH OF AND PARALLEL WITH THE NORTH LINE OF W. RANDOLPH STREET, AS WIDENED, BY ORDER OF POSSESSION ENTERED BY THE COUNTY COURT OF COOK COUNTY, STATE OF ILLINOIS, ON FEBRUARY 9, 1945 AS DOCKET NO. 43426, AND LYING SOUTH OF THE SOUTH LINE OF W. LAKE STREET;

PROPERTY AREA= 9,125 SQ.FT. OR 0.21 ACRES, MORE OR LESS.

W. LAKE STREET (RECORD 74 FT PUBLIC ROW) STREET

STREET



W. RANDOLPH (RECORD 150 FT PUBLIC ROW) STREET

STREET

CDOT DWG: 08-27-12-359

State of Illinois
County of Cook

We, GREMLEY & BIEDERMANN, hereby certify that we have surveyed the above described property and that the plat hereon drawn is a correct representation of the same as corrected to a temperature of 62° Fahrenheit.

Signed on APRIL 4, 2013

By [Signature] SURVEYOR

Professional Illinois Land Surveyor
My license expires November 30, 2014
This professional service conforms to the current Illinois minimum standards for a boundary survey

REVISED APRIL 3, 2013 10 STRIP LABEL PER #2013-17555 (BS)
REVISED MARCH 22, 2013 CDOT COMMENTS PER #2013-17555 (BS)
REVISED FEBRUARY 24, 2013 CDOT COMMENTS PER #2013-17428 (BS)

ORDERED BY	MARINO B ASSOC PC	CHECKED	BT	DRAWN	MS
ADDRESS	303 W LAKE ST. CHICAGO IL				

GREMLEY & BIEDERMANN
PLCS CORPORATION
LICENSE NO. 04105322
4505 NORTH ELSTON AVENUE, CHICAGO, IL 60630
TEL: (773) 685-5102 FAX: (773) 286-1841 EMAIL: INFO@PLCS-SURV.COM

ORDER NO	2011-14817-001	DATE	MARCH 3, 2013	PAGE NO	1 OF 1
SCALE	1" = 30 FEET				

C:\CAD\2011\2011-14817\2013-17428-001.dwg

SURVEY NOTES
PLAT OF VACATION PREPARED FOR MarCal PC

Note R & M denotes Record and Measured distances respectively

Distances are marked in feet and decimal parts thereof

Monumentation or witness points were not set at the clients request

NO dimensions shall be assumed by scale measurement upon this plat

Unless otherwise noted hereon the Bearing Basis, Elevation Datum and Coordinate Datum if used is ASSUMED

N. ADA STREET
(RECORD 66 FT PUBLIC ROW)

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Midwest Bank and Trust Company, Trust Agreement Dated March 17, 2005
a.k.a Trust No. 05-1-8368

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: c/o Mar-Cal Law
3310 N. Harlem Ave, Chicago, IL 60634

C. Telephone: 773-804-9100 Fax: 773-804-9192 Email: lperna@realestatelawoffice.net

D. Name of contact person: Lisa Perna Miller

E. Federal Employer Identification No. (if you have one): N/A

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Commercial Street Vacation Application

G. Which City agency or department is requesting this EDS? City of Chicago Department of Transportation

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| ☐ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☒ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

N/A

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

Thomas L. Moretti Sr.

Beneficiary

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Thomas L. Moretti Sr.	1393 W. Lake Street Chicago, IL 60607	100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Mar-Cal Law	3310 N. Harlem Ave Chicago, IL 60634	Attorney	\$16,000.00 Estimated Fee

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for; or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☒ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

N/A

SECTION VI – CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☒ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☒ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☒ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☒ No

If you checked "No" to question 1. or 2. above, please provide an explanation:
Applicant is not required to comply with 41 CFR Part 60-2

SECTION VII – ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Midwest Bank and Trust Company, Trust Agreement Dated March 17, 2005

a/k/a Trust Number 05-1-8368

(Print or type name of Disclosing Party)

By: Thomas Moretti

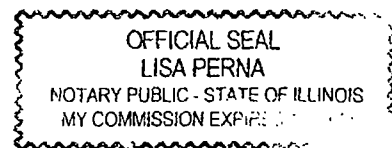
(Sign here)

Thomas L. Moretti Sr.

(Print or type name of person signing)

Beneficiary of Trust

(Print or type title of person signing)



Signed and sworn to before me on (date) 7/11/14,
at Cook County, Illinois (state).

Lisa Perna Notary Public.

Commission expires: 10/09/16.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.



Midwest Bank and Trust Company
A Subsidiary of Midwest Bank Holdings, Inc.

AMENDMENT TO TRUST AGREEMENT

THIS AGREEMENT made and entered into this 5TH day of September, 2007 by and between **Midwest Bank and Trust Company**, a banking corporation (duly authorized to accept and execute trusts within the State of Illinois as Trustee, and **THOMAS MORETTI, SR.**,

WITNESSETH:

WHEREAS, the part(ies) hereto, on March 17, 2005 entered into a certain Trust Agreement and identified as Trust Number 05-1-8366 of MIDWEST BANK AND TRUST COMPANY, Elmwood Park, Illinois, and

WHEREAS, it is the desire of the undersigned to amend said Trust Agreement to effect changes in the Beneficial Interest provision of said Trust Agreement.

NOW, THEREFORE, in consideration of the premises and of other good and valuable consideration, each party to the other in hand paid, the receipt and sufficiency of which is acknowledged, said Trust Agreement dated March 17, 2005 known as MIDWEST BANK AND TRUST COMPANY, Trust Number 05-1-8368 is hereby amended in the following particulars:

1. The additional property located in the County of Cook, commonly known as 1401 West Lake Street, Chicago, Illinois 60607, legally described as:

PARCEL 2:

That part of Lot 1 and that part of the East 16-2/3 feet of Lot 2 in Block 1 in Union Park Addition to Chicago, being a Subdivision of Lots 5 and 8 of Circuit Court Partition of the Southwest ¼ of Section 8, Township 39 North, Range 14, East of the Third Principal Meridian, lying East of a line drawn through a line drawn through a point in the South line of West Lake Street 13.19 feet West of the West Line of Sheldon Street (Loomis Street) and through a point in the North Line of West Randolph Street 43.2 feet East of the Intersection of the North Line of West Randolph Street and the Northeasterly Line of Bryan Place, in Cook County, Illinois. (PIN No. 17-08-324-001-0000)

Is now included in and made a part of Trust Agreement dated March 17, 2005 known as Midwest Bank and Trust Company Trust No. 06-1-8366 and

2. The following named persons are entitled to the earnings, avails and proceeds of said real estate according to the respective interests herein set forth, to-wit:

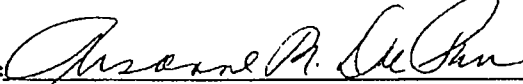
THOMAS L. MORETTI, SR., and upon his death, provided that he has not theretofore sold, assigned or conveyed his interest herein and further provided that the trust property has not been conveyed, then and in that event, then to his wife, **LILLIAN MORETTI**, and to his daughter, **ANNA MORETTI**.

The power of direction shall be exercised by **THOMAS L. MORETTI, SR.**

All other conditions remain the same.

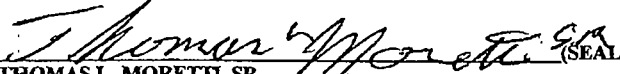
IN WITNESS WHEREOF, this Amendment to Trust Agreement has been signed by the said THOMAS L. MORETTI, SR., and Midwest Bank and Trust Company, has caused these presents to be signed and attested by its duly authorized officers, and has caused its corporate seal to be hereto affixed as and for the act of deed of said corporation, the day and date above written.

MIDWEST BANK AND TRUST COMPANY

BY: 
Authorized Signer

ATTEST: 
Authorized Signer

And on said day the said beneficiaries have signed this Declaration of Trust and Trust Agreement in order to signify their assent to the terms thereof.

 (SEAL)
THOMAS L. MORETTI, SR.

Address: 12044 South Loomis, Chicago, IL 60623

Social Security # 323-34-7435

(SEAL)

MIDWEST BANK AND TRUST COMPANY, A Subsidiary of Midwest Banc Holdings, Inc.
1606 N. HARLEM AVENUE
ELMWOOD PARK, IL 60707
708-456-7840 (Fax) 708-456-4979