



City of Chicago Office of the City Clerk

City Hall
121 North LaSalle Street
Room 107
Chicago, IL 60602
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Legislation Referred to Committees at the Chicago City Council Meeting 11/18/2015

Section 1b - Mayoral Introductions Containing Economic Disclosure Statements

File #	Title	Sponsor(s)	Committee Referral		
Agreement(s) - Franchise					
1	O2015-8427	Renewal of cable franchise agreement with RCN for Franchise Area 2	Emanuel (Mayor)	Finance	Redacted Record
Agreement(s) - Miscellaneous					
2	O2015-8425	Extension of previous right of entry agreement for decommissioned 12th District Police Station at 100 S Racine Ave	Emanuel (Mayor)	Housing	Redacted Record
Class 7(c) Tax Incentive(s)					
3	O2015-8054	Support of Class 7(c) tax incentive for property at 825 W 47th St	Emanuel (Mayor)	Economic	Redacted Record
Sale of City-owned Property					
4	O2015-8103	Sale of City-owned property at 528 W 103rd Pl to Henry L. Wilborn and Patsy A. Wilborn	Emanuel (Mayor)	Housing	Redacted Record
5	O2015-8107	Sale of City-owned property at 1833 S Hamlin Ave to Gregory Lee and Jeanette Lee under Adjacent Neighbors Land Acquisition Program	Emanuel (Mayor)	Housing	Redacted Record
6	O2015-8174	Sale of City-owned property at 5709 S State St to Akram Jaber	Emanuel (Mayor)	Housing	Redacted Record
7	O2015-8220	Sale of City-owned property at 6506 S Dorchester Ave to Douglass J. Bevel under Adjacent Neighbors Land Acquisition Program	Emanuel (Mayor)	Housing	Redacted Record
8	O2015-8264	Sale of City-owned property at 10728 S Langley Ave to Chicago Neighborhood Initiatives, Inc.	Emanuel (Mayor)	Housing	Redacted Record
9	O2015-8323	Sale of City-owned property at 10760 S Champlain Ave to Chicago Neighborhood Initiatives	Emanuel (Mayor)	Housing	Redacted Record
10	O2015-8412	Amendment of previously passed negotiated sale of property at 1144 N Christiana Ave and 1222 N Rockwell Ave	Emanuel (Mayor)	Housing	Redacted Record
Special Service Area(s)					
11	O2015-8044	Scope of services, budget and management agreement for Special Service Area No. 14	Emanuel (Mayor)	Finance	Redacted Record
12	O2015-8046	Scope of services, budget and management agreement for Special Service Area No. 17	Emanuel (Mayor)	Finance	Redacted Record
13	O2015-8048	Scope of services, budget and management agreement for Special Service Area No. 42	Emanuel (Mayor)	Finance	Redacted Record
14	O2015-8049	Scope of services, budget and management agreement for Special Service Area No. 44	Emanuel (Mayor)	Finance	Redacted Record

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Section 1b - Mayoral Introductions Containing Economic Disclosure Statements

	File #	Title	Sponsor(s)	Committee Referral	
15	O2015-8050	Scope of services, budget and management agreement for Special Service Area No. 70	Emanuel (Mayor)	Finance	<i>Redacted Record</i>
Tax Increment Financing					
16	O2015-8430	Amendment No. 2 to Midwest Tax Increment Financing (TIF) Redevelopment Project and Plan for expansion of redevelopment project area	Emanuel (Mayor)	Finance	



City of Chicago



O2015-8427

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Renewal of cable franchise agreement with RCN
Committee(s) Assignment:	Committee on Finance

FIN.



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Business Affairs and Consumer Protection, I transmit herewith an ordinance authorizing the execution of a renewal cable franchise agreement with RCN.

Your favorable consideration of this ordinance will be appreciated.

Very truly yours,

Mayor

O R D I N A N C E

WHEREAS, Pursuant to section 4-280-100 of the Municipal Code of Chicago, the City Council is authorized to renew a nonexclusive franchise creating a right to construct and operate a cable system within the public ways of the City; and

WHEREAS, RCN Cable TV of Chicago, Inc. ("RCN") currently maintains and operates a cable system in City of Chicago Franchise Area 2 pursuant to a Cable Television Franchise Agreement which became effective on December 7, 2000 (the "RCN Franchise"); and

WHEREAS, The RCN Franchise is scheduled to expire on December 6, 2015; and

WHEREAS, RCN has expressed an interest to the Cable Administrator to renew the RCN Franchise and to continue to provide cable service in Chicago; and

WHEREAS, Following negotiations with RCN, the Cable Administrator has reached a franchise renewal agreement that is fair and reasonable, and which will benefit cable consumers; and

WHEREAS, Section 6(a) of Article VII of the Illinois Constitution provides that a home rule unit "may exercise any power and perform any function pertaining to its government and affairs including, but not limited to, the power to regulate for the protection of the public health, safety, morals and welfare; to license; to tax . . ."; and

WHEREAS, The City Council Committee on Finance has conducted a public hearing affording RCN and members of the public a reasonable opportunity to comment on the proposed RCN franchise renewal agreement; and

WHEREAS, After reviewing the franchise renewal agreement, the legal, financial, technical and character qualifications of RCN, and the public interest, the City Council has determined that it is in the best interests of the City of Chicago to grant a non-exclusive renewal franchise to RCN, to extend, install, maintain and operate a cable system within Franchise Area 2 of the City of Chicago; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The Mayor is hereby authorized to enter into a franchise renewal agreement between the City of Chicago and RCN Cable TV of Chicago, Inc., in substantially the form attached hereto.

SECTION 2. This ordinance shall be in force and effect upon its passage and approval, and upon becoming effective, shall be deemed to apply retroactively to December 7, 2015.

FRANCHISE RENEWAL AGREEMENT

Franchise Area 2

Following is the cable system Franchise Renewal Agreement entered into between the City of Chicago and RCN Cable TV of Chicago, Inc. pursuant to the Chicago Cable Communications Ordinance. The effective date of this Agreement is December 7, 2015.

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Preamble

This Agreement, made and executed by and between the City of Chicago (the "City") and RCN Cable TV of Chicago, Inc., a Delaware corporation with its principal place of business at 2640 West Bradley Place, Chicago, Illinois 60618 ("RCN" or "the Grantee"):

WITNESSETH

WHEREAS, Section 6(a) of Article VII of the Illinois Constitution provides in relevant part that a home rule unit "may exercise any power and perform any function pertaining to its government and affairs including, but not limited to, the power to regulate for the protection of the public health, safety, morals and welfare; to license; to tax . . ."; and

WHEREAS, Section 5/11-42-11 of the Illinois Municipal Code, 65 ILCS 5/11-42-11, provides in relevant part that "[T]he corporate authorities of each municipality may license, franchise and tax the business of operating a community antenna television system . . ."; and

WHEREAS, The City Council of the City of Chicago (the "City Council") adopted Chapter 4-280 of the Municipal Code of Chicago, "Chicago Cable Communications Ordinance" (the "Cable Ordinance"), on February 10, 1982, to provide for the non-exclusive franchising and regulation of cable systems within the City; and

WHEREAS, The City Council adopted Chapter 4-284 of the Municipal Code of Chicago, "Chicago Cable Ethics Ordinance" (the "Cable Ethics Ordinance"), on April 21, 1982, to ensure that the awarding of franchises for and operation of cable systems within the City be conducted free of conflict of interest or the appearance of conflict of interest and

with maximum protection to enhance public confidence in the cable services franchise award process and the operations of all franchised cable services within the City; and

WHEREAS, The City seeks to provide cable franchises and renewals in an evenhanded and nondiscriminatory manner, taking all relevant facts and circumstances into account, so as to allow all cable system franchisees to provide service within the City on a fair and competitive footing; and

WHEREAS, The Grantee has constructed and installed, and is currently maintaining and operating a cable system in City of Chicago Franchise Area 2 pursuant to a Cable System Franchise Agreement which became effective on December 7, 2000; and

WHEREAS, The Cable Administrator of the City of Chicago (the "Cable Administrator") and the Grantee have negotiated a mutually acceptable Franchise Renewal Agreement; and

WHEREAS, The City Clerk has posted an electronic copy of the negotiated Franchise Renewal Agreement on its website, which can be accessed online at public libraries throughout the City so that the public would have the opportunity to be informed regarding the Franchise Renewal Agreement; and

WHEREAS, After reviewing the Franchise Renewal Agreement and after considering the comments made thereon, the legal, financial, and technical qualifications of the Grantee, and the public interest, the City Council has determined that it is in the best interests of the City and all persons located therein to renew the non-exclusive franchise of RCN, to extend, install, maintain and operate a cable system within Franchise Area 2 of the City; and

WHEREAS, The Grantee has voluntarily agreed to various terms in this Agreement

that the City could not have legally required as conditions precedent to a cable system franchise renewal, and each party recognizes that such agreement was voluntary on the part of the Grantee;

NOW, THEREFORE, it is hereby agreed as follows:

Section 1. Definitions

Unless the context clearly indicates that a different meaning is intended, for purposes of this Agreement all terms, phrases, words or their derivations shall be defined as set forth in Section 4-280-030 of the Cable Ordinance, or as follows; provided, however, that terms, phrases, words or their derivations not defined in the Cable Ordinance or in this Agreement shall be given their common and ordinary meanings:

(1) "Activation" means that sufficient facilities have been constructed and installed by the Grantee in accordance with applicable federal, state and local laws and regulations or other standards so as to permit a person with proper terminal equipment to receive the services offered by the Grantee.

(2) "Affiliates" means any person or entity that directly or indirectly controls or is controlled by or is under common control with the Grantee.

(3) "Commercial Use" means the use of such PEG access facilities where the primary purpose is generating profit, and includes, by way of example, the selling of air-time, and any programming or use which, in whole or in part depicts, demonstrates, or discusses products, services, or businesses for the primary purpose of benefiting or enhancing a profit-making enterprise.

Commercial Use does not include by way of example, (a) programming and the identification of financial supporters similar to what is provided on public broadcasting channels; (b) the solicitation of financial support for the provision of PEG access by those entities that manage access facilities and for charitable, educational or governmental purposes; or (c) programming offered by accredited public educational institutions which may offer tele-courses over an educational PEG channel.

(4) "Communications Act" means the Communications Act of 1934, 47 U.S.C. Section 151 et seq., as amended.

(5) "Franchise Area 2" means that portion of the City set forth in the Cable Ordinance referenced in Section 2.3 of this Agreement and any subsequent annexations to Franchise Area 2 by the City pursuant to Section 13.2 of this Agreement during the term of this Agreement.

(6) "Institutional Network" or "I-Net" means a dedicated, high-speed, high-capacity telecommunications network with upstream and downstream capability, designed and constructed to connect the facilities of public institutions, and capable of interconnecting with other telecommunications networks.

(7) "Major Outage" means the simultaneous loss of service (i.e. total loss of signals on any cable of the Grantee's subscriber network system) to five hundred (500) or more subscribers.

Section 2. Grant of Authority

2.1 Grant of Franchise

Pursuant to the Communications Act and Section 4-280-040 of the Cable Ordinance, which together with the Cable Ethics Ordinance, provide the local regulatory framework for all cable systems within the City, the City hereby renews the Grantee's non-exclusive franchise to extend, install, maintain and operate a cable system within Franchise Area 2 on the terms and conditions set forth in this Agreement, in the Communications Act, in the Cable Ordinance, in the Cable Ethics Ordinance and applicable state law.

The renewed franchise agreement neither authorizes RCN to use the Public rights-of-way for purposes of providing any service other than cable services, nor prohibits RCN from doing so. Any authority RCN may have to provide non-cable service shall be subject to municipal, state and federal law. No privilege or power of eminent domain is bestowed by the renewed franchise agreement.

2.2 Term and Effective Date of This Agreement

This Agreement shall take effect at 12:00:01 a.m. on December 7, 2015 (the "Effective Date"), provided that: (1) the City Council has also approved this Agreement and thereby renewed the Grantee's franchise pursuant to Section 4-280-100(C) of the Cable Ordinance, and (2) the Grantee has also accepted this Agreement and the franchise renewal granted hereunder pursuant to Section 4-280-470 of the Cable Ordinance by executing, within thirty (30) days after the date of passage by the City Council of an ordinance approving and adopting this Agreement and the franchise renewal granted hereunder, the Form of Acceptance set forth in Exhibit A attached to this Agreement and made a part hereof. Pursuant to Section 4-280-060 of the Cable Ordinance, the term of this Agreement and the franchise renewal granted hereunder shall be ten (10) years, commencing on the Effective Date.

2.3 Territorial Extent of Franchise

The territorial extent of Franchise Area 2 is as defined in Section 1(5) of this Agreement and currently as set forth in the Cable Ordinance.

2.4 Acts or Omissions of Affiliates

During the term of this Agreement, the Grantee shall be liable for the acts or omissions of its affiliates while such affiliates are involved directly or indirectly in the, installation, maintenance, extension or operation of the Grantee's cable system as if the acts or omissions of such affiliates were the acts or omissions of the Grantee.

Section 3. Incorporation of Other Documents and Laws by Reference

This Agreement and the ordinance adopting this Agreement supplement and harmonize the regulatory framework set forth in the Cable Ordinance and the Communications Act; and this Agreement and the ordinance adopting this Agreement shall at all times be read and construed for consistency and compatibility with the provisions of the Cable Ordinance and the Communications Act as read and interpreted in concert each with the other.

Section 4. Franchise Fee and Other Fees

The terms and conditions set forth in this Section 4 are pursuant to the terms and conditions set forth in Section 4-280-130 of the Cable Ordinance.

4.1 Franchise Fee

Pursuant to Sections 4-280-130(A) and (B) of the Cable Ordinance, the Grantee

shall pay to the City a franchise fee of five percent (5%) of the annual gross revenues received by the Grantee during the period of its operation under this Agreement. In the event that Grantee bundles or combines Cable Services (which are subject to the Franchise Fee) with Non-Cable Services (which are not subject to the Franchise Fee) so that Subscribers pay a single fee for more than one class of service resulting in a discount on Cable Services, Grantee agrees that for the purpose of calculation of the Franchise Fee, it shall allocate to Cable Service revenue no less than a pro rata share of the revenue received for the bundled or combined services. The pro rate share shall be computed on the basis of the published charge for each service in the bundled or combined classes of services when purchased separately.

4.2 Subsequent Action Affecting Franchise Fee

If, during the term of this Agreement, any court, agency or other entity of competent jurisdiction takes any action or makes any declaration that adversely affects the amount or method of payment of the franchise fee set forth in Section 4.1 of this Agreement, the City and the Grantee shall promptly enter into negotiations to amend this Agreement to make the City whole in a manner consistent with said action or declaration by restoring the City to a position equivalent to that which the City held prior to said action or declaration.

4.3 Other Fees and Costs

Pursuant and in addition to Section 4-280-280(A) of the Cable Ordinance, the Grantee shall pay all fees necessary to obtain all federal, state and local licenses, permits and authorizations required for the, installation, maintenance, extension or operation of the Grantee's cable system; provided, however, that no additional or special fees shall be imposed on the Grantee by the City for any such license, permit or authorization other than fees applicable to other persons for such licenses, permits or authorizations and that no

fees shall be imposed on the Grantee by the City for any permits and/or inspections for attachments to utility poles or service drops. Additionally, the City shall use its best efforts to assist the Grantee in obtaining all such local licenses, permits and authorizations in an expeditious and timely manner. Grantee shall also pay all costs necessary to repair or restore the public rights-of-way caused by the Grantee's installation, maintenance, extension or operation of the Grantee's cable system.

4.4 Not a Tax

Payment by the Grantee to the City of the franchise fee and other fees and costs set forth herein shall not be considered in the nature of a tax, but shall be in addition to any and all federal, state and local taxes, that are separate and distinct obligations of the Grantee.

4.5 Recomputation

Pursuant to Section 4-280-130 of the Cable Ordinance, the City expressly reserves the right to inspect the Grantee's books and records and to audit and recompute the amount determined to be payable to the City as a percentage of the Grantee's gross revenues as defined in Section 4-280-030(M) of the Cable Ordinance ("Gross Revenues"); provided that the City shall be reimbursed by the Grantee for the City's costs in connection with exercising the City's rights consistent with Section 4-280-190 of the Cable Ordinance. If, after exercising such right, the City determines that an additional amount is due from the Grantee, the Grantee shall pay such additional amount, together with applicable interest, within thirty (30) days after the Grantee's receipt of notice from the City pursuant to Section 4-280-130 of the Cable Ordinance. The City's rights pursuant to this Section 4.5 shall also apply to verification of all other payments for which the Grantee is obligated pursuant to this Agreement. Notwithstanding the document retention period provided in Section 4-

280-190(C) of the Cable Ordinance, the Grantee shall retain all books and records for inspection by the City for a period of six (6) years following the close of the fiscal year to which the records apply.

4.6 Interest

If any payments for which the Grantee is obligated pursuant to this Agreement are not made on or before the due dates, the Grantee shall make such payments from such due date in the amount due and owing until such amount is paid in full plus an amount of interest calculated at the rate of one percent (1%) per month. If an additional amount is due as a result of the recomputation referred to in Section 4.5 of this Agreement and such amount is not paid within thirty (30) days after the Grantee's receipt of notice from the City pursuant to Section 4-280-130(C) of the Cable Ordinance, the Grantee shall pay the amount due and owing until such amount is paid in full plus an amount of interest calculated at the rate of one per cent (1%) per month. The obligations and calculations set forth in this Section 4.6 shall apply to all payment obligations of the Grantee as set forth in this Agreement.

Section 5. Insurance and Bonds

The Grantee expressly acknowledges and agrees that the requirements set forth in this Section 5 are in addition to the obligations of the Grantee pursuant to Section 4-280-140 of the Cable Ordinance.

5.1 Types and Amounts of Insurance

Pursuant and in addition to Section 4-280-140 of the Cable Ordinance, the Grantee shall procure and maintain at all times, at the Grantee's own expense, during the term of

this Agreement, and during any time period following expiration of this Agreement if the Grantee is required to perform additional work or services in connection with this Agreement for any reason whatsoever, the types of policies of insurance specified below, with insurance companies authorized to do business in the State of Illinois covering all operations under this Agreement, whether performed by the Grantee or, by way of illustration and not limitation, any and all of the Grantee's contractors, subcontractors, architects, engineers, construction managers, agents or consultants (collectively "Contractors and Subcontractors").

The types of policies of insurance and current minimum limits of liability and related coverages required pursuant to Section 5 of this Agreement are as follows:

(1) Workers Compensation and Employers Liability Insurance

Workers Compensation Insurance, in accordance with the laws of the State of Illinois, or any other applicable jurisdiction, covering all employees who are to provide a service under this Agreement and Employers Liability coverage with limits of liability of not less than five hundred thousand dollars (\$500,000.00) each accident or illness.

(2) Commercial General Liability Insurance (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than ten million dollars (\$10,000,000.00) per occurrence for bodily injury, personal injury, and property damage liability. Coverage extensions shall include the following: all premises and operations, products/completed operations, explosion, collapse, underground, independent contractors, separation of insured, and contractual liability (with no limitation endorsement).

Contractors and Subcontractors shall be required to maintain Commercial General

Liability Insurance limits of not less than one million dollars (\$1,000,000.00), but shall otherwise be subject to the same requirements as the Grantee.

(3) Automobile Liability Insurance (Primary and Umbrella)

When any motor vehicles owned, non-owned or hired are used in connection with work or services to be performed pursuant to this Agreement, the Grantee shall provide Comprehensive Automobile Liability Insurance with liability limits of not less than one million dollars (\$1,000,000.00) per occurrence for bodily injury and property damage.

(4) Professional Liability

If and when any architects, engineers, construction managers or consultants perform work or services in connection with this Agreement, Professional Liability Insurance covering acts, errors, or omissions shall be maintained with limits of not less than one million dollars (\$1,000,000.00). Coverage extensions shall include contractual liability. When any Professional Liability policy of insurance as required in this Section 5.1(4) is renewed or replaced, the policy of insurance retroactive date shall coincide with, or precede, start of work or services pursuant to this Agreement and as the case may be prior to the start of work or services pursuant to any contract between the Grantee and any of the Grantee's Contractors or Subcontractors. Any claims-made policy of insurance issued pursuant to this Section 5.1(4) that is not renewed or replaced shall have an extended reporting period of a minimum of two (2) years.

(5) All Risk Property Insurance

The Grantee shall be responsible for all loss or damage to personal property (including but not limited to material, equipment, tools and supplies), owned or rented, by

the Grantee.

5.2 General Requirements For All Types and Amounts of Insurance

(1) Certificates to City

The Grantee shall furnish the Comptroller of the City of Chicago (the "City Comptroller") (c/o Risk Management, 333 South State Street, Room 400, Chicago, Illinois 60604), original Certificates of Insurance evidencing all required insurance coverages to be in force on the Effective Date, and such Renewal Certificates of Insurance or such similar evidence of insurance, if such policies of insurance have an expiration or renewal date occurring during the term of this Agreement. A copy of all such certificates shall be provided to the Cable Administrator. Prior to the Effective Date the Grantee shall submit such evidence of insurance on the "City of Chicago Insurance Certificate of Coverage Form" or such other form(s) as prescribed by the City. The receipt by the City of any such certificate(s) or other evidence of insurance shall not constitute agreement by the City that the insurance requirements set forth in the Cable Ordinance and this Agreement have been fully met or that the policies of insurance indicated on such certificate(s) or other evidence of insurance are in compliance with the requirements of the Cable Ordinance and this Agreement. It shall not be deemed a waiver by the City of the requirements of this Section 5 , if, for any reason, the City does not receive such certificates or other evidence of insurance from the Grantee. The Grantee shall advise all its insurers of the provisions of this Agreement regarding insurance. Non-conforming insurance shall not relieve the Grantee of its obligation to secure insurance as specified in the Cable Ordinance and this Agreement. Failure to fulfill any of the insurance conditions may constitute a violation of this Agreement. The City retains the right to order the Grantee to stop work and services until proper evidence of insurance is provided consistent with this Agreement.

(2) Additional Insureds

The City, including its employees, its elected and appointed officials, its agents, its consultants and its representatives are to be expressly named as additional insureds on a primary, non-contributory basis, on all policies of insurance required pursuant to this Section 5, except for workers compensation and professional liability insurance, for any liability arising directly or indirectly from the work or services of the Grantee or the Grantee's Contractors or Subcontractors.

(3) 60 Day Notice

All policies of insurance required pursuant to this Section 5 shall expressly provide for sixty (60) days prior written notice to the City in the event limits of liability or any other coverages are substantially changed, canceled, or non-renewed.

(4) Contractors and Subcontractors

The Grantee shall require all the Grantee's Contractors and Subcontractors to carry all relevant policies of insurance as are required of the Grantee with the same limits of liability, except as provided in Section 5.1(2) of this Agreement, and related coverages as required of the Grantee by this Agreement. In the alternative, the Grantee, at the Grantee's expense, may provide such coverages for any or all Contractors and Subcontractors. If so, the evidence of such assumption by the Grantee shall be in writing.

(5) Deductibles

Any and all deductibles or self-insured retentions on all insurance coverages pursuant to this Agreement shall be borne solely by the Grantee.

(6) Subrogation Waiver

All insurers issuing any policy of insurance pursuant to this Agreement shall waive their rights of subrogation against the City, its employees, its elected and appointed officials, its agents, its consultants and its representatives.

(7) No Limit Liability

The Grantee agrees that the insurance coverages pursuant to this Agreement shall in no way limit the Grantee's liabilities and responsibilities specified in this Agreement or by law.

(8) Claims Made Policies

In addition to the requirements of Section 5.1(4) of this Agreement, to the extent that any policy of insurance required by the Cable Ordinance and Section 5 of this Agreement is issued on a claims made basis and is not renewed or replaced, such policy of insurance retroactive date shall have an extended reporting period of a minimum of two (2) years.

(9) Additional Coverage

If the Grantee, or any of the Grantee's Contractors or Subcontractors, desire additional insurance coverage, higher limits of liability, or other forms of indemnification for their own protection, the Grantee and each of its Contractors and Subcontractors, shall be responsible for the acquisition and cost of such additional policies of insurance and limits of liability thereunder.

(10) Modifications of Section 5

The City maintains the right to modify the requirements of Section 5 of this Agreement at any time during the term of this Agreement. The City shall give the Grantee written notice of any such modifications not less than thirty (30) days in advance of requiring such modification, unless a different time period is explicitly stated herein.

5.3 Performance Bonds

Pursuant to the applicable provisions of Section 4-280-140(A)(3) of the Cable Ordinance, the Grantee and the City have agreed that the Grantee will not file a performance bond. However, the City reserves the right to have the Grantee provide a performance bond in an appropriate amount pursuant to Section 5.7 of this Agreement.

5.4 Right to Require Replacement of Insurance or Bonds

In the event that the financial condition of any entity insuring any policy of insurance or performance bond pursuant to the requirements of the Cable Ordinance and this Agreement materially adversely changes during the term of this Agreement or during the term of any such policy of insurance and performance bond issued pursuant to the Cable Ordinance and this Agreement such that the City Comptroller, pursuant to Section 4-280-140 of the Cable Ordinance would not have accepted the policy of insurance or approved the performance bond or the City Corporation Counsel would not have found the form of the policy of insurance or performance bond satisfactory; the City may, at any time, require the prompt replacement of such policy of insurance or bond, as the financial condition of any entity issuing such policy of insurance or performance bond may require. The Grantee agrees to inform the City of any information the Grantee receives regarding a material adverse change in the financial condition of any entity issuing any policy of insurance or

performance bond pursuant to this Agreement.

If the City determines replacement of any policy of insurance or performance bond is necessary, the City shall inform the Grantee in writing setting forth the City's reasons for such replacement demand. Upon receipt by the Grantee of such written notice from the City, the Grantee shall promptly, but in no event within more than twenty-one (21) days of receipt of such notice, either respond in writing to the City regarding the City's replacement demand or replace the policy of insurance or performance bond, as the case may be.

5.5 Insurance for Contractors and Subcontractors

Consistent with Section 5.2(4) and (9) of this Agreement, the Grantee shall provide or cause to be provided insurance coverage, of the types and amounts set forth in this Section 5, for any Contractor or Subcontractor involved in the construction, installation, maintenance, extension, or operation of its cable television system by either obtaining the necessary coverages in any and all of the Grantee's policies of insurance or by requiring such Contractor or Subcontractor to obtain such insurance coverages.

5.6 Alterations

The Grantee shall not materially change or alter the terms or conditions of any policy of insurance or performance bond required pursuant to this Section 5 except upon sixty (60) days prior written notice to the Cable Administrator, the City Comptroller and the City Corporation Counsel. Any changes or alterations to any policy of insurance or performance bond shall satisfy the requirements set forth in this Section 5 and Section 4-280-140 of the Cable Ordinance and be in a form satisfactory to the City Corporation Counsel and to the extent provided for in Section 4-280-140 of the Cable Ordinance acceptable to the City Comptroller.

5.7 The City's Right To Increase Minimum Amounts

In the event of changed circumstances that render the limits of liability and coverages provided for in any policy of insurance or performance bond provided for in Sections 5.1 and 5.3 of this Agreement inadequate, the City reserves the right to reasonably increase the minimum amounts of such limits of liability and related coverages upon sixty (60) days prior written notice to the Grantee in order to ensure adequate protection to the City. Within sixty (60) days after such notice, the Grantee shall increase the limits of liabilities and related coverages, as applicable, to an amount(s) consistent with the City's notice pursuant to this Section 5.7.

5.8 No Excuse from Performance

None of the provisions contained in this Section 5 nor the policies of insurance or performance bonds required pursuant to this Agreement shall be construed to excuse the faithful performance by the Grantee of the terms and conditions of the Cable Ordinance and this Agreement or limit the liability of the Grantee under the Cable Ordinance and this Agreement for any and all damages in excess of the coverages provided for in such policies of insurance or performance bonds.

5.9 Endorsement

Any and all of the policies of insurance and performance bonds required pursuant to this Section 5 shall expressly contain the following endorsement:

"It is hereby understood and agreed that the insurance company [surety] shall not cancel or refuse to renew this policy of insurance [performance bond] without giving the

City Comptroller, the Corporation Counsel and the Cable Administrator written notice, by registered mail, of its intention to cancel or not to renew, at least sixty (60) days prior to such action."

Section 6. Letter of Credit

6.1 Form and Amount

Pursuant to the terms and conditions set forth in Section 4-280-150 of the Cable Ordinance, prior to the Effective Date, the Grantee shall obtain and deposit with the City a letter of credit from a financial institution satisfactory to and approved by the City Comptroller. Additionally, the form and content of this letter of credit shall be approved by the City Corporation Counsel. The amount of the letter of credit shall be five hundred thousand dollars (\$500,000.00); provided, however, that the amount of the letter of credit shall be three hundred seventy-five thousand dollars (\$375,000.00) per franchise area if the Grantee or its affiliates provide cable television services in two of the City's franchise areas; provided, further, that the amount of the letter of credit shall be three hundred fifty thousand dollars (\$350,000.00) per franchise area if the Grantee or its affiliates provide cable television services in three or more of the City's franchise areas.

6.2 Endorsement

Pursuant and in addition to Section 4-280-150(E) of the Cable Ordinance, the letter of credit referred to herein shall contain the following endorsement:

"It is hereby understood and agreed that the surety will not cancel or refuse to renew this letter of credit without giving the City Comptroller, the Corporation Counsel and the Cable Administrator written notice, by registered mail, of its intention to cancel or not to

renew this letter of credit, at least sixty (60) days prior to such action."

Section 7. EXTRAORDINARY SERVICE

7.1 Extra-Long Drops

If the installation of a service outlet requires an aerial drop in excess of one hundred fifty (150) feet or an underground drop in excess of seventy-five (75) feet, the Grantee may charge the subscriber requesting such extra-long drop an amount that is equal to the costs of time and materials in accordance with industry standards for that portion of the drop in excess of one hundred fifty (150) feet or seventy-five (75) feet, respectively. The Grantee shall provide such subscriber a written estimate of the costs of installing an extra-long drop and obtain such subscriber's written consent prior to any installation of such drop; provided, however, that the Grantee may require an advance payment of such costs from such subscriber as a condition of performing the requested installation.

7.2 Non-Standard Installations

If a subscriber requests a non-standard installation including, but not limited to, optional underground construction pursuant to Section 4-280-270 (E) of the Cable Ordinance, concealed wiring or routing from the tap to the dwelling unit that differs from the easiest route that could otherwise be taken (usually following the telephone drop) which results in greater costs, the Grantee may charge the subscriber for such non-standard installation in an amount equal to the costs of time and materials in accordance with prevailing industry standards at the time of such request. The Grantee shall provide such subscriber a written estimate of the costs of such installation and obtain such subscriber's written consent prior to any such installation; provided, however, that the Grantee may require an advance payment of such costs from such subscriber as a condition of

performing the requested non-standard installation.

Section 8. System Design

8.1 Subscriber Network System

A. The Grantee shall provide and maintain a subscriber network system utilizing a fiber optic trunk and coaxial feeder cable providing a minimum upper frequency limit of 750MHz with return transmission paths, and the cable system is capable of delivering high quality signals that meet or exceed FCC technical standards at 47 C.F.R. § 76 Subpart K, regardless of a particular manner in which the signal is transmitted. Grantee agrees to maintain the cable system in manner consistent with, or in excess of these specifications throughout the term of the Franchise.

B. Grantee shall install or maintain equipment so that all closed captioned programming received by the cable system shall include the closed caption signal and shall be transmitted to subscribers so long as the closed captioned signal is provided consistent with FCC standards.

8.2 Additional Channels/Institutional Network

(1) If the Grantee determines the need for additional channel capacity or the activation of upstream channel capacity, the Grantee shall provide such additional capacity or activate any or all of such capacity on the subscriber network system upon the Grantee's determination that such additional capacity is technically and economically feasible.

(2) Upon receiving one or more written requests for proposals ("RFP"s) from the City during the term of this Agreement, the Grantee agrees to submit a good-faith proposal

for the design, construction, operation and maintenance of an Institutional Network in response to each such RFP. The Grantee also agrees to cooperate, free of charge, with any City planning process to explore I-Net communications needs of local government, local government institutions and educational institutions.

8.3 Twenty-Four Hour Operation

The Grantee's cable system shall be designed and operated to ensure that it is in operation and is capable of receiving and transporting signals twenty-four (24) hours per day; provided, however, that the operation of the Grantee's cable system may be interrupted by the Grantee only during the times and for the reasons set forth in Section 4-280--260(B) of the Cable Ordinance.

8.4 Alternative Design

If the Grantee elects to use an alternative to the design set forth in Section 8.1 of this Agreement, such alternative design shall be at least equal to or exceed the performance and capabilities of the design set forth in Section 8.1 of this Agreement. The Grantee shall submit in writing such alternative design with appropriate documentation to the Cable Administrator no later than sixty (60) days prior to the implementation of such design alternative.

8.5 Interference

The Grantee's cable system shall be designed to minimize accumulation of upstream thermal noise. All connectors, splices and other equipment used therein by the Grantee shall be designed, manufactured and installed so as to minimize signal leakage or ingress.

8.6 Reliability and Safety

In order to promote reliability and safety, the Grantee shall protect its equipment in a suitable manner from possible damage due to electrical surges. All trunk amplifiers shall contain automatic or manual gain and slope control circuitry designed to maintain high levels of signal quality over varying temperature conditions.

8.7 Satellite Earth Stations

The Grantee shall, at a minimum, provide and maintain uninterrupted carriage of all satellite-delivered services, or services delivered by any other present or future transmission technology, offered on the Grantee's cable system. Additionally, the Grantee shall provide an uninterruptible power supply ("UPS") for all equipment necessary to carry such satellite-delivered services.

8.8 Standby Power

The Grantee shall provide and maintain equipment capable of providing standby power for the Grantee's headend and hub facilities for a minimum of eight (8) hours and for the transportation and distribution amplifiers for a minimum of two (2) hours at any temperature above -10°F; provided, however, that standby power for the transportation and distribution amplifiers may be maintained at a minimum of one (1) hour at any temperature above -10°F if: (i) an automatic remote visual and aural alarm is provided and continuously monitored twenty-four (24) hours per day and automatically indicates when a standby power unit is operating in an emergency mode; (ii) a pool of portable generators capable of providing the requisite power are conveniently located; and (iii) procedures are established to ensure the prompt dispatch of a portable generator to any standby power unit operating in emergency mode.

8.9 Standby Capability

The Grantee shall provide and maintain facilities including a diversity of failure modes to ensure standby capability so that the failure of any part of the Grantee's cable system does not result in a loss of service throughout the Grantee's cable system.

8.10 Status/Performance Monitoring

The Grantee shall perform such status/performance monitoring as may be required by applicable FCC rules and regulations.

8.11 Equipment

The Grantee may substitute the equipment used in the construction, extension and installation of its cable system with equipment of equivalent or better quality and function consistent with the requirements set forth in Section 8 of this Agreement. All equipment used in the construction, extension and installation of the Grantee's cable system shall be new or of equivalent quality; provided, however, that the Grantee may, in specific instances, seek a waiver from the Cable Administrator of said requirement. In any event the Grantee shall retain the necessary invoices and records as evidence of such purchases for a period of not less than two (2) years from date of such purchases, and shall permit the Cable Administrator, upon reasonable notice to the Grantee, to inspect the Grantee's documentation showing the specifications of such equipment.

8.12 Emergency Alert System

Pursuant and in addition to Section 4-280-240(A) and (B) of the Cable Ordinance,

the Grantee shall provide without charge to the City an emergency alert system ("EAS") meeting all applicable requirements of federal law.

The Grantee's cable system shall include the capability for the City to access the EAS using non-location-specific technology, without the assistance of the Grantee, in the event of emergency or for reasonable tests, to override at least the audio on all Channels on Grantee's cable system to utilize the federally mandated EAS in accordance with applicable state or local plans or with broadcaster preemption or override of individual signals.

Grantee shall broadcast all EAS messages initiated by the City.

Section 9. Technical Standards

The Grantee shall conform to the FCC Proof of Performance Standards including, but not limited to, composite triple beat ("CTB"), carrier noise ("C/N"), composite second order ("CSO"), Hum and Differential Gain and number of system monitoring test points based on the number of the Grantee subscribers, plus one (1) test point at each repeater consistent with the requirements set forth in Section 8 of this Agreement.

9.1 Performance Requirements

The Grantee shall be responsible for insuring that the cable system is designed, installed, maintained, repaired and operated in a manner that fully complies with the FCC rules in the most recent Edition of "Subpart K--Technical Standards," of Chapter 1 of Title 47, 47 C.F.R. Ch. 1, § 76.601 et seq., as amended. If during the term of this Agreement "Subpart K--Technical Standards" is repealed without substitution or otherwise is made non-binding upon the Grantee, the Grantee agrees to comply with the FCC technical

standards set forth in and referenced by the latest version in effect of "Subpart K--Technical Standards." The Cable Administrator shall have the right to obtain a copy of tests and records related to the standards upon request, and shall have the authority to enforce compliance with such standards, except as may be prohibited under federal law and regulation.

9.2 Subscriber Network System

The Grantee shall submit to the Cable Administrator for approval a plan that establishes performance standards and methods of measurement for the utilization of the upstream portion of the Grantee's subscriber network system. The Grantee shall submit to the Cable Administrator for approval a plan that establishes methods of measurement for all applicable technical standards for the utilization of the downstream portion of the Grantee's subscriber network system.

9.3 Institutional Network

In the event that the Grantee offers an INS, the Grantee's performance parameters shall be in accordance with those set forth in Section 9 of this Agreement.

9.4 Performance Measurement Standards

In order to properly conduct the performance observations set forth in Section 9 of this Agreement, the Grantee shall ensure that all amplifiers and other equipment are in normal operating condition with automatic slope and gain control ("ASGC") carriers properly adjusted.

9.5 Test Procedures

Pursuant and in addition to Section 4-280-250(A) of the Cable Ordinance, the Grantee shall conduct all system tests at the intervals required by section 76.601 of the FCC rules and Regulations, Part 76, Subpart K (technical Standards). Upon request by the Cable Administrator, Grantee shall provide copies of completed FCC reports within fourteen (14) working days of the request. The tests shall include, without limitation, (1) initial proof of performance for any construction; and (2) semi-annual compliance tests.

Section 10. Maintenance of System

At all times during the term of this Agreement, the Grantee shall maintain its cable television system in good repair and condition.

Section 11. "As- Built" Maps

The Grantee shall, during the term of this Agreement: (1) maintain up-to-date "as built" maps, that shall be available for examination by the City during the Grantee's normal business hours at a location within the City limits, and (2) provide, in the event of a system upgrade, plant extension or new development, copies of such maps to the Cable Administrator, without charge, upon request. Such maps, set forth by utility quarter section, shall, at a minimum, include cable routings and the location of amplifiers, power supplies, fiber optic nodes and system monitor test points. Consistent with applicable law, the Cable Administrator shall treat such maps as confidential trade secrets, and shall provide the Grantee with a copy of any appeal, received by the Cable Administrator, of the Cable Administrator's notice of denial provided to a third party seeking inspection and copies of such maps.

Section 12. Construction Requirements and Standards

12.1 General

(1) The Grantee shall construct, install, maintain, extend and operate its cable system in a safe, orderly and workmanlike manner utilizing only materials of good, durable quality with due respect for engineering considerations and in accordance with applicable federal, state and local laws and regulations.

(2) The Grantee shall during the term of this Agreement maintain its membership in the City's Office of Underground Coordination and the Chicago Utility Alert Network, or their successors. The Grantee shall comply with the Office of Underground Coordination procedures and the Chicago Department of Transportation's "Regulations for Openings, Construction and Repair in the Public Way, as updated or amended from time to time. The Grantee is required to provide the City an "Existing Facility Protection" plan as required by the City's Office of Underground Coordination. The City shall have the right, exercisable in writing to the Grantee within thirty (30) days after receipt from the Grantee of the "Existing Facility Protection" plan to:

- (A) Have conduit and manholes necessary for underground conduit for City use, all to the City's specifications, constructed by the Grantee within the routes detailed in the "Existing Facility Protection" Plan submittal(s): or
- (B) Access the site of construction or installation and jointly construct such conduit and manholes in cooperation with the Grantee.

Should the thirty (30) days' option period pass without the Grantee's receipt of such notice, the Grantee may make the appropriate applications and commence construction of

its facilities with no further obligation under this paragraph regarding such facilities.

(3) With respect to aerial construction, the Grantee agrees to meet with the Cable Administrator or designee to review and coordinate potential aerial joint construction. In the event the City wishes to engage in aerial joint construction, the City shall provide at least 30 days' written notice of such intent to the Grantee. The Grantee agrees to cooperate with the City so long as aerial joint construction does not, in the sole opinion of the Grantee, impede or impair the Grantee's construction schedule. In the event that the City, through the Cable Administrator or designee, shall choose to participate in aerial joint construction, the Grantee agrees to coordinate and commence such aerial joint construction. However, aerial joint construction shall not proceed if the City cannot obtain pole attachment agreements or pole space for the City facilities on the existing poles or if the fiber optic cable or other material necessary for construction is unavailable.

(4) Cost of Joint Construction. In the event the City elects to proceed under Paragraph (2)(A) or (3) of this Section, an amount not to exceed 50% of the actual cost of materials and labor expended by the Grantee on joint construction shall be paid by the City. Such actual cost shall not exceed the reasonable and customary cost charged by the industry commonly engaged in such work. The City agrees to remit payment to the Grantee within 75 days of receiving an invoice from the Grantee, and failure to reimburse the Grantee within this time period shall suspend the Grantee's obligation to continue joint construction until such payment is received. Upon the Grantee's request, the Cable Administrator shall on an annual basis provide to the Grantee documentation that affirms the availability of appropriated funds to reimburse the Grantee for anticipated joint construction and identifies the source of such funds.

(5) In the event that it is in the interest of the City for joint construction, including aerial, to proceed in a comprehensive basis throughout those franchise areas in which the

Grantee is undertaking initial construction, the Grantee and the Cable Administrator may, by mutual agreement, provide for procedures for such comprehensive joint construction, including aerial, other than as set forth in Paragraphs 2, 3 and 4 of this Section.

(6) Additional Duty of Cooperation. In addition to the other provisions of this Section 12., throughout the term of this Agreement the Grantee shall provide such cooperation as the City shall reasonably request in regard to the City's location, construction, installation, maintenance and extension of underground conduit so long as such cooperation shall not interfere with the Grantee's operations and shall not result in any material expense to the Grantee.

12.2 Compliance Standards

Pursuant and in addition to Section 4-280-240(A) of the Cable Ordinance, the Grantee shall at all times during the term of this Agreement comply with the following:

- (1) National Electrical Safety Code of the American National Standards Institute (latest edition);
- (2) National Electrical Code of the National Fire Protection Association (latest edition);
- (3) UL Code (latest edition); and
- (4) Tower Standards:
 - (a) EIA-RS-222-A,
 - (b) Federal Aviation Administration (the "FAA") and
 - (c) United States and State of Illinois Departments of Transportation.

12.3 Construction and Installation Manual

The Grantee shall submit to the Cable Administrator a revised manual in printable electronic format that sets forth the specifications, standards and procedures for construction and installation of the Grantee's cable system (the "Construction/Installation Manual"). Said manual shall be consistent with the highest state-of-the-art standards of the cable industry and shall, at a minimum, establish procedures to ensure quality work and provide for the safety and protection of residents and property. The Grantee's Construction/Installation Manual shall be submitted to the Cable Administrator within sixty (60) days of the Effective Date.

12.4 The Grantee's Construction and Inspection Manual

The Grantee shall submit a revised "Construction and Inspection Manual for Grounding, Bonding, and Surge Protection" (the "Construction/Inspection Manual") in printable electronic format to the Cable Administrator within (60) days of the Effective Date. Such manual shall, at a minimum, include procedures regarding the following:

- (a) Aerial Pole Lines
- (b) Ground Resistance Measurement
- (c) Grounding and Bonding Locations
- (d) Underground Construction
- (e) Service Drops - Aerial
- (f) Service Drops - Underground
- (g) Towers
- (h) TVROs (including LNAs and LNCs)
- (i) Buildings

- (j) Power Supplies
- (k) Amplifiers
- (l) Operating Reliability Goals.

12.5 Engineering Site Survey

The Grantee has submitted to the Cable Administrator a fully coordinated engineering site survey (the "Site Survey") of all the Grantee's off-air broadcast and satellite signal reception sites demonstrating adequate clearance from interference prior to commencement of construction of the Grantee's cable system, and during the term of this Agreement the Grantee shall submit to the Cable Administrator an updated Site Survey at least sixty (60) days prior to activating any off-air broadcast or satellite signal reception site not in operation on the Effective Date.

12.6 Restoration

Pursuant and in addition to Section 4-280-270(F) of the Cable Ordinance and paragraph 12.1 (2) of this Agreement, the Grantee shall promptly restore or replace all public ways or private property in as good a condition as the Grantee found said public ways and private property at the time of commencement of the Grantee's work thereon; provided, however, that where conditions prohibit prompt restoration or replacement of said public ways, the Grantee may request from the City an extension of time within which to complete such restoration, which request shall not unreasonably be denied. The Grantee shall conduct all restoration or replacement in a competent and efficient manner minimizing disruption and inconvenience to others.

12.7 Tree Trimming on Public and Private Property

Pursuant and in addition to Section 4-280-270(l) of the Cable Ordinance, the Grantee, in trimming trees or other foliage on public property, shall properly and promptly dispose of such trimmings. The Grantee shall not trim any tree or other foliage located on private property prior to obtaining the written consent of the owner of such private property. Such trees or other foliage shall be trimmed at the Grantee's own expense and the trimmings shall be disposed of properly and promptly.

12.8 New Technologies

The Grantee's cable system shall be designed, constructed, extended, and operated as a state-of-the-art cable system as required by this Agreement. If, as a result of technological developments during the term of this Agreement, the quality or quantity of programming or services available to subscribers or users of the Grantee's cable system become subject to upgrade, the Grantee shall promptly investigate the feasibility of implementing such new developments and shall implement such technological developments if such implementation (i) can be accomplished without adding an unwarranted financial burden to subscribers and (ii) is economically feasible and viable for the Grantee. In determining whether or not the Grantee shall be required to implement such new developments, the City and the Grantee shall consider, among other factors, and consistent with federal law, the remaining term of this Agreement, performance demonstrating the operational feasibility of the new developments, construction costs, the adaptability of such developments to the Grantee's cable system or any part thereof and the potential marketability of the new services and other factors affecting the economic feasibility and viability of implementation of such new technologies. Nothing herein shall require the Grantee to provide digital convertor boxes to its subscribers at no charge unless otherwise required by the FCC.

12.9 Contractors and Subcontractors

All Contractors and Subcontractors of the Grantee must be properly licensed under all applicable federal, state and local laws and regulations. The Grantee shall be solely and completely responsible for all acts or omissions of any and all of the Grantee's Contractors or Subcontractors in the construction, installation, maintenance or operation of the Grantee's cable system. Grantee's Contractors and Subcontractors shall comply with the insurance requirements of this Agreement.

Section 13. Extension of Service

13.1 New Developments

The geographic area in which the Grantee's right and obligation to offer cable television services within Franchise Area 2 shall be as set forth in a "Release and Settlement Agreement" dated November 12, 2004, a copy of which is set forth as Exhibit H attached hereto and made part of this Agreement.

13.2 Annexation

[This Section Intentionally Left Blank]

Section 14. Intra-City Interconnection

14.1 Purpose

Pursuant and in addition to Section 4-280-300 of the Cable Ordinance, the Grantee shall cooperate with other City grantees of a franchise to provide cable service and "holders" of authorization by the Illinois Commerce Commission pursuant to 220 ILCS 5/21-401 to

offer or provide cable or video service within the City (jointly referred to as "Other Grantees") to interconnect Grantee and all Other Grantees within the City to ensure that the granting by the City of separate franchises or authorizations by the Illinois Commerce Commission for cable service or video service does not restrict utilization of such cable service or video service on a City-wide basis. Sufficient interconnection is essential for access channels and local origination channels to facilitate City-wide and geographically-targeted programming and services.

14.2 Capacity and Timetable

Interconnection of the facilities of Grantee and all Other Grantees within the City shall, at a minimum, and without charge, provide adequate capacity for three (3) channels including two (2) subcarriers to be delivered from each of the Other Grantees' franchise areas to the facility located at the main branch of the Chicago Public Library, Harold Washington Library Center, 400 South State Street, Chicago, Illinois 60605 ("Library") or subsequently designated central interconnection facility and six (6) channels including two (2) subcarriers to be delivered to each of the grantees' franchise areas from the Chicago Access Corporation ("CAC") facility located at 1309 S. Wood Street, Chicago, Illinois 60608 or subsequently designated central interconnection facility. Said interconnections shall be operational within one (1) year of service being delivered by any Other Grantee to its first subscriber and within one (1) month of any change to a subsequently designated central interconnection facility. Additional channel capacity shall be incrementally activated on an as-needed basis to ensure that all public access, municipal utilization, and municipally administered local origination can be delivered to each of the Other Grantees' franchised or authorized areas from the Library, CAC or subsequently designated central interconnection facilities; provided, however, that the Other Grantees may submit an alternative design that equals or exceeds the performance and capabilities of the design referred to in this Section 14.2.

14.3 Interconnection Responsibilities

The Grantee and the Other Grantees shall be responsible for: (i) providing and covering the costs of all equipment and facilities necessary to implement the Interconnection referred to in this Section 14 of this Agreement, (ii) obtaining all licenses, permits and authorizations necessary to conduct and operate such interconnection system, and (iii) covering all capital costs and operational and maintenance expenses associated therewith.

14.4 Construction and Technical Standards

Unless otherwise agreed to in writing by the Cable Administrator, the interconnection system described in Section 14 of this Agreement shall be designed, constructed, installed, maintained and operated in accordance with the standards set forth in Sections 4-280-230(A), 4-280-240(A) and 4-280-250(A) of the Cable Ordinance and Sections 9, 10, 11 and 12 of this Agreement. Additionally, such interconnection system shall be designed, constructed, operated and maintained so as not to degrade the quality of any of the signals transmitted on the Grantee's and Other Grantees' subscriber or I-Net systems. All requirements of this section will apply even if interconnection needs to be implemented by Grantee necessitates linking through franchise areas of Other Grantees.

14.5 Fees, Rules, Etc.

Nothing herein shall prohibit the Grantee from establishing reasonable fees for use of the interconnection system or from promulgating and requiring reasonable terms or conditions relating to the use thereof; provided, however, that neither the City nor the Chicago Access Corporation (the "CAC") shall be charged at any time for the use thereof. In addition, the Cable Administrator shall annually review utilization of the interconnection

system and the allocation of bandwidth to the City and the CAC to ensure that the City and the CAC have been reserved adequate capacity on the interconnection system to meet the respective reasonable needs of the City and the CAC.

Section 15. Channel Allocation

15.1 Standard Allocation

The Grantee shall at all times provide the same channel allocations for the following channels as provided for by all Other Grantees operating cable television services systems within the City:

- (1) The City-wide local origination channel referred to in Section 17 of this Agreement;
- (2) All channels under the control of the CAC; and
- (3) At least two (2) local government access channels.

The Grantee hereby agrees to comply with the standard channel allocation set forth in Exhibit B attached hereto and made part of this Agreement which shall be amended when channels are added pursuant to the franchises and authorizations of the Grantee and Other Grantees. The Grantee shall cooperate with all Other Grantees operating within the City to establish a standard allocation for those channels for which such allocation is required but not established as of the Effective Date. Grantee shall not unreasonably refuse to reach agreement with Other Grantees on the standard channel allocation of these channels. Upon agreement between and among the Grantee and all Other Grantees, such standard channel allocation shall be incorporated into Exhibit B to this Agreement. In the

event that the Grantee and Other Grantees are unable to agree upon such standard allocation, the Cable Administrator shall act as the arbitrator and his or her decision shall be final and binding on the Grantee and the Other Grantees.

Section 16. Aeronautical Frequencies

The Grantee shall comply with all FCC rules and regulations regarding operation in the frequency bands 108-137 and 225-400 MHZ.

Section 17. Local Origination

During the term of this Agreement, the Grantee, in cooperation with the Other Grantees operating cable systems within the City, hereby agrees to contribute to local origination as set forth in this Section 17. The Grantee shall reserve a 24-hour City-wide Local Origination channel on its system exclusively for programming produced by producers and businesses located in the City of Chicago. The purposes of this channel shall include: (1) to serve as an environment in which aspiring local producers can develop their skills and exchange ideas and viewpoints, and (2) to carry out the aims of the Resolution # 454. This channel shall be allocated as provided in Exhibit B to this Agreement.

The City shall have sole authority and responsibility for the administration of the Local Origination channel, and shall exercise sole control over the use and operation of such channel.

Section 18. Chicago Access Corporation

In furtherance of the benefits to the public of PEG access:

18.1 Channel Commitments

Pursuant to Section 4-280-320(A) of the Cable Ordinance, the Grantee shall dedicate ten percent (10%) of its usable channels from their inception as access channels on its subscriber network system. All such channels shall be provided to the CAC free of charge and be under the exclusive use and control of the CAC; provided, however, that such channels are utilized by the CAC for non-commercial programming and purposes and without any charges by the CAC to any subscriber or advertiser. The Grantee will provide CAC channels to subscribers at equivalent visual and audio quality and equivalent functionality to that in which the Grantee delivers the primary signal of local television broadcast stations on its cable system and without the need for subscribers to obtain or pay for any additional equipment to receive CAC channels. The Grantee will provide CAC channels to subscribers on the Grantee's basic cable offerings or tiers. CAC channels will also be transmitted in High Definition ("HD") during the franchise period at a time and in an order determined by CAC with a minimum of six (6) months' notice for the first signal's transmission and a minimum of two (2) months' notice for transmission of subsequent channels consistent with the obligations set forth in Section 18.4 of this Agreement. HD resolution will be equivalent to the resolution used in the Grantee's HD tier. The Grantee will continue to carry CAC channels in SD format in addition to HD format as long as there are SD channels in its basic channel lineup. Under no circumstances shall the Grantee have the authority or right to exercise any control over the use or operation of the CAC channels except as provided in Section 4-280-320(B) of the Cable Ordinance and Section 531 of the Communications Act. Neither the Grantee nor the CAC shall be liable for the quality or content of any programming produced or transmitted by the Grantee or the CAC. Pursuant and in addition to Section 4-280-320(B) of the Cable Ordinance, the Grantee shall be given prior written notice six (6) months before the CAC utilizes any unused channel.

18.2 Equipment

The Grantee shall provide, at the Grantee's sole expense but without change in ownership, modulators and other necessary equipment to permit full and practical utilization from the Grantee's headend downstream, by conventional technical means, of each CAC channel. The Grantee will provide CAC with Limited Basic and Expanded Basic service free of charge at CAC's location with any equipment necessary to receive that service. If changes in the technology used by the Grantee require additional equipment for distribution of CAC channels, including the provision of HD, the Grantee shall make such equipment available at no cost to CAC. Additionally, the Grantee shall insure that its cable system is constructed and operated to permit the CAC to cablecast live programming from remote locations with no charge to the CAC for access to the Grantee's existing facilities suitable for such purposes at the Grantee's headend.

18.3 Programming Authority

The Grantee shall have no authority or control over any programming cablecast on channels dedicated to the CAC pursuant to Section 18.1 of this Agreement. All programming cablecast on such channels shall not be considered origination cablecasting for purposes of Grantee's compliance with Section 76 Subpart G of the FCC rules and regulations unless otherwise determined by the FCC with respect to the Grantee. The Grantee shall have no responsibility under Section 4-280-230(D) of the Cable Ordinance for programming cablecast on any CAC dedicated channels. Nothing in Section 18 of this Agreement shall be construed to imply that Grantee is a common carrier.

18.4 CAC/Grantee Cooperation

With respect to any obligations provided for under this Agreement by and between

the Grantee and the CAC, the Grantee and the CAC shall act in a reasonable, expeditious and timely manner.

18.5 Other Assistance

The Grantee shall include appropriate designation of the CAC's channels on channel cards and other channel listings provided to subscribers in a manner equivalent to identification of local broadcast channels. If channels are selected by a viewer through a menu system, the CAC channels shall be displayed in a manner equivalent to local broadcast channels. Further, the Grantee shall provide a listing of CAC channels and programming on the Grantee's electronic program guide in a manner equivalent to local broadcast channels if such a guide is utilized by the Grantee. It is the CAC's responsibility to provide the Grantee or the Grantee's designated agent, as determined by the Grantee, with program schedules in a timely manner.

The Grantee will provide video service free of charge to CAC at a level that enables CAC to monitor all CAC programming. Additionally, during the term of its franchise, for as long as the Grantee makes video on demand ("VOD") available on its system in Chicago, it will include in its VOD offerings up to 30 hours per week of public access programming selected by CAC. The CAC shall be solely responsible for uploading its content to the Grantee's system in accordance with Exhibit G. The CAC VOD programming will be available to subscribers 24 hours a day, seven days a week. Any CAC programming placed on VOD shall be available to subscribers free of charge. The Grantee will provide, upon CAC's request, any aggregate data regarding subscriber use of the CAC's programming on the VOD platform. Neither the Grantee nor the CAC shall be liable for the quality or content of any programming produced or transmitted by the Grantee or the CAC.

Section 19. Leased Access

The Grantee shall comply with Section 532 of the Communications Act as amended from time to time, and all FCC rules and regulations promulgated thereunder, regarding the provision of channel capacity for commercial use. The Grantee acknowledges and agrees that, should current federal preemptive authority be modified so as to permit the City to enact and enforce requirements governing the provision of channel capacity for commercial use, the Grantee shall comply with any and all such requirements of the City during the remainder of the term of this Agreement, upon one hundred and twenty (120) days' written notice by the City.

Section 20. Municipal Utilization

(1) During the term of this Agreement, the Grantee shall provide, free of charge, two (2) local government channels on its subscriber network system for the exclusive use and control of the City and other local agencies designated by the City. The channels shall be utilized for the purpose of distributing non-commercial services, any other charitable, nonprofit purpose or other similar purpose, including, without limitation, the generation of revenues by activities reasonably related to such use or purpose, or any other purpose agreed to by City and Grantee. The Grantee shall have no authority or control over any programming cablecast on such dedicated channels. All programming cablecast on such dedicated channels shall not be considered origination cablecasting for purposes of the Grantee's compliance with Section 76 Subpart G of the FCC rules and regulations unless otherwise determined by the FCC with respect to the Grantee. The Grantee shall have no responsibility under Section 4-280-230(D) of the Cable Ordinance for programming cablecast on any such dedicated channel. Additionally, nothing in Section 20 of this Agreement shall be construed to imply that Grantee is a common carrier.

(2) Upon demonstration by the City of full utilization of the channel capacity

dedicated to the City, the Grantee shall exercise its best efforts to provide additional channel capacity to the City on the Grantee's cable system to meet the reasonable needs of the City unless to do so would place an unreasonable economic burden on the Grantee.

(3) The Grantee shall provide, at the Grantee's sole expense but without change in ownership, modulators and other necessary equipment to permit full and practical utilization from the Grantee's headend downstream, by conventional technical means, of each local government channel. The Grantee will provide the City with Limited Basic and Expanded Basic service free of charge at the City's location with any equipment necessary to receive that service. If changes in the technology used by the Grantee require additional equipment for distribution of City channels, including the provision of HD, the Grantee shall make such equipment available at no cost to the City. Additionally, the Grantee shall insure that its cable system is constructed and operated to permit the City to cablecast live programming from remote locations with no charge to the City for access to the Grantee's existing facilities suitable for such purposes at the Grantee's headend.

(4) The Grantee will provide all municipal and local origination channels to subscribers at equivalent visual and audio quality and equivalent functionality to that in which the Grantee delivers the primary signal of local television broadcast stations on its cable system and without the need for subscribers to obtain or pay for any additional equipment to receive all municipal and local origination channels. The Grantee will provide all municipal and local origination channels to subscribers on the Grantee's basic cable offerings or tiers. Municipal and local origination channels will also be transmitted in High Definition ("HD") during the franchise period at a time and in an order determined by the City with a minimum of six (6) months' notice for the first signal's transmission and a minimum of two (2) months' notice for transmission of subsequent channels consistent with the obligations set forth in Section 18.4 of this Agreement. HD resolution will be equivalent to the resolution used in the Grantee's HD tier. The Grantee will continue to carry

municipal and local origination channels in SD format in addition to HD format as long as there are SD channels in its basic channel lineup.

(5) The Grantee will provide video service free of charge to the City at a level that enables the City to monitor all City programming. Additionally, during the term of its franchise, for as long as the Grantee makes video on demand ("VOD") available on its cable system in Chicago, it will include in its VOD offerings up to 30 hours per week of public access programming selected by the City. The City VOD programming will be available to subscribers 24 hours a day, seven days a week. Any City programming placed on VOD shall be available to subscribers free of charge. The Grantee will provide, upon the City's request, any aggregate data regarding subscriber use of the City's programming on the VOD platform. Neither the Grantee nor the City shall be liable for the quality or content of any programming produced or transmitted by the Grantee or the City. The City shall be solely responsible for uploading its content to the Grantee's cable system in accordance with Exhibit G.

Section 21. Payments and Contributions

In addition to the franchise fee and other payments made to the City pursuant to this agreement or otherwise, the Grantee has agreed with the City and CAC, as set forth in an agreement dated _____, 2015 by and between the Grantee, the City and the CAC (the "Grant Agreement") to pay the City and CAC 1% of gross revenues. The terms and conditions of those payments by the Grantee to the City and CAC are set forth in the Grant Agreement, which the cable administrator is authorized to execute on behalf of the City, and which is attached for reference purposes only as Exhibit C. Grantee has also agreed to provide the City at no charge to the City certain services and equipment as provided in Exhibit D.

Grantee agrees that it will not offset or reduce its payment of past, present or future Franchise fees required pursuant to Section 4 of this Agreement, as a result of its obligation to remit the contribution required by the Grant Agreement or to provide the services listed in Exhibit D.

Section 22. Employment Requirements

The following provisions are set forth pursuant and in addition to Section 554 of the Communications Act, as may be amended from time to time, and all FCC rules and regulations promulgated thereunder, and Section 4-280-450 of the Cable Ordinance.

22.1 Affirmative Action

Pursuant to Section 4-280-450(B) and (C) of the Cable Ordinance, the Grantee, during the term of this Agreement, shall exercise its best efforts to maximize equal employment opportunities for minorities and women. The goal for the Grantee's workforce with respect to minority employment shall be parity with the population of minorities in relation to the general population of the City, consistent with the most recent U.S. Census data. The Grantee shall take affirmative action to accomplish this goal in each of the job categories set forth in Exhibit E attached hereto and made part of this Agreement. The goals for the Grantee's workforce with respect to female employment shall be parity with the respective percentage representations of females in the labor force of the City in each of the job categories set forth in Exhibit E to this Agreement. The Grantee shall take affirmative action to accomplish such goals in such job categories.

22.2 Equal Employment Opportunity/Affirmative Action Plan

The Grantee shall revise its Equal Employment Opportunity/Affirmative Action Plan

(the "EEO/AA Plan") in accordance with the requirements set forth in Exhibit E to this Agreement. This EEO/AA Plan shall be filed with the Cable Administrator for approval within ninety (90) days after the Effective Date. The Cable Administrator shall within forty-five (45) days after receipt by it of such plan approve such plan or notify Grantee in writing of the deficiencies in such plan.

22.3 Pass-Through Requirements

In order to satisfy the employment requirements of Section 22 of this Agreement, the Communications Act and applicable FCC rules and regulations, and the Cable Ordinance, the Grantee shall ensure that each contractor having a contract or contracts with the Grantee and each sub-contractor having a contract or contracts with the Grantee's Contractors and Subcontractors in excess of fifty thousand dollars (\$50,000.00) and at least fifteen (15) employees engaged in the construction, installation, maintenance or operation of the Grantee's cable system complies with Sections 22.1, 22.4, 22.5, 22.6, 22.7 and 22.8 of this Agreement, Section 4-280-450 of the Cable Ordinance, Section 554 of the Communications Act, and all FCC rules and regulations promulgated thereunder. Additionally, the Grantee shall ensure that each such contractor or sub-contractor develops an Equal Employment Opportunity/Affirmative Action Plan (the "Contractors'/Sub-Contractors' EEO/AA Plans") in accordance with the requirements set forth in Exhibit E to this Agreement. Each such Contractor/Sub-Contractor EEO/AA Plan shall be made available to the Cable Administrator upon the Cable Administrator's request.

22.4 Local Residents

The Grantee shall take affirmative action to ensure that at least fifty percent (50%) of its employees engaged in the construction, installation, maintenance or operation of its cable television system are actual residents of the City.

22.5 Unions

The Grantee shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding or with which the Grantee enters into discussions regarding a collective bargaining agreement or other contract or understanding of the Grantee's responsibilities under Section 22 of this Agreement, Section 4-280-450 of the Cable Ordinance, Section 554 of the Communications Act, and all FCC rules and regulations promulgated thereunder. The Grantee shall, to the extent possible through negotiation or modification of any such agreements, contracts or understandings, ensure that such agreements, contracts or understandings contain provisions consistent with Section 22 of this Agreement, Section 4-280-450 of the Cable Ordinance, and Section 554 of the Communications Act, and all FCC rules and regulations promulgated thereunder so as not to impede the Grantee's ability to satisfy the requirements set forth herein and therein.

22.6 Training

The Grantee shall establish and provide such training programs for qualified minorities and women as necessary to satisfy the employment requirements as set forth in this Agreement.

22.7 Records

Commencing on the Effective Date and continuing for the term of this Agreement, the Grantee shall prepare and maintain the following records on forms provided by the City: job applicant flow logs, promotion, demotion, termination and transfer logs, and training activity and/or apprenticeship program participation summaries. Such records shall be available to

the City during the Grantee's normal business hours and shall be retained in the Grantee's files for not less than three (3) years.

22.8 Reporting Requirements

The Grantee, during the term of this Agreement, shall file with the City the following reports

(1) Annually for the term of this Agreement:

- (a) statistical reports;
- (b) progress evaluation reports;
- (c) a report listing any EEO/AA complaints filed against the Grantee with a court or federal or State of Illinois or local agency having appropriate jurisdiction.

(2) Commencing one (1) year after approval by the City of the Grantee's EEO/AA Plan and continuing on an annual basis for the term of this Agreement a report summarizing and updating the Grantee's EEO/AA Plan.

22.9 Compliance

In order to monitor the Grantee's compliance with its EEO/AA Plan and the other requirements set forth in Section 22 of this Agreement, the City , whenever necessary or appropriate, shall conduct a compliance review. Such review shall consist of a comprehensive analysis and evaluation of the records maintained and the reports required to be submitted to the City pursuant to Sections 22.7 and 22.8 of this Agreement and any other relevant information and data. The Grantee shall be deemed to be in compliance for

purposes of Section 22 of this Agreement and Section 4-280-450(B) and (C) and of the Cable Ordinance if the City determines that the Grantee has exercised its best efforts to comply on an annual basis with the Grantee's EEO/AA Plan and the requirements set forth in Sections 22.1, 22.3, 22.4 and 22.6 of this Agreement.

Section 23. Contractors and Subcontractors

23.1 Local Businesses

The Grantee shall utilize businesses located within the City in connection with the construction, installation, maintenance, extension and operation of its cable system to the maximum extent feasible and with due regard to price, quality and timing of delivery. In addition, the Grantee shall, to the maximum extent feasible and with due regard to price, quality and timing of delivery of the purchase of comparable materials, equipment or supplies of any nature, give preference for such items which are assembled, manufactured or otherwise produced, in whole or in part, within the City.

23.2 Minority Business Enterprises (MBEs)

Pursuant to Section 4-280-450(E) of the Cable Ordinance, the Grantee shall, during the term of this Agreement, exercise its best efforts to ensure that qualified minority-owned businesses ("MBEs") located in and certified by the City receive a fair and substantial share of the economic benefits forthcoming from development of the Grantee's cable television services system. For purposes of this Section 23.2, "a fair and substantial share of the economic benefits" shall mean forty percent (40%) of the total dollar value of contracts awarded by the Grantee, excluding contracts where participation of MBEs would not be practically possible such as factory direct purchases, purchases of satellite-delivered services and purchases of materials or equipment from a sole source of supply. Such

exclusions shall be detailed, with justifications, in the Grantee's Minority Business Enterprise Plan (the "MBE Plan") referred to in Section 23.4 of this Agreement. The Grantee's MBE Plan shall be subject to City approval.

23.3 Women's Business Enterprises (WBEs)

The Grantee, during the term of this Agreement, shall exercise its best efforts to ensure that qualified women-owned businesses ("WBEs") located in and certified by the City are awarded twelve percent (12%) of the total dollar value of contracts issued by the Grantee, excluding contracts where participation of WBEs would not be practically possible such as factory direct purchases, purchases of satellite-delivered services and purchases of materials or equipment from a sole source of supply. Such exclusions shall be detailed, with justifications, in the Grantee's Women's Business Enterprise Plan (the "WBE Plan") referred to in Section 23.4 of this Agreement. The Grantee's WBE Plan shall be subject to City approval.

23.4 Business Enterprise Plans

The Grantee shall develop separate revised MBE and WBE Plans in accordance with the requirements set forth in Exhibit K attached hereto and made a part of this Agreement. Such plans shall be filed with the City for approval within ninety (90) days after the Effective Date. The City shall, within forty-five (45) days of receipt by it of such plans, approve such plans or notify Grantee in writing of the deficiencies in any of said plans.

23.5 Reporting Requirements

Annually during the term of this Agreement, the Grantee shall file with the City the following reports on forms supplied by the City:

- (1) a descriptive summary of the categories and total dollar value of all contracts awarded by the Grantee;
- (2) a descriptive summary of the categories and total dollar value of all contracts awarded by the Grantee, excluding contracts where participation of MBEs and WBEs would not be practically possible in accordance with Sections 23.2 and 23.3 of this Agreement and Exhibit F to this Agreement;
- (3) a descriptive summary of the total dollar value of all contracts awarded to MBEs and WBEs;
- (4) a descriptive summary of the Grantee's efforts both to locate and facilitate the participation of qualified MBEs and WBEs in the construction, installation, maintenance and operation of the Grantee's cable system including a description of the specific programs implemented by the Grantee to meet the goals set forth in Sections 23.2 and 23.3 of this Agreement;
- (5) commencing within one (1) year after approval by the City of the Grantee's MBE and WBE Plans and continuing on an annual basis for the term of this Agreement, separate reports summarizing and updating the Grantee's MBE and WBE Plans.

23.6 Compliance

In order to monitor the Grantee's compliance with its MBE and WBE Plans and the other requirements set forth herein, the City, whenever necessary or appropriate, but no less than once every six months shall, commencing one (1) year after approval by the City of the Grantee's MBE and WBE Plans, conduct a compliance review. Such review shall consist of a comprehensive analysis and evaluation of the reports required to be submitted to the City pursuant to Section 23.5 of this Agreement and any other relevant information and data. The Grantee shall be deemed to be in compliance for purposes of Section 24 of

this Agreement and Section 4-280-450(E) of the Cable Ordinance if the City determines that the Grantee has exercised its best efforts to comply on an annual basis with its MBE and WBE Plans and the requirements set forth in Sections 23.1, 23.2 and 23.3 of this Agreement.

To assist the City in monitoring the Grantee's compliance with its customer service obligations and MBE and WBE Plans, including but not limited to the forty percent (40) requirement of Section 23.2 of this Agreement and the twelve percent (12%) requirement of Section 23.3 of this Agreement, the Cable Administrator shall select an advisory group, consisting of six (6) residents, not to exceed two representatives from each ward located within that portion of Franchise Area 2 served by the Grantee. The Cable Administrator's selection of residents shall be based on the recommendations of those Aldermen whose wards are included in whole or in part within that part of Franchise Area 2 served by the Grantee. The Grantee shall provide this advisory group with such relevant information and data as the advisory group may from time to time require, in order to monitor the Grantee's compliance with its customer service obligations and MBE and WBE Plans. Upon the Cable Administrator's request, but no more than quarterly, the advisory group shall report its findings to the Cable Administrator, which shall use the advisory group's findings to assist the Cable Administrator in monitoring the Grantee's quality of customer service and carrying out its compliance review pursuant to this Section.

Section 24. Customer Services

The Grantee shall comply with the standards and requirements for customer service set forth in the most recent Edition of FCC Customer service obligations, 47 C.F.R. Ch. 1, § 76.309, in effect on the Effective Date, and shall comply with all other applicable FCC regulations relating to customer service obligations, including any amendments to 47 C.F.R. Ch. 1, § 76.309, during the term of this Agreement that impose higher or additional

customer service standards on a cable operator, and Grantee shall comply with the Cable and Video Customer Protection Law, 220 ILCS 5/22-501 et seq. and the enforcement provisions that are included in Article XII, chapter 4-280 of the Cable Ordinance .

24.1 Business Offices and Personnel

Pursuant and in addition to Section 4-280-240(C) of the Cable Ordinance, the Grantee shall establish and maintain such business offices and provide personnel, telephone service and other equipment, as needed, to ensure timely, efficient and effective service to consumers. Such personnel shall include one person designated by the Grantee to act as a liaison between the Grantee and the City regarding consumer service issues. All business offices of the Grantee shall have a locally listed telephone number with an access line available to subscribers 24 hours a day, seven days a week. The Grantee's business offices shall be open, at a minimum, from 9 a.m. to 6 p.m. on Monday through Friday, and from 9 a.m. to noon on Saturday. Upon a showing of changed circumstances or for other good cause shown, the City may permit a reduction in the Grantee's business office hours, and such permission shall not be unreasonably withheld. Additionally, at various times during the day, the Grantee shall cablecast the address, telephone number and office hours of its business offices on a local origination channel received by all subscribers.

24.2 Subscriber Complaints

Pursuant and in addition to Section 4-280-260 of the Cable Ordinance and applicable state law, the Grantee shall promptly respond to and resolve all subscriber complaints; provided, however, that nothing herein shall require the Grantee to maintain or repair any equipment not provided by the Grantee, maintain records with respect thereto nor respond to or resolve subscriber complaints relating thereto. The Grantee shall maintain records of such complaints setting forth the date and nature of the complaint and any action

taken in response thereto. Such records shall be available to the City during the Grantee's normal business hours and retained in the Grantee's files for not less than three (3) years. A statistical summary of such records shall be prepared by the Grantee and submitted to the City annually.

24.3 Major Outages

The Grantee shall maintain records of all major outages. Such records shall indicate the estimated number of subscribers affected, the date and time of first notification of the outage, the date and time service was restored, the cause of the outage and a description of the corrective action taken. Such records shall be available to the City during the Grantee's normal business hours and retained in the Grantee's files for not less than three (3) years. A statistical summary of such records shall be prepared by the Grantee and submitted to the City annually, commencing twelve (12) months after the Effective Date.

24.4 Requests for Installation

Pursuant and in addition to Section 4-280-280(C) of the Cable Ordinance, the Grantee shall fill all reasonable orders for a standard installation of its services within seven (7) business days and all other reasonable orders within thirty (30) days after the date of such order. For purposes of the time period for installation as opposed to any costs charged as set forth in Sections 7.1 and 7.2 of this Agreement, "standard" installations are those that are located up to 150 feet from the existing distribution system. A request shall be deemed reasonable if (i) the services requested are uniformly available on the Grantee's cable system; (ii) the services are requested in a portion of Franchise Area 2 where the Grantee's cable system has been constructed and activated; (iii) the Grantee in cooperation with appropriate agencies can accomplish a proper physical extension of its cable system to a person's premises within such seven (7) or thirty (30) days; and (iv) the Grantee can

obtain access to a person's premises. If the Grantee fails within such seven (7) or thirty (30) day period to provide the service requested, the Grantee, upon request of the person requesting service, shall within thirty (30) days thereafter promptly refund any and all deposits or advance payments made by such person. The Grantee shall maintain a record of all installation requests detailing when and what action was taken.

24.5 Translated Information

All information and materials provided to customers as required by this Agreement shall also be available in the Spanish language.

24.6 Information Filed With The City

Pursuant and in addition to Section 4-280-190(E) of the Cable Ordinance, the Grantee shall file the information required in Section 4-280-190(E) and the customer information and materials required by this Agreement with the City at least fourteen (14) days prior to any distribution of such information or materials.

24.7 Employee Identification

Each employee of the Grantee when entering private property or working on public ways shall be required to wear an employee identification card issued by the Grantee bearing the name and a recent photograph of said employee.

24.8 Nondiscrimination

Pursuant and in addition to Section 4-280-180(C) of the Cable Ordinance, the Grantee shall not discriminate against any person in the solicitation or provision of any

service or equipment on the basis of age, sex, race, color, creed, ethnic origin, sexual orientation, marital status or physical or mental impairment.

24.9 Late Fees

For purposes of this Section 24.9, any assessment, charge, cost, fee or sum, however characterized, that the Grantee imposes upon a subscriber for late payment of a bill is a late fee and shall be subject to the following provisions:

(1) No such fee may be imposed until thirty (30) days have passed from the date a subscriber bill is sent and the payment amount due has not been paid on such bill. Such fee may not exceed one-and-a-half dollars (\$1.50) per such bill.

(2) No fee beyond that permitted by subsection (1) of this Section may be imposed until forty-seven (47) days have passed from the date a subscriber bill is sent and the payment amount due has not been paid on such bill. Any such fee may not exceed the Grantee's reasonable costs associated with late payment including, but not limited to, the fairly allocated costs of personnel.

(3) For purposes of subsections (1) and (2) of this Section, a subscriber bill shall be deemed sent upon:

(a) The date of the United States Postal Service postmark on the envelope of such subscriber bill; or

(b) If the Grantee uses an agent to mail subscriber bills, the date shown on mailing records kept by such agent in the ordinary course of business that shows, to the satisfaction of the Cable Administrator, when subscriber bills are

mailed. If such records show that a particular grouping or class of bills was mailed on more than one day, all bills in such grouping or class shall be considered to have been mailed on the last day that any such bill was mailed; or

(c) The date the monthly cable bill is electronically processed if said bill is generated and mailed within five (5) calendar days after the close of the Grantee's accounting cycle (such close being ten (10) calendar days after the due date on the subscriber's bill). If the Grantee uses an agent to process subscriber bills, the records kept by such agent in the ordinary course of business shall constitute acceptable proof if such records show, to the satisfaction of the Cable Administrator, the dates on which subscriber bills are mailed; or

(d) In the absence of proof specified in (a), (b) or (c), such other proof as may be acceptable to the Cable Administrator.

(4) The Grantee shall provide the processing and mailing records that constitute proof under subsections 3(b) and (c) of this Section 24.9 to the Cable Administrator upon the Cable Administrator's request during the term of this Agreement, and shall further provide proof, satisfactory to the Cable Administrator, that such records are accurate, authentic and complete. Such proof may be in the form of a notarized affidavit by the agent.

(5) For purposes of subsections (1) and (2) of this Section, a subscriber payment shall be considered to have been paid on the day that it is received by the Grantee or by the Grantee's agent (e.g., a currency exchange designated by the Grantee).

(6) If during the term of this Agreement, applicable but non-preemptive federal, state or local law permits the Grantee to impose late fees on subscribers that exceed the limitations imposed by this Section, the Grantee agrees to adhere to the limitations of this Section. If during the term of this Agreement, applicable federal, state or local law enacted after the Effective Date imposes more stringent limits on the Grantee's ability to impose late fees than are imposed by this Section, the Grantee agrees to adhere to such federal, state or local law. If a final order of a court of competent jurisdiction imposes more stringent limits on the Grantee's ability to impose late fees than are imposed by this Section, the Grantee agrees to adhere to such applicable ruling.

(7) Nothing in this Section 24.9 shall be deemed to create, limit, or otherwise affect the ability of the Grantee, if any, to impose other assessments, charges, fees or sums other than those permitted by this Section, for the Grantee's other services or activities it performs in compliance with applicable law, including FCC law, rule or regulation.

(8) The Grantee's late fee and disconnection policies and practices shall be nondiscriminatory and such policies and practices, and any fees imposed pursuant to this Section 24.9, shall apply equally in all parts of Franchise Area 2, without regard to the neighborhood or income level of the subscriber.

Section 25. Privacy

25.1 Requirements

The Grantee shall construct, install, maintain and operate its cable system so as to protect the privacy rights of each subscriber and user in accordance with Section 4-280-290 of the Cable Ordinance as interpreted and applied in harmony with Section 551 of the Communications Act and FCC rules and regulations promulgated thereunder, the

requirements of this Agreement and any other applicable federal, state and local laws and regulations.

25.2 Monitoring, Collection, Use or Release

Pursuant and in addition to Section 4-280-290 of the Cable Ordinance, the Grantee shall not monitor, collect or use any information or signals transmitted over the Grantee's cable system, with the exception of any record of aggregated data that does not identify particular persons, without the prior written or electronic consent of the subscriber or user, provided that such collection and use is permissible in order to obtain information necessary to provide services to the subscriber or detect an unauthorized reception of cable service. The Grantee shall not release or disclose any individually identifiable information about any subscriber or user to the City or any other third party without the prior written or electronic consent of such subscribers or users unless such disclosure is made by the Grantee to a subscriber(s) or user(s) in accord with the exceptions set forth in Section 551(c)(2) of the Communications Act. Any consent of a subscriber or user for the monitoring, collection, use or release of any information or signals transmitted over or obtained by the Grantee's cable system shall be explicit, in writing or electronic form, and in a form separate from any contract or other agreement for cable services or use. Such consent shall contain a statement in bold print that states that the subscriber or user knowingly authorizes the monitoring, collection, use or release of such information, that the consent is revocable at any time by the subscriber or user without penalty and that describes the exact timing and nature of the monitoring, collection, use or release of such information. Such consent is revocable without penalty at any time by the subscriber or user upon prior written or electronic notice to the Grantee. In no event shall such consent be obtained by the Grantee from a subscriber or user as a condition of cable service or a continuation thereof, except if such consent is necessary to adequately provide such service.

25.3 Privacy Information

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Prior to installation of service, and at least once a year thereafter, the Grantee shall provide each subscriber with a simple, thorough written explanation conforming to the notice requirements set forth in Section 551(1)(a)(A-E) of the Communications Act, and further informing each subscriber of all applicable privacy requirements as set forth herein and in Section 4-280-290 of the Cable Ordinance; provided, however, that such information shall be filed with the City not less than thirty (30) days prior to its being distributed to any subscriber.

25.4 Ownership of Data

The ownership of any data or signals originated by a subscriber or user and maintained by the Grantee or a third party that are intended for the sole use of such subscriber or user shall remain solely in the originating subscriber or user, even though such data or signals are maintained by the Grantee or a third party.

25.5 Court-Ordered Disclosure

Pursuant and in addition to Section 4-280-290(B)(4) of the Cable Ordinance, if a court of competent jurisdiction authorizes or orders the monitoring, collection, use or release of any individually identifiable information about any subscriber or user, the Grantee shall notify such subscriber or user within a sufficient amount of time to permit the subscriber or user to challenge the monitoring, collection, use or release of such information except if a court of competent jurisdiction orders otherwise.

25.6 Destruction of Information

Any individually identifiable information of a subscriber or user collected by the

Grantee pursuant to Section 4-280-290 of the Cable Ordinance and the provisions of this Agreement shall be destroyed immediately after the purpose for its collection has been accomplished unless the Cable Ordinance, this Agreement or federal, state or local laws or a court of competent jurisdiction require retention of such identifiable information.

25.7 Request for Information

Pursuant and in addition to Section 4-280-290(C)(5) of the Cable Ordinance, the Grantee, within two (2) weeks of receipt by the Grantee of a written request from any subscriber or user, shall make available to such subscriber or user the information collected or maintained by it with respect to such subscriber or user. Additionally, the Grantee shall permit its subscribers or users to correct any inaccurate information collected in regard to such Subscriber or User. If such information is in machine-readable form, the Grantee shall translate such information and provide such subscriber or user with a copy of the translation. Such rights shall be in addition to any other rights or remedies the City has under the Cable Ordinance, this Agreement or other applicable federal, state or local laws.

Section 26. Enforcement

26.1 Sanctions

Pursuant to Section 4-280-490(A) and (B) of the Cable Ordinance, the City shall have the right to impose monetary penalties, fines and other monetary sanctions in accordance with Section 26.6 of this Agreement in the event the Grantee violates any provision of the Cable Ordinance, any Section of this Agreement or any rule or regulation lawfully adopted by the City pursuant to Section 4-280-380 B.(11) of the Cable Ordinance. Additionally, the City shall have the right to impose substituted damages for those violations set forth in Section 26.5 of this Agreement. Such rights shall be in addition to any other

rights or remedies the City has under the Cable Ordinance, this Agreement, the Communications Act, FCC rules and regulations or other applicable laws.

26.2 Notice of Violation

If the City has reason to believe that the Grantee is in violation of the Cable Ordinance, this Agreement, state law, or any rule or regulation lawfully adopted by the City pursuant to Section 4-280-380 B. (11) of the Cable Ordinance, the City shall notify the Grantee, in writing, of the violation setting forth the nature of such violation. Within thirty (30) days of its receipt of such notice, the Grantee shall respond in writing to the City either contesting the City's notice of violation with supporting documentation or confirming that it has remedied the violation within such thirty (30) day period. If the Grantee cannot reasonably remedy the violation within the time period specified and so informs the City in writing within the time provided by the City, the City may extend the time permitted for remedying the violation provided the Grantee informs the City on a regular basis of the steps being taken to remedy such violation.

26.3 Notice of Assessment

If within thirty (30) days of its receipt of notice of the violation pursuant to Section 26.2 of this Agreement or such time as provided by the City, the Grantee fails to submit a written response either contesting the notice of violation as set forth in Section 26.2 of this Agreement or notifying the City that the Grantee has remedied the violation within the time period specified or any extensions thereto pursuant to Section 26.2 of this Agreement, or if the City determines, after due consideration of the Grantee's response and supporting documentation, that the Grantee is in violation of the Cable Ordinance, this Agreement, any rule or regulation adopted by the City or other applicable law, the City, after considering all relevant factors, may impose upon the Grantee monetary penalties, fines, liquidated or

substituted damages or other monetary sanctions from the date of notice of violation in accordance with Sections 26.5 or 26.6 of this Agreement and shall provide the Grantee with written notice of such assessment. Such notice of assessment shall state the amount assessed and provide a date of at least seven (7) days after receipt of such notice upon which payment for the violation is due from the Grantee.

26.4 Withdrawal from Letter of Credit

Notwithstanding Section 4-280-150(B) or any other provision of the Cable Ordinance, if the Grantee fails to pay to the City any monetary penalties, fines, interest, liquidated or substituted damages or other monetary sanctions imposed upon the Grantee by the City on or before the date set forth in the notice of assessment pursuant to Section 26.3 of this Agreement, the City, may immediately request payment of the amount thereof from the letter of credit and draw on the letter of credit referred to in Section 6 of this Agreement. If a draw by the City on Grantee's letter of credit is made, the City shall notify the Grantee in writing of the date and amount of such draw.

26.5 Substituted Damages

The Grantee agrees the following events, if any such event occurs, will result in actual damages to the City which actual damages will be either impracticable or difficult to ascertain and therefore agrees to pay to the City the following amounts which shall not be considered in the nature of penalties:

(1) \$750.00 a day for each day or part thereof that such failure continues as a result of a material failure to comply with the design, technical, maintenance, construction or operational requirements set forth or referred to in Sections 8, 9, 10 and 12 of this Agreement;

(2) \$750.00 per day for each day or part thereof that such failure continues as a result of a material failure to comply with the interconnection requirements set forth or referred to in Section 14 of this Agreement; and

(3) \$250.00 a day for each day or part thereof that such a failure continues, except as approved by the City or the City Council, if required, a material failure to provide the services set forth or referred to in Section 7 of this Agreement.

26.6 Monetary Penalties, Fines and Other Monetary Sanctions

Pursuant to Section 4-280-490(A) and (B) of the Cable Ordinance, if the Grantee fails to comply with any provision of the Cable Ordinance this Agreement or other applicable law, the City may assess and impose monetary penalties, fines and other monetary sanctions for such failure in an amount not to exceed \$750.00 per day per violation for each day or part thereof that such failure continues. If the Grantee fails to comply with any rule or regulation lawfully adopted by the City pursuant to Section 4-280-380 B(11) of the Cable Ordinance, the City may assess and impose fines for such failure in an amount not to exceed \$50.00 per day per violation for each day or part thereof that such failure continues. All such monetary penalties, fines and other monetary sanctions shall be determined by the City in accordance with the principles set forth below:

(1) Such monetary penalties, fines, interest and other monetary sanctions shall exceed the financial benefits to the Grantee's delaying or failing to comply with the applicable requirement;

(2) Even where such benefits are not easily discernable, such monetary penalties, fines and other monetary sanctions shall be of an amount to have a significant deterrent

effect on the Grantee; and

(3) Such monetary penalties, fines, interest and other monetary sanctions shall be sufficient to protect the City and other affected parties against loss resulting from the Grantee's violations.

26.7 Act or Omission Beyond The Grantee's Control

The Grantee shall not be subject to the imposition of monetary penalties, fines, interest, liquidated or substituted damages or other monetary sanctions referred to in Section 26 of this Agreement for any act or omission if such act or omission was beyond the Grantee's control. An act or omission shall not be deemed to be beyond the Grantee's control if committed, omitted or caused by an affiliate, or Contractor or Subcontractor of the Grantee involved in constructing, installing, maintaining or operating the Grantee's cable system within the City of Chicago. Neither the inability of the Grantee to obtain financing for whatever reason nor the misfeasance or malfeasance of the Grantee's officers, directors, employees, agents, affiliates and Contractors and Subcontractors shall be deemed an act or omission beyond the Grantee's control.

26.8 Other Rights of The City

The right of the City to impose upon the Grantee monetary penalties, fines, interest, liquidated or substituted damages or other monetary sanctions pursuant to Sections 26.5 and 26.6 hereof shall be in addition to any other rights or remedies the City has pursuant to the Communications Act, FCC rules and regulations, the Cable Ordinance, this Agreement or other applicable laws.

26.9 No Waiver of Rights

The decision by the City to forego the imposition upon the Grantee of monetary penalties, fines, interest, liquidated or substituted damages or other monetary sanctions in a particular instance shall in no way act to waive the City's rights under this Section 26 for subsequent violations of the Cable Ordinance or this Agreement.

Section 27. Miscellaneous Provisions

27.1 Governing Law

This Agreement shall be construed pursuant to the laws of the State of Illinois.

27.2 Descriptive Headings

Section headings are descriptive and used merely for the purpose of organization and where inconsistent with the text are to be disregarded.

27.3 Employees and Agents

The Grantee shall be solely and completely responsible for the actions of its employees and agents in the course of their employment.

27.4 Rights Reserved to The City

The Grantee hereby acknowledges and accepts the rights reserved to the City in Section 4-280-440 of the Cable Ordinance. The Grantee hereby waives, except to the extent any such waiver would be inconsistent with Section 545 of the Communications Act, the Grantee's rights, if any, to attempt to modify any provisions of this Agreement without

the prior written approval of the City or appropriate action of the City Council, if such City Council action is required.

27.5 Compliance with The Cable Ethics Ordinance

The Grantee pledges that it has made no promise or inducement, oral or written, to any City employee, City representative or City advisor as defined in Section 4-284-020 of the Cable Ethics Ordinance regarding the receipt or award of the franchise renewal granted hereunder.

27.6 No Inducement

The Grantee acknowledges that it has not been induced to accept this franchise renewal by any promise, verbal or written, made by or on behalf of the City or by any third person regarding any term or condition set forth in the Cable Ordinance or this Agreement.

27.7 No FCC Waiver Without Notice to The City

The Grantee shall not apply for any waivers, exceptions or declaratory rulings from the FCC or any other federal or state regulatory agency regarding the Grantee's cable system without prior written notice to the City.

27.8 No Excuse from Compliance

The Grantee shall not be excused from compliance with any of the terms or conditions of the Communications Act, FCC rules and regulations, state law, the Cable Ethics Ordinance, the Cable Ordinance or this Agreement by failure of the City upon one or more occasions to insist upon such compliance by the Grantee or to seek compliance by

the Grantee with any term or condition of the Ethics Ordinance, the Communications Act, FCC rules and regulations, state law, the Cable Ordinance or this Agreement.

27.9 Transfer of Franchise

During the term of this Agreement, the Grantee shall conform in all respects to Section 4-280-170 of the Cable Ordinance, as interpreted and applied in accordance with applicable federal law.

27.10 City Action

In any action by the City mandated or permitted under the Cable Ordinance or this Agreement, the City shall act in a reasonable, expeditious and timely manner. Additionally, in any instance where City approval or consent is required under the Cable Ordinance or this Agreement, the City shall not unreasonably withhold its approval or consent.

27.11 Force Majeure

The Grantee shall not be deemed in violation of this Agreement or the Cable Ordinance for delay in performance or failure to perform in whole or part its obligations under the Cable Ordinance or this Agreement due to strike, war or act of war (whether an actual declaration is made or not), insurrection, riot, act of public enemy, accident, fire, flood or other act of God or by other events to the extent that such events are caused by circumstances beyond the Grantee's control pursuant to Section 4-280-110(E) of the Cable Ordinance and Section 26.7 of this Agreement. Any such delay or failure to perform shall not be deemed to be a violation of the Cable Ordinance or this Agreement. In the event that a delay in performance or failure to perform affects only part of the Grantee's ability to perform such obligations under the Cable Ordinance or this Agreement, the Grantee shall perform such obligations to the extent the Grantee is able to do so in as expeditious a manner as possible. The Grantee shall promptly notify the City in writing of an event covered by this Section 27.11, which writing shall include, at a minimum, the date, nature and cause of such event. Additionally, the Grantee, in such notice, shall indicate the

anticipated extent of such delay and the specific obligations pursuant to the Cable Ordinance or this Agreement to be affected.

27.12 Severability

Except as otherwise provided in Section 4.2 of this Agreement, if any provision of this Agreement or any portion of any provision of this Agreement is deemed invalid under any applicable ordinance or federal or state law, such provision shall be, to the extent invalid, deemed omitted and all remaining provisions of this Agreement shall remain in full force and effect.

27.13 Notices

All notices and filings required by the Cable Ordinance, this Agreement or any other applicable law or regulation shall be, except as otherwise provided in this Agreement, or other applicable law or regulation:

If to the City: The Cable Administrator
 Department of Business Affairs and Consumer Protection
 121 N. La Salle Street, Room 805
 Chicago, Illinois 60602

If to the Grantee: Mr. Tom McKay
 Senior Vice President/ General Manager
 2640 West Bradley Place
 Chicago, Illinois 60618

and shall be by United States Mail, with all necessary postage pre-paid, except as

otherwise provided by this Agreement, the Cable Ordinance or any other law or regulation.

27.14 Minority Ownership/Participation

Pursuant and in addition to the purposes set forth in Section 4-280-020(D) of the Cable Ordinance, the Grantee agrees to promote and support minority ownership/participation in the Grantee as provided in Exhibit F attached to this Agreement and made a part hereof.

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by their respective, duly authorized agents or officers, as of the day and year set forth below.

City of Chicago

Date

Rahm Emanuel, Mayor

RCN Cable TV of Chicago, Inc.

Date

By: _____

Its: _____

Approved as to form
and legality:

Corporation Counsel of the
City of Chicago

Date: _____

EXHIBIT A -- FORM OF ACCEPTANCE

In accepting the franchise renewal for Franchise Area 2 within the City of Chicago, pursuant to Sections 4-280-390(A), (B), (C), and 4-280-420 of the Chicago Cable Communications Ordinance (the "Cable Ordinance"), the Grantee hereby:

a) agrees to accept the validity of the terms and conditions of the Cable Ordinance, Chapter 4-280 of the Municipal Code and the Agreement in their respective entireties relying upon the Grantee's own investigation and understanding of the power and authority of the City of Chicago to grant said franchise renewal. The Grantee further agrees that it shall not, at any time, proceed against the City in any claim or proceeding challenging any term or provision of the Cable Ordinance or Agreement as unreasonable, arbitrary or void, or allege that the City did not have the authority to impose such term or condition;

b) expressly acknowledges that the Grantee has voluntarily agreed to various terms in this Agreement, including but not limited to terms in Sections 4.3, 17, 21, and 27.14; expressly acknowledges that the City could not have legally required one or more of the voluntary terms in this Agreement as conditions precedent to a cable system franchise renewal; and expressly acknowledges that the City has expressed its understanding to the Grantee that such agreement was voluntary on the part of the Grantee;

c) expressly acknowledges that the Grantee has not been induced to accept the Agreement by any promise, verbal or written, on behalf of the City or by any third person regarding any term or condition of the Cable Ordinance or the Agreement not expressed therein;

d) further acknowledges that the Grantee has carefully read the terms and

conditions of the Cable Ordinance and the Agreement and accepts without reservation the obligations imposed by the terms and conditions therein; and

e) pledges that no promise or inducement, oral or written, has been made to any City employee or official regarding receipt of this franchise renewal.

The undersigned hereby certifies that he/she has been duly authorized pursuant to _____ [expressly identify the corporate action permitting such action] to make this acceptance of the franchise renewal for Franchise Area 2 within the City of Chicago.

The Grantee	RCN Cable TV of Chicago, Inc.
Signature of Affiant	_____
Name of Affiant	_____
Title of Affiant	_____
Date	_____

Sworn to and subscribed before me

this _____ day of _____, 2015.

Notary

Date Commission Expires

EXHIBIT B -- STANDARD CHANNEL ALLOCATION

CABLE CHANNEL	SERVICE
19	CAC
21	CAC
23	MUTV
25	Local Origination
27	CAC
36	CAC
42	CAC
49	MUTV
51	CAC

and other unused and un-activated channels that may be used in the future.

EXHIBIT C -- GRANT AGREEMENT

GRANT AGREEMENT BETWEEN
RCN CABLE TV OF CHICAGO, INC,
AND
THE CITY OF CHICAGO AND CHICAGO ACCESS CORPORATION

This Grant Agreement ("Agreement") is made as of this ____ day of_____, 2015

by and between, RCN Cable TV of Chicago, Inc., ("RCN") and the City of Chicago ("City")
and Chicago Access Corporation ("CAC").

A. The City is a municipal corporation and home rule unit of local government existing under the Constitution of the State of Illinois, acting through its Department of Business Affairs and Consumer Protection and in accordance with the Chicago Cable Communications Ordinance, Chapter 4-280-010 et seq. ("Cable Ordinance"), the City operates certain government access channels.

B. CAC is a not-for-profit corporation incorporated under the laws of the State of Illinois and created in accordance with Section 4-280- 310 et seq., of the Cable Ordinance.

CAC has its principal offices in the City of Chicago, Illinois. In accordance with the Cable Ordinance, CAC operates certain public access cable channels in the City of Chicago. CAC receives most of its funding from payments made to it by cable companies that have been granted franchises to operate cable systems in the City of Chicago.

C. RCN is a corporation organized under the laws of the State of Delaware, with its principal offices being located in the City of Princeton, New Jersey. As of the date of this Agreement, there is pending before the Chicago City Council an ordinance (such ordinance, as it may be hereafter revised or amended, is referred to herein as the "Renewal Authorization") to authorize a renewal of RCN's cable system franchise for Franchise Area 2 (the "Franchise") in the City of Chicago, which franchise was originally granted in 2000.

D. In order to promote the public interest and public access television in the City of Chicago, if the Renewal Authorization is approved and the Franchise is renewed, RCN has agreed to make certain payments to the City and CAC as set forth below; and RCN, the City and CAC desire to recite that commitment in a written agreement.

NOW THEREFORE, in consideration of the mutual promises and covenants set forth herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree that if the Renewal Authorization is approved, and the Chicago City Council renews the RCN Franchise:

1. The City and CAC will cooperate with RCN with respect to RCN's performing any and all of its undertakings with respect to public access television, including any obligations imposed on RCN by any City of Chicago ordinance approving the Renewal Authorization or the Franchise.

2. In addition to complying with all terms of the Cable Ordinance, other applicable ordinances and the franchise agreement, beginning December 7, 2015, RCN shall pay to the City and CAC, as set forth in paragraph 3 below, a total grant amount equal to one percent (1%) of the gross revenues RCN derives from its cable system throughout the period of its renewed Franchise (the "Grant"). For purposes of this Paragraph 2, the term "gross revenues RCN derives from its cable system" has the

meaning set forth in Sections 4-280-030C, 4-280-030D and 4-280-030M of the Cable Ordinance ("Gross Revenues").

3. The Grant shall be allocated as follows: two-thirds or .67% of Gross Revenues to CAC and one-third or .33% of Gross Revenues to the City (1% of Gross Revenues in total). Accordingly, RCN shall make separate payments of the Grant directly to the CAC and the City in these respective allocated shares. There are no restrictions as to the City's or CAC's use of the monies RCN will pay to the City and CAC pursuant to this paragraph. Payments to the City and CAC of the Grant shall be made on a quarterly basis 30 days after the close of the calendar quarters ending March 31, June 30, September 30 and December 31.

(a) Each such payment to the City of .33% and each such payment to the CAC of .67 %, of the gross revenues RCN derives from its cable system during the immediately preceding calendar quarter shall include a statement explaining the basis for the calculation of the payment and shall include a copy of the financial

statement submitted to the City pursuant to Section 4-280-130B of the Cable Ordinance, showing RCN's Gross Revenues derived during the immediately preceding three (3) month calendar quarter. Additionally, RCN shall also provide CAC, within 120 days following the conclusion of the RCN's fiscal year, a copy of any audited annual report submitted to the City pursuant to the same Section.

(b) On the last day of the first full month after the end of the term of the Franchise, RCN shall submit a financial statement to the City and CAC showing the RCN's Gross Revenues for which no payment has yet been tendered to CAC and the City pursuant to this Agreement and shall simultaneously tender payment to the City and to CAC their respective share of the Grant not previously tendered.

(c) Notwithstanding subsections (a) through (b) above, in the event that an audit is conducted of RCN for any calendar year pursuant to Section 4-280-130C of the Cable Ordinance or otherwise, and such audit properly shows an adjustment is necessary to the Grant payments due to the City and to CAC under this Agreement,

RCN shall so adjust payments due the City and CAC within one hundred twenty (120) days after the conclusion of the calendar year, and RCN at the same time shall provide the City and the CAC with any relevant financial information concerning any such adjustment.

(d) If any of the payments referred to in this paragraph 3 are not made on or before their due dates to the City and/or CAC, RCN shall pay to the City and/or CAC, respectively, interest from such due date on the amount due and owing until such amount is paid in full at the monthly rate of one-and-one-half percent (1.5%).

If an additional amount is due the City and CAC as a result of the audit referred to in subparagraph (c) and such amount is not paid within thirty (30) days after RCN is notified of the result of the audit, RCN shall pay interest to the City and CAC, on the amount due and owing until such amount is paid in full, at the monthly rate of one-and-one-half percent (1.5%).

4. In the event that the City enters into an agreement with Comcast or any other

franchised cable provider that provides for a grant payment in an amount less than 1% of that provider's gross revenues, the City, CAC and RCN agree that RCN shall pay that lesser percentage of gross revenues on a going-forward basis and starting on the same date that the payments made by that other cable provider are to commence, allocated between the City and CAC in such percentages as they shall jointly direct RCN in writing. RCN shall have no right to any refund or set-off of any kind for payments previously made pursuant to this agreement as a consequence, if any, of this paragraph. In the event that the City enters into an agreement with Comcast or any other franchised cable provider that provides for a grant payment in an amount greater than 1% of that provider's gross revenues, the City, CAC and RCN agree that RCN shall pay that greater percentage of gross revenues on a going-forward basis and starting on the same date that the payments made by that other cable provider are to commence, allocated between the City and CAC in the same percentages as stated in paragraph 3 above. The City and CAC shall have no right to seek any additional payments for a prior time period as a consequence, if any, of

this paragraph.

5. In the event that the requirement contained in section 4-280-320(A) of the Cable Ordinance that provides that "[T]he franchise(s) shall provide that the CAC shall control the use of ten percent of all cable channels (including ten percent of all channels on subscriber cables) from their inception, free of charge, as access channels." is modified in any way, including by renegotiation with any other franchised cable provider, the City and CAC agree that the modified requirement shall govern RCN's obligations under this ordinance section.

6. RCN agrees that a violation of the terms of this agreement by RCN may be considered by the City as a material violation of the Franchise and shall subject RCN to all remedies available to the City under the Franchise.

7. No amendment or other modification of this Agreement shall be valid or binding on any party hereto unless in writing and signed by all of the parties. Other than franchise fees and other fees and taxes owed to the City by RCN pursuant to applicable

law, this document contains the entire agreement between the parties hereto with respect to payments to be made by RCN to the City and to CAC based on gross revenues from RCN cable services regarding Franchise Area 2, and supersedes all prior agreements or understandings. This Agreement shall bind and inure to the benefit of and be enforceable by RCN, the City and CAC and their respective successors and assigns. This Agreement will be governed by the laws of the State of Illinois. Each party represents and warrants to each other party that its undersigned representative has full power and authority to enter into this Agreement, that it has received all necessary or required approvals with respect thereto and that this Agreement constitutes the legal, valid and binding obligation of such party, enforceable against such party in accordance with its terms. Each party further represents and warrants that it is entering into this Agreement voluntarily and has received the advice and representation of counsel. No inference shall be drawn for or against any party because of its role in the drafting of this Agreement.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date
written above.

CHICAGO ACCESS CORPORATION

BY: _____

Its: _____

RCN CABLE TV OF CHICAGO, INC.

BY: _____

Its: _____

THE CITY OF CHICAGO

BY: _____

Its: _____

EXHIBIT D – SERVICES

1. Service Outlets - Pursuant and in addition to Section 4-280-230(C) of the Cable Ordinance, the Grantee shall provide, free of charge, one service outlet for Expanded Basic service to each of the institutions listed below:

[INSERT NEW TABLE]

EXHIBIT E -- EEO/AA PLAN

The City and the Grantee recognize that in order to ensure the participation of minorities¹ and women in the Grantee's work force that the Grantee must take affirmative action to maximize equal employment opportunities for minorities and women. To this end, the Grantee, pursuant to Section 22.2 of the Agreement, shall develop and file an Equal Employment Opportunity/Affirmative Action Plan (the "EEO/AA Plan") with the Cable Administrator for approval within ninety (90) days after the Effective Date. For purposes of this Exhibit E and Section 22 of the Agreement, all general population and labor force statistics shall be derived from the latest U.S. Census Data.

I. EEO/AA Plan

The Grantee's EEO/AA Plan shall contain:

- A. A workforce profile that indicates the number of individual positions by job title in each of the following job categories:
 - 1. Officials and Managers/Technical/Professional;
 - 2. Sales;
 - 3. Office and Clerical;
 - 4. Craftsmen;
 - 5. Operatives;
 - 6. Laborers; and

I. For purposes of this Exhibit E, Section 4-280-450 of the Cable Ordinance and Section 22 of the Agreement, the term "minorities" shall be defined to mean persons who are citizens or lawful permanent residents of the United States and who are:

- a) Black (a person having origins in any of the black racial groups of Africa);
- b) Hispanic (a person of Spanish or Portuguese culture with origins in Mexico, South or Central America, or the Caribbean Islands, regardless of race);
- c) Asian American (a person having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands); or
- d) American Indian and Alaskan Native (a person having origins in any of the original peoples of North America).

7. Service workers.

This workforce profile shall also indicate the levels by grade or pay scale of the various positions within each job category.

B. Specific, numerical, affirmative action goals. Such goals shall be set by;

1. Multiplying established percentages for each group in the appropriate job category, by;
2. The number of positions (whether filled or vacant) indicated in each job category; and
3. Subtracting the members of each group presently included in the Grantee's workforce in each job category

C. A description of the Grantee's EEO/AA Plan that demonstrates the Grantee's commitment to maximizing the employment opportunities of minorities and women in its workforce. The Grantee's EEO/AA Plan shall be disseminated both internally and externally and appropriately reflected in the Grantee's:

1. Employee and Supervisory Manuals;
2. Training and Employment Materials;
3. Employment notices which set forth the rights of an employee if he/she believes that he/she has been discriminated against;
4. Job application forms in bold type; and
5. Contracts with its Contractors and Subcontractors.

Also indicative of the Grantee's efforts to disseminate its policy shall be the featuring of minorities and women in advertisements, posters or other public relations

materials.

D. A description of personnel practices and procedures that will be used by the Grantee to:

1. Eliminate artificial barriers or other impediments to full utilization of minorities and females; and
2. Ensure that the Grantee's policies, procedures or practices are both neutral and nondiscriminatory on their face and administered in a fair manner.

This description shall provide an analysis of personnel practices and procedures and include, but not be limited to, a general description of the following:

1. The Grantee's selection process, including, but not limited to, job descriptions, job titles, employee requirements, application forms, interview procedures;
2. The Grantee's transfer, evaluation, and promotion practices;
3. Salaries, fringe benefits and other forms of compensation;
4. Accessibility of the Grantee's facilities or equipment to handicapped persons; and
5. Seniority practices.

E.. The designation of a senior company official who shall have full responsibility for the development, implementation and monitoring of the Grantee's EEO/AA policies and programs. This official shall be given adequate personnel and financial resources to conduct the Grantee's EEO/AA policies and programs.

The title of this official shall appear in all materials relating to the Grantee's EEO/AA Plan.

F. A description of the procedures to be used by the Grantee to inform all of its personnel that successful implementation of and compliance with the Grantee's EEO/AA policies and programs will be an essential element in performance evaluation and promotion.

G. A description of a systematic, affirmative action recruitment program to be used by the Grantee to attract minority and female applicants to the Grantee's workforce. Such description shall also identify applicant sources in the City's labor force. The Grantee may rely on personnel resource organizations to obtain data on current and potential applicant sources. Minority and women's organizations and colleges with a significant proportion of minority and female students may also provide such recruitment information. Techniques to recruit potential applicants may include:

1. Placing job announcements/advertisements in newspapers;
2. On-site visits at minority and women's colleges;
3. Contacting and sending job announcements to minority and women's professional organizations and civil rights, legal and community organizations;
4. Attendance at special career programs at local high schools and colleges or participating in conventions or meetings sponsored by civil rights or public interest organizations; and
5. Announcing employment opportunities on radio and TV on both minority and non-minority stations.

H. A description of the Grantee's apprenticeship training and educational

programs that will be established and implemented by the Grantee to satisfy the employment requirements set forth herein and in Section 22 of the Agreement.

I. A description of the Grantee's internal audit and monitoring system that must be integrated with the Grantee's normal personnel, budgetary and management systems and used to conduct an analysis of each aspect of the Grantee's EEO/AA policies and programs and to measure the effectiveness of this program. This description shall include, but not be limited to:

1. A procedure for monitoring vacancies created by new hiring, expansion, promotion, attrition or termination to assess opportunities for meeting its Equal Employment Opportunity and Affirmative Action goals.
2. A procedure for assessing the usefulness of various recruitment sources and techniques.

II. Underutilization Analysis

Subsequent to submission of its EEO/AA Plan, the Grantee shall be required to conduct, on an annual basis, a statistical analysis pursuant to guidelines established by the City, to determine whether minorities or women are underutilized in the Grantee's workforce in any job category. Generally, this analysis shall consist of a comparison of the projected annual, numerical affirmative action goals of the Grantee and the actual representation of minorities and females in the Grantee's workforce.

EXHIBIT F -- MBE AND WBE PLANS

The City recognizes that (i) minority and women-owned businesses have historically been underutilized in the cable television industry; (ii) the minority and female populations comprise substantially more than half of the general population of the City; (iii) there are a significant number of qualified minority and women-owned businesses located within the City and (iv) by providing opportunities to such minority and women-owned businesses, the Grantee shall assist in increasing the participation of minority and women-owned businesses in the cable television industry. To this end, the Grantee, pursuant to Section 23.2 and 3 of the Agreement, shall develop separate MBE² and WBE³ Plans.

I. MBE/WBE Plans

Both the MBE and WBE Plans of the Grantee shall contain:

A. A description of the Grantee's internal MBE/WBE policy that demonstrates the Grantee's commitment to maximizing the participation of minority and women-owned businesses located in the City in the construction, installation, maintenance and operation of its cable television system. This policy shall be disseminated both internally and externally and be reflected in all of the Grantee's contracts. Indicative of the Grantee's efforts to disseminate this policy shall be:

2. For purposes of this Exhibit F, Section 4-280-450(E) of the Cable Ordinance and Sections 23.2 and 3 of the Agreement, MBE ("Minority Business Enterprise") means a business (a) which is at least fifty-one percent (51%) owned by one or more minorities or, in the case of a publicly owned business, at least fifty-one percent (51%) of the stock of which is owned by one or more minorities; and (b) whose minority owners possess the power to direct or cause the direction of the management and policies of the business and to make day-to-day as well as major decisions regarding management, policy, and operations of the business.

3. For purposes of this Exhibit and Section 23 of the Agreement, WBE ("Women's Business Enterprise") means a business (a) which is at least fifty-one percent (51%) owned by one or more females or, in the case of a publicly owned business, at least fifty-one percent (51%) of the stock of which is owned by one or more females; and (b) whose female owners possess the power to direct or cause the direction of the management and policies of the business and to make day-to-day as well as major decisions regarding management, policy and operations of the business.

1. Timely notice of the Grantee's intent to award contracts to the minority and female business communities, MBE/WBE assistance agencies and other MBE/WBE-related organizations;
2. Advertising in publications having significant circulation among minorities and/or females;
3. Sponsoring conferences to advertise the Grantee's MBE/WBE policies and to explain the procedures for MBE/WBE participation;
4. Maintaining systematic contacts with the minority and female business communities, MBE/WBE assistance agencies, MBE/WBE contractor associations and other minority and female organizations to encourage referrals of qualified MBE/WBEs;
5. Communicating the Grantee's MBE/WBE policies to all existing contractors and subcontractors and requesting MBE/WBE referrals for future considerations.

B. The designation of a senior company official who shall have full responsibility for the development, implementation and monitoring of the Grantee's MBE/WBE programs. This official shall be given adequate personnel and financial resources to conduct such programs. The title of this official shall appear in all of the Grantee's materials relating to MBEs/WBEs.

C. A description of specific programs that will be established and implemented by the Grantee to meet its required MBE and WBE goals and to ensure the participation of MBEs/WBEs in the construction, installation, maintenance and operation of its cable television system.

D. A description of specialized programs that the Grantee may, at its option,

establish and implement to meet its required MBE and WBE goals and to ensure the participation of MBEs/WBEs in the construction, installation, maintenance and operation of the Grantee's cable television system such as:

1. Set-asides for MBEs/WBEs;
2. Joint venture arrangements between MBEs/WBEs and other firms;
3. Sole source contracts with capable, qualified MBEs/WBEs;
4. Division of contracts to facilitate greater MBE/WBE participation;
5. Accelerated or pro-rated payment plans and pro-rated delivery schedules in order to minimize the cash flow problems of MBEs/WBEs;
6. Purchasing supplies and/or leasing the required equipment for a job and then subcontracting with MBEs/WBEs only for the expertise required to perform the job; and
7. Revolving loan funds.

Also indicative of the Grantee's efforts to meet its MBE/WBE goals shall be: a) the deposit of funds in minority or women-owned banks; b) bonding, management and technical assistance; c) the dissemination of informational materials; d) the sponsoring of seminars and workshops; and e) advising MBEs/WBEs on organizational and contractual requirements, bid specifications, contracting schedules and procurement procedures.

E. A description of the categories and dollar values of all contracts to be awarded by the Grantee.

F. A description of the categories and dollar values of all contracts that should be excluded from the total dollar value of contracts to be awarded by the Grantee because participation of MBEs/WBEs would not be practically possible such as factory direct

purchases, purchases of satellite-delivered services and purchases of materials or equipment from a sole source of supply. These exclusions must be justified by the Grantee in this description and are subject to the approval of the Cable Administrator.

G. A description of the Grantee's internal audit and monitoring system that must be integrated with the Grantee's normal personnel, budgetary and management systems and used for purposes of measuring the effectiveness of the Grantee's MBE/WBE programs. Said description shall:

1. Set forth specifically the steps the Grantee will take to identify and award contracts to MBEs and WBEs;
2. Detail the methods the Grantee will use for monitoring its MBE/WBE programs;
3. Be consistent with the MBE/WBE⁴ counting methods set forth below:
 - a) The total dollar value of the contract awarded to the MBE/WBE is counted toward the applicable MBE/WBE goals.
 - b) The total dollar value of a contract with an MBE/WBE owned and controlled by both minority males and non-minority females is counted towards MBE or WBE goals respectively, in proportion to the percentage of ownership and control of each group in the business.
 - c) The total dollar value of a contract with an MBE-owned and

⁴ MBEs/WBEs must be certified in accordance with standards established by the City.

controlled by minority women is counted toward either the applicable MBE goal or the WBE goal, but not toward both. The Grantee may choose the goal to which the contract value is applied.

d) The portion of the total dollar value of a contract with an eligible joint venture that is equal to the percentage of ownership and control of the MBE/WBE partner in the joint venture may be counted towards the applicable MBE/WBE goals.

e) Only expenditures to MBEs/WBEs that perform a "commercially useful function" in the performance of a contract may be counted towards the applicable MBE/WBE goals. An MBE/WBE is considered to perform a "commercially useful function" if it is responsible for the execution of a distinct element in the performance of a contract and carries out its responsibilities by actively performing, managing and supervising the job.

f) The portion of the total dollar value of a sub-contract performed by an MBE/WBE is counted towards the applicable MBE/WBE goals.

g) Materials and supplies obtained from MBE/WBE suppliers and manufacturers may be counted towards the applicable MBE/WBE goals, provided that the MBE/WBE assumes the actual and contractual responsibility for the provision of materials or supplies. In cases where the MBE/WBE exercises the exclusive role of a distributor, broker or agent for the obtaining of materials or supplies, then only the commission, markup or fee earned by the MBE/WBE may be counted towards the

applicable MBE/WBE goals.

EXHIBIT G – VIDEO ON DEMAND

The CAC and City agree to follow the standardized procedures all commercial systems use for uploading VOD content to the Grantee's system. The CAC and City are responsible for providing all Metadata information when uploading the VOD content and that the content will be provided in an MPEG 2 transcoding.

The Metadata information will, if available, include, but is not limited to:

- The asset title
- Description
- Director and actors
- Show run time
- Rating
- Expiration date of the asset

Grantee will provide an interface to enter each asset in a user-friendly manner.

EXHIBIT H – RELEASE AND SETTLEMENT AGREEMENT

[ATTACHED]

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

RCN Cable TV of Chicago, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 650 College Road East, Suite 3100

Princeton, NJ 08540

C. Telephone: 609-221-2290 Fax: 609-452-2540 Email: jkrapm@patmedia.us

D. Name of contact person: Jeffrey Kramp, Executive Vice President, Secretary and General Counsel

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Renewal of Franchise of RCN Cable TV of Chicago, Inc.

G. Which City agency or department is requesting this EDS? Department of Business Affairs and Consumer Protection

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☒ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **RCN Cable TV of Chicago, Inc. registered to do business in the State of Illinois on August 4, 1998.**

☒ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title

See Attached.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
<u>See attached organizational chart.</u>		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

See attached.

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☐ No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

____ 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. **If the Matter is not federally funded**, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

NOT FEDERALLY FUNDED

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

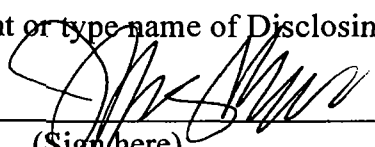
NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

RCN Cable TV of Chicago, Inc.

(Print or type name of Disclosing Party)

By: 
(Sign here)

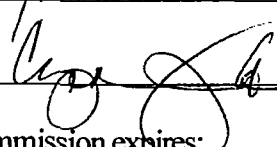
Jeffrey Kramp

(Print or type name of person signing)

Authorized Representative of RCN Cable TV of Chicago, Inc.

(Print or type title of person signing)

Signed and sworn to before me on (date) OCTOBER 15, 2016,
at Minneapolis, County, NJ (state).


Commission expires:

Notary Public **Cynthia J. Gilsenan**
Notary Public of New Jersey
My Commission Expires Oct. 28, 2016

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

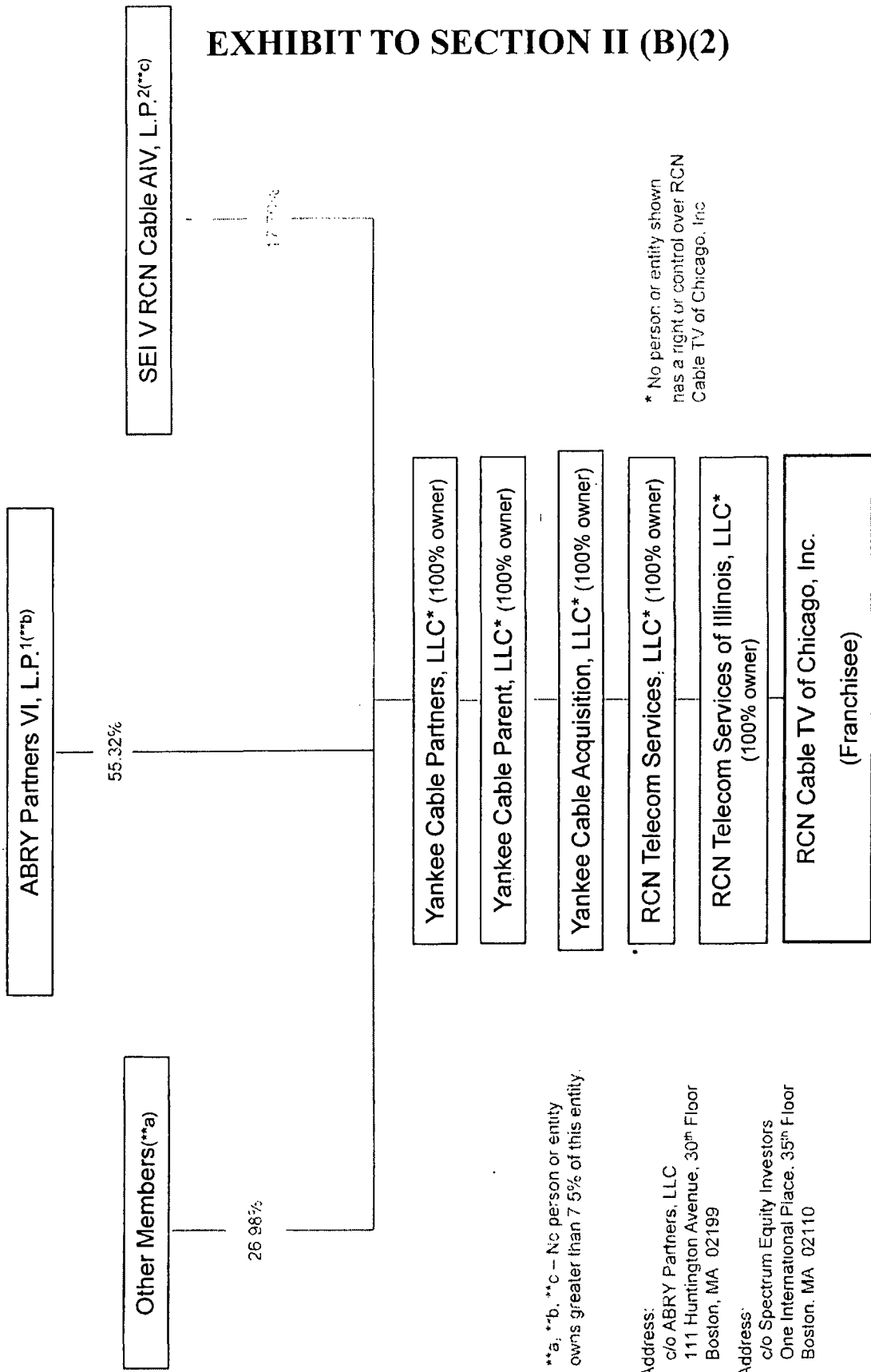
EXHIBIT TO SECTION II(B)(1)

Name	Title
James Holanda	President, Chief Executive Officer & Chief Operating Officer; Member, Board of Managers
Steven J. Simmons	Chairman; Member, Board of Managers
John Feehan	Executive Vice President, Treasurer & Chief Financial Officer
John Gdovin	Executive Vice President & Chief Administrative Officer
Patrick Murphy	Executive Vice President, Chief Technology Officer
Rob Roeder	Executive Vice President, Chief Development Officer
J. Christian Fenger	Executive Vice President, Chief Operating Officer
Jeffrey Kramp	Executive Vice President, Secretary of the Board & General Counsel
John Rusak	Vice President & Controller
Michael O'Day	Vice President - Taxation
Jay Grossman	Member, Board of Managers
Blake Battaglia	Member, Board of Managers
Rob MacInnis	Member, Board of Managers
William P. Collatos	Member, Board of Managers

EXHIBIT TO SECTION IV

Name	Business Address	Relationship to Disclosing Party	Fees
Todd H. Wells, Esq. Schnelz Wells, P.C.	280 N. Old Woodward Ave. Suite 250 Birmingham, MI 48009	Attorney	\$5,000.00 on this matter
Thomas J. Murphy, Esq.	111 W. Washington St. Suite 1920 Chicago, IL 60602	Attorney	\$5,000.00 on this matter

Corporate Organizational Structure of Franchisee



**a, **b, **c - No person or entity owns greater than 7.5% of this entity.

Address:
c/o ABRY Partners, LLC
111 Huntington Avenue, 30th Floor
Boston, MA 02199

Address:
c/o Spectrum Equity Investors
One International Place, 35th Floor
Boston, MA 02110

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Yankee Cable Parent, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant
OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: RCN Cable TV of Chicago, Inc.
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 650 College Road East, Suite 3100

Princeton, NJ 08540

C. Telephone: 609-221-2290 Fax: 609-452-2540 Email: jkramp@patmedia.us

D. Name of contact person: Jeffrey Kramp, Executive Vice President, Secretary & General Counsel

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Renewal of Franchise of RCN Cable TV of Chicago, Inc.

G. Which City agency or department is requesting this EDS? Department of Business Affairs and Consumer Protection

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☒ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify) _____

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **RCN Cable TV of Chicago, Inc. registered to do business in the State of Illinois on August 4, 1998. Yankee Cable Parent, LLC does not transact business in Illinois.**

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity. **NOTE:** For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name	Title

See attached.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
<u>See attached organizational chart.</u>		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

None.

(Add sheets if necessary)

[x] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No [x] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None.

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. **If the Matter is not federally funded**, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING **NOT FEDERALLY FUNDED**

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Yankee Cable Parent, LLC

(Print or type name of Disclosing Party)

By: _____

(Sign here)

Jeffrey Kramp

(Print or type name of person signing)

Authorized Representative of Yankee Cable Parent, LLC

(Print or type title of person signing)

Signed and sworn to before me on (date) OCTOBER 15, 2015
at Middlesex, County, NJ (state)

Cynthia J. Gilsonan
Commission expires: _____

Cynthia J. Gilsonan
Notary Public of New Jersey
My Commission Expires Oct. 28, 2016

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

EXHIBIT TO SECTION II(B)(1)

Name	Title
James Holanda	President, Chief Executive Officer & Chief Operating Officer; Member, Board of Managers
Steven J. Simmons	Chairman; Member, Board of Managers
John Feehan	Executive Vice President, Treasurer & Chief Financial Officer
John Gdovin	Executive Vice President & Chief Administrative Officer
Patrick Murphy	Executive Vice President, Chief Technology Officer
Rob Roeder	Executive Vice President, Chief Development Officer
J. Christian Fenger	Executive Vice President, Chief Operating Officer
Jeffrey Kramp	Executive Vice President, Secretary of the Board & General Counsel
John Rusak	Vice President & Controller
Michael O'Day	Vice President - Taxation
Jay Grossman	Member, Board of Managers
Blake Battaglia	Member, Board of Managers
Rob MacInnis	Member, Board of Managers
William P. Collatos	Member, Board of Managers

Corporate Organizational Structure of Franchisee

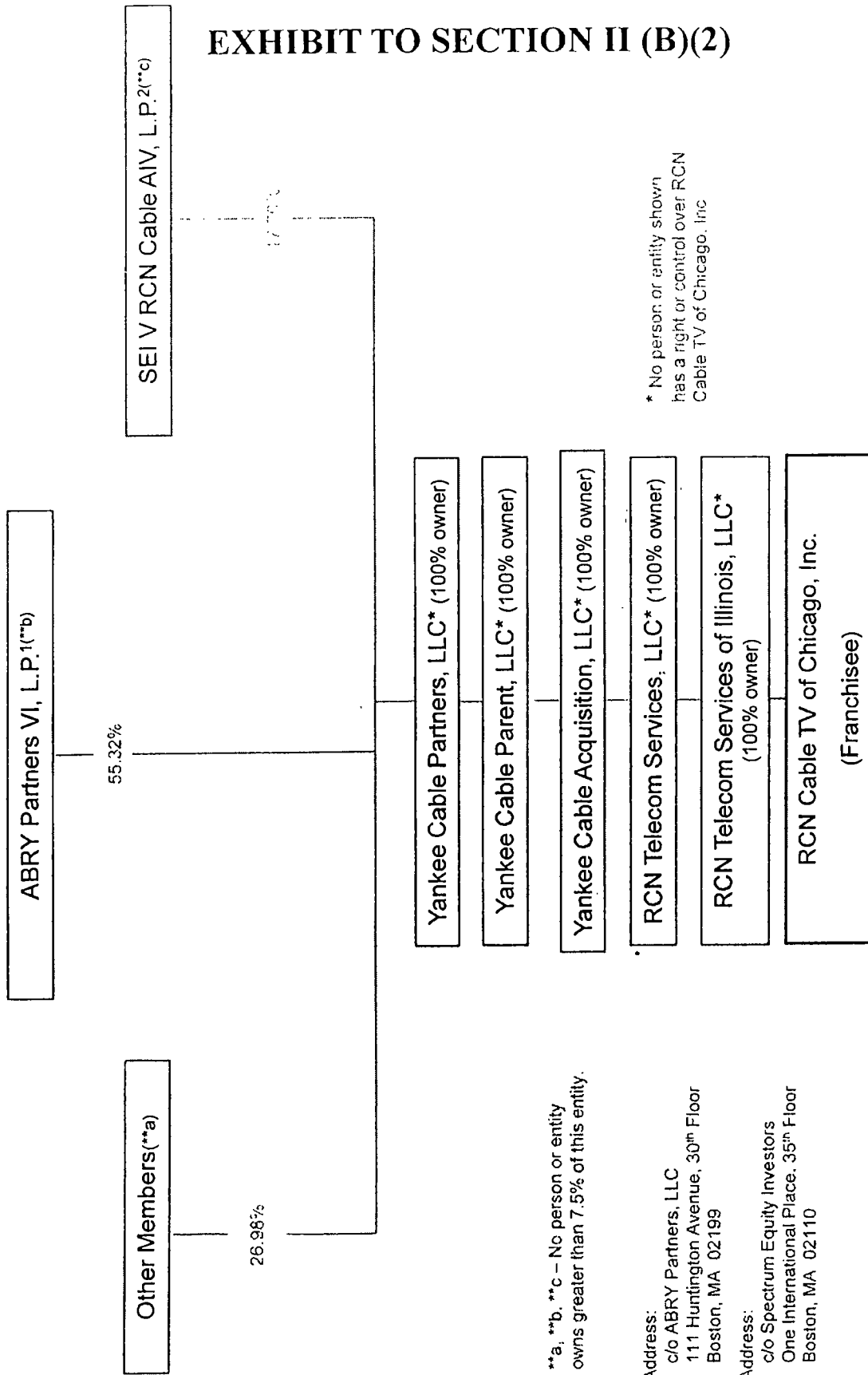


EXHIBIT TO SECTION II (B)(2)

**a, **b, **c – No person or entity owns greater than 7.5% of this entity.

* No person or entity shown has a right or control over RCN Cable TV of Chicago, Inc.

1 Address:
c/o ABRY Partners, LLC
111 Huntington Avenue, 30th Floor
Boston, MA 02199

2 Address:
c/o Spectrum Equity Investors
One International Place, 35th Floor
Boston, MA 02110

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Yankee Cable Acquisition, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: RCN Cable TV of Chicago, Inc.

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 650 College Road East, Suite 3100

Princeton, NJ 08540

C. Telephone: 609-221-2290 Fax: 609-452-2540 Email: jkramp@patmedia.us

D. Name of contact person: Jeffrey Kramp, Executive Vice President, Secretary & General Counsel

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Renewal of Franchise of RCN Cable TV of Chicago, Inc.

G. Which City agency or department is requesting this EDS? Department of Business Affairs and Consumer Protection

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☒ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **RCN Cable TV of Chicago, Inc. registered to do business in the State of Illinois on August 4, 1998. Yankee Cable Acquisition, LLC does not transact business in Illinois.**

☐ Yes

☒ No

☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

See attached.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
See attached organizational chart.		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

“Lobbyist” means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. “Lobbyist” also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

None.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☐ No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

NOT FEDERALLY FUNDED

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

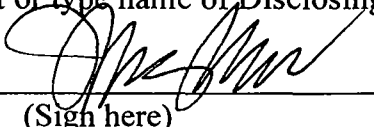
NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Yankee Cable Acquisition, LLC

(Print or type name of Disclosing Party)

By: 
(Sign here)

Jeffrey Kramp

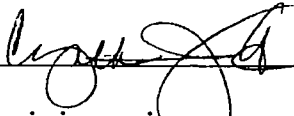
(Print or type name of person signing)

Cynthia J. Gilsenan
Notary Public of New Jersey
My Commission Expires Oct. 28, 2016

Authorized Representative of Yankee Cable Acquisition, LLC

(Print or type title of person signing)

Signed and sworn to before me on (date) OCTOBER 15, 2015,
at Middlesex, County, NJ (state).

 Notary Public.
Commission expires: _____.

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ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
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This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

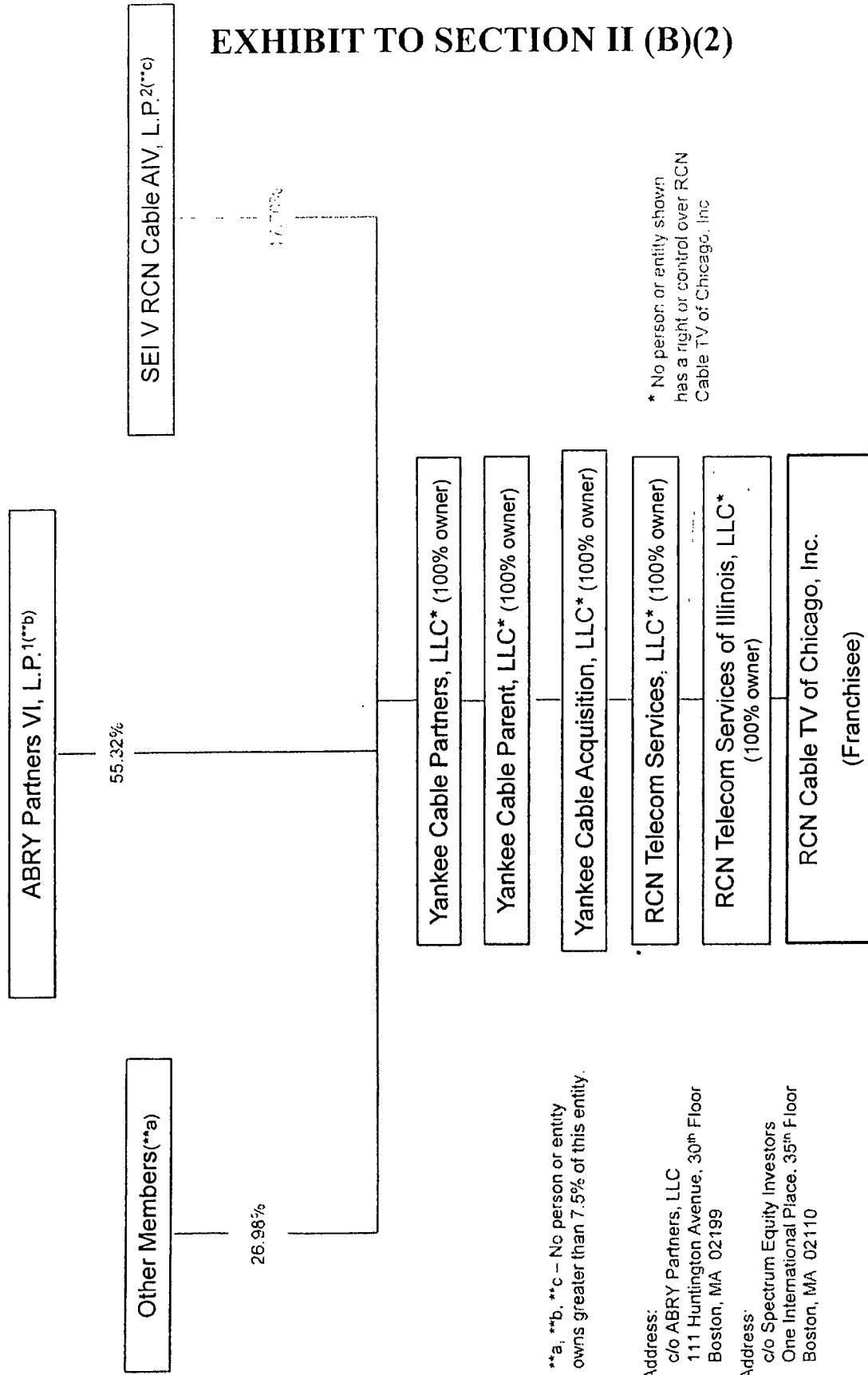
☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

EXHIBIT TO SECTION II(B)(1)

Name	Title
James Holanda	President, Chief Executive Officer & Chief Operating Officer; Member, Board of Managers
Steven J. Simmons	Chairman; Member, Board of Managers
John Fechan	Executive Vice President, Treasurer & Chief Financial Officer
John Gdovin	Executive Vice President & Chief Administrative Officer
Patrick Murphy	Executive Vice President, Chief Technology Officer
Rob Roeder	Executive Vice President, Chief Development Officer
J. Christian Fenger	Executive Vice President, Chief Operating Officer
Jeffrey Kramp	Executive Vice President, Secretary of the Board & General Counsel
John Rusak	Vice President & Controller
Michael O'Day	Vice President - Taxation
Jay Grossman	Member, Board of Managers
Blake Battaglia	Member, Board of Managers
Rob MacInnis	Member, Board of Managers
William P. Collatos	Member, Board of Managers

Corporate Organizational Structure of Franchisee



**a, **b, **c -- No person or entity owns greater than 7.5% of this entity.

Address:
c/o ABRY Partners, LLC
111 Huntington Avenue, 30th Floor
Boston, MA 02199

Address:
c/o Spectrum Equity Investors
One International Place, 35th Floor
Boston, MA 02110

* No person or entity shown has a right or control over RCN Cable TV of Chicago, Inc.

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

RCN Telecom Services, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant
OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: RCN Cable TV of Chicago, Inc.
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 650 College Road East, Suite 3100

Princeton, NJ 08540

C. Telephone: 609-221-2290 Fax: 609-452-2540 Email: jkrapm@patmedia.us

D. Name of contact person: Jeffrey Kramp, Executive Vice President, Secretary & General Counsel

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Renewal of Franchise of RCN Cable TV of Chicago, Inc.

G. Which City agency or department is requesting this EDS? Department of Business Affairs and Consumer Protection

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☒ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify) _____

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **RCN Cable TV of Chicago, Inc. registered to do business in the State of Illinois on August 4, 1998. RCN Telecom Services, LLC does not transact business in Illinois.**

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title

See attached.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
<u>See attached organizational chart.</u>		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

None.			

(Add sheets if necessary)

[x] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes
 ☐ No
 ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes
 ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

____ 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING NOT FEDERALLY FUNDED

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

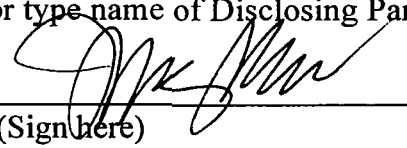
NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

RCN Telecom Services, LLC

(Print or type name of Disclosing Party)

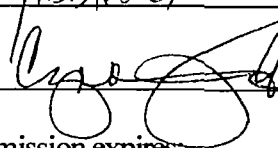
By: 
(Sign here)

Jeffrey Kramp
(Print or type name of person signing)

Authorized Representative of RCN Telecom Services, LLC

(Print or type title of person signing)

Signed and sworn to before me on (date) OCTOBER 15, 2015
at Midvale, UT, County, UT (state).


Commission expires: _____

Cynthia J. Gilsenan Notary Public of New Jersey My Commission Expires Oct. 28, 2016

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

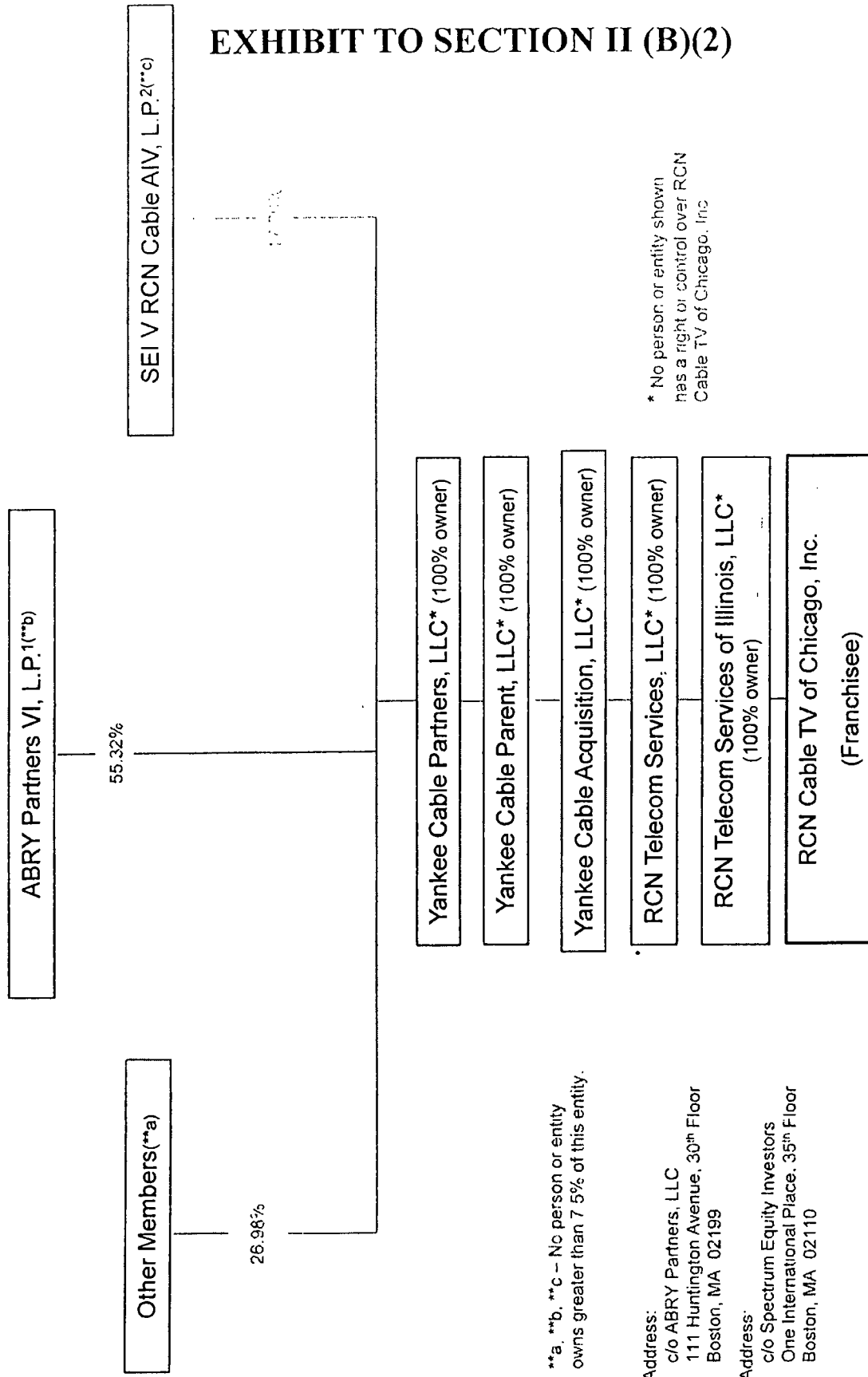
☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

EXHIBIT TO SECTION II(B)(1)

Name	Title
James Holanda	President, Chief Executive Officer & Chief Operating Officer; Member, Board of Managers
Steven J. Simmons	Chairman; Member, Board of Managers
John Feehan	Executive Vice President, Treasurer & Chief Financial Officer
John Gdovin	Executive Vice President & Chief Administrative Officer
Patrick Murphy	Executive Vice President, Chief Technology Officer
Rob Roeder	Executive Vice President, Chief Development Officer
J. Christian Fenger	Executive Vice President, Chief Operating Officer
Jeffrey Kramp	Executive Vice President, Secretary of the Board & General Counsel
John Rusak	Vice President & Controller
Michael O'Day	Vice President - Taxation
Jay Grossman	Member, Board of Managers
Blake Battaglia	Member, Board of Managers
Rob MacInnis	Member, Board of Managers
William P. Collatos	Member, Board of Managers

Corporate Organizational Structure of Franchisee



**a, **b, **c – No person or entity owns greater than 7.5% of this entity.

Address:
c/o ABRY Partners, LLC
111 Huntington Avenue, 30th Floor
Boston, MA 02199

Address:
c/o Spectrum Equity Investors
One International Place, 35th Floor
Boston, MA 02110

* No person or entity shown has a right or control over RCN Cable TV of Chicago, Inc.

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

RCN Telecom Services of Illinois, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant

OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: RCN Cable TV of Chicago, Inc.

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 650 College Road East, Suite 3100

Princeton, NJ 08540

C. Telephone: 609-221-2290 Fax: 609-452-2540 Email: jkramp@patmedia.us

D. Name of contact person: Jeffrey Kramp, Executive Vice President, Secretary & General Counsel

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Renewal of Franchise of RCN Cable TV of Chicago, Inc.

G. Which City agency or department is requesting this EDS? Department of Business Affairs and Consumer Protection

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☒ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **RCN Cable TV of Chicago, Inc. registered to do business in the State of Illinois on August 4, 1998. RCN Telecom Services, LLC does not transact business in Illinois.**

☐ Yes

☒ No

☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

See attached.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
<u>See attached organizational chart.</u>		
<u> </u>		
<u> </u>		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

None.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☐ No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party’s knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with “N/A” or “none”).

9. To the best of the Disclosing Party’s knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a “gift” does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with “N/A” or “none”). As to any gift listed below, please also list the name of the City recipient.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

NOT FEDERALLY FUNDED

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

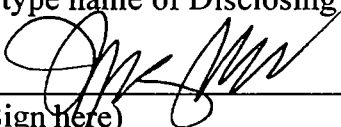
NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

RCN Telecom Services of Illinois, LLC

(Print or type name of Disclosing Party)

By: 
(Sign here)

Jeffrey Kramp

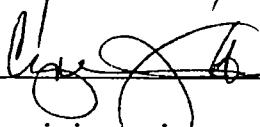
(Print or type name of person signing)

Authorized Representative of RCN Telecom Services, LLC

(Print or type title of person signing)

Signed and sworn to before me on (date) OCTOBER 15 2014
at Middlesex, County, NJ (state).

Cynthia J. Gilsenan
Notary Public of New Jersey
My Commission Expires Oct. 28, 2016

 Notary Public.
Commission expires: _____.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

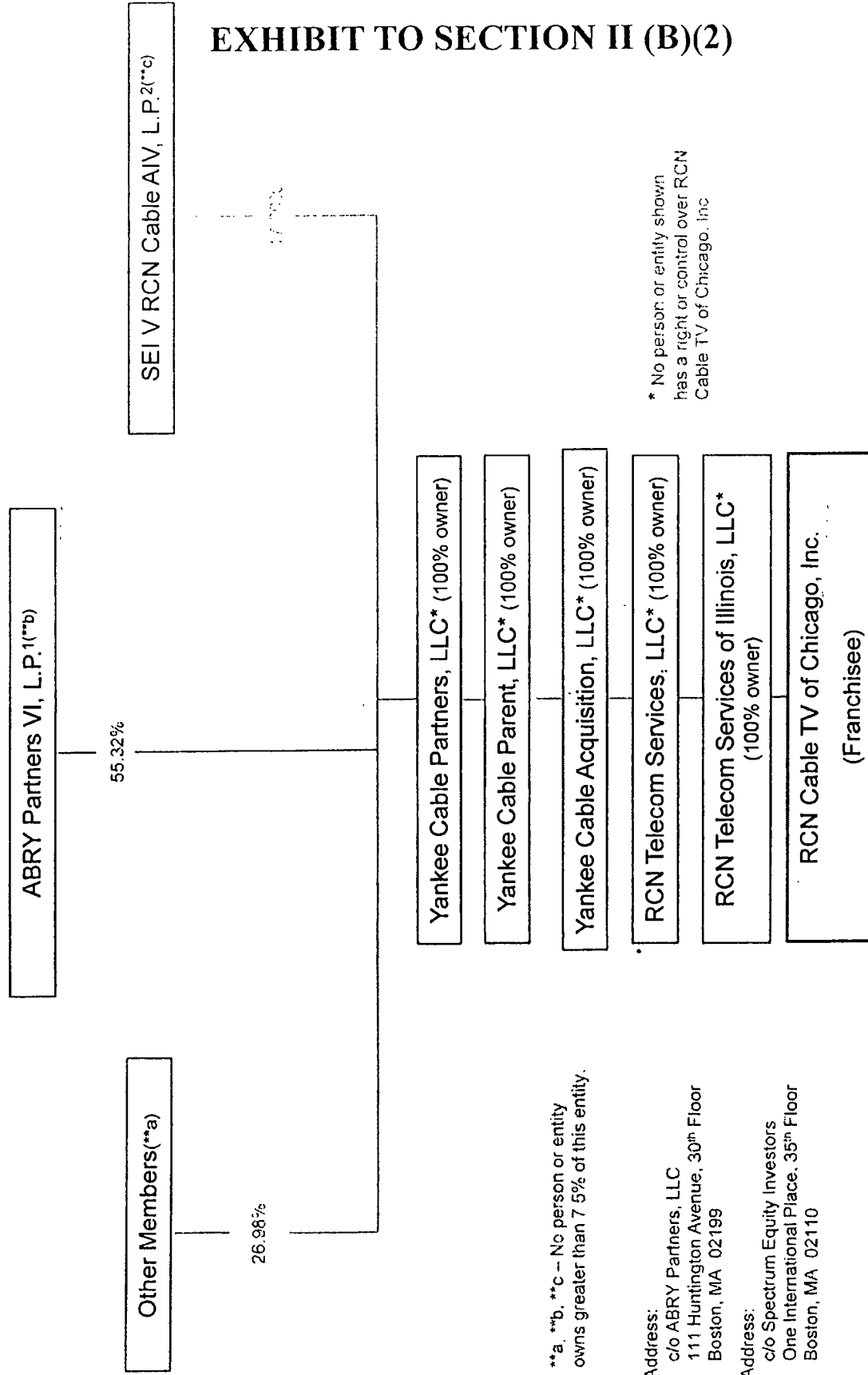
☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

EXHIBIT TO SECTION II(B)(1)

Name	Title
James Holanda	President, Chief Executive Officer & Chief Operating Officer; Member, Board of Managers
Steven J. Simmons	Chairman; Member, Board of Managers
John Feehan	Executive Vice President, Treasurer & Chief Financial Officer
John Gdovin	Executive Vice President & Chief Administrative Officer
Patrick Murphy	Executive Vice President, Chief Technology Officer
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William P. Collatos	Member, Board of Managers

Corporate Organizational Structure of Franchisee



**a, **b, **c – No person or entity owns greater than 7.5% of this entity.

Address:
c/o ABRY Partners, LLC
111 Huntington Avenue, 30th Floor
Boston, MA 02199

Address:
c/o Spectrum Equity Investors
One International Place, 35th Floor
Boston, MA 02110

* No person or entity shown has a right or control over RCN Cable TV of Chicago, Inc.

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

SEI V RCN Cable AIV, L.P.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant
OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: RCN Cable TV of Chicago, Inc.
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: One International Place, 35th Floor

Boston, MA 02110

C. Telephone: 617-464-4600 Fax: 617-464-4601 Email: bill@spectrumequity.com

D. Name of contact person: William P. Collatos

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Renewal of Franchise of RCN Cable TV of Chicago, Inc.

G. Which City agency or department is requesting this EDS? Department of Business Affairs and Consumer Protection

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification #N/A and Contract #N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☒ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify) _____

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

See Attached.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party

See attached organizational chart.

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

None.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☐ No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None.

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

NOT FEDERALLY FUNDED

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

3. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

SEI V RCN Cable AIV, L.P.

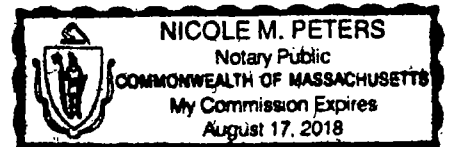
(Print or type name of Disclosing Party)

By: [Signature]
(Sign here)

William P. Collatos
(Print or type name of person signing)

Authorized Representative of SEI V RCN Cable AIV, L.P.
(Print or type title of person signing)

Signed and sworn to before me on (date) October 20, 2015
at Suffolk, County, MASSACHUSETTS (state).



Nicole M. Peters Notary Public.

Commission expires: August 17, 2018.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

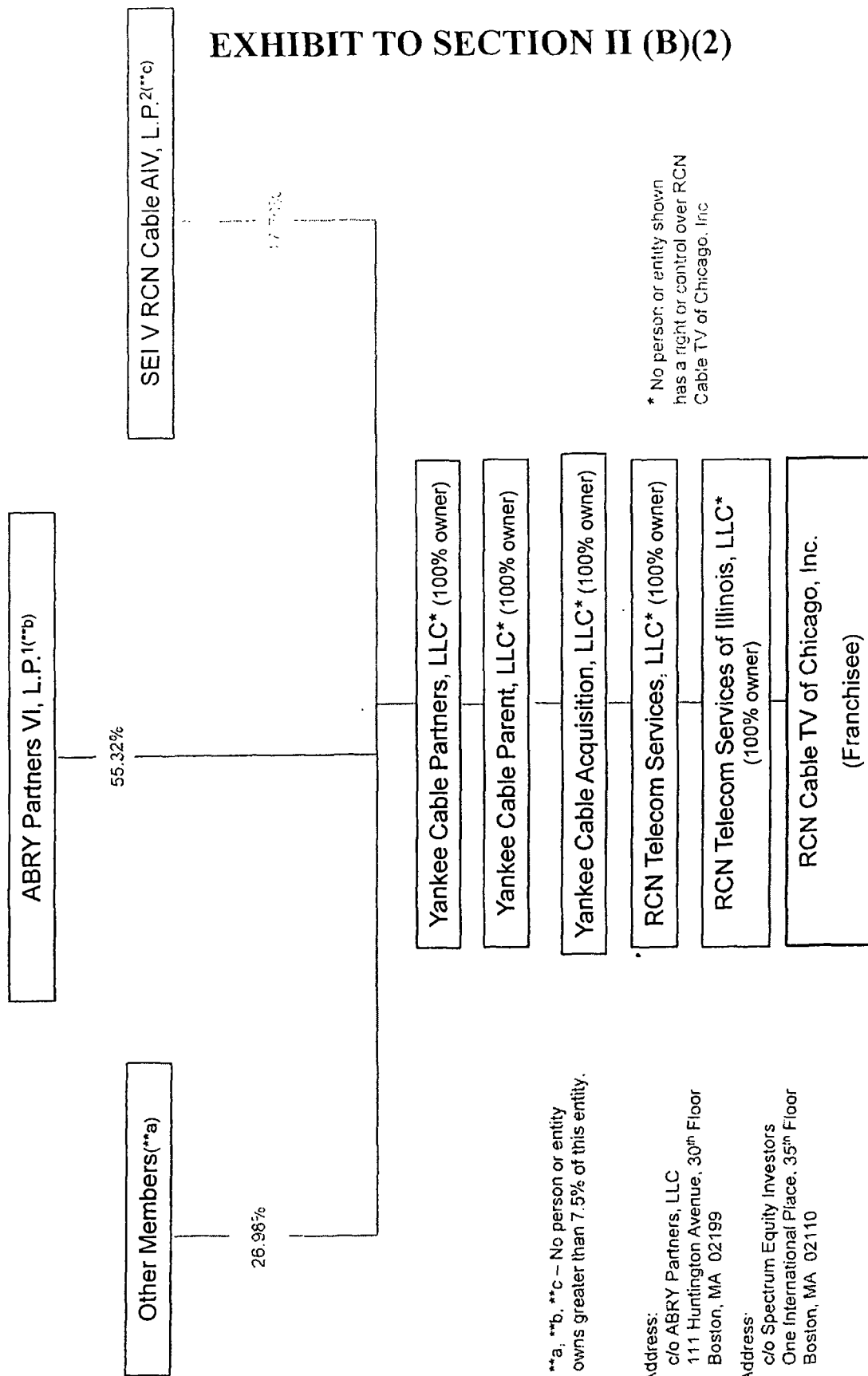
☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

EXHIBIT TO SECTION II(B)(1)

Name	Title
Spectrum Equity Associates V, G.P.	Owner
SEA V Management, L.L.C.	General Partner
William P. Collatos	Managing Director
Brion B. Applegate	Managing Director
Randy J. Henderson	Managing Director
Victor E. Parker	Managing Director
Christopher T. Mitchell	Managing Director

Corporate Organizational Structure of Franchisee



**a, **b, **c – No person or entity owns greater than 7.5% of this entity.

¹ Address:
c/o ABRY Partners, LLC
111 Huntington Avenue, 30th Floor
Boston, MA 02199

² Address:
c/o Spectrum Equity Investors
One International Place, 35th Floor
Boston, MA 02110

* No person or entity shown has a right or control over RCN Cable TV of Chicago, Inc.

EXHIBIT TO SECTION II (B)(2)

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Yankee Cable Partners, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant
OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: RCN Cable TV of Chicago, Inc.
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 650 College Road East, Suite 3100

Princeton, NJ 08540

C. Telephone: 609-221-2290 Fax: 609-452-2540 Email: jkrapm@patmedia.us

D. Name of contact person: Jeffrey Kramp, Executive Vice President, Secretary & General Counsel

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Renewal of Franchise of RCN Cable TV of Chicago, Inc.

G. Which City agency or department is requesting this EDS? Department of Business Affairs and Consumer Protection

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☒ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify) _____

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **RCN Cable TV of Chicago, Inc. registered to do business in the State of Illinois on August 4, 1998. Yankee Cable Partners, LLC does not transact business in Illinois.**

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title

See attached.

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party

See attached organizational chart.

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

None.

(Add sheets if necessary)

[x] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes
 ☐ No
 ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes
 ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None.

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

NOT FEDERALLY FUNDED

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Yankee Cable Partners, LLC

(Print or type name of Disclosing Party)

By: _____

(Sign here)

Jeffrey Kramp

(Print or type name of person signing)

Authorized Representative of Yankee Cable Partners, LLC

(Print or type title of person signing)

Signed and sworn to before me on (date) OCTOBER 15, 2015,
at Middlesex, County, NJ (state).

Cynthia J. Gilsonan
Notary Public of New Jersey
My Commission Expires Oct. 28, 2016

Commission expires: _____

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

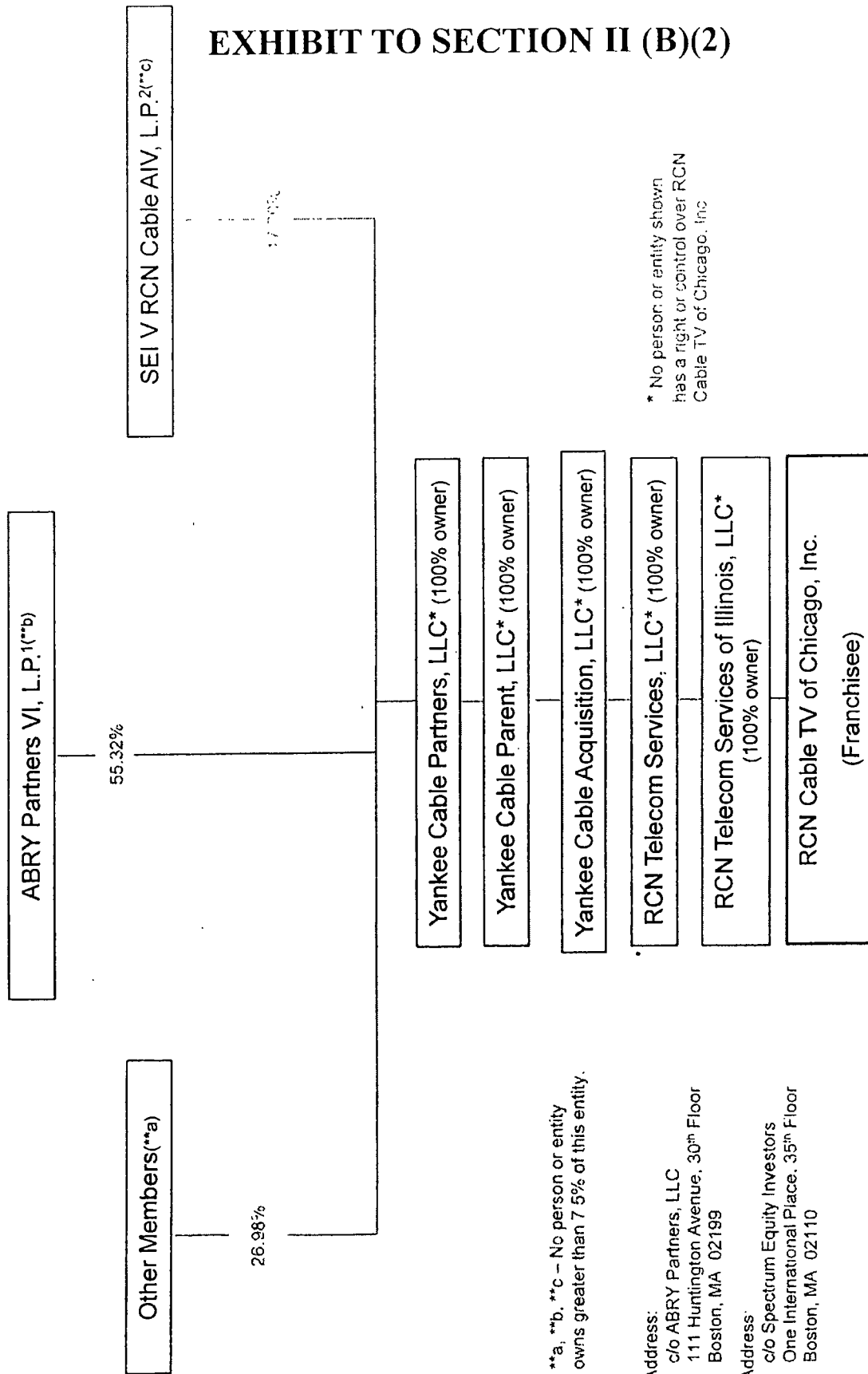
☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

EXHIBIT TO SECTION II(B)(1)

Name	Title
James Holanda	President, Chief Executive Officer & Chief Operating Officer; Member, Board of Managers
Steven J. Simmons	Chairman; Member, Board of Managers
John Feehan	Executive Vice President, Treasurer & Chief Financial Officer
John Gdovin	Executive Vice President & Chief Administrative Officer
Patrick Murphy	Executive Vice President, Chief Technology Officer
Rob Roeder	Executive Vice President, Chief Development Officer
J. Christian Fenger	Executive Vice President, Chief Operating Officer
Jeffrey Kramp	Executive Vice President, Secretary of the Board & General Counsel
John Rusak	Vice President & Controller
Michael O'Day	Vice President - Taxation
Jay Grossman	Member, Board of Managers
Blake Battaglia	Member, Board of Managers
Rob MacInnis	Member, Board of Managers
William P. Collatos	Member, Board of Managers

Corporate Organizational Structure of Franchisee



**a, **b, **c – No person or entity owns greater than 7.5% of this entity.

1 Address:
c/o ABRY Partners, LLC
111 Huntington Avenue, 30th Floor
Boston, MA 02199

2 Address:
c/o Spectrum Equity Investors
One International Place, 35th Floor
Boston, MA 02110

* No person or entity shown has a right or control over RCN Cable TV of Chicago, Inc.

**CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

ABRY Partners VI, L.P.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☐ the Applicant
OR

2. ☒ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: RCN Cable TV of Chicago, Inc.
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: c/o ABRY Partners, LLC
111 Huntington Avenue, 30th Floor
Boston, MA 02199

C. Telephone: 617-859-2959 Fax: 617-859-8797 Email: rmacinnis@abry.com

D. Name of contact person: Robert MacInnis

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Renewal of Franchise of RCN Cable TV of Chicago, Inc.

G. Which City agency or department is requesting this EDS? Department of Business Affairs and Consumer Protection

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification #N/A and Contract #N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☒ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **RCN Cable TV of Chicago, Inc. registered to do business in the State of Illinois on August 4, 1998. ABRY VI Capital Partners, L.P. does not transact business in Illinois.**

☐ Yes ☒ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

ABRY VI Capital Partners, L.P.

General Partner

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party

See attached organizational chart.

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

None.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

NOT FEDERALLY FUNDED

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

3. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any

federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

ABRY Partners VI, L.P.
(Print or type name of Disclosing Party)

By: ABRY VI Capital Partners, L.P.
By: ABRY VI Capital Investors, L.L.C.

By: *Klofman*
(Sign here)

Kostantinos Sofronas
CCO/Counsel

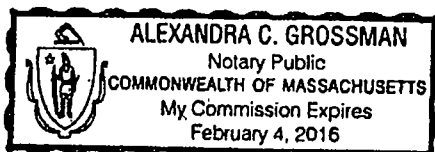
(Print or type name of person signing)

Authorized Representative of ABRY Partners VI, L.P.
(Print or type title of person signing)

Signed and sworn to before me on (date) November 17, 2015,
at Boston, County, Suffolk, MA (State).

Alexandra C. Grossman Notary Public.

Commission expires: 2/4/16



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

EXHIBIT TO SECTION II(B)(1)

**ABRY PARTNERS VI, L.P.
Organizational Structure**

**ABRY Partners VI, L.P.
Organizational Structure**

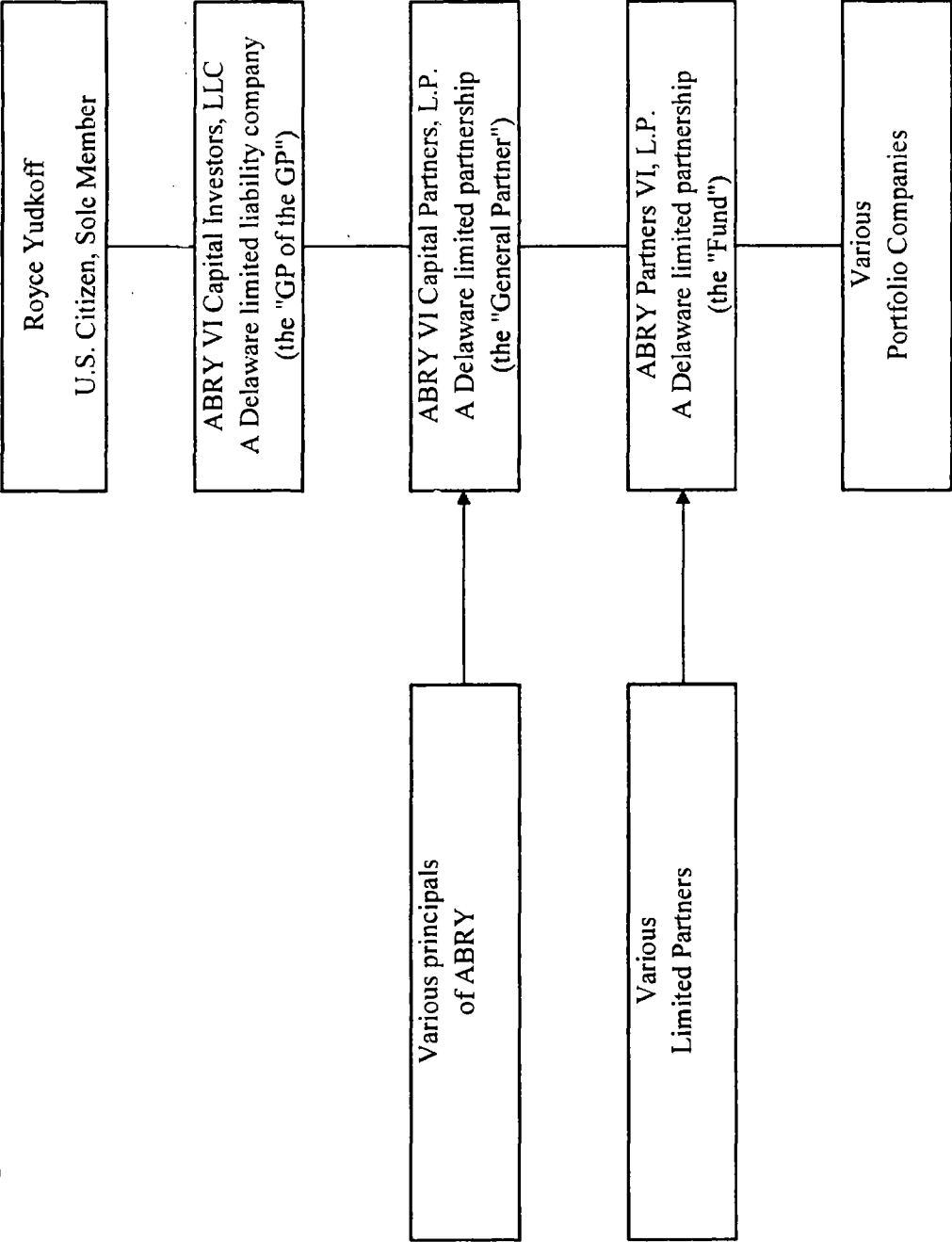
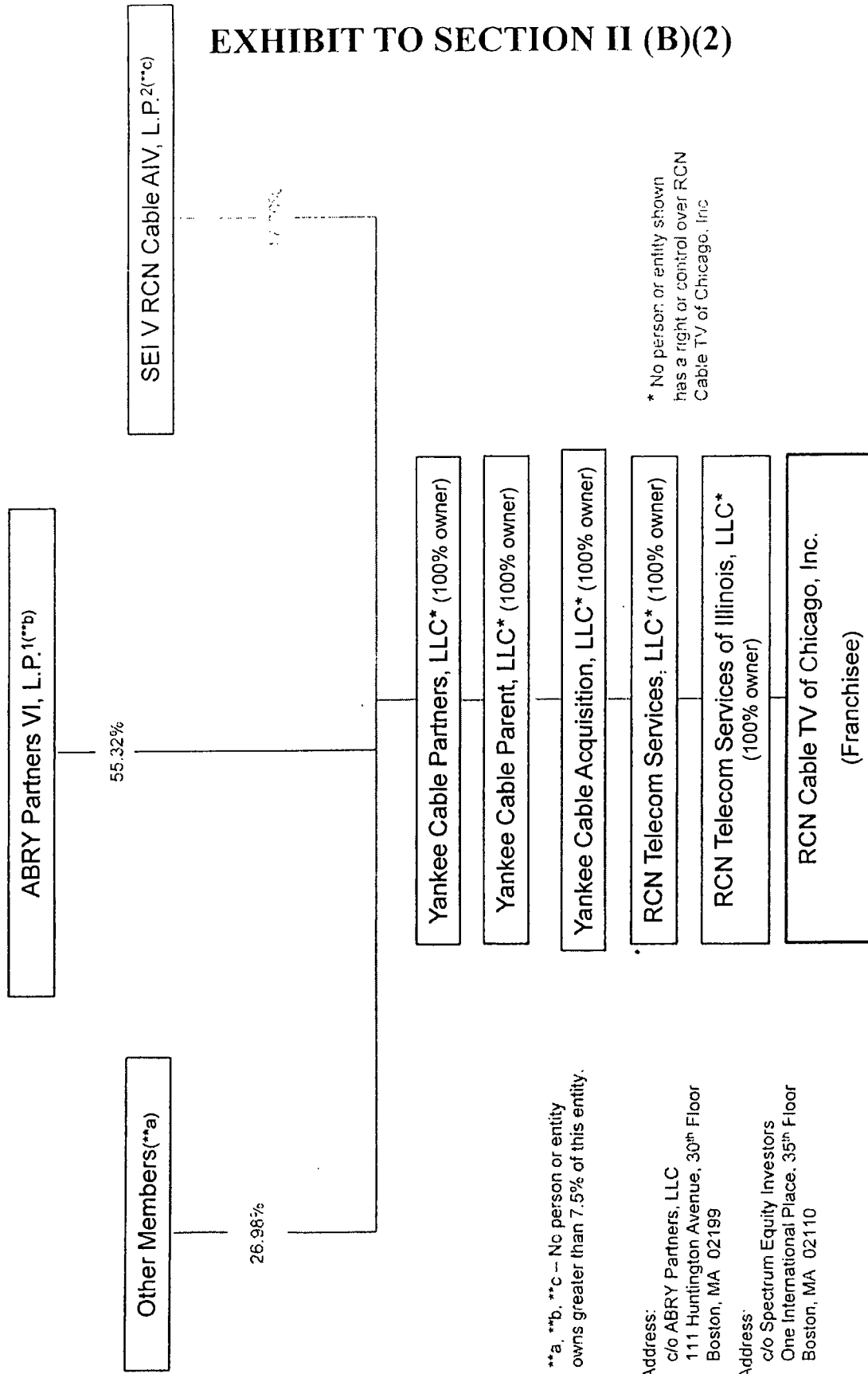
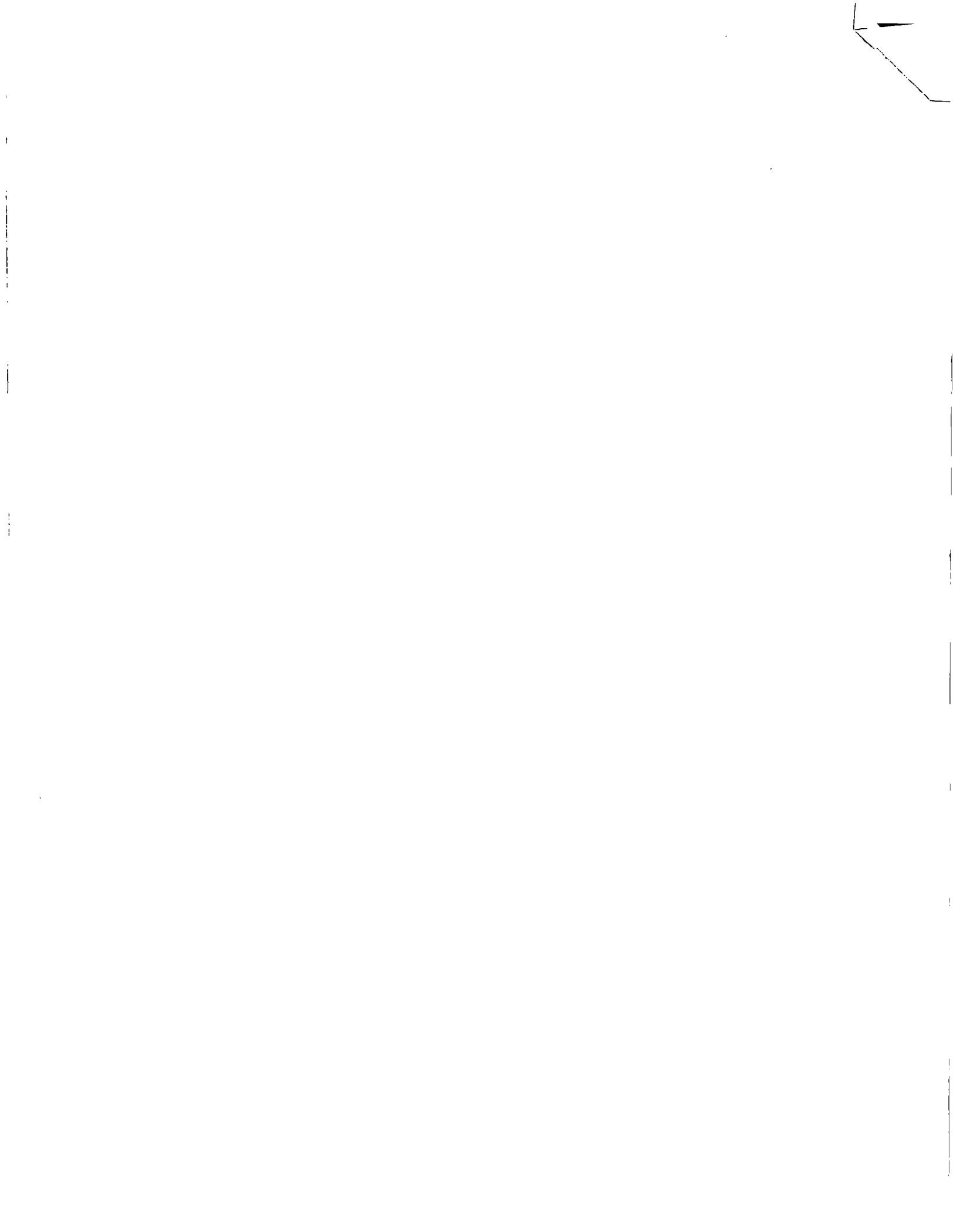


EXHIBIT TO SECTION II(B)(2)

Corporate Organizational Structure of Franchisee

Corporate Organizational Structure of Franchisee







City of Chicago



O2015-8425

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Extension of previously right of entry for decommissioned 12th District Police Station at 100 S Racine Ave
Committee(s) Assignment:	Committee on Housing and Real Estate



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Fleet and Facility Management, I transmit herewith an ordinance authorizing an extension of a previously executed right-of-entry agreement.

Your favorable consideration of this ordinance will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, the City of Chicago (the "City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City is the owner of the decommissioned 12th District Police Station building and surrounding grounds located at 100 South Racine Avenue, Chicago, Cook County, Illinois (the "Property"); and

WHEREAS, by ordinance adopted on May 6, 2015, and published at pages 108379-108436 in the Journal of the Proceedings of the City Council of the City of such date, the City Council of the City ("City Council") authorized the conveyance of the Property to the Chicago Children's Theatre, an Illinois not-for-profit corporation (the "Developer"); and

WHEREAS, the Developer proposes to rehabilitate and develop the Property to include two theaters, classrooms, support spaces, administrative offices, and underground parking; and

WHEREAS, the Developer and the City anticipate that the conveyance of the Property will be completed in early 2016; and

WHEREAS, the Developer wishes to begin remediation work on the Property prior to the completion of the conveyance of the Property; and

WHEREAS, the Developer has hired Pepper Environmental Technologies, Inc., an Illinois corporation (the "Licensee"), to conduct remediation work at the Property; and

WHEREAS, pursuant to Section 2-51-050(l) of the Municipal Code of Chicago, the Commissioner of the Department of Fleet and Facility Management has the authority to enter into right of entry agreements for up to 90 days each; and

WHEREAS, the City and Licensee have entered into a right of entry agreement to begin remediation work at the Property (the "Right of Entry Agreement"); and

WHEREAS, it is anticipated that Licensee's remediation work will require more than 90 days; and

WHEREAS, pursuant to Section 2-51-050(l) of the Municipal Code of Chicago, City Council approval is required to extend the Right of Entry Agreement for 90 days beyond the initial 90 day term; ***now, therefore,***

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The foregoing recitals are hereby adopted as the findings of the City Council.

SECTION 2. The Commissioner of the Department of Fleet and Facility Management is authorized to execute an amendment to the Right of Entry Agreement to extend the term by 90 days; such amendment to be approved as to form and legality by the Corporation Counsel in substantially the form attached hereto as Exhibit A.

SECTION 3. This ordinance shall take effect immediately upon its passage and approval.

EXHIBIT A

Amendment to Right of Entry Agreement

AMENDMENT TO RIGHT OF ENTRY AGREEMENT

THIS AMENDMENT TO RIGHT OF ENTRY AGREEMENT (the “**Amendment**”) is made and effective as of _____, 2015 by and between **CITY OF CHICAGO**, an Illinois municipal corporation and home rule unit of government (the “**City**”) and **PEPPER ENVIRONMENTAL TECHNOLOGIES, INC.**, an Illinois corporation (the “**Licensee**”).

RECITALS

WHEREAS, on October 15, 2015, City and Licensee executed that certain Right of Entry Agreement (the “**Agreement**”) governing Licensee’s access to 100 South Racine Avenue, Chicago, Illinois (the “**Property**”); and

WHEREAS, the Term of the Agreement expires January 3, 2016, and Licensee requires more time to complete the remediation work contemplated under the Agreement; and

WHEREAS, by ordinance adopted on _____, 2015, and published at pages _____ - _____ in the Journal of the Proceedings of the City Council of the City of such date, the City Council authorized the extension of the Term of the Agreement by 90 days.

NOW THEREFORE, in consideration of the above recitals, and the mutual covenants and agreements contained herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. The foregoing recitals are hereby incorporated into and made a part of this Amendment by this reference.
2. The Term of the Agreement is hereby extended by ninety (90) days.
3. If any term, provision or condition of this Amendment is found to be or is rendered invalid or unenforceable, it shall not affect the remaining terms, provisions and conditions of this Amendment, and each and every other term, provision and condition of this Amendment shall be valid and enforceable to the fullest extent permitted by law.
4. This Amendment shall be governed, construed, applied and enforced in accordance with the laws of the State of Illinois.
5. This Amendment may be executed in any number of counterparts, each of which shall be deemed to be an original, and all of such counterparts shall together constitute one Amendment.
6. City and Licensee further acknowledge and agree that, except to the extent hereinabove provided, the provisions of the Agreement remain unmodified and in full force and effect. This Amendment is hereby attached and made part of the Agreement and is specifically incorporated into the Agreement. To the extent any terms and provisions of this Amendment are inconsistent with the terms and provisions of the Agreement, the terms and provisions of this Amendment shall prevail.

IN WITNESS WHEREOF, the parties have executed this Amendment to the Agreement as of the day and year first above written.

CITY OF CHICAGO,

an Illinois municipal corporation and home rule unit of government

By: _____
Commissioner
Department of Fleet and Facility Management

By: _____
Commissioner
Department of Planning and Development

PEPPER ENVIRONMENTAL TECHNOLOGIES, INC.,
an Illinois corporation

By: _____

Print Name: _____

Title: _____

Approved as to form and legality:

Deputy Corporation Counsel
Department of Law

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Pepper Environmental Technologies, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party:

411 Lake Zurich Road

Barrington, IL 60010

C. Telephone: 847/381-2760 Fax: 847/304-0121 Email: mgrant@pepperenvironmental.com

D. Name of contact person: Mike Grant

E. Federal Employer Identification No. (if you have one) _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Right of entry for access to city owned property located at 100 S. Racine Ave.

G. Which City agency or department is requesting this EDS? Dept. of Fleet & Facility Management

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☒ Other (please specify) Corporation

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

See Attachment "A"

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party

See Attachment "B"

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this BDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated ~~not to be retained~~)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Superb Environmental, Inc.	1630 Mulberry Drive, Lake Villa, IL 60046	Subcontractor	\$55,200.00 / paid

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION,

COMPLIANCE, PENALTIES, DISCLOSURE.

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Bethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity; including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Pepper Environmental Technologies, Inc.

(Print or type name of Disclosing Party)

By:

James A. Nissen 8/13/15
(Sign here)

James A. Nissen

(Print or type name of person signing)

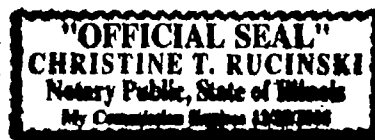
President

(Print or type title of person signing)

Signed and sworn to before me on (date) 13th Aug. 2015
at McHenry County, IL (state).

Christine T. Rucinski Notary Public.

Commission expires: 12/28/2018



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

[X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
ATTACHMENT "A"

Name	Title
James A. Nissen	President and Director
Thomas M. O'Leary	Secretary and Director
J. David Pepper	Director

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
ATTACHMENT "B"

NAME	BUSINESS ADDRESS	PERCENTAGE OF OWNERSHIP
J. David Pepper	643 N. Orleans Chicago, IL 60654	14%
J. Richard Pepper	643 N. Orleans Chicago, IL 60654	14%
J. Scot Pepper	643 N. Orleans Chicago, IL 60654	14%
Lisa Pepper	411 Lake Zurich Road Barrington, IL 60010	13%
Richard S. Pepper	643 N. Orleans Chicago, IL 60654	27%

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with Right of Entry for 100 S. Racine Ave. [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Pepper Environmental Technologies, Inc.
(Print or type legal name of Disclosing Party)

Date: 11/4/15

By: 
(sign here)

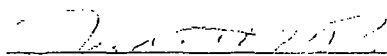
Print or type name of signatory:

JAMES A. NISSEN

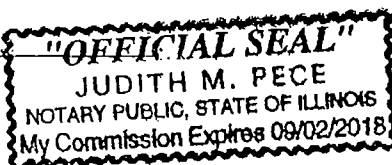
Title of signatory:

PRESIDENT

Signed and sworn to before me on [date] November 4, 2015, by
James A. Nissen, at Illinois County, Peoria [state].

 Notary Public.

Commission expires: 7/2/18





City of Chicago



O2015-8054

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Support of Class 7(c) tax incentive for property at 825 West 47th Street
Committee(s) Assignment:	Committee on Economic, Capital and Technology Development



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith an ordinance authorizing a Class 7(c) tax status for Halsted Two Property, LLC.

Your favorable consideration of this ordinance will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, the City of Chicago (the "City") is a home rule unit of government under Section 6(a), Article VII of the 1970 Constitution of the State of Illinois authorized to exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the Cook County Board of Commissioners has enacted the Real Property Assessment Classification Ordinance, as amended (the "Ordinance"), which establishes the Class 7c tax incentive under the Ordinance; and

WHEREAS, Halsted Two Property, LLC, an Illinois limited liability company ("Halsted"), owns certain real estate located generally at 825 West 47th Street, Chicago, Illinois 60609, as further described on Exhibit A hereto (the "Project Site"); and

WHEREAS, Halsted proposes to develop the Project Site by constructing an approximately 2,048 square foot building on a 12,478 square foot parcel (the "Project"); and

WHEREAS, the Project will increase employment opportunities, economic activity in the area and growth in the real property tax base; and

WHEREAS, Halsted has applied to the Office of the Assessor of Cook County, Illinois (the "Assessor") for designation of the Project Site as a Class 7c classification eligible for certain real estate tax incentives; and

WHEREAS, the Ordinance provides that an applicant for Class 7c classification must obtain an ordinance or resolution from the municipality in which the real estate is located expressly stating that (a) the municipality has determined that the eligibility factors of the Ordinance are present, and (b) the municipality supports and consents to the Class 7c classification by the Assessor; and

WHEREAS, the Department of Planning and Development of the City ("DPD") has reviewed the proposed Project, has determined that it meets the necessary eligibility requirements for Class 7c designation, and hereby recommends to the City Council that the City expressly determine that (a) the required eligibility factors are present, and (b) the City supports and consents to the Class 7c classification by the Assessor of the Project Site; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The above recitals are hereby expressly incorporated as if fully set forth herein.

SECTION 2. DPD has reviewed the eligibility application for a Class 7c classification and Incentive Classification Initial Questionnaire and determined that the four eligibility factors under the Ordinance that must be present to demonstrate that the Project Site is "in need of commercial development" are satisfied.

SECTION 3. The City hereby determines that the Project meets eligibility factor (1) under the Ordinance in that real estate taxes in the area for three of the last six years have either declined or remained stagnant due to the depressed condition of the area.

SECTION 4. The City hereby determines that the Project meets eligibility factor (2) under the Ordinance in that there is a reasonable expectation that the development, re-development or rehabilitation of the Project is viable and likely to go forward on a reasonably timely basis if granted Class 7c designation and will therefore result in the economic enhancement of the property.

SECTION 5. The City hereby determines that the Project meets eligibility factor (3) under the Ordinance in that certification of the Project Site for Class 7c designation will materially assist development, redevelopment or rehabilitation of the Project Site and the Project would not go forward without the full incentive offered under Class 7c.

SECTION 6. The City hereby determines that the Project meets eligibility factor (4) under the Ordinance in that certification of the Project Site for Class 7c designation is reasonably expected to ultimately result in an increase in real property tax revenue and employment opportunities of the property.

SECTION 7. The City further hereby determines that the Project Site is a redevelopment priority of the City.

SECTION 8. The City hereby determines that the incentive provided by Class 7c designation is necessary for development to occur on the Project Site and hereby supports and consents to the Class 7c designation by the Assessor of the Project Site.

SECTION 9. The Commissioner of DPD (the "Commissioner"), or a designee of the Commissioner, are each hereby authorized to deliver a certified copy of this ordinance to the Assessor and to furnish such additional information as may be required in connection with the Class 7c designation of the Project Site.

SECTION 10. This ordinance shall be effective from and after its passage and approval.

EXHIBIT A

Description of the Project Site

Address: 825 West 47th Street, Chicago, Illinois 60609

Lots 27, 28, 29 and 30 in Block 1 in Newberry's subdivision of the 1162.65 feet of the East $\frac{1}{2}$ of the Northeast $\frac{1}{4}$ of Section 8, Township 38 North, Range 14, East of the Third Principal Meridian, in Cook County, Illinois.

PIN: 20-08-207-005-0000

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Halsted Two Property, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 51 Wilmette Ave.
Glenview, IL 60025

C. Telephone: (773) 742-7992 Fax: (773) 525-4860 Email: mithani2@gmail.com

D. Name of contact person: Akbar A. Mithani

E. Federal Employer Identification No. (if you have one):

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Request for Certified City Resolution supporting and consenting to a Class 7C property tax incentive based on new construction of a Dunkin Donuts

G. Which City agency or department is requesting this EDS? Department of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☒ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
Akbar A. Mithani	Manager

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Akbar A. Mithani	51 Wilmette Ave., Glenview, IL 60025	100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

N/A

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Verros, Lafakis & Berkshire, PC (retained)	33 N. LaSalle St., Ste. 2500 Chicago, IL 60602	Attorney	\$5,000 (estimated)
Nick Scarlatis & Assocs., Ltd. (retained)	5405 W. 127th St. Crestwood, IL 60445	Architect	\$35,000
AK Home Improvement, Inc. (retained)	17669 Auburn Ridge Dr. Lockport, IL 60441	Contractor	\$850,000

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes ☒ No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

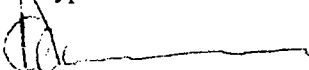
F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Halsted Two Property, LLC
(Print or type name of Disclosing Party)

By: 
(Sign here)

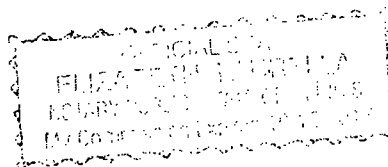
Akbar A. Mithani
(Print or type name of person signing)

Manager
(Print or type title of person signing)

Signed and sworn to before me on (date) November 9, 2015,
at Oak County, Illinois (state).

 Notary Public.

Commission expires: 10/16/17



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8103

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Sale of City-owned property at 528 W 103rd Pl to Henry L. Wilborn and Patsy A. Wilborn
Committee(s) Assignment:	Committee on Housing and Real Estate



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City is the owner of the vacant parcel of property located at 528 West 103rd Place, Chicago, Illinois, which is legally described on Exhibit A attached hereto (the "Property"); which Property is located in the 105th & Vincennes Redevelopment Project Area ("Area") established pursuant to ordinances adopted by the City Council of the City on October 3, 2001, published in the Journal of Proceedings of the City Council for such date at pages 67582 through 67676; and

WHEREAS, Henry L. Wilborn and Patsy A. Wilborn, (collectively, the "Grantee"), with a principal home address of 520 West 103rd Place, Chicago, Illinois 60628, have offered to purchase the Property from the City for the sum of Seven Hundred and 00/100 Dollars (\$700.00), such amount being the appraised fair market value of the Property, to improve with landscaped open space thereon; and

WHEREAS, pursuant to Resolution No. 15-064-21 adopted on July 16, 2015, by the Plan Commission of the City (the "Commission"), the Commission approved the negotiated sale of the Property to the Grantee; and

WHEREAS, public notice advertising the City's intent to enter into a negotiated sale of the Property with the Grantee and requesting alternative proposals appeared in the *Chicago Sun-Times*, a newspaper of general circulation, on September 21 and 28, 2015; and

WHEREAS, no alternative proposals were received by the deadline indicated in the aforesaid notice; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The City Council of the City hereby approves the sale of the Property to the Grantee for the amount of Seven Hundred and 00/100 Dollars (\$700.00),

SECTION 2. The Mayor or his proxy is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the Property to the Grantee. The quitclaim deed shall also contain language substantially in the following form:

This conveyance is subject to the express condition that: the Property is improved with landscaped open space within six (6) months of the date of this deed. In the event that the condition is not met, the City of Chicago may re-enter the Property and revest title in the City of Chicago. Such deed shall include a covenant obligating the Grantee to use the Property only for use consistent with the land uses permitted under the redevelopment plan for the Area. Grantee's acceptance of the City's deed shall constitute Grantee's agreement to such covenant. Grantee, at the request of the City of Chicago, covenants to execute and deliver to the City a reconveyance deed to the Property to further evidence such revesting of title. This right of reverter and re-entry shall terminate upon the issuance of a certificate of completion, release or similar instrument by the City of Chicago.

The Grantee acknowledges that if the Grantee develops the Property with a residential housing project, as defined under and that is subject to Section 2-45-115 of the Municipal Code of the City (the "2015 Affordable Requirements Ordinance"), the Grantee and such project shall be obligated to comply with the 2015 Affordable Requirements Ordinance.

SECTION 3. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 4. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

SECTION 5. This ordinance shall take effect upon its passage and approval.

EXHIBIT A

Purchasers:	Henry L. Wilborn and Patsy A. Wilborn
Purchaser's Address:	520 West 103 rd Place Chicago, Illinois 60628
Purchase Amount:	\$700.00
Appraised Value:	\$700.00

Legal Description (Subject to Title Commitment and Survey):

Lot 12 and the West ½ of Lot 13 in Gordon's Addition to Pullman, A Subdivision of Blocks 3 and 6 in Section 16, Township 37 North, Range 14, East of the Third Principal Meridian, in Cook County, Illinois

Address:	528 West 103 rd Place Chicago, Illinois 60628

Property Index Numbers:	25-16-104-027-0000

Mar.13.2014 05:14 PM

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a if applicable:

HENRY WILBORN

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. ~~Business~~ ^{Home} address of the Disclosing Party: _____

C. Telephone: _____

Email: _____

D. Name of contact person: HENRY WILBORN

E. Federal Employer Identification No. (If you have one): _____

NA

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

PURCHASE 528 W. 103RD PLACE LOT

G. Which City agency or department is requesting this EDS? THE DEPT. OF PLANNING
DEVELOPMENT

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # NA and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:
- | | |
|---|--|
| ☒ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

NA

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.
NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.
NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<u>NA</u>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☒ No

☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION,
COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

HENRY WILBORN

(Print or type name of Disclosing Party)

By: Henry Wilborn
(Sign here)

HENRY WILBORN

(Print or type name of person signing)

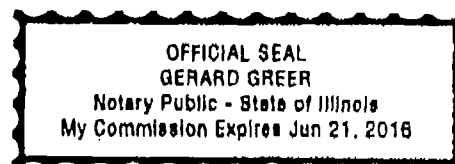
SELF

(Print or type title of person signing)

Signed and sworn to before me on (date) June 16th, 2015,
at Cook County, Illinois (state).

[Signature] Notary Public.

Commission expires: June 21, 2016



(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 528 W. 103rd place [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Henry Wilborn
(Print or type legal name of Disclosing Party)

Date: 10-04-2015

By:

Henry Wilborn
(sign here)

Print or type name of signatory:

Henry Wilborn

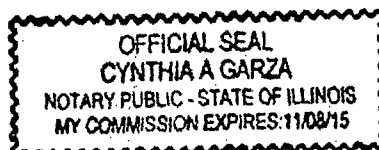
Title of signatory:

SELF

Signed and sworn to before me on [date] Oct 4, 2015, by
Henry Wilborn, at COOK County, ILLINOIS [state].

Cynthia A. Garza Notary Public.

Commission expires: 11-8-15



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a if applicable:

Patsy Wilborn

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. ~~HOME~~ Business address of the Disclosing Party: _____

C. Telephone: _____ fax: _____

D. Name of contact person: Patsy Wilborn

E. Federal Employer Identification No. (if you have one): NA

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Purchase 5528 W. 103rd Pl. Lot #1

G. Which City agency or department is requesting this EDS? The Dept. Planning & DEVELOPMENT

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # NA and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☒ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

NA

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes

☐ No

☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

NA

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☒ No ☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NA

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

NA

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
_____	_____	_____
_____	_____	_____
_____	_____	_____

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION,
COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Patsy Wilborn
(Print or type name of Disclosing Party).

By: Patsy Wilborn
(Sign here)

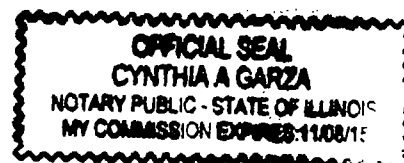
Patsy Wilborn
(Print or type name of person signing)

Self
(Print or type title of person signing)

Signed and sworn to before me on (date) June 16, 2015
at COOK County, Illinois (state).

Cynthia A Garza Notary Public.

Commission expires: 11-8-15



(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 528 W. 103rd place
[Identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Patsy Wilborn
(Print or type legal name of Disclosing Party)

Date: 10-04-2015

By:

Patsy Wilborn
(sign here)

Print or type name of signatory:

Patsy Wilborn

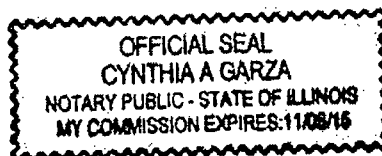
Title of signatory:

SELF

Signed and sworn to before me on [date] Oct. 4, 2015, by Patsy Wilborn, at Cook County, Illinois [state].

Cynthia A Garza Notary Public.

Commission expires: 11-8-15



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8107

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Sale of City-owned property at 1833 S Hamlin Ave to Gregory Lee and Jeanette Lee under Adjacent Neighbors Land Acquisition Program
Committee(s) Assignment:	Committee on Housing and Real Estate

HSG-



OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

A handwritten signature in cursive script that reads "Rahm Emanuel". The signature is written in dark ink and is positioned above the printed name "Mayor".

Mayor

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City, through the foreclosure of demolition liens, tax sales and other methods of acquisition, has acquired title to numerous parcels of real property which are of minimal value and costly to clean up and maintain, and because title to such properties was vested in the City, the properties were exempted from real estate taxes, thus depriving the City of revenue; and

WHEREAS, pursuant to an ordinance (the "Original Program Ordinance") adopted by the City Council of the City ("City Council") on March 6, 1981 and published on pages 5584 - 5585 of the Journal of Proceedings of the City Council ("Journal") for such date, the City established a program for the disposition of certain vacant real property owned by the City known as the Adjacent Neighbors Land Acquisition Program; and

WHEREAS, the Original Program Ordinance was amended by ordinances adopted on July 23, 1982 and published in the Journal for such date at pages 11830 - 11833, and on January 7, 1983 and published in the Journal for such date at pages 14803 - 14805 (the Original Program Ordinance and such two amending ordinances, collectively, the "Original ANLAP Ordinances"); and

WHEREAS, pursuant to an ordinance adopted by the City Council on September 14, 1994 and published in the Journal for such date at pages 56195 - 56198, the City Council repealed the Original ANLAP Ordinances, and established a new program, also known as the Adjacent Neighbors Land Acquisition Program, for the disposition of certain vacant real property owned by the City, which ordinance was subsequently amended by ordinances adopted on September 4, 2002 and published in the Journal for such date at pages 92771 - 92773, and on July 28, 2010 and published in the Journal for such date at pages 97370 - 97374, and on June 25, 2014 and published in the Journal for such date at pages 83533 - 83535 (such new program, as amended, the "ANLAP Program"); and

WHEREAS, pursuant to the ANLAP Program, as codified at Municipal Code Chapter 2-159-010 et seq. (the "ANLAP Program Ordinance"), a qualified City-owned parcel may be sold if it has an appraised value of not more than Fifty Thousand Dollars (\$50,000.00); and

WHEREAS, pursuant to the ANLAP Program, if the property appraises at or for less than Ten Thousand Dollars (\$10,000.00) the minimum acceptable bid must be at least One Thousand Dollars (\$1,000.00); if the property appraises at or for less than Twenty Thousand Dollars (\$20,000.00) but more than Ten Thousand Dollars (\$10,000.00) the minimum acceptable bid must be at least Two Thousand Dollars (\$2,000.00); and if the property appraises for more than Twenty Thousand Dollars (\$20,000.00) the minimum acceptable bid must be Two Thousand Dollars (\$2,000.00), plus fifty percent (50%) of the appraised value which exceeds Twenty Thousand Dollars (\$20,000.00); and

WHEREAS, pursuant to the ANLAP Program, an Adjacent Neighbor means a person who owns one parcel, or two or more contiguous parcels, of real property that is immediately adjacent to a city-owned parcel and at least one of the parcels is an improved parcel which the person occupies as his primary residence; and

WHEREAS, pursuant to the ANLAP Program, any deed conveying a parcel pursuant thereto shall contain covenants which: (1) prohibit the grantee from conveying, assigning or otherwise transferring the parcel except in conjunction with the sale of the real estate on which grantee's primary residence is located, including the adjacent side yard if any; and (2) require that the parcel be improved with landscaped open space within six (6) months of the conveyance of such parcel and prohibit the construction of any permanent improvements on the parcel, excluding only improvements made by the grantee on the parcel that constitute an integrated addition to the grantee's primary residence, or a garage appurtenant thereto; and (3) require the grantee to maintain the parcel in accordance with the provisions of the Municipal Code of Chicago. The covenants shall terminate ten (10) years after the date of the conveyance of the parcel to the grantee; and

WHEREAS, the Department of Planning and Development ("DPD") of the City desires to convey the vacant parcel of real property identified on Exhibit A to this ordinance (the "ANLAP Parcel") to Gregory Lee and Jeanette Lee (the "Purchasers"), who have a principal residence of 1835 South Hamlin Avenue, Chicago, Illinois 60623, which ANLAP Parcel is located in the Ogden/Pulaski Project Area ("Area") established pursuant to ordinances adopted by the City Council on April 9, 2008 and published in the Journal of Proceedings of the City Council for such date at pages 24221 through 24477; and

WHEREAS, DPD has caused notice of the proposed sale of the ANLAP Parcel to be sent to all eligible adjacent neighbors (if any) and has also caused public notice advertising the City's intent to enter into the sale of the ANLAP parcel to the Purchasers to be published in the *Chicago Sun Times* on October 15, 2015; and

WHEREAS, Purchasers' proposal was the only proposal received by the deadline indicated in the aforesaid notice; and

WHEREAS, pursuant to Resolution Number 15-002-21 adopted on January 15, 2015, by the Plan Commission of the City of Chicago ("Commission"), the Commission approved the sale of the Property to the Purchasers; now, therefore

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The City hereby accepts the bid of the Purchasers to purchase the ANLAP Parcel, which purchase shall be subject to all of the terms, conditions, covenants and restrictions of the ANLAP Program and the ANLAP Program Ordinance.

SECTION 2. The Mayor or his proxy is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the ANLAP Parcel to the Purchasers for the purchase price of One Thousand and 00/100 Dollars (\$1,000.00). Such deed shall include a covenant obligating the Purchasers to use the ANLAP Parcel only for a use consistent with the land uses permitted under the redevelopment plan for the Area and

consistent with the requirements of the ANLAP Program Ordinance.

SECTION 3. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity of such provision shall not affect any of the other provisions of this ordinance.

SECTION 4. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

SECTION 5. This ordinance shall take effect upon its passage and approval.

EXHIBIT A

Bidders: Gregory Lee and Jeanette Lee
Bidder's Address: **1835 South Hamlin Avenue**, Chicago, Illinois 60623
Bid Amount: \$1,000.00
Appraised Value: \$3,000.00

Legal Description (Subject to Title Commitment and Survey):

Lot 114 in Downing's Subdivision of Lots 7 to 14 Inclusive in J.H. Kedzie's Subdivision of the Southwest $\frac{1}{4}$ of Section 23, Township 39 North, Range 13, East of the Third Principal Meridian, in Cook County, Illinois.

Address: 1833 South Hamlin Avenue,
Chicago, Illinois 60623

Property Index Number: 16-23-312-014-0000

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a if applicable:

Gregory LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party:

C. Telephone: _____ Fax: _____ Email: _____

D. Name of contact person: Gregory LLC

E. Federal Employer Identification No. (if you have one): n/a

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

APLAP - 1833 S. Hamlin PIN

G. Which City agency or department is requesting this EDS? Dept. of Planning & Development, P.E. Division

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # n/a and Contract # _____

Gregory Lee

SECTION II – DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:
- | | |
|---|--|
| ☒ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party

n/a

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

n/a

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☒ No

☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- c. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

na

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
_____	_____	_____
_____	_____	_____
_____	_____	_____

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

NA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

n/a

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

n/a

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

n/a

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

n/a

If you checked "No" to question 1. or 2. above, please provide an explanation:

n/a

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION,
COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. *The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.*

B. *The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.*

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. *If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.*

D. *It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.*

E. *The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.*

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Gregory Lee

(Print or type name of Disclosing Party)

By:

Gay Lee

(Sign here)

Gregory Lee

(Print or type name of person signing)

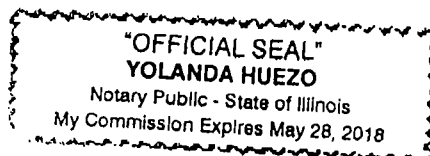
(Print or type title of person signing)

Signed and sworn to before me on (date) April 11, 2015
at COOK County, ILLINOIS (state).

YH

Notary Public.

Commission expires: May 28, 2018



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

n/a

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

N/A

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 1833 S. Hamlin Ave. Chicago IL 60623 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Gregory Lee
(Print or type legal name of Disclosing Party)

Date: 10/27/2015

By:

Gy Lr
(sign here)

Print or type name of signatory:

Gregory Lee

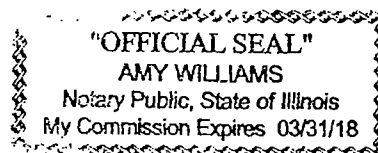
Title of signatory:

Gy Lr

Signed and sworn to before me on [date] Oct 27, 2015, by
Gregory Lee, at DuPage County, Illinois [state].

Amy Williams Notary Public.

Commission expires: 3/31/18



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Jeannette Lee

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: _____

C. Telephone: _____ x: _____ Email: _____

D. Name of contact person: Jeannette Lee

E. Federal Employer Identification No. (if you have one): n/a

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

APLAP-1833 S. Hamlin PIP

G. Which City agency or department is requesting this EDS? Dept. of Planning & Development - P.E. Division

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # n/a and Contract # _____

Jeanette Lee

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:
- | | |
|---|--|
| ☒ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party, NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
n/a		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

n/a

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V – CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☒ No

☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this BDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

n/a

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

n/a

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

n/a

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

n/a

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

n/a

If you checked "No" to question 1. or 2. above, please provide an explanation:

n/a

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION,
COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Jeanette Lee

(Print or type name of Disclosing Party)

By: JPL Lee

(Sign here)

Jeanette Lee

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date) April 11, 2015
at COOK County, ILLINOIS (state).

[Signature]

Notary Public.

Commission expires: MAY 28, 2018



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

[X] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

n/a

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

n/a

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 1833 S. Hamlin Ave. Chicago IL 60623 [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Jeannette Lee
(Print or type legal name of Disclosing Party)

Date: 10/26/15

By:

Je Lee
(sign here)

Print or type name of signatory:

Jeannette Lee

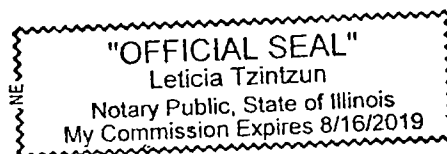
Title of signatory:

Je Lee

Signed and sworn to before me on [date] 10-26-15, by
Jeannette Parklesat at Cook County, IL [state].

Leticia Tzintzun Notary Public.

Commission expires: Aug 16, 2019





City of Chicago



O2015-8174

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Sale of City-owned property at 5709 S State St to Akram Jaber
Committee(s) Assignment:	Committee on Housing and Real Estate

HSG-



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City is the owner of the vacant parcel of property located at 5709 South State Street, Chicago, Illinois, which is legally described on Exhibit A attached hereto (the "Property"); which Property is located in the Washington Park Redevelopment Project Area ("Area") established pursuant to ordinances adopted by the City Council of the City on October 8, 2014, published in the Journal of Proceedings of the City Council for such date at pages 91436 through 91587; and

WHEREAS, Akram Jaber (the "Grantee"), with a principal address of 5701 South State Street, Chicago, Illinois 60621, has offered to purchase the Property from the City for the sum of Seven Thousand and No/100 Dollars (\$7,000.00), such amount being the appraised fair market value of the Property, to improve with a parking lot thereon; and

WHEREAS, pursuant to Resolution No. 15-065-21 adopted on July 16, 2015, by the Plan Commission of the City (the "Commission"), the Commission approved the negotiated sale of the Property to the Grantee; and

WHEREAS, public notice advertising the City's intent to enter into a negotiated sale of the Property with the Grantee and requesting alternative proposals appeared in the *Chicago Sun-Times*, a newspaper of general circulation, on September 21 and 28, 2015; and

WHEREAS, no alternative proposals were received by the deadline indicated in the aforesaid notice; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The City Council of the City hereby approves the sale of the Property to the Grantee for the amount of Seven Thousand and No/100 Dollars (\$7,000.00).

SECTION 2. The Mayor or his proxy is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the Property to the Grantee. The quitclaim deed shall also contain language substantially in the following form:

This conveyance is subject to the express condition that: 1) a parking lot is constructed on the Property within twelve (12) months of the date of this deed. In the event that the condition is not met, the City of Chicago may re-enter the Property and re-vest title in the City of Chicago. Grantee, at the request of the City of Chicago, covenants to execute and deliver to the City a reconveyance deed to the Property to further evidence such re-vesting of title. This right of reverter and re-entry shall terminate upon the issuance of a certificate of completion, release or similar instrument by the City of Chicago.

The Grantee acknowledges that if the Grantee develops the Property with a residential housing project, as defined under and that is subject to Section 2-45-115 of the Municipal Code of the City (the "2015 Affordable Requirements Ordinance"), the Grantee and such project shall be obligated to comply with the 2015 Affordable Requirements Ordinance.

SECTION 3. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 4. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

SECTION 5. This ordinance shall take effect upon its passage and approval.

EXHIBIT A

Purchaser: Akram Jaber
Purchaser's Address: 5701 South State Street, Chicago, Illinois 60621
Purchase Amount: \$7,000.00
Appraised Value: \$7,000.00

Legal Description (Subject to Title Commitment and Survey):

Lot 4 in Mueller's Resubdivision of Lots 2, 3, 4, & 5 in Woodworth's Subdivision of Lots 12 & 13 in Newhall, Larned & Woodbridge's Subdivision of the Northwest ¼ of Section 15, Township 38 North, Range 14, East of the Third Principal Meridian, in Cook County, Illinois

Address: 5709 South State Street
Chicago, Illinois 60621

Property Index Numbers: 20-15-113-003-0000

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Akram Jaber

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: Akram Jaber
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: NA

B. Business address of the Disclosing Party:

1

C. Telephone:

mail:

D. Name of contact person: Akram Jaber

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Purchase 5709 S. State St. through negotiated sale

G. Which City agency or department is requesting this EDS? Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # NA and Contract # NA

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. ☒ Indicate the nature of the Disclosing Party:
- | | |
|---|--|
| ☒ Person | ☐ Limited liability company |
| ☐ Publicly registered business corporation | ☐ Limited liability partnership |
| ☐ Privately held business corporation | ☐ Joint venture |
| ☐ Sole proprietorship | ☐ Not-for-profit corporation |
| ☐ General partnership | (Is the not-for-profit corporation also a 501(c)(3))? |
| ☐ Limited partnership | ☐ Yes ☐ No |
| ☐ Trust | ☐ Other (please specify) |
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

N/A

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<u>N/A</u>	
<u></u>	
<u></u>	
<u></u>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
	N/A	

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

N/A

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☒ No

☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
_____	_____	_____
_____	_____	_____
_____	_____	_____

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 1 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

NA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

**SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION,
COMPLIANCE, PENALTIES, DISCLOSURE**

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

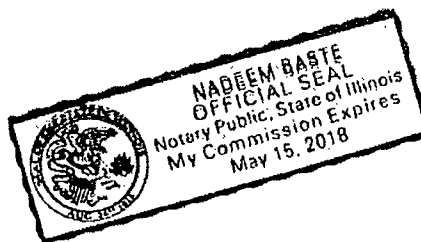
Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Akram Jabber
(Print or type name of Disclosing Party)

By: [Signature]
(Sign here)

Akram Jabber
(Print or type name of person signing)

Private Citizen
(Print or type title of person signing)



Signed and sworn to before me on (date) MAY 20 2015,
at COOK County IL (state).

[Signature] Notary Public.

Commission expires: 5/15/2018.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with 5709 S. State St
[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Akram Jaber
(Print or type legal name of Disclosing Party)

Date: 11-3-2015

By:

[Signature]
(sign here)

Print or type name of signatory:

Akram Jaber

Title of signatory:

Owner

Signed and sworn to before me on [date] November 3, 2015, by
Akram Jaber, at Chicago County, Cook IL [state].

[Signature] Notary Public.

Commission expires: May 14, 2018



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8220

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Sale of City-owned property at 6506 S Dorchester Ave to Douglass J. Bevel under Adjacent Neighbors Land Acquisition Program
Committee(s) Assignment:	Committee on Housing and Real Estate



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

A handwritten signature in cursive script that reads "Rahm Emanuel".

Mayor

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City, through the foreclosure of demolition liens, tax sales and other methods of acquisition, has acquired title to numerous parcels of real property which are of minimal value and costly to clean up and maintain, and because title to such properties was vested in the City, the properties were exempted from real estate taxes, thus depriving the City of revenue; and

WHEREAS, pursuant to an ordinance (the "Original Program Ordinance") adopted by the City Council of the City ("City Council") on March 6, 1981 and published on pages 5584 - 5585 of the Journal of Proceedings of the City Council ("Journal") for such date, the City established a program for the disposition of certain vacant real property owned by the City known as the Adjacent Neighbors Land Acquisition Program; and

WHEREAS, the Original Program Ordinance was amended by ordinances adopted on July 23, 1982 and published in the Journal for such date at pages 11830 - 11833, and on January 7, 1983 and published in the Journal for such date at pages 14803 - 14805 (the Original Program Ordinance and such two amending ordinances, collectively, the "Original ANLAP Ordinances"); and

WHEREAS, pursuant to an ordinance adopted by the City Council on September 14, 1994 and published in the Journal for such date at pages 56195 - 56198, the City Council repealed the Original ANLAP Ordinances, and established a new program, also known as the Adjacent Neighbors Land Acquisition Program, for the disposition of certain vacant real property owned by the City, which ordinance was subsequently amended by ordinances adopted on September 4, 2002 and published in the Journal for such date at pages 92771 - 92773, and on July 28, 2010 and published in the Journal for such date at pages 97370 - 97374 , and on June 25, 2014 and published in the Journal for such date at pages 83533 - 83535 (such new program, as amended, the "ANLAP Program"); and

WHEREAS, pursuant to the ANLAP Program, as codified at Municipal Code Chapter 2-159-010 et seq. (the "ANLAP Program Ordinance"), a qualified City-owned parcel may be sold if it has an appraised value of not more than Fifty Thousand Dollars (\$50,000.00); and

WHEREAS, pursuant to the ANLAP Program, if the property appraises at or for less than Ten Thousand Dollars (\$10,000.00) the minimum acceptable bid must be at least One Thousand Dollars (\$1,000.00); if the property appraises at or for less than Twenty Thousand Dollars (\$20,000.00) but more than Ten Thousand Dollars (\$10,000.00) the minimum acceptable bid must be at least Two Thousand Dollars (\$2,000.00); and if the property appraises for more than Twenty Thousand Dollars (\$20,000.00) the minimum acceptable bid must be Two Thousand Dollars (\$2,000.00), plus fifty percent (50%) of the appraised value which exceeds Twenty Thousand Dollars (\$20,000.00); and

WHEREAS, pursuant to the ANLAP Program, an Adjacent Neighbor means a person who owns one parcel, or two or more contiguous parcels, of real property that is immediately adjacent to a city-owned parcel and at least one of the parcels is an improved parcel which the person occupies as his primary residence; and

WHEREAS, pursuant to the ANLAP Program, any deed conveying a parcel pursuant thereto shall contain covenants which: (1) prohibit the grantee from conveying, assigning or otherwise transferring the parcel except in conjunction with the sale of the real estate on which grantee's primary residence is located, including the adjacent side yard if any; and (2) require that the parcel be improved with landscaped open space within six (6) months of the conveyance of such parcel and prohibit the construction of any permanent improvements on the parcel, excluding only improvements made by the grantee on the parcel that constitute an integrated addition to the grantee's primary residence, or a garage appurtenant thereto; and (3) require the grantee to maintain the parcel in accordance with the provisions of the Municipal Code of Chicago. The covenants shall terminate ten (10) years after the date of the conveyance of the parcel to the grantee; and

WHEREAS, the Department of Planning and Development ("DPD") of the City desires to convey the vacant parcel of real property identified on Exhibit A to this ordinance (the "ANLAP Parcel") to Douglass J. Bevel (the "Purchaser"), who has a principal residence of 6508 South Dorchester Avenue, Chicago, Illinois 60637, which ANLAP Parcel is located in the Woodlawn Project Area ("Area") established pursuant to ordinances adopted by the City Council on January 20, 1999 and published in the Journal of Proceedings of the City Council for such date at pages 87763 through 87861; and

WHEREAS, DPD has caused notice of the proposed sale of the ANLAP Parcel to be sent to all eligible adjacent neighbors (if any) and has also caused public notice advertising the City's intent to enter into the sale of the ANLAP parcel to the Purchaser to be published in the *Chicago Sun Times* on September 14, 2015; and

WHEREAS, Purchaser's proposal was the only proposal received by the deadline indicated in the aforesaid notice; and

WHEREAS, pursuant to Resolution Number 15-073-21 adopted on August 20, 2015, by the Plan Commission of the City of Chicago ("Commission"), the Commission approved the sale of the Property to the Purchaser; now, therefore

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The City hereby accepts the bid of the Purchaser to purchase the ANLAP Parcel, which purchase shall be subject to all of the terms, conditions, covenants and restrictions of the ANLAP Program and the ANLAP Program Ordinance.

SECTION 2. The Mayor or his proxy is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the ANLAP Parcel to the Purchaser for the purchase price of Four Thousand Five Hundred and 00/100 Dollars (\$4,500.00). Such deed shall include a covenant obligating the Purchaser to use the ANLAP Parcel only for a use consistent with the land uses permitted under the redevelopment plan for the Area and consistent with the requirements of the ANLAP Program Ordinance.

SECTION 3. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity of such provision shall not affect any of the other provisions of this ordinance.

SECTION 4. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

SECTION 5. This ordinance shall take effect upon its passage and approval.

EXHIBIT A

Bidders: Douglass J. Bevel
Bidder's Address: 6508 South Dorchester Avenue, Chicago, Illinois 60637
Bid Amount: \$4,500.00
Appraised Value: \$25,000.00

Legal Description (Subject to Title Commitment and Survey):

Lot 25 in Block 2 in Thomas A. Hall's Addition to Hyde Park in the Northeast $\frac{1}{4}$ of Section 23, Township 38 North, Range 14, East of the Third Principal Meridian, in Cook County, Illinois.

Address: 6506 South Dorchester Avenue
Chicago, Illinois 60637

Property Index Number: 20-23-213-047-0000

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Douglass J. Bevel

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. ~~Business~~ ^{Home} address of the Disclosing Party: 6 _____

C. Telephone: _____ Fax: _____ Email: _____

D. Name of contact person: Douglass Bevel

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Purchase 6506 S. Dorchester Pin# _____

G. Which City agency or department is requesting this EDS? Dept of Planning/Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # N/A and Contract # N/A

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☒ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "f.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V - CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrears on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☒ No

☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest
_____	_____	_____
_____	_____	_____
_____	_____	_____

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

N 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

NA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Douglas J Bevel
(Print or type name of Disclosing Party)

By: [Signature]
(Sign here)

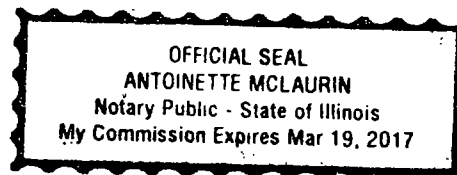
Douglas J. Bevel
(Print or type name of person signing)

PURCHASER
(Print or type title of person signing)

Signed and sworn to before me on (date) 06-17-2015,
at Cook County, Illinois (state).

[Signature] Notary Public.

Commission expires: 03-19-2017



(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

This recertification is being submitted in connection with ANLAP FOR 6506 S. DORCHESTER [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

DOUGLASS J. BEVEL
(Print or type legal name of Disclosing Party)

Date: 10-29-15

By: [Signature]
(sign here)

Print or type name of signatory:

DOUGLASS J. BEVEL

Title of signatory:

BUYER

Signed and sworn to before me on [date] 10/29/2015, by
Dougllass J Bevel, at COOK County, ILLINOIS [state].

[Signature] Notary Public.

Commission expires: 8/16/2019

Ver. 11-01-02



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8264

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Sale of City-owned property at 10728 S Langley Ave to Chicago Neighborhood Initiatives, Inc.
Committee(s) Assignment:	Committee on Housing and Real Estate



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

A handwritten signature in cursive script that reads "Rahm Emanuel".

Mayor

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of Article VII, Section 6(a) of the Constitution of the State of Illinois of 1970 and as such may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City Council of the City ("City Council") by ordinance adopted May 20, 1992 and published in the Journal of the Proceedings of the City Council of the City ("Journal") for such date at pages 16333 – 16335, established the Chicago Abandoned Properties Program ("CAP Program"), pursuant to which the City has acquired numerous abandoned properties either through statutory abandonment proceedings, or through the foreclosure of municipal liens, and conveyed such abandoned properties to developers for rehabilitation, and worked with such developers to successfully rehabilitate such abandoned properties; and

WHEREAS, the City Council, by ordinance adopted February 6, 2008 and published in the Journal for such date at pages 20654 – 20657, renamed the CAP Program as the "Preserving Communities Together Program" (the "PCT Program") and expanded the acquisition authority for such program; and

WHEREAS, there are a substantial number of abandoned, deteriorated and dangerous buildings in the City which threaten the health, safety, economic stability and general welfare of the citizens of the City, and which are the subject of certain housing court proceedings; and

WHEREAS, the City has created the PCT Program to help abate the dangers posed by abandoned, deteriorated and dangerous buildings in the City through the acquisition and subsequent conveyance of the buildings to parties who have proposed to rehabilitate them; and

WHEREAS, the City is the owner of the property commonly known as 10728 South Langley Avenue, Chicago, Illinois, and legally described on Exhibit A hereto (the "Property"), which is improved with single-family row house ("Building") in need of substantial repair and is qualified to be included in the PCT Program; and

WHEREAS, Chicago Neighborhood Initiatives, Inc., an Illinois not-for-profit corporation (the Developer), has proposed to purchase the Property from the City and rehabilitate the Building for residential housing in accordance with the provisions of the PCT Program; and

WHEREAS, by Resolution No. 15-061-21, adopted by the Plan Commission of the City (the "Plan Commission") on July 16, 2015 the Plan Commission recommended the sale of the Property; and

WHEREAS, public notices advertising the intent of the City's Department of Planning and Development (the "Department") to enter into a negotiated sale with the Developer and requesting alternative proposals appeared in the *Chicago Sun-Times* on April 15, 2015, April 22, 2015, and April 29, 2015; and

WHEREAS, no alternative proposals have been received by the deadline indicated in the aforesaid notice; and

WHEREAS, the Department has reviewed the Developer's application and has recommended that the City Council approve the conveyance of the Property to the Developer for the purpose of rehabilitating the Building in accordance with the provisions of the PCT Program; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The sale of the Property to the Developer for the sum of One Dollar (\$1.00), plus holding costs incurred by the City during its period of ownership of the Property, is hereby approved. Due to the current deteriorated condition of the Building and market conditions, the Commissioner of the Department or of any successor department thereto (the "Commissioner") may waive the requirement that the Developer reimburse the City for holding costs incurred by the City during its period of ownership of the Property. This approval is expressly conditioned upon the Developer entering into a redevelopment agreement with the City. The redevelopment agreement shall require that the rehabilitated Property be sold to an owner-occupant with a household income, adjusted for family size, at or below one hundred twenty percent (120%) of the Chicago Primary Metropolitan Statistical Area median income, as determined by the U.S. Department of Housing and Urban Development; provided, however, the Commissioner shall have the discretion to waive such requirement. The Commissioner is authorized to negotiate and execute a redevelopment agreement with the Developer, and such other documents which may be required or necessary to implement the intent and objectives of the PCT Program, subject to the approval of the Corporation Counsel. The Commissioner is further authorized to consent to the Developer's subsequent conveyance of the Property and the Developer's assignment of the redevelopment agreement, provided the proposed grantee and assignee are qualified to do business with the City (including but not limited to anti-scofflaw requirement).

SECTION 2. The Mayor is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the Property to the Developer, or to a land trust of which the Developer is the sole beneficiary, or to a business entity of which the Developer is the sole controlling party, subject to the approval of the Corporation Counsel.

SECTION 3. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 4. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict

SECTION 5. This ordinance shall take effect upon its passage and approval.

EXHIBIT A
Legal Description
(Subject to final title and survey)

LOT 14 IN BLOCK 2 IN PULLMAN LAND ASSOCIATION ADDITION TO PULLMAN, BEING A SUBDIVISION IN THE EAST ½ OF THE NORTHEAST ¼ AND IN THE EAST ½ OF THE SOUTHEAST ¼ OF SECTION 15, TOWNSHIP 37 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Commonly known as: 10728 SOUTH LANGLEY AVENUE
CHICAGO, ILLINOIS 60628

Property Index Number: 25-15-405-043-0000

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Chicago Neighborhood Initiatives, Inc

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party:

1000 E 111th St
Chicago IL 60628

C. Telephone: 773 341-2063 Fax: 773 341-2069 Email: ddoig@cnigroup.org

D. Name of contact person: David Doig

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

acquisition of properties: 10728 S Langley and 10760 S Champlain from the City of Chicago to Chicago Neighborhood Initiatives, Inc

G. Which City agency or department is requesting this EDS? Department of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☒ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☒ Yes ☐ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes

☐ No

☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

<u>No members</u>	<u>See attached list of Directors</u>

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
None		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Applegate & Thomas-Thomson "attorney retained"	626 W. Jackson	Chicago IL	hourly - legal \$300/hr

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes ☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes ☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3: above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Chicago Neighborhood Initiatives, Inc.
(Print or type name of Disclosing Party)

By: X [Signature]
(Sign here)

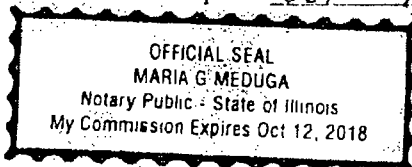
David Doig
(Print or type name of person signing)

President
(Print or type title of person signing)

Signed and sworn to before me on (date) November 01, 2015,
at Cook County, Illinois (state).

Maria G. Meduga Notary Public.

Commission expires: Oct 12, 2018



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

2014-2015 Directory

Board of Directors

Merlon Jackson, Chair

Merlon_jackson@comcast.net

- Pullman representative
- Senior Pastor, Christ Community Church

Bridget O'Keefe, Vice Chair

bokeefe@daspinaument.com

- Attorney representative – Land use, entitlement and real estate
- Partner, Daspin and Aument

Kimberlie Jackson, Secretary

kjackson@lcdl.net

- Westside representative
- Executive Director, Lawndale Christian Development Corporation

Timothy Frens, Treasurer

Tim.frens@plantemoran.com

- Accountant representative
- Partner, Plante Moran

Eva M. Brown

eva.brown@usbank.com

- Bank representative
- Vice President and Manager, Community Affairs Division

Darryl Jacobs

djacobs@ginsbergjacobs.com

- Attorney representative – Tax credits
- Partner, Ginsberg and Jacobs

Melinda Kelly

melindakelly@cbaworks.org

- Small business resource representative
- Executive Director, Chatham Business Association

Steve Kramer

Steve.kramer@usbank.com

- Bank New Markets Tax Credits representative
- Senior Vice President, Tax Credit Investments, US Bank Community Development Corporation

Scott Lockard

Scott.lockard@usbank.com

- Bank lending representative
- Senior Vice President, Commercial Lending, U.S. Bank

CHICAGO NEIGHBORHOOD INITIATIVES

Robert McGhee
Robert.mcghee@usbank.com

- Bank representative
- Vice President, Community Affairs, Citibank

Thomas McMahon
mcmahonc@sbcglobal.net

- Pullman representative
- Retired Captain, Chicago Police Department

Willard Payton
Wlp1500@sbcglobal.net

- Englewood representative
- Senior Pastor, New Birth Church of God in Christ

Arnold Pugh
appugh@ameritech.net

- Roseland community representative
- Officer, Federal Reserve Bank of Chicago (Retired)

Christopher Smith
csmith@nhschicago.org

- Roseland representative
- Roseland Neighborhood Director, National Housing Services

Daniel Watts
dwatts@forestparkbank.com

- President/COO
- Forest Park National Bank and Trust





City of Chicago



O2015-8323

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Sale of City-owned property at 10760 S Champlain Ave to Chicago Neighborhood Initiatives
Committee(s) Assignment:	Committee on Housing and Real Estate

HSG-



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing the sale of city-owned property.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of Article VII, Section 6(a) of the Constitution of the State of Illinois of 1970 and as such may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the City Council of the City ("City Council") by ordinance adopted May 20, 1992 and published in the Journal of the Proceedings of the City Council of the City ("Journal") for such date at pages 16333 – 16335, established the Chicago Abandoned Properties Program ("CAP Program"), pursuant to which the City has acquired numerous abandoned properties either through statutory abandonment proceedings, or through the foreclosure of municipal liens, and conveyed such abandoned properties to developers for rehabilitation, and worked with such developers to successfully rehabilitate such abandoned properties; and

WHEREAS, the City Council, by ordinance adopted February 6, 2008 and published in the Journal for such date at pages 20654 – 20657, renamed the CAP Program as the "Preserving Communities Together Program" (the "PCT Program") and expanded the acquisition authority for such program; and

WHEREAS, there are a substantial number of abandoned, deteriorated and dangerous buildings in the City which threaten the health, safety, economic stability and general welfare of the citizens of the City, and which are the subject of certain housing court proceedings; and

WHEREAS, the City has created the PCT Program to help abate the dangers posed by abandoned, deteriorated and dangerous buildings in the City through the acquisition and subsequent conveyance of the buildings to parties who have proposed to rehabilitate them; and

WHEREAS, the City is the owner of the property commonly known as 10760 South Champlain Avenue, Chicago, Illinois, and legally described on Exhibit A hereto (the "Property"), which is improved with single-family home ("Building") in need of substantial repair and is qualified to be included in the PCT Program; and

WHEREAS, Chicago Neighborhood Initiatives, Inc., an Illinois not-for-profit corporation (the Developer"), has proposed to purchase the Property from the City and rehabilitate the Building for residential housing in accordance with the provisions of the PCT Program; and

WHEREAS, by Resolution No. 15-033-21, adopted by the Plan Commission of the City (the "Plan Commission") on March 19, 2015, the Plan Commission recommended the sale of the Property; and

WHEREAS, public notices advertising the intent of the City's Department of Planning and Development (the "Department") to enter into a negotiated sale with the Developer and requesting alternative proposals appeared in the *Chicago Sun-Times* on February 27, 2015, March 6, 2015 and March 13, 2015; and

WHEREAS, no alternative proposals have been received by the deadline indicated in the aforesaid notice; and

WHEREAS, the Department has reviewed the Developer's application and has recommended that the City Council approve the conveyance of the Property to the Developer for the purpose of rehabilitating the Building in accordance with the provisions of the PCT Program; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The sale of the Property to the Developer for the sum of One Dollar (\$1.00), plus holding costs incurred by the City during its period of ownership of the Property, is hereby approved. Due to the current deteriorated condition of the Building and market conditions, the Commissioner of the Department or of any successor department thereto (the "Commissioner") may waive the requirement that the Developer reimburse the City for holding costs incurred by the City during its period of ownership of the Property. This approval is expressly conditioned upon the Developer entering into a redevelopment agreement with the City. The redevelopment agreement shall require that the rehabilitated Property be sold to an owner-occupant with a household income, adjusted for family size, at or below one hundred twenty percent (120%) of the Chicago Primary Metropolitan Statistical Area median income, as determined by the U.S. Department of Housing and Urban Development; provided, however, the Commissioner shall have the discretion to waive such requirement. The Commissioner is authorized to negotiate and execute a redevelopment agreement with the Developer, and such other documents which may be required or necessary to implement the intent and objectives of the PCT Program, subject to the approval of the Corporation Counsel. The Commissioner is further authorized to consent to the Developer's subsequent conveyance of the Property and the Developer's assignment of the redevelopment agreement, provided the proposed grantee and assignee are qualified to do business with the City (including but not limited to anti-scofflaw requirement).

SECTION 2. The Mayor is authorized to execute, and the City Clerk or Deputy City Clerk is authorized to attest, a quitclaim deed conveying the Property to the Developer, or to a land trust of which the Developer is the sole beneficiary, or to a business entity of which the Developer is the sole controlling party, subject to the approval of the Corporation Counsel.

SECTION 3. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 4. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict

SECTION 5. This ordinance shall take effect upon its passage and approval.

EXHIBIT A
Legal Description
(Subject to final title and survey)

LOT 36 IN BLOCK 3 IN THE PULLMAN LAND ASSOCIATION ADDITION TO PULLMAN, BEING A SUBDIVISION IN THE EAST $\frac{1}{2}$ OF THE NORTHEAST $\frac{1}{4}$ AND IN THE EAST $\frac{1}{2}$ OF THE SOUTHEAST $\frac{1}{4}$ OF SECTION 15, TOWNSHIP 37 NORTH, RANGE 14, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Commonly known as: 10760 SOUTH CHAMPLAIN AVENUE
CHICAGO, ILLINOIS 60628

Property Index Number: 25-15-404-052-0000

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Chicago Neighborhood Initiatives, Inc

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party:

1000 E 111th St
Chicago IL 60628

C. Telephone: 773 341-2063 Fax: 773 341-2069 Email: ddoig@cnigroup.org

D. Name of contact person: David Doig

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

acquisition of properties: 10788 S Langley and 10760 S Champlain from the City of Chicago to Chicago Neighbor
Initiatives, Inc

G. Which City agency or department is requesting this EDS? Department of Planning & Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☒ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☒ Yes ☐ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes

☐ No

☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

No members See attached list of Directors

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
None		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

Applegate + Thorne-Thomson "attorney retained"	626 W. Jackson	Chicago, IL	hourly - legal \$300/hr

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Chicago Neighborhood Initiatives, Inc.
(Print or type name of Disclosing Party)

By: X [Signature]
(Sign here)

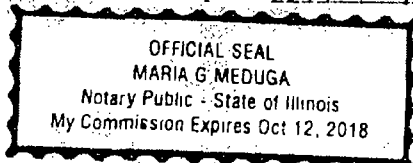
David Doig
(Print or type name of person signing)

President
(Print or type title of person signing)

Signed and sworn to before me on (date) November 01, 2015,
at Cook County, Illinois (state).

Maria G. Meduga Notary Public.

Commission expires: Oct 12, 2018



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

2014-2015 Directory

Board of Directors

Merlon Jackson, Chair

Merlon_jackson@comcast.net

- Pullman representative
- Senior Pastor, Christ Community Church

Bridget O'Keefe, Vice Chair

bokeefe@daspinaument.com

- Attorney representative – Land use, entitlement and real estate
- Partner, Daspin and Aument

Kimberlie Jackson, Secretary

kjackson@lcdl.net

- Westside representative
- Executive Director, Lawndale Christian Development Corporation

Timothy Frens, Treasurer

Tim.frens@plantemoran.com

- Accountant representative
- Partner, Plante Moran

Eva M. Brown

eva.brown@usbank.com

- Bank representative
- Vice President and Manager, Community Affairs Division

Darryl Jacobs

djacobs@ginsbergjacobs.com

- Attorney representative – Tax credits
- Partner, Ginsberg and Jacobs

Melinda Kelly

melindakelly@cbaworks.org

- Small business resource representative
- Executive Director, Chatham Business Association

Steve Kramer

Steve.kramer@usbank.com

- Bank New Markets Tax Credits representative
- Senior Vice President, Tax Credit Investments, US Bank Community Development Corporation

Scott Lockard

Scott.lockard@usbank.com

- Bank lending representative
- Senior Vice President, Commercial Lending, U.S. Bank

CHICAGO NEIGHBORHOOD INITIATIVES

Robert McGhee

Robert.mcghee@usbank.com

- Bank representative
- Vice President, Community Affairs, Citibank

Thomas McMahon

mcmahonc@sbcglobal.net

- Pullman representative
- Retired Captain, Chicago Police Department

Willard Payton

Wlp1500@sbcglobal.net

- Englewood representative
- Senior Pastor, New Birth Church of God in Christ

Arnold Pugh

appugh@ameritech.net

- Roseland community representative
- Officer, Federal Reserve Bank of Chicago (Retired)

Christopher Smith

csmith@nhschicago.org

- Roseland representative
- Roseland Neighborhood Director, National Housing Services

Daniel Watts

dwatts@forestparkbank.com

- President/COO
- Forest Park National Bank and Trust



City of Chicago



O2015-8412

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Amendment of previously passed negotiated sale of property at 1144 N Christiana Ave and 1222 N Rockwell Ave
Committee(s) Assignment:	Committee on Housing and Real Estate



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith an ordinance authorizing an amendment of a previously passed negotiated sale at 1144 North Christiana and 1222 North Rockwell.

Your favorable consideration of this ordinance will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, the City of Chicago ("City") is a home rule unit of government by virtue of the provisions of the Constitution of the State of Illinois of 1970, and as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, pursuant to ordinance (the "Original Ordinance") adopted by the City Council of the City (the "City Council") on February 5, 2014, and published in the Journal of the Proceedings of the City Council for such date, at pages 74087 through 74093, the City Council authorized the City's sale to L&MC Investments LLC, an Illinois limited liability company (the "Developer"), of the seven vacant parcels of real property commonly known as 1122 N. Christiana Avenue, 1144 N. Christiana Avenue, 1222 N. Rockwell Street, 1226 N. Campbell Avenue, 1754-56 N. Drake Avenue (two (2) parcels), and 3221 W. Crystal Street, Chicago, Illinois (each such parcel, a "Parcel"), and legally described on Exhibit A of the Original Ordinance, which exhibit is attached hereto, for the consideration of One Dollar (\$1.00) per Parcel for the development of affordable single-family housing; and

WHEREAS, the City has previously conveyed to the Developer the Parcels commonly known as 1226 N. Campbell Avenue, 1122 N. Christiana Avenue, 1754-56 N. Drake Avenue, and 3221 W. Crystal Street, Chicago, Illinois; and

WHEREAS, the Developer and the City's Department of Planning and Development have proposed (i) to amend Exhibit A of the Original Ordinance by deleting the Parcel located at 1222 N. Rockwell Street (the "Rockwell Parcel") and (ii) to amend the outside date (set forth in the Original Ordinance) by which the Developer must take title to the Parcel located at 1144 N. Christiana Avenue (the "Christiana Parcel"); **now, therefore,**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The foregoing recitals are incorporated herein.

SECTION 2. Exhibit A of the Original Ordinance is amended by deleting the Rockwell Parcel.

SECTION 3. The transfer of title of the Christiana Parcel from the City to the Developer must close on or before the date that is eighteen (18) months after the date of publication of this ordinance (the "Outside Closing Date"), unless the Commissioner in his sole discretion extends the Outside Closing Date.

SECTION 4. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this ordinance.

SECTION 5. All ordinances, resolutions, motions or orders inconsistent with this ordinance are hereby repealed to the extent of such conflict.

SECTION 6. This ordinance shall take effect upon its passage and approval.

EXHIBIT A

Legal Descriptions and Appraised Fair Market Values (Subject to Final Title Commitment and Survey):

Parcel 1: appraised fair market value: \$48,000

LOT 92 IN S.E. GROSS' FOURTH HUMBOLDT PARK ADDITION TO CHICAGO, BEING A SUBDIVISION OF LOT 7 IN SUPERIOR COURT PARTITION OF THE EAST ½ OF SECTION 2, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Address: 1122 N. Christiana Avenue
Chicago, Illinois 60651

Property Index Number: 16-02-408-041-0000

Parcel 2: appraised fair market value: \$50,000

LOT 83 IN S.E. GROSS' FOURTH HUMBOLDT PARK ADDITION TO CHICAGO, BEING A SUBDIVISION OF LOT 7 IN SUPERIOR COURT PARTITION OF THE EAST ½ OF SECTION 2, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Address: 1144 N. Christiana Avenue
Chicago, Illinois 60651

Property Index Number: 16-02-408-032-0000

Parcel 3: appraised fair market value: \$48,000

LOT 1 IN GUBBINS' SUBDIVISION OF LOTS 1, 2, 3 AND 4 IN BLOCK 8 IN HUMBOLDT PARK RESIDENCE ASSOCIATION SUBDIVISION OF THE SOUTHWEST ¼ OF THE NORTHEAST ¼ OF SECTION 1, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Address: 1222 N. Rockwell Street
Chicago, Illinois 60622

Property Index Number: 16-01-231-022-0000

Parcel 4: appraised fair market value: \$54,500

LOT 13 IN BLOCK 6 IN WINSLOW & JACOBSON'S SUBDIVISION OF THE SOUTHEAST $\frac{1}{4}$ OF THE NORTHEAST $\frac{1}{4}$ OF SECTION 1, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Address: 1226 N. Campbell Avenue
Chicago, Illinois 60622

Property Index Number: 16-01-227-028-0000

Parcels 5 and 6 (the "Drake Parcels"): aggregate appraised fair market value of parcels 5 and 6: \$62,500

THAT PART OF LOT 1 LYING BETWEEN THE NORTH LINE OF LOT 2 AND THE SOUTH LINE OF THE RIGHT OF WAY OF CHICAGO PACIFIC RAILROAD CO. AND ALL OF LOT 2 IN BLOCK 18 IN C.B. SIMON'S RESUBDIVISION OF BLOCKS 18 AND 19 OF E. SIMON'S ORIGINAL SUBDIVISION OF THE SOUTHEAST $\frac{1}{4}$ OF SECTION 35, TOWNSHIP 40 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Address: 1754-56 N. Drake Avenue
Chicago, Illinois 60647

Property Index Numbers: 13-35-412-015-0000
13-35-412-016-0000

Parcel 7: appraised fair market value: \$53,000

LOT 83 IN S.E. GROSS' FIFTH HUMBOLDT PARK ADDITION TO CHICAGO, IN SECTION 2, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

Address: 3221 W. Crystal Street
Chicago, Illinois 60651

Property Index Number: 16-02-228-015-0000

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

L&MC Investments LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party:

2541 W Division
Chicago IL 60622

C. Telephone: 630-410-9999 Fax: 888-410-5514 Email: Luis.Castro@

D. Name of contact person:

Luis Castro

LMC - Investments.com

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

New Construction in Humboldt Park - Negotiated Sale
of City Lot

G. Which City agency or department is requesting this EDS?

Dept of Housing and Economic Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following.

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☒ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☐ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3)?
☐ Limited partnership	☐ Yes ☐ No
☐ Trust	☐ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

IL

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name

Luis Castro

Title

Member

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
Luis Castro	2541 W Division Chicago, IL 60622	100%

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
Worldwide Construction / Development / 2541 W Division Ave IL / Subcontractor /			\$10,000 ⁰⁰ Estimated

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☒ No

☐ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I") (which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity), with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a or b. above that is a matter of record, but have not been prosecuted for such conduct, or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☒ Yes

☒ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

**SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION,
COMPLIANCE, PENALTIES, DISCLOSURE**

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

L&MC Investments LLC
(Print or type name of Disclosing Party)

By: [Signature]
(Sign here)

Luis Castro
(Print or type name of person signing)

member
(Print or type title of person signing)

Signed and sworn to before me on (date) November 13, 2015
at Cook County, IL (state).

Nilda Montanez Notary Public.

Commission expires: _____



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8044

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Scope of services, budget and management agreement for Special Service Area No. 14
Committee(s) Assignment:	Committee on Finance

FIN.



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing a scope of services, budget and management agreement for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(l) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, on October 7, 1993, the City Council of the City of Chicago (the "City Council") enacted an ordinance establishing a special service area known and designated as City of Chicago Special Service Area Number 14 to provide special governmental services in the area in addition to services provided generally by the City of Chicago and authorizing a real property tax not to exceed an annual rate of forty-one one-hundredths of one percent (0.41%) of the equalized assessed value of all property within the area to provide such services for a period of four years (the "Initial Levy Period"), which such ordinance was amended on March 9, 1995; and

WHEREAS, the Initial Levy Period has expired; and

WHEREAS, on December 10, 1997, the City Council enacted an ordinance which again established a special service area known and designated as City of Chicago Special Service Area Number 14 and authorized the levy of an annual tax for the period beginning in 1997 through and including 2000 (the "Second Levy Period"), not to exceed an annual rate of forty-one one-hundredths of one percent (0.41%) of the equalized assessed value of the taxable property therein to provide certain special services in and for the area in addition to the services provided by and to the City of Chicago generally; and

WHEREAS, the Second Levy Period has expired; and

WHEREAS, on December 5, 2001, the City Council enacted an ordinance which again established a special service area known and designated as City of Chicago Special Service Area Number 14 and authorized the levy of an annual tax, for the period beginning in 2001 through and including 2004 (the "Third Levy Period"), not to exceed the sum of forty-one one-hundredths of one percent (0.41%) of the equalized assessed value of the taxable property therein to provide certain special services in and for the area in addition to the services provided by and to the City of Chicago generally; and

WHEREAS, the Third Levy Period has expired; and

WHEREAS, on December 7, 2005, the City Council enacted an ordinance which again established a special service area known and designated as City of Chicago Special Service Area Number 14 and authorized the levy of an annual tax, for the period beginning in 2005 through and including 2007 (the "Fourth Levy Period"), not to exceed the sum of forty-one one-hundredths of one percent (0.41%) of the equalized assessed value of the taxable property therein to provide certain special services in and for the area in addition to the services provided by and to the City of Chicago generally; and

WHEREAS, the Fourth Levy Period has expired; and

WHEREAS, on November 12, 2008, the City Council enacted an ordinance which again established a special service area known and designated as City of Chicago Special Service Area

Number 14 and authorized the levy of an annual tax, for a period of five (5) years beginning in 2008 through and including 2012 (the "Fifth Levy Period"), not to exceed the sum of forty-one one-hundredths of one percent (0.41%) of the equalized assessed value of the taxable property therein to provide certain special services in and for the area in addition to the services provided by and to the City of Chicago generally; and

WHEREAS, the Fifth Levy Period has expired; and

WHEREAS, on December 11, 2013, the City Council enacted an ordinance (the "Establishment Ordinance") which established an area known and designated as City of Chicago Special Service Area Number 14 (the "Area") and authorized the levy of an annual tax, for the period beginning in 2013 through and including 2017, not to exceed an annual rate of forty-one one-hundredths of one percent (0.41%) of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Special Services"); and

WHEREAS, certain funds in Fund 392 ("Fund 392") in the amount of \$1,894 are available for use in connection with the Area; and

WHEREAS, the Establishment Ordinance established the Area as that territory consisting approximately of West Marquette Road on the north, 75th Street on the south, Kedzie Avenue on the west and Bell Avenue on the east; and

WHEREAS, the Special Services authorized in the Establishment Ordinance include, but are not limited to: security services provided in the Area, including the administration necessary for the implementation of such security services; coordinated marketing and promotional activities; business retention and recruitment; area strategic planning; and other technical assistance activities to promote community and economic development; and

WHEREAS, the Establishment Ordinance provided for the appointment of the Marquette Park Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has been duly appointed and qualified and has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as Exhibit A and hereby made a part hereof; now, therefore,

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

SECTION 2. Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

**MARQUETTE PARK SPECIAL SERVICE AREA COMMISSION
SPECIAL SERVICE AREA BUDGET**

For the fiscal year commencing January 1, 2016 and ending December 31, 2016.

EXPENDITURES

Service Provider Agreement for the provision of Special Services	\$461,645
TOTAL BUDGET REQUEST	\$461,645

SOURCE OF FUNDING

Tax levy at an annual rate not to exceed forty-one one-hundredths of one percent (0.41%) of the equalized assessed value, of the taxable property within Special Service Area Number 14	\$447,781
Fund 392	\$1,894
Carryover funds currently available from prior tax years	\$6,970
Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any.	\$5,000

SECTION 3. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6(a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of the Establishment Ordinance, the sum of \$447,781 as the amount of the Services Tax for the tax year 2015.

SECTION 4. Filing. The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 29, 2015, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2015 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and

extended against all taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with Lithuanian Human Services Council of the USA, Inc., an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 6. Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

SECTION 7. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 8. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 9. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

EXHIBIT A

Budget

2016 Budget and Services Summary

Chicago Department of Planning and Development

Name:	MARQUETTE PARK SSA#14	SSA Chairperson:	Patricia Nelson	Agency Contact:	Juozas Polkaitis
Service Provider Agency:	LITHUANIAN HUMAN SERVICES COUNCIL OD	Phone Number:	773-675-0988	Phone Number:	830-272-1867
SSA Tax Authority Term:	2014 - 2018	Email:	PatriciaN@pol.com	Email:	polkaitis@aol.com
Date:					

2016 BUDGET SUMMARY	Fund 392	2015 Levy	Carry Over	Late Collections and Interest Income Thereon	2016 Budget
CATEGORY					
1.00 Customer Attraction	\$ -	\$ 6,250	\$ 250	\$ -	\$ 6,500
2.00 Public Way Aesthetics	\$ -	\$ -	\$ -	\$ -	\$ -
3.00 Sustainability and Public Places	\$ -	\$ -	\$ -	\$ -	\$ -
4.00 Economic/Business Development	\$ -	\$ 2,000	\$ -	\$ -	\$ 2,000
5.00 Safety Programs	\$ 1,894	\$ 325,889	\$ 6,720	\$ 5,000	\$ 339,503
6.00 SSA Management	\$ -	\$ 48,950	\$ -	\$ -	\$ 48,950
7.00 Personnel	\$ -	\$ 40,892	\$ -	\$ -	\$ 40,892
8.00 Loss Collection: 6.8%	\$ -	\$ 26,000	\$ -	\$ -	\$ 26,000
GRAND TOTAL	\$ 1,894	\$ 447,781	\$ 8,970	\$ 5,000	\$ 481,645

2016 Budget \$ 481,645

LEVY ANALYSIS	
1	Estimated 2015 EAV \$109,214,937
2	Authorized Tax Rate Cap 0.410%
3	Estimated 2015 Levy \$447,781
4	Estimated Tax Rate to Generate 2015 Levy (Estimated 2015 Levy ÷ Estimated 2015 EAV = Est. Tax Rate) 0.410%

2016 BUDGET & SERVICES - SIGNATURE PAGE

MARQUETTE PARK SSA#14

Budget & Services Period January 1, 2016 to December 31, 2018

The 2016 Budget & Services were approved by the SSA Commission

Patricia Nelson 7/22/15

SSA Chairperson Signature Date

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Lithuanian Human Services Council of the USA, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant

OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____

OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 2711 W. 71-st. Street
Chicago, IL 60629 United States

C. Telephone: 773-476-2655 Fax: 773-436-6909 Email: jpolikaitis@aol.com

D. Name of contact person: Juozas Polikaitis

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

To allow the Lithuanian Human Services Council of the USA, Inc. to enter into a contract with the City of Chicago to provide special services in the SSA#14.

G. Which City agency or department is requesting this EDS? Dept. of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☒ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☒ Yes ☐ No
☐ Trust	☐ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

State of Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☐ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title	
Juozas Polickaitis	Chairman/Executive Director	No Members
Rev. Valdas Ausra	Treasurer/Vice President/Director	
Daina Dumbrys	Secretary/Director	
Dana Bazi's	Director	
Laima Zauristauskas	Director	
Elena Harris	Director	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
NONE		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(See attached sheet)
(Attachment A)

(Add sheets if necessary)

☐ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☐ No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

ATTACHMENT A

(2016) LIST OF RETAINED PARTIES FOR SSA#14

SSA #	SSA Name	Provider Agency	Vendor Type	Vendor Name	Address	Relationship	Estimated Cost	Retained/
14	Marquette Park	Lithuanian Human Services	Security	Illinois Homeland Security	18400 S. Oakwood Ave. Lynwood, IL 60411	Subcontractor	\$400,000.00	Retained
			Surveillance cameras	Virttech Systems	1838 W. Belmont Chicago, IL 60657	Supplier	\$20,000.00	Retained
			Web Hosting Services	Fai Cow Web Hosting	70 Blanchard Rd. 3-Floor Burlington, MA 01803	Supplier	\$500.00	Retained
			CPA	Paskus & Associates	8162 Astoria Way Ft. Myers, FL 33667	Accountant	\$5,000.00	Retained
			Auditor	CP Partners, LLC	975 Ponderosa Ln. Hoffman Estates, IL 60010	Accountant	\$5,000.00	Anticipated
			Banners	Folgers Flag & Decorating, Inc.	12748 W. York Street Blue Island, IL 60406	Supplier	5,000.00	Anticipated

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1 of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NONE

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

NONE

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

✓ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1 The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Lithuanian Human Services Council of the USA, Inc.

(Print or type name of Disclosing Party)

By: _____

(Sign here)

JUOZAS POLIKAITIS

(Print or type name of person signing)

Chairman / Executive director

(Print or type title of person signing)

Signed and sworn to before me on (date) Sept 12, 2015
at Rock County, Illinois (state).

Patricia A. Shaffer

Notary Public

"OFFICIAL SEAL"

Patricia A. Shaffer

Notary Public, State of Illinois

My Commission Expires 5/15/2016

Commission expires: 5/15/2016

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8046

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Scope of services, budget and management agreement for Special Service Area No. 17
Committee(s) Assignment:	Committee on Finance



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing a scope of services, budget and management agreement for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(l) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time; and

WHEREAS, on October 28, 1997, the City Council (the "City Council") of the City of Chicago enacted an ordinance which established an area known and designated as City of Chicago Special Service Area Number 17 (the "Initial Area") and authorized the levy of an annual tax, for the period beginning in tax year 1997 through and including tax year 2003 (the "Initial Levy Period"), not to exceed an annual rate of one quarter of one percent (0.25%) of the equalized assessed value of the taxable property in the Initial Area to provide certain special services in and for the Initial Area in addition to the services provided by and to the City of Chicago (the "City") generally; and

WHEREAS, the Initial Levy Period has expired; and

WHEREAS, on December 8, 2004, the City Council enacted an ordinance which again established a special service area known and designated as City of Chicago Special Service Area Number 17 (the "Second Area"), as amended by an ordinance enacted by the City Council on November 1, 2006, to provide certain special services in the Second Area in addition to services provided generally by the City and authorizing a levy of an annual tax, for the period beginning in 2004 through and including 2013, not to exceed an annual rate of one quarter of one percent (0.25%) of the equalized assessed value of all property within the Second Area to provide such services for an additional period of 10 years (the "Second Services Tax"); and

WHEREAS, on November 16, 2011, the City Council enacted an ordinance (the "Establishment Ordinance") which reestablished an area known and designated as City of Chicago Special Service Area Number 17 (the "Area") with reconstituted boundaries, terminated the authorization to levy the Second Services Tax, and authorized the levy of an annual tax, for the period beginning in tax year 2011 through and including tax year 2025, not to exceed an annual rate of 0.25 percent of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago generally (the "Special Services"); and

WHEREAS, the Establishment Ordinance established the Area as that territory consisting approximately of the area on Sheffield Avenue from Diversey Parkway to Irving Park Road, Diversey Parkway on the north side of the street from 916 West Diversey Parkway to 1012 West Diversey Parkway, Clark Street from Fletcher Avenue on the west side of the street to Byron Avenue and from Belmont on the east side of the street up to and including the parking lot north of 3801 N Clark, Belmont Avenue from Halsted Street on the north side of the street and Clark Street on the south side of the street to Racine Avenue, Addison Street from the parking lot west of 1111 West Addison Street to Wilton Avenue and Addison Street from Wilton Avenue to Reta Avenue on the south side of the street, the south side of Irving Park Road from 1017 West Irving Park Road up to and including the parking lot just east of Fremont Street, Waveland Avenue from Sheffield Avenue to Clark Street and Seminary Avenue from Clark Street to Waveland Avenue; and

WHEREAS, the Special Services authorized in the Establishment Ordinance include, but are not limited to: recruitment of new businesses to the Area, rehabilitation activities, maintenance and

beautification activities, new construction, security, coordination of promotional and advertising activities, strategic planning for the Area, and other technical assistance activities to promote commercial and economic development (which may include, but are not limited to, streetscape improvements, strategic transit/parking improvement including parking management studies, and enhanced land use oversight and control initiatives); and

WHEREAS, the Establishment Ordinance provided for the appointment of the Central Lakeview Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services; (2) an entity to serve as a service provider (the "Service Provider"); (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"); and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has been duly appointed and qualified and has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as Exhibit A and hereby made a part hereof; now, therefore

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

SECTION 2. Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

**CENTRAL LAKEVIEW SPECIAL SERVICE AREA COMMISSION
SPECIAL SERVICE AREA BUDGET**

For the fiscal year commencing January 1, 2016 and ending December 31, 2016.

EXPENDITURES

Service Provider Agreement for the provision of Special Services	\$766,034
TOTAL BUDGET REQUEST	\$766,034

SOURCE OF FUNDING
Tax levy at an annual rate not to exceed
0.25% of the equalized assessed value, of
the taxable property within Special

Service Area Number 17	\$682,034
Carryover funds currently available from prior tax years	\$50,000
Late collections received by the City of Chicago attributable to the levy of the Services Tax in prior tax years, along with interest income thereon, if any	\$34,000

SECTION 3. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6(a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of the Establishment Ordinance, the sum of \$682,034 as the amount of the Services Tax for the tax year 2015.

SECTION 4. Filing. The City Clerk of the City (the "City Clerk") is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 29, 2015, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2015 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 5. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with Central Lakeview Merchants Association, Inc., d/b/a Chicago View Organization, an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 2 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 6. Enforceability. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

SECTION 7. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 8. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 9. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

EXHIBIT A

Budget

2016 Budget and Services Summary

Chicago Department of Planning and Development

Name:	SSA #17 - Central Lakeview	SSA Chairperson:	Kevin Hodas, Standard Bank	Agency Contact:	Cameron Muirhead, Program Manager
Service Provider Agency:	Central Lakeview Merchants Association	Phone Number:	773-404-5492	Phone Number:	773-655-2100
SSA Tax Authority Term:	46023	Email:	kevin.hodas@standardbanks.com	Email:	cmuirhead@chicagoview.net
Date:	10/2/2015				

2016 BUDGET SUMMARY

CATEGORY	2015 Levy	Carry Over	Late Collections and Interest Income Thereon	2016 Budget
1.00 Customer Attraction	\$ 128,300	\$ 10,000	\$ 18,000	\$ 156,300
2.00 Public Way Aesthetics	\$ 208,700	\$ 40,000	\$ -	\$ 248,700
3.00 Sustainability and Public Places	\$ 2,000	\$ -	\$ 16,000	\$ 18,000
4.00 Economic/Business Development	\$ 43,500	\$ -	\$ -	\$ 43,500
5.00 Safety Programs	\$ 34,000	\$ -	\$ -	\$ 34,000
6.00 SSA Management	\$ 90,450	\$ -	\$ -	\$ 90,450
7.00 Personnel	\$ 140,584	\$ -	\$ -	\$ 140,584
8.00 Loss Collection: 4.9%	\$ 33,400	\$ -	\$ -	\$ 33,400
GRAND TOTAL	\$ 682,034	\$ 50,000	\$ 34,000	\$ 766,034

2016 Budget \$ 766,034

LEVY ANALYSIS	
1	Estimated 2015 EAV: \$280,072,844
2	Authorized Tax Rate Cap: 0.250%
3	Estimated 2015 Levy: \$682,034
4	Estimated Tax Rate to Generate 2015 Levy: 0.243%
	(Estimated 2015 Levy ÷ Estimated 2015 EAV = Est. Tax Rate)

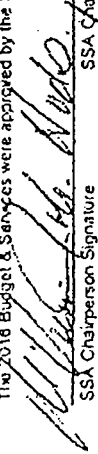
2016 BUDGET & SERVICES - SIGNATURE PAGE

SSA #17 - Central Lakeview

Budget & Services Period

January 1, 2016 to December 31, 2016

The 2016 Budget & Services were approved by the SSA Commission


 Kevin Joseph Hodas, October 2, 2015
 SSA Chairperson Signature SSA Chairperson Printed Name Date

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Central Lakeview Merchants Association, Inc. d/b/a Chicago View Organization

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 3355 N. Clark St.
Chicago IL 60657

C. Telephone: (773)-665-2100 Fax: n/a Email: clma@centrallakeview.biz

D. Name of contact person: Cameron Muirhead

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):
To allow Central Lakeview Merchants Association to enter into a contract with the City of Chicago to provide services within SSA#17

G. Which City agency or department is requesting this EDS? Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☒ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☒ No
☐ Trust	☐ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- ☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title

See Attached List

No Members

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,



Central Lakeview Merchants Association

1355 North Clark
Chicago, IL 60657
clmna@centrallakeview.biz
www.centrallakeview.biz
773-565-2100

Executive Director/Board List

Executive Director

Gus Isacson

Executive Board

Cynthia Porcelli – President
genacelli salon

Mike Maschmeyer – Vice President
Urban Rooftops

Niko Konstantoudakis – Vice President
Houndstooth Saloon

Gerry Burczyk – Treasurer
#1 Gym

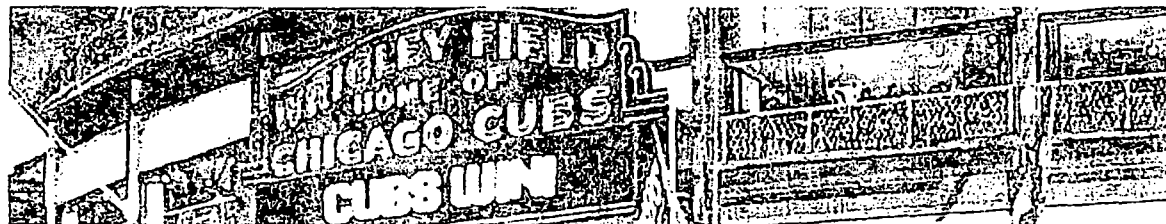
LeeAnne Kampfer – Secretary
WCIU – The U

Gus Isacson
Executive Director

Jeff Briggs
Business Relations

Travis Sedler
Art Director

Cameron Muirhead
Program Manager



interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
None		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

See Attached list.

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [] No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.



Central Lakeview Merchants Association

3355 North Clark
Chicago, IL 60657
clma@centrallakeview.biz
www.centrallakeview.biz
773-665-2100

List of Proposed 2016 Retained Parties for SSA #17

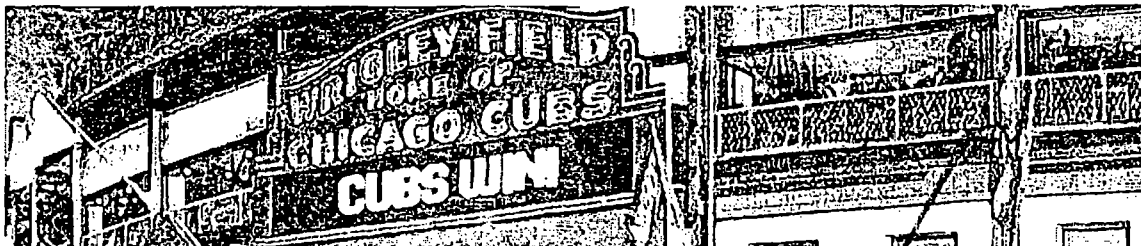
Name	Business Address	Relationship	Fees	Notes
Patch Landscaping	6107 N Ravenswood Ave Chicago, IL 60660	Planters, Snowplowing, Holiday Decorations Sub- Contractor	\$75,500	Estimated
Lakeview Employment Group	835 W Addison St Chicago, IL 60657	Watering Sub- Contractor	\$10,800	Estimated
Pathways	3340 N Clark St #203 Chicago, IL 60657	Street Cleaning Sub-Contractor	\$98,000	Estimated
Quad/ Graphics	N61W23044 Harry's Way Sussex, WI 53089-3995	Printing Sub- Contractor	\$35,400	Estimated

Gus Isacson
Executive Director

Jeff Briggs
Business Relations

Travis Sedler
Art Director

Cameron Mulrhead
Program Manager



2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is ☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

☒ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

____ 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Central Lakeview Merchants Association, Inc. d/b/a Chicago View Organization
(Print or type name of Disclosing Party)

By: [Signature]
(Sign here)

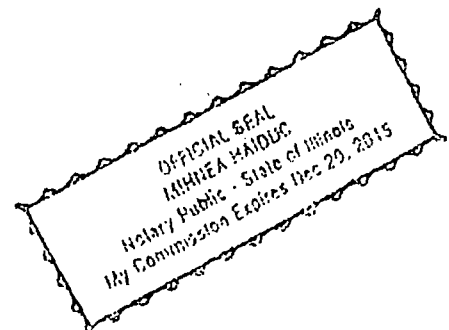
G. Isaacson
(Print or type name of person signing)

Executive Director
(Print or type title of person signing)

Signed and sworn to before me on (date) 9/21/15
at Cook County, Ill. (state).

[Signature] Notary Public.

Commission expires: 01/01/2026



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8048

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Scope of services, budget and management agreement for Special Service Area No. 42
Committee(s) Assignment:	Committee on Finance



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing a scope of services, budget and management agreement for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

Mayor

ORDINANCE

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(l) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time (the "Code"); and

WHEREAS, on November 15, 2006, the City Council of the City of Chicago (the "City Council") enacted an ordinance (the "Establishment Ordinance") which established an area known and designated as City of Chicago Special Service Area Number 42 (the "Area") and authorized the levy of an annual tax, for the period beginning in tax year 2006 through and including tax year 2015 (the "Original Period"), not to exceed an annual rate of two percent (2%) of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago (the "City") generally (the "Original Special Services"); and

WHEREAS, the City Council finds that it is in the best interests of the City to authorize: (i) certain special services in the Area distinct from the Original Special Services (the "Special Services"), and (ii) the extension of the Original Period and of the levy of the Services Tax for the provision of the Special Services in the Area for a period beginning in tax year 2015 through and including tax year 2024; and

WHEREAS, the Establishment Ordinance established the Area consisting of Stony Island Avenue from 67th Street to 73rd Street and the west side only of Stony Island Avenue from 73rd Street to 79th Street, and 71st Street from South Shore Drive to Kimbark Street; and

WHEREAS, the Establishment Ordinance provided for the appointment of the 71st – Stony Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services, (2) an entity to serve as a service provider (the "Service Provider"), (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"), and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has been duly appointed and qualified and has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as Exhibit A and hereby made a part hereof; now, therefore,

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

SECTION 2. Findings. The City Council finds and declares as follows:

- (a) The City Council enacted an ordinance on September 24, 2015, authorizing a public hearing (the "Public Hearing") to consider (i) the authorization of the Special Services as distinct from the Original Special Services, and (ii) the authorization of the extension of the Original Period and of the levy of the Services Tax for the provision of the Special Services in the Area for a period beginning in tax year 2015 through and including tax year 2024;
- (b) Notice of the Public Hearing was given by publication at least once not less than fifteen days prior to the hearing in the Chicago Sun-Times, a newspaper published in and of general circulation within the City, and notice of the Public Hearing was also given by depositing said notice in the United States mail addressed to the person or persons in whose name the general taxes for the last preceding year were paid on each property lying within the Area, not less than ten days prior to the time set for the Public Hearing. For any properties for which taxes for the last preceding year were not paid, the notice was sent to the person last listed on the tax rolls prior to that year as the owner of the property;
- (c) The notice complied with all of the applicable provisions of the Act;
- (d) The Public Hearing was held on November 13, 2015, by the Committee on Finance of the City Council. All interested persons, including all persons owning real property located within the Area, were given an opportunity to be heard at the Public Hearing regarding any issues embodied in the notice and have had an opportunity to file with the City Clerk of the City of Chicago (the "City Clerk") written objections on such issues;
- (e) The Committee on Finance of the City Council has heard and considered all of the comments, objections, protests and statements made at the Public Hearing with regard to the issues embodied in the notice and has determined to recommend to the City Council that it is in the public interest and in the interest of the City and the Area to authorize the Special Services and extend the Original Period and the levy of the Services Tax, all as provided in this ordinance;
- (f) The Public Hearing was finally adjourned on November 13, 2015;
- (g) The 60-day period as described in Section 27-55 of the Act, in which an objection petition to this ordinance may be filed, commenced on November 13, 2015; and
- (h) The City Council hereby finds and determines that it is in the best interests of the City that (i) the Special Services be authorized, and (ii) the extension of the Original Period and the levy of the Services Tax for the provision of the Special Services in the Area for a period beginning in tax year 2015 through and including tax year 2024 be authorized.

SECTION 3. Special Services Authorized. The Special Services authorized hereby included but are not limited to: customer attraction, public way aesthetics, sustainability and public place enhancements, economic/business development, safety programs, and other activities to promote commercial and economic development. The Special Services shall be in addition to services provided to and by the City generally.

SECTION 4. Authorization of Levy. There is hereby authorized to be levied in each year beginning in 2015 through and including 2024 the Services Tax upon the taxable property within the Area to produce revenues required to provide the Special Services, said Services Tax not to exceed an annual rate of two percent (2.0%) of the equalized assessed value of the taxable property within the Area. The Services Tax shall be in addition to all other taxes provided by law and shall be levied pursuant to the provisions of the Code. The levy of the Services Tax for each year shall be made by annual ordinance, commencing with this ordinance.

SECTION 5. Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

71ST- STONY SPECIAL SERVICE AREA COMMISSION
SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2016 and ending December 31, 2016:

EXPENDITURES

Service Provider Agreement for the provision of Special Services	\$561,325

TOTAL BUDGET REQUEST	\$561,325

SOURCE OF FUNDING

Tax levy at an annual rate not to exceed two percent (2%) of the equalized assessed value of the taxable property within Special Service Area Number 42	\$539,024

Carryover funds currently available from prior tax years	\$000

Late collections received by the City of Chicago
attributable to the levy of the Services Tax
in prior tax years, along with interest income

thereon, if any

\$22,301

SECTION 6. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6(a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of this ordinance, the sum of \$539,024 as the amount of the Services Tax for the tax year 2015.

SECTION 7. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with South Shore Chamber Economic Development, Inc., an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation, the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 5 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 8. Protests And Objections. If a petition of objection is filed with the Office of the City Clerk signed by at least fifty-one percent (51%) of the electors residing within the boundaries of the Area and by at least fifty-one percent (51%) of the owners of record of the property included within the boundaries of the Area within sixty (60) days following the adjournment of the Public Hearing, all as provided for in Section 27-55 of the Act, as a result of such filing the portions of this ordinance which authorize the Special Services and extend the Original Period and the levy of the Services Tax, shall be deemed to be null and void, the Services Tax shall not be levied, and the Service Provider Agreement shall not be entered into or shall be deemed to be null and void and no compensation in connection therewith shall be provided to the Service Provider.

SECTION 9. Severability. If any provision of this ordinance or the application of any such provision to any person or circumstances shall be invalid, such invalidity shall not affect the provisions or application of this ordinance which can be given effect without the invalid provision or application, and to this end each provision of this ordinance is declared to be severable.

SECTION 10. Filing. The City Clerk is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk") a certified copy of this ordinance on or prior to December 29, 2015, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City of Chicago, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2015 against all the taxable property within the Area, the amount of the Services Tax

herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 11. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 12. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 13. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

EXHIBIT A

BUDGET

2016 Budget and Services Summary

Chicago Department of Planning and Development

Name:	SSA 42 71st/Stone Island	SSA Chairperson:	Ayesha Karim-Chairperson	Agency Contact:	Tonya Trice - SSA #42
Service Provider Agency:	South Shore Chamber Inc.	Phone Number:	773-310-3473	Phone Number:	773-603-8491
SSA Tax Authority Term:	SSA #42 35 ILCS.200/27-5 et seq	Email:	clarity42@comcast.net	Email:	trice@southshorechamber.org
Date:	10/15/2015				

2016 BUDGET SUMMARY	2015 Levy	Carry Over	Late Collections and Interest Income Thereon	2016 Budget
CATEGORY				
1.00 Customer Attraction	\$ 77,842	\$ -	\$ -	\$ 77,842
2.00 Public Way Aesthetics	\$ 181,700	\$ -	\$ 22,301	\$ 204,001
3.00 Sustainability and Public Places	\$ -	\$ -	\$ -	\$ -
4.00 Economic/Business Development	\$ -	\$ -	\$ -	\$ -
5.00 Safety Programs	\$ 133,000	\$ -	\$ -	\$ 133,000
6.00 SSA Management	\$ 22,144	\$ -	\$ -	\$ 22,144
7.00 Personnel	\$ 109,183	\$ -	\$ -	\$ 109,183
8.00 Loss Collection: 2.8%	\$ 15,155	\$ -	\$ -	\$ 15,155
GRAND TOTAL	\$ 539,024	\$ -	\$ 22,301	\$ 561,325
				\$ 561,225

LEVY ANALYSIS	Estimated 2015 EAV:
1	\$36,569,611
2	Authorized Tax Rate Cap: 2.000%
3	Estimated 2015 Levy: \$539,024
4	Estimated Tax Rate to Generate 2015 Levy (Estimated 2015 Levy ÷ Estimated 2015 EAV = Est. Tax Rate): 1.474%

2016 BUDGET & SERVICES - SIGNATURE PAGE

SSA 42 71st/Stone Island

Budget & Services Period:

January 1, 2016 to December 31, 2016

The 2016 Budget & Services were approved by the SSA Commission.

Ayesha Karim SSA Chairperson Signature Date 10/15/15

SSA Chairperson Printed Name

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

South Shore Chamber Economic Development, Inc.

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 1750 E. 71st St.
Chicago, IL 60649

C. Telephone: 773-955-9508 Fax: 773-955-9554 Email: Twertz@SouthShoreChamberInc.org

D. Name of contact person: Teyonda Wertz

E. Federal Employer Identification No. (if you have one): _____

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

To allow the South Shore Chamber Economic Development, Inc. to enter into a service provider agreement with the City of Chicago in order to provide special services for SSA #42.

G. Which City agency or department is requesting this EDS? Dept. of Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☒ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☒ No
☐ Trust	☐ Other (please specify)

2. For legal entities, the state (or foreign, country) of incorporation or organization, if applicable:

ILLINOIS

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes ☐ No ☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

List of officers and directors attached
No Members

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,



South Shore Chamber Economic Development, Inc

1750 E. 71st Street
Chicago, IL 60649
(773) 955-9508
Fax (773) 955-9554
www.southshorechamberinc.org

Board of Directors

President - William G. Godwin, Esq.
Director of External Affairs at One Chance Illinois

Secretary – Allison Porter Bell
Vice President at BMO Harris Bank

Val Free
Executive Director at The Planning Coalition

Henry English
President at Black United Fund of Illinois

Dewey Ward
DJW Information Services

Joyce Gibson
JL Gibson Realty

Mary Ellen Holt
MetroPro Realty

Gerald Williams
Allpoints Realty

Advisory Board Members

Merritt Hasbrouck
Jackson Park Hospital

Teri Blanchard
Barclay, Dixon & Smith

Dwayne Brown
Urban Partnership Bank

Teyonda Wertz - Executive Director

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
None		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes ☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

See Attached list

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes

[] No

☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes

[] No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

South Shore Chamber Economic Development, Inc.

SSA #42

1750 E. 71st Street

Chicago, IL 60649

773.955.9508 - Phone

773.955.9554

List of 2016 Retained Parties for SSA #42

Name	Address	Relationship	Fees	Notes
Allpoints Security	2112 E. 71st Street, Chicago, IL 60649	Security Services	\$133,000	Anticipated based upon approval
Cleanstate	237 S. Desplaines, Chicago, IL 60603	Litter Abatement	\$80,000	Anticipated based upon approval
PLS Snow Removal	8445 South Chicago Ave, Chicago, IL 60617	Snow Removal	\$38,000	Anticipated based upon approval
NB Designs	1509 E. 65th, Chicago, IL 60637	Graphic Design	\$2,500	Anticipated based upon approval
Bravos & Associates	324 Ridgewood Drive	Auditor	\$4,400	Anticipated based upon approval

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

NA

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

____ 2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (Sec 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

South Shore Chamber Economic Development, Inc.
(Print or type name of Disclosing Party)

By: _____
(Sign here)

Teyonda Wertz
(Print or type name of person signing)

Executive Director
(Print or type title of person signing)

Signed and sworn to before me on (date) 9/18/15,
at COOK County, Illinois (state).

Tyga Trice Notary Public.

Commission expires: 12-2-2018



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[] Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

[] Yes

[] No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8049

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Scope of services, budget and management agreement for Special Service Area No. 44
Committee(s) Assignment:	Committee on Finance



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing a scope of services, budget and management agreement for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

A handwritten signature in cursive script that reads "Rahm Emanuel".

Mayor

ORDINANCE

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(l) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act"), and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time (the "Code"); and

WHEREAS, on November 7, 2007, the City Council of the City of Chicago (the "City Council") enacted an ordinance, as amended on December 12, 2007 (collectively the "Establishment Ordinance") which established an area known and designated as City of Chicago Special Service Area Number 44 (the "Original Area") and authorized the levy of an annual tax, for the period beginning in 2007 through and including 2016 (the "Original Period"), not to exceed an annual rate of two and a half percent (2.5%) of the equalized assessed value of the taxable property therein (the "Services Tax") to provide certain special services in and for the Area in addition to the services provided by and to the City of Chicago (the "City") generally (the "Original Special Services"); and

WHEREAS, the City Council finds that it is in the best interests of the City to authorize: (i) the enlargement of the boundaries of the Original Area (as enlarged, the "Area"), (ii) certain special services in the Area distinct from the Original Special Services (the "Special Services"), and (iii) the extension of the Original Period and of the levy of the Services Tax for the provision of the Special Services in the Area for a period beginning in tax year 2015 through and including tax year 2029 (the "Extended Levy Period"); and

WHEREAS, certain funds in Fund A52 ("Fund A52") in the amount of \$240 are available for use in connection with the Area; and

WHEREAS, the Establishment Ordinance provided for the appointment of the 103rd St. Beverly Special Service Area Commission (the "Commission") to advise the City Council regarding the amount of the Services Tax to be levied and for the purpose of recommending to the City Council: (1) a yearly budget based upon the cost of providing the Special Services, (2) an entity to serve as a service provider (the "Service Provider"), (3) an agreement between the City and the Service Provider for the provision of Special Services to the Area (the "Service Provider Agreement"), and (4) a budget to be included in the agreement between the City and the Service Provider (the "Budget") (the aforementioned items 1 through 4 shall be known collectively herein as the "Recommendations"); and

WHEREAS, the Commission has been duly appointed and qualified and has heretofore prepared and transmitted to the Commissioner of the Department of Planning and Development (the "Commissioner") its Recommendations to the City Council, including the Budget attached hereto as Exhibit 5 and hereby made a part hereof; now, therefore,

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

SECTION 2. Findings. The City Council finds and declares as follows:

- (a) The City Council enacted an ordinance on September 24, 2015 authorizing a public hearing, as amended by an ordinance enacted by the City Council on October 28, 2015 (the "Public Hearing") to consider the authorization of; (i) the enlargement of the boundaries of the Original Area to the Area while keeping its designation as City Special Service Area Number 44, (ii) certain Special Services distinct from the Original Special Services, and (iii) the Extended Levy Period;
- (b) Notice of the Public Hearing was given by publication at least once not less than fifteen days prior to the hearing in the Chicago Sun-Times, a newspaper published in and of general circulation within the City, and notice of the Public Hearing was also given by depositing said notice in the United States mail addressed to the person or persons in whose name the general taxes for the last preceding year were paid on each property lying within the Area, not less than ten days prior to the time set for the Public Hearing. For any properties for which taxes for the last preceding year were not paid, the notice was sent to the person last listed on the tax rolls prior to that year as the owner of the property;
- (c) The notice complied with all of the applicable provisions of the Act;
- (d) The Public Hearing was held on November 13, 2015 by the Committee on Finance of the City Council. All interested persons, including all persons owning real property located within the Area, were given an opportunity to be heard at the Public Hearing regarding any issues embodied in the notice and have had an opportunity to file with the City Clerk of the City (the "City Clerk") written objections on such issues;
- (e) The Committee on Finance of the City Council has heard and considered all of the comments, objections, protests and statements made at the Public Hearing with regard to the issues embodied in the notice and has determined to recommend to the City Council that it is in the public interest and in the interest of the City and the Area to authorize the Special Services and extend the Original Period and the levy of the Services Tax, all as provided in this ordinance;
- (f) The Public Hearing was finally adjourned on November 13, 2015;
- (g) The 60-day period as described in Section 27-55 of the Act, in which an objection petition to this ordinance may be filed, commenced on November 13, 2015; and
- (h) The City Council hereby finds and determines that it is in the best interests of the City to authorize; (i) the enlargement of the boundaries of the Original Area to the Area (ii) certain Special Services in the Area distinct from the Original Special Services, and (iii) the Extended Levy Period.

SECTION 3. Area Enlarged. The Original Area is hereby enlarged to the Area, which shall consist of Walden Parkway from 99th Street to 107th Street, and from Longwood Drive to Wood Street along 103rd Street, as further described on Exhibit 1 attached hereto and hereby incorporated herein. A map of the Area is attached as Exhibit 2 hereto and hereby incorporated herein. A list of Permanent Index Numbers for the properties in the Area is attached hereto as

Exhibit 3 and hereby incorporated herein.

SECTION 4. Special Services Authorized. The Special Services authorized hereby include but are not limited to: customer attraction, public way aesthetics, sustainability and public place enhancements, economic/business development, safety programs, and other activities to promote commercial and economic development. The Special Services shall be in addition to services provided to and by the City generally.

SECTION 5. Authorization of Levy. There is hereby authorized to be levied in each year beginning in tax year 2015 through and including tax year 2029 the Services Tax upon the taxable property within the Area to produce revenues required to provide the Special Services, said Services Tax not to exceed an annual rate of two and a half percent (2.5%) of the equalized assessed value of the taxable property within the Area. The Services Tax shall be in addition to all other taxes provided by law and shall be levied pursuant to the provisions of the Code. The levy of the Services Tax for each year shall be made by annual ordinance, commencing with this ordinance.

SECTION 6. Appropriations. There is hereby appropriated the following sums in the amounts and for the purposes necessary to provide the Special Services in and for the Area, the estimated amounts of miscellaneous income and the amounts required to be raised by the levy of the Services Tax indicated as follows:

103RD ST. BEVERLY SPECIAL SERVICE AREA COMMISSION
SPECIAL SERVICE AREA BUDGET

For the fiscal year commencing January 1, 2016 and ending December 31, 2016:

EXPENDITURES	
Service Provider Agreement for the provision of Special Services	\$15,421
TOTAL BUDGET REQUEST	\$15,421
SOURCE OF FUNDING	
Tax levy at an annual rate not to exceed an annual rate of two and a half percent (2.5%) of the equalized assessed value, of the taxable property within Special Service Area Number 44	\$14,458
Fund A52	\$240
Carryover funds currently available from prior tax years	\$000
Late collections received by the City attributable to the levy of the Services Tax	

in prior tax years, along with interest income thereon, if any

\$723

SECTION 7. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6(a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of this ordinance, the sum of \$14,458 as the amount of the Services Tax for the tax year 2015.

SECTION 8. Service Provider Agreement. The Commissioner, or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a Service Provider Agreement as authorized herein with Beverly Area Planning Association, an Illinois not-for-profit corporation, as the Service Provider, for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The Budget shall be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation, the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 6 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and Development shall promptly make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 9. Protests And Objections. If a petition of objection is filed with the Office of the City Clerk signed by at least fifty-one percent (51%) of the electors residing within the boundaries of the Area and by at least fifty-one percent (51%) of the owners of record of the property included within the boundaries of the Area within sixty (60) days following the adjournment of the Public Hearing, all as provided for in Section 27-55 of the Act, as a result of such filing the portions of this ordinance which authorize (i) the enlargement of the boundaries of the Area, (ii) the Special Services distinct from the Original Special Services, and (iii) the extension of the Original Period and the levy of the Services Tax, shall be deemed to be null and void, and such provisions shall not take effect.

SECTION 10. Severability. If any provision of this ordinance or the application of any such provision to any person or circumstances shall be invalid, such invalidity shall not affect the provisions or application of this ordinance which can be given effect without the invalid provision or application, and to this end each provision of this ordinance is declared to be severable.

SECTION 11. Filing. The City Clerk is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk"), in accordance with Section 27-75 of the Act, a certified copy of this ordinance containing an accurate map of the Area and a copy of the public hearing notice attached as Exhibit 4. The City Clerk is hereby further ordered and directed to file in the Office of the Recorder of Deeds of Cook County, in accordance with Section 27-40 of the Act, a certified copy of this ordinance containing a description of the Area, within 60 days of the effective date of this ordinance. In addition, the City Clerk is hereby further ordered and directed to file in the Office of the County Clerk, in accordance with Section 27-75 of the Act, a certified copy of this ordinance on or prior to December 29, 2015, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City,

the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2015 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 12. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 13. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 14. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

EXHIBIT 1

Legal Description

See attached pages.

SSA #44 103RD/BEVERLY LEGAL DESCRIPTION

THAT PART OF THE WEST HALF OF THE SOUTHEAST QUARTER OF SECTION 7 AND THE WEST HALF OF THE NORTHEAST QUARTER OF SECTION 18, IN TOWNSHIP 37 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN, MORE PARTICULARLY DESCRIBED AS FOLLOWS:

BEGINNING AT THE INTERSECTION OF THE WEST LINE OF SOUTH WOOD STREET WITH THE SOUTH LINE OF WEST 103RD STREET AND RUNNING;

THENCE SOUTH ALONG SAID WEST LINE OF SOUTH WOOD STREET TO THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF WEST 103RD STREET;

THENCE WEST ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF WEST 103RD STREET TO AN ANGLE POINT IN SAID NORTHERLY LINE;

THENCE NORTHWESTERLY ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF WEST 103RD STREET TO AN ANGLE POINT IN SAID NORTHERLY LINE;

THENCE WEST ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF WEST 103RD STREET AND THE WESTERLY EXTENSION THEREOF TO THE EASTERLY LINE OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD RIGHT-OF-WAY;

THENCE SOUTHWESTERLY ALONG SAID EASTERLY LINE OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD RIGHT-OF-WAY TO THE CENTERLINE OF WEST 107TH STREET;

THENCE WEST ALONG SAID CENTERLINE OF WEST 107TH STREET TO THE WESTERLY LINE OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD RIGHT-OF-WAY;

THENCE NORTHEASTERLY ALONG SAID WESTERLY LINE OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD RIGHT-OF-WAY TO THE EASTERLY EXTENSION THE SOUTH LINE OF THE NORTHERLY 135 FEET OF LOT 1 IN E. A. BARNARD'S SUBDIVISION OF LOT "A" IN SECTION 18;

THENCE WEST ALONG SAID EASTERLY EXTENSION OF SOUTH LINE OF THE NORTHERLY 135 FEET OF LOT 1 AND THE SOUTH LINE THEREOF TO THE EAST LINE OF LOT 1 IN THE RESUBDIVISION OF LOT 42 IN BLOCK 1 IN C. HOPKINSON'S SUBDIVISION, ALSO LOT 2 IN E. A. BARNARD'S SUBDIVISION OF LOT "A" IN SECTION 18;

THENCE NORTH ALONG SAID EAST LINE OF LOT 1 TO THE SOUTH LINE OF WEST 103RD STREET;

THENCE WEST ALONG SAID SOUTH LINE OF WEST 103RD STREET TO THE EASTERLY LINE OF SOUTH LONGWOOD DRIVE;

THENCE NORTHEASTERLY ALONG SAID EASTERLY LINE OF SOUTH LONGWOOD DRIVE TO THE SOUTH LINE OF LOT 17 IN BLOCK 5 IN BARNARD'S SUBDIVISION OF THAT PART OF THE SOUTHWEST QUARTER

SSA #44 103RD/BEVERLY LEGAL DESCRIPTION

OF THE SOUTHEAST QUARTER LYING WEST OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD IN SECTION 7;

THENCE EAST ALONG SAID SOUTH LINE OF LOT 17, A DISTANCE OF 180.65 FEET TO A POINT ON SAID SOUTH LINE, SAID POINT BEING ALSO A POINT ON THE EASTERLY LINE OF THE NORTHERLY PORTION OF A 20 FOOT WIDE L-SHAPED ALLEY NORTH OF WEST 103RD STREET THAT WIDENS TO 46.1 FEET AT ITS NORTHERLY TERMINUS;

THENCE SOUTHERLY ALONG SAID EASTERLY LINE OF THE L-SHAPED ALLEY NORTH OF WEST 103RD STREET TO THE NORTHERLY LINE THEREOF;

THENCE SOUTHEASTERLY ALONG SAID NORTHERLY LINE OF THE L-SHAPED ALLEY NORTH OF WEST 103RD STREET AND THE SOUTHEASTERLY EXTENSION THEREOF TO THE WESTERLY LINE OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD RIGHT-OF-WAY;

THENCE NORTHEASTERLY ALONG SAID WESTERLY LINE OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD RIGHT-OF-WAY TO THE CENTERLINE OF WEST 99TH STREET;

THENCE EAST ALONG SAID CENTERLINE OF WEST 99TH STREET TO THE CENTERLINE OF SOUTH WOOD STREET;

THENCE SOUTH ALONG SAID CENTERLINE OF SOUTH WOOD STREET TO ITS INTERSECTION WITH THE EASTERLY LINE OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD RIGHT-OF-WAY;

THENCE SOUTHWESTERLY ALONG SAID EASTERLY LINE OF THE CHICAGO, ROCK ISLAND AND PACIFIC RAILROAD RIGHT-OF-WAY TO THE WESTERLY EXTENSION OF THE NORTH LINE OF LOT 17 IN BERNARD'S TRACY SUBDIVISION IN THE SOUTHWEST QUARTER OF THE SOUTHEAST QUARTER OF SECTION 7, LYING EAST OF THE RAILROAD;

THENCE EAST ALONG SAID WESTERLY EXTENSION AND THE NORTH LINE OF LOT 17 IN BERNARD'S TRACY SUBDIVISION TO THE EAST LINE OF LOTS 17 TO 20, INCLUSIVE, IN BERNARD'S TRACY SUBDIVISION;

THENCE SOUTH ALONG SAID EAST LINE OF LOTS 17 TO 20, INCLUSIVE, IN BERNARD'S TRACY SUBDIVISION TO THE NORTH LINE OF LOT 1 IN EMMA J. GRAHAM'S SUBDIVISION OF PART OF THE SOUTHWEST QUARTER OF THE SOUTHEAST QUARTER OF SECTION 7, LYING EAST OF THE RAILROAD;

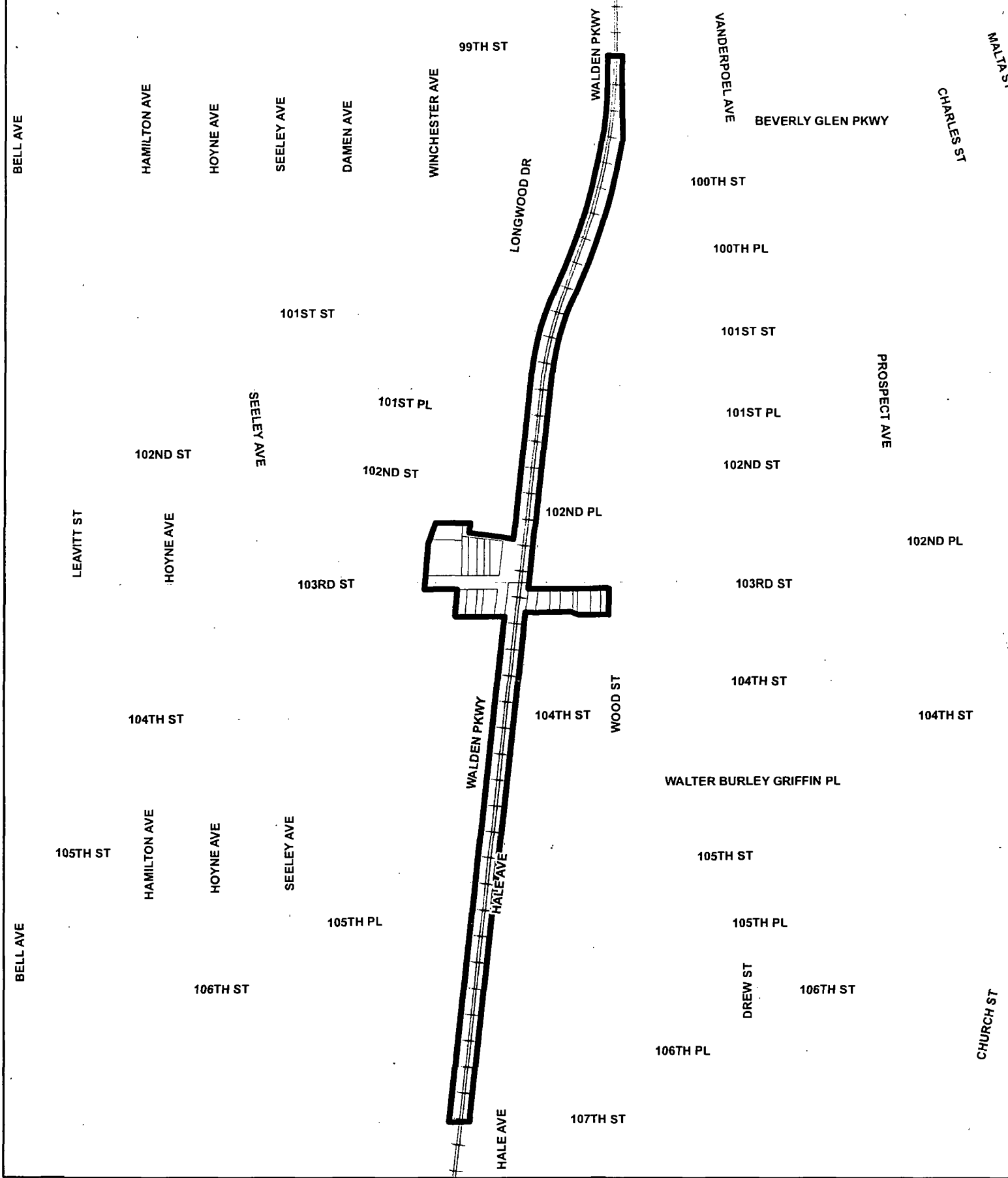
THENCE EAST ALONG SAID NORTH LINE OF LOT 1 IN EMMA J. GRAHAM'S SUBDIVISION TO THE WEST LINE OF SOUTH WOOD STREET;

THENCE SOUTH ALONG SAID WEST LINE OF SOUTH WOOD STREET TO THE SOUTH LINE OF WEST 103RD STREET AND THE POINT OF BEGINNING, HEREIN BEFORE DESCRIBED, IN COOK COUNTY, ILLINOIS.

EXHIBIT 2

Map

See attached.



**103rd / Beverly
SSA #44**



WORK PRODUCT
Copyright, 2015 City of Chicago

EXHIBIT 3

Permanent Index Numbers

See attached pages.

SSA 44 – 103rd Street/Beverly
PIN LIST

25074120400000	25182010050000
25074120460000	25182010060000
25074120470000	25182010070000
25074120480000	25185000010000
25074120490000	
25074120550000	
25074120560000	
25074130200000	
25074130210000	
25074130220000	
25074130230000	
25074130390000	
25074130400000	
25074130410000	
25074130420000	
25075000020000	
25182000200000	
25182000210000	
25182000440000	
25182000450000	
25182010010000	
25182010020000	
25182010030000	
25182010040000	

EXHIBIT 4

Public Hearing Notice

See attached pages

ADORDERNUMBER: 0000951145-01

PO NUMBER: SSA 44 pg 1 of

AMOUNT: 345.60

NO OF AFFIDAVITS: 2

Chicago Sun-Times

Certificate of Publication

State of Illinois - County of Cook

Chicago Sun-Times, does hereby certify it has published the attached advertisements in the following secular newspapers. All newspapers meet Illinois Compiled Statute requirements for publication of Notices per Chapter 715 ILCS 5/0.01 et seq. R.S. 1874, P728 Sec 1, EFF. July 1, 1874. Amended by Laws 1959, P1494, EFF. July 17, 1959. Formerly Ill. Rev. Stat. 1991, CH100, Pl.

Note: Notice appeared in the following checked positions.

PUBLICATION DATE(S): 10/29/2015

Chicago Sun-Times



Kris Dodd

IN WITNESS WHEREOF, the undersigned, being duly authorized,
has caused this Certificate to be signed

by

Jeremy Gates *[Signature]*

Jeremy Gates

Account Manager - Public Legal Notices

This 29th Day of October 2015 A D

EXHIBIT 5

BUDGET

See attached pages.

2016 Budget and Services Summary			
Chicago Department of Planning and Development			
Name:	SSA 444 - 103rd Street Beverly	SSA Chairperson: Dr. Marcia Burke	Agency Contact: 0
Service Provider Agency:	Beverly Area Planning Association	Phone Number: 773-233-7799	Phone Number: 773-233-3100
SSA Tax Authority Term:	2007-2016	Email: mjbcd@ben-familia.com	Email: 0
Date:			

2016 BUDGET SUMMARY		Fund A52	2015 Levy	Carry Over	Late Collections and Interest Income Thereon	2016 Budget
CATEGORY						
1.00 Customer Attraction		\$ -	\$ 3,505	\$ -	\$ -	\$ 3,505
2.00 Public Way Aesthetics		\$ 240	\$ 7,803	\$ -	\$ 723	\$ 8,566
3.00 Sustainability and Public Places		\$ -	\$ -	\$ -	\$ -	\$ -
4.00 Economic/Business Development		\$ -	\$ -	\$ -	\$ -	\$ -
5.00 Safety Programs		\$ -	\$ -	\$ -	\$ -	\$ -
6.00 SSA Management		\$ -	\$ 630	\$ -	\$ -	\$ 630
7.00 Personnel		\$ -	\$ 1,600	\$ -	\$ -	\$ 1,600
8.00 Loss Collection: 5.7%		\$ -	\$ 820	\$ -	\$ -	\$ 820
	GRAND TOTAL	\$ 240	\$ 14,458	\$ -	\$ 723	\$ 16,421

2016 Budget \$ 15,421

LEVY ANALYSIS			
1	Estimated 2015 EAV:	\$3,282,133	
2	Authorized Tax Rate Cap:	2.500%	
3	Estimated 2015 Levy	\$14,458	
4	Estimated Tax Rate to Generate 2015 Levy	0.441%	
	(Estimated 2015 Levy ÷ Estimated 2015 EAV = Est. Tax Rate)		

2016 SSA WORKSHEET		
Chicago Department of Planning and Developn		
SSA Name:	SSA #44 - 103rd Street Beverly	Date: 1/28/2015
Service Provider Agency:	Beverly Area Planning Association	SSA Tax Authority Term: 2007-2016

2016 SIGNATURE PAGE

Beverly Area Planning Association

For the 2016 Work Plan & Assessment	
Work Plan Period: January 1, 2016 to December 31, 2016	
The attached 2016 Work Plan & Assessment document was approved by the SSA Commission.	
SSA Chairperson Signature	<i>Marcia E Blake</i>
SSA Chairperson Printed Name	Marcia E Blake
Date	7-8-2015

CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Beverly Area Planning Association

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. ☒ the Applicant
OR

2. ☐ a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which the Disclosing Party holds an interest: _____
OR

3. ☐ a legal entity with a right of control (see Section II.B.1.) State the legal name of the entity in which the Disclosing Party holds a right of control: _____

B. Business address of the Disclosing Party: 1987 W. 111th St.
Chicago, IL 60643

C. Telephone: 773.233.3100 Fax: N/A Email: mholland@bapa.org

D. Name of contact person: Margot Holland

E. Federal Employer Identification No. (if you have one): 36-2406779

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

To allow the Beverly Area Planning Association to enter into a contract with the City of Chicago to provide special services within SSA #44

G. Which City agency or department is requesting this EDS? Planning and Development

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☒ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☒ Yes ☐ No
☐ Trust	☐ Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes

☐ No

☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

See Attached list

No members

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture,

BAPA

Beverly Area
Planning Association

2007-2008 BAPA Board of Directors
President: Lori Parrett-Rice
Vice President: T. Abraham Lentner
Treasurer: Dennis McClelland
Secretary: Josh Barron

BAPA. Neighbors helping neighbors

Beverly Area Planning Association Board of Directors:

Executive Officers:

1. President: Lori Parrett-Rice
2. Vice President: T. Abraham Lentner
3. Treasurer: Dennis McClelland
4. Secretary: Josh Barron

Directors:

1. Brian Wilson
2. Monica Wilczack
3. Sean Terry
4. Thomas Chomicz
5. Sean Lawrence
6. Lanetta Haynes Turner
7. Mary Jo Viero
8. Katy Jacob
9. Fr. Larry Sullivan

Executive Director:

1. Margot Holland

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
None		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

Please see attached list

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes ☐ No ☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes ☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

SSA#44: Retained Parties

12-Jun-15

Anticipated Subcontractor Name	Business Address	Relationship/Service	Estimated Fees	Notes
Doran Landscaping	2219 W. 135th Place, Blue Island, IL 60406	Sidewalk Cleaning, Weed Abatement, Snow Removal	\$ 6,613.00	[anticipated upon board approval]
Doran Landscaping	2219 W. 135th Place, Blue Island, IL 60406	Holiday Light Pole Decorations	\$ 1,905.00	[anticipated upon board approval]
Desmond & Ahern	10827 S. Western Ave, Chicago, IL 60643	Auditing Services	\$ 600.00	[anticipated upon board approval]

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

9. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one):

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI – CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes

☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes

☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes

☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes

☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Beverly Area Planning Association
(Print or type name of Disclosing Party)

By: [Signature]

(Sign here)

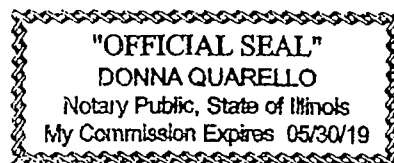
Margot Holland
(Print or type name of person signing)

Executive Director
(Print or type title of person signing)

Signed and sworn to before me on (date) Nov 9, 2015,
at Cook County, IL (state).

Donna Quarello Notary Public.

Commission expires: 5-30-19.



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8050

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Scope of services, budget and management agreement for Special Service Area No. 70
Committee(s) Assignment:	Committee on Finance



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing a scope of services, budget and management agreement for various Special Service Areas.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

A handwritten signature in cursive script that reads "Rahm Emanuel".

Mayor

ORDINANCE

WHEREAS, special service areas may be established pursuant to Article VII, Sections 6(l) and 7(6) of the Constitution of the State of Illinois, and pursuant to the provisions of the Special Service Area Tax Law, 35 ILCS 200/27-5 et seq., as amended from time to time (the "Act") and pursuant to the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time (the "Code"); and

WHEREAS, the City Council of the City of Chicago (the "City Council") determines that it is in the best interests of the City of Chicago (the "City") to establish a special service area to be known and designated as Special Service Area Number 70 (the "Area") to provide certain special governmental services in addition to services provided generally by the City, all as further provided in this ordinance (the "Special Services"), and further determines to authorize the levy of an annual ad valorem real property tax in the Area for a period of ten (10) years sufficient to produce revenues required to provide those Special Services (the "Services Tax"); and

WHEREAS, the City Council desires to authorize the execution of an agreement with a service provider for the provision of the Special Services in and for the Area in fiscal year 2016; now, therefore,

Be It Ordained by the City Council of the City of Chicago:

SECTION 1. Incorporation of Preambles. The preambles of this ordinance are hereby incorporated into this text as if set out herein in full.

SECTION 2. Findings. The City Council finds and declares as follows:

(a) The Area, as established by this ordinance, consists of contiguous territory in the City;

(b) The City Council adopted an ordinance on September 24, 2015 authorizing a public hearing (the "Public Hearing") to consider the establishment of the Area and the levy of the Services Tax on the taxable property located in the Area to provide the Special Services;

(c) Notice of the Public Hearing was given by publication at least once not less than fifteen days prior to the hearing in the *Chicago Sun-Times*, a newspaper published in and of general circulation within the City, and notice of the Public Hearing was also given by depositing said notice in the United States mail addressed to the person or persons in whose name the general taxes for the last preceding year were paid on each property lying within the Area, not less than ten days prior to the time set for the Public Hearing. For any properties for which

taxes for the last preceding year were not paid, the notice was sent to the person last listed on the tax rolls prior to that year as the owner of the property;

(d) The notice complied with all of the applicable provisions of the Act;

(e) The Public Hearing was held on November 12, 2015 by the Committee on Finance of the City Council. All interested persons, including all persons owning real property located within the Area, were given an opportunity to be heard at the Public Hearing regarding any issues embodied in the notice and have had an opportunity to file with the City Clerk of the City of Chicago (the "City Clerk") written objections on such issues;

(f) The Committee on Finance of the City Council has heard and considered all of the comments, objections, protests and statements made at the Public Hearing with regard to the issues embodied in the notice and has determined to recommend to the City Council that it is in the public interest and in the interest of the City and the Area to establish the Area and to authorize the levy of the Services Tax, all as provided in this ordinance;

(g) The Public Hearing was finally adjourned on November 12, 2015;

(h) The sixty day period as described in Section 27-55 of the Act, in which an objection petition to this ordinance may be filed, commenced on November 12, 2015; and

(i) The City Council hereby finds and determines that it is in the best interests of the City that the Area be established and the Services Tax be authorized, all as set forth herein.

SECTION 3. Area Established. There is hereby established a special service area located within the City to be known and designated as City of Chicago Special Service Area Number 70. The approximate street location of said territory consists of the area on Lawrence Avenue from Austin Avenue to the southwesterly line of the Chicago & Northwestern Railway; Milwaukee Avenue from the Kennedy Expressway to Montrose Avenue; the south side of Higgins Avenue from Milwaukee Avenue to the east side of Linder Avenue; and the west side of Central Avenue from Lawrence Avenue to Higgins Avenue. A legal description of the Area is attached as Exhibit 1 hereto and hereby incorporated herein. A map of the Area is attached as Exhibit 2 hereto and hereby incorporated herein. A list of Permanent Index Numbers for the properties in the Area is attached hereto as Exhibit 3 and hereby incorporated herein.

SECTION 4. Special Services Authorized. The Special Services authorized hereby include, but are not limited to: customer attraction, public way aesthetics, sustainability and public place enhancements, economic/business development, safety programs, and other activities to promote commercial and economic development. The Special Services shall be in addition to services provided to and by the City of Chicago generally.

SECTION 5. Authorization of Levy. There is hereby authorized to be levied in each year beginning in 2015 through and including 2024 the Services Tax upon the taxable property within the Area to produce revenues required to provide the Special Services, said Services Tax not to exceed an annual rate of seventy-five hundredths percent (0.75%) of the equalized assessed value of the taxable property within the Area. The Services Tax shall be in addition to all other taxes provided by law and shall be levied pursuant to the provisions of the Code. The levy of the Services Tax for each year shall be made by annual ordinance, commencing with this ordinance.

SECTION 6. Appropriations. Based on the recommendation of the Department of Planning and Development, there is hereby appropriated the following sum in the amount and for the purposes necessary to provide the Special Services in and for the Area indicated as follows:

SPECIAL SERVICE AREA NUMBER 70

SPECIAL SERVICE AREA BUDGET

For the fiscal year beginning January 1, 2016 and ending December 31, 2016.

EXPENDITURES

Service Provider Agreement for the provision of Special Services	\$220,775

TOTAL BUDGET REQUEST	\$220,775

SOURCE OF FUNDING

Tax levy not to exceed an annual rate of 0.75% of the equalized assessed value, of taxable property within Special Service Area Number 70	\$220,775

SECTION 7. Levy of Taxes. There is hereby levied pursuant to the provisions of Article VII, Sections 6(a) and 6(l)(2) of the Constitution of the State of Illinois and pursuant to the provisions of the Act and pursuant to the provisions of this ordinance, the sum of \$220,775 as the amount of the Services Tax for the tax year 2015.

SECTION 8. Commission Authorized. There is hereby established the Jefferson Park Special Service Area Commission (the "Commission") which shall consist of nine (9) members.

The Mayor, with the approval of the City Council, shall appoint the initial Commission members. The initial nine (9) Commission members, shall be appointed to serve for two (2) year terms. Upon the expiration of the term of any initial Commission member, the Mayor, with the approval of City Council, shall appoint a successor Commission member. Each successor Commission member shall be appointed to serve for a term of two (2) years and until a successor is appointed. In the event of a vacancy on the Commission due to resignation, death, inability to serve, removal by the Mayor, or other reason, the Mayor, with the approval of City Council, shall appoint a successor. Each successor so appointed shall serve for the remaining term for which he/she was appointed. The Commission shall designate one member as the Chairman of the Commission, and he/she shall serve not more than two successive two year terms. The Commission may establish bylaws for its procedural operation.

The Commission shall have the powers delegated to it in Section 9 hereof. The terms and powers of the Commission members shall cease upon the termination of the time period for which the levy of the Services Tax is authorized. The members of the Commission shall serve without compensation.

SECTION 9. Powers of the Commission. The Commission is hereby granted the following powers:

(a) to recommend the rate or amount of the Services Tax and an annual budget to the City Council; and

(b) to recommend a sole service provider contract, including a scope of services and a contractor therefor, to the City Council for the provision of the Special Services.

SECTION 10. Service Provider Agreement. The Commissioner of the Department of Planning and Development (the "Commissioner"), or a designee of the Commissioner (each, an "Authorized Officer"), are each hereby authorized, subject to approval by the Corporation Counsel as to form and legality, to enter into, execute and deliver a service provider agreement (the "Service Provider Agreement") as authorized herein with Jefferson Park Chamber of Commerce, an Illinois not-for-profit corporation, as the service provider, (the "Service Provider") for a one-year term in a form acceptable to such Authorized Officer, along with such other supporting documents, if any, as may be necessary to carry out and comply with the provisions of the Service Provider Agreement. The budget attached as Exhibit 5 hereto and hereby incorporated herein (the "Budget") shall also be attached to the Service Provider Agreement as an exhibit. Upon the execution of the Service Provider Agreement and the receipt of proper documentation the Authorized Officer and the City Comptroller are each hereby authorized to disburse the sums appropriated in Section 6 above to the Service Provider in consideration for the provision of the Special Services described in the Budget. The Department of Planning and

Development shall make a copy of the executed Service Provider Agreement readily available for public inspection.

SECTION 11. Protests And Objections. If a petition of objection is filed with the Office of the City Clerk signed by at least fifty-one percent (51%) of the electors residing within the boundaries of the Area and by at least fifty-one percent (51%) of the owners of record of the property included within the boundaries of the Area within sixty (60) days following the adjournment of the Public Hearing, all as provided for in Section 27-55 of the Act, as a result of such filing this ordinance shall be deemed to be null and void, the Area shall not be created, the Services Tax shall not be levied, and the Service Provider Agreement shall not be entered into or shall be deemed to be null and void and no compensation in connection therewith shall be provided to the Service Provider.

SECTION 12. Severability. If any provision of this ordinance or the application of any such provision to any person or circumstances shall be invalid, such invalidity shall not affect the provisions or application of this ordinance which can be given effect without the invalid provision or application, and to this end each provision of this ordinance is declared to be severable.

SECTION 13. Filing. The City Clerk is hereby ordered and directed to file in the Office of the County Clerk of Cook County, Illinois (the "County Clerk"), in accordance with Section 27-75 of the Act, a certified copy of this ordinance containing an accurate map of the Area and a copy of the public hearing notice attached as Exhibit 4. The City Clerk is hereby further ordered and directed to file in the Office of the Recorder of Deeds of Cook County, in accordance with Section 27-40 of the Act a certified copy of this ordinance containing a description of the Area within 60 days of the effective date of this ordinance. In addition, the City Clerk is hereby further ordered and directed to file in the Office of the County Clerk, in accordance with Section 27-75 of the Act, a certified copy of this ordinance on or prior to December 29, 2015, and the County Clerk shall thereafter extend for collection together with all other taxes to be levied by the City, the Services Tax herein provided for, said Services Tax to be extended for collection by the County Clerk for the tax year 2015 against all the taxable property within the Area, the amount of the Services Tax herein levied to be in addition to and in excess of all other taxes to be levied and extended against all taxable property within the Area.

SECTION 14. Conflict. This ordinance shall control over any provision of any other ordinance, resolution, motion or order in conflict with this ordinance, to the extent of such conflict.

SECTION 15. Publication. This ordinance shall be published by the City Clerk, in special pamphlet form, and made available in her office for public inspection and distribution to members of the public who may wish to avail themselves of a copy of this ordinance.

SECTION 16. Effective Date. This ordinance shall take effect 10 days after its passage and publication.

EXHIBIT 1

Legal Description

See attached pages.

JEFFERSON PARK SSA – LAWRENCE AVE & MILWAUKEE AVE

1. THAT PART OF SECTIONS 8, 9, 16 AND 17 IN TOWNSHIP 40 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS, BOUNDED AND DESCRIBED AS FOLLOWS:
2. BEGINNING AT THE INTERSECTION OF THE CENTERLINE OF MILWAUKEE AVENUE WITH THE CENTERLINE OF MONTROSE AVENUE, BEING ALSO THE SOUTH LINE OF THE NORTHEAST QUARTER OF SECTION 16 AFORESAID;
3. THENCE WEST ALONG THE CENTERLINE OF MONTROSE AVENUE, BEING ALSO THE SOUTH LINE OF THE NORTHEAST QUARTER OF SECTION 16, TO THE SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
4. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO THE SOUTHEASTERLY LINE OF LOT 1 IN BLOCK 2 OF THE SUBDIVISION OF THAT PART OF BLOCK 2 LYING IN THE WEST HALF OF THE NORTHEAST QUARTER OF SECTION 16, OF DYMOND'S HOMESTEAD SUBDIVISION OF BLOCK 6 OF SCHOOL TRUSTEES SUBDIVISION IN THE NORTHEAST QUARTER OF SECTION 16 AFORESAID;
5. THENCE NORTHEASTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 1 IN BLOCK 2 OF THE SUBDIVISION OF THAT PART OF BLOCK 2 OF DYMOND'S HOMESTEAD SUBDIVISION TO THE NORTHEASTERLY LINE OF THE WEST 36 FEET 9 INCHES OF LOT 1 AFORESAID, MEASURED ON THE SOUTH LINE THEREOF;
6. THENCE NORTHWESTERLY ALONG SAID NORTHEASTERLY LINE OF THE WEST 36 FEET 9 INCHES OF LOT 1, MEASURED ON THE SOUTH LINE THEREOF, AND THE NORTHWESTERLY EXTENSION THEREOF TO THE CENTERLINE OF AGATITE AVENUE;
7. THENCE WEST ALONG SAID CENTERLINE OF AGATITE AVENUE TO THE SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
8. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO A BEND THEREIN;
9. THENCE NORTH ALONG SAID EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE AND THE NORTHERLY EXTENSION THEREOF TO THE CENTERLINE OF SUNNYSIDE AVENUE;

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Ordered By: Jefferson Park Chamber of Commerce
June 9, 2015, rev August 4, 2015

Chicago Guarantee Survey Company
4505 N. Elston Ave.
Chicago, Illinois 60630

10. THENCE WEST ALONG SAID CENTERLINE OF SUNNYSIDE AVENUE TO THE SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
11. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE, AND THE NORTHWESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF WINDSOR AVENUE;
12. THENCE SOUTHWESTERLY ALONG SAID CENTERLINE OF WINDSOR AVENUE TO THE SOUTHEASTERLY EXTENSION OF A LINE 133.4 FEET SOUTHWESTERLY OF AND PARALLEL WITH THE NORTHEASTERLY LINE OF LOT 4 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE (EXCEPT THE NORTH 1.5 RODS AND THE SOUTH 4 RODS THEREOF) IN THE SUBDIVISION OF SECTION 16 AFORESAID;
13. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE LINE 133.4 FEET SOUTHWESTERLY OF AND PARALLEL WITH THE NORTHEASTERLY LINE OF LOT 4 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE, TO THE NORTHWESTERLY LINE OF LOT 4 AFORESAID;
14. THENCE NORTHEASTERLY ALONG SAID NORTHWESTERLY LINE OF LOT 4 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE TO A LINE 42.25 FEET SOUTHWESTERLY AND PARALLEL WITH THE NORTHEASTERLY LINE OF LOT 3 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE;
15. THENCE NORTHWESTERLY ALONG SAID LINE 42.25 FEET SOUTHWESTERLY OF AND PARALLEL WITH THE NORTHEASTERLY LINE OF LOT 3 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE, TO THE SOUTHEASTERLY LINE OF LOT 2 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE;
16. THENCE SOUTHWESTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 2 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE, AND THE SOUTHWESTERLY EXTENSION THEREOF, TO THE EAST LINE OF LOT 2 IN BLOCK 1 OF ROBERT'S MILWAUKEE AVENUE SUBDIVISION OF LOTS 5 & 10 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE IN THE SUBDIVISION OF SECTION 16 AFORESAID;
17. THENCE NORTH ALONG SAID EAST LINE OF LOT 2 IN BLOCK 1 OF ROBERT'S MILWAUKEE AVENUE SUBDIVISION, TO THE NORTH LINE THEREOF;
18. THENCE WEST ALONG SAID NORTH LINE OF LOT 2 IN BLOCK 1 OF

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ROBERT'S MILWAUKEE AVENUE SUBDIVISION TO THE EAST LINE OF THE 16 FOOT WIDE ALLEY EAST OF LOCKWOOD AVENUE;

19. THENCE NORTH ALONG SAID EAST LINE OF THE 16 FOOT WIDE ALLEY EAST OF LOCKWOOD AVENUE TO THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY NORTH OF WINDSOR AVENUE;
20. THENCE WESTERLY ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY NORTH OF WINDSOR AVENUE, AND THE WESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LOCKWOOD AVENUE;
21. THENCE NORTH ALONG SAID CENTERLINE OF LOCKWOOD AVENUE TO THE SOUTH LINE OF WILSON PARK IN SCHOOL TRUSTEES SUBDIVISION IN SECTION 16 AFORESAID;
22. THENCE WEST ALONG SAID SOUTH LINE OF WILSON PARK TO THE WEST LINE THEREOF;
23. THENCE NORTH ALONG SAID WEST LINE OF WILSON PARK TO THE NORTH LINE THEREOF;
24. THENCE EAST ALONG SAID NORTH LINE OF WILSON PARK TO THE SOUTHEASTERLY EXTENSION OF THE OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
25. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE, AND THE NORTHWESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LELAND AVENUE;
26. THENCE WEST ALONG SAID CENTERLINE OF LELAND AVENUE TO THE SOUTHERLY EXTENSION OF THE EAST LINE OF THE WEST 50 FEET OF LOT 23 IN STEVENS SUBDIVISION OF JEFFERSON PARK, A SUBDIVISION OF THE WEST 1367 FEET OF LOT 4 IN SCHOOL TRUSTEES SUBDIVISION IN SECTION 16 AFORESAID;
27. THENCE NORTH ALONG SAID SOUTHERLY EXTENSION AND THE EAST LINE OF THE WEST 50 FEET OF LOT 23 IN STEVENS SUBDIVISION TO THE SOUTH LINE OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK, A RESUBDIVISION OF LOTS 8, 9, 10, 11 AND PART OF LOT 22 IN BLOCK 53, PART OF LOT 23 IN BLOCK 51, PART OF BLOCK 54 AND ALL OF BLOCK 50 IN VILLAGE OF JEFFERSON IN SECTIONS 8, 9 AND 16 AFORESAID, AT ITS INTERSECTION WITH THE EAST LINE OF THE WEST 50 FEET OF LOT 2 AFORESAID;
28. THENCE NORTHWESTERLY ALONG SAID EAST LINE OF THE WEST 50 FEET OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK TO THE SOUTH LINE OF THE NORTH 45 FEET OF LOT 2 AFORESAID;

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29. THENCE SOUTHWESTERLY ALONG SAID SOUTH LINE OF THE NORTH 45 FEET OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK TO THE WEST LINE OF LOT 2 AFORESAID;
30. THENCE NORTHWESTERLY ALONG SAID WEST LINE OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK TO THE NORTH LINE THEREOF;
31. THENCE NORTHEASTERLY ALONG SAID NORTH LINE OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK TO THE EAST LINE OF THE WEST 150 FEET OF LOT 1 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK;
32. THENCE NORTHWESTERLY ALONG SAID EAST LINE OF THE WEST 150 FEET OF LOT 1 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK, AND THE NORTHWESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF GIDDINGS STREET;
33. THENCE SOUTHWESTERLY ALONG SAID CENTERLINE OF GIDDINGS STREET TO THE SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
34. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO THE NORTHEASTERLY EXTENSION OF THE SOUTHEASTERLY LINE OF LOT 16 IN BLOCK 1 OF BUTLER'S MILWAUKEE & LAWRENCE AVENUE SUBDIVISION OF THAT PART OF BLOCKS 53, 54 & 55 OF VILLAGE OF JEFFERSON LYING SOUTH OF LAWRENCE AVENUE (EXCEPT LOTS 12 & 13 IN BLOCK 53) IN SECTION 16 AFORESAID;
35. THENCE SOUTHWESTERLY ALONG SAID NORTHEASTERLY EXTENSION AND THE SOUTHEASTERLY LINE OF LOT 16 IN BLOCK 1 OF BUTLER'S MILWAUKEE & LAWRENCE AVENUE SUBDIVISION TO THE EASTERLY LINE OF LONDON AVENUE;
36. THENCE SOUTHWESTERLY TO THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AT THE WESTERLY LINE OF LONDON AVENUE;
37. THENCE SOUTHWESTERLY ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE TO A BEND THEREIN;
38. THENCE NORTHWESTERLY ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AND THE NORTHWESTERLY EXTENSION THEREOF TO THE CENTERLINE OF LONG AVENUE;
39. THENCE NORTH ALONG SAID CENTERLINE OF LONG AVENUE TO THE

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SOUTHEASTERLY EXTENSION OF THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE;

40. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE TO THE EAST LINE OF LINDER AVENUE;
41. THENCE NORTHWESTERLY TO THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AT THE WEST LINE OF LINDER AVENUE;
42. THENCE WEST ALONG SAID NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AND THE WESTERLY EXTENSION THEREOF TO THE CENTERLINE OF LUNA AVENUE;
43. THENCE SOUTH ALONG SAID CENTERLINE OF LUNA AVENUE TO THE CENTERLINE OF GIDDINGS STREET;
44. THENCE WEST ALONG SAID CENTERLINE OF GIDDINGS STREET TO THE CENTERLINE OF CENTRAL AVENUE;
45. THENCE NORTH ALONG SAID CENTERLINE OF CENTRAL AVENUE TO THE EASTERLY EXTENSION OF THE SOUTH LINE OF LOT 9 IN BLOCK 1 IN L.E. CRANDALL'S LAWRENCE AVENUE SUBDIVISION OF THE NORTH HALF OF THE NORTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 17 AFORESAID;
46. THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE SOUTH LINE OF LOT 9 IN BLOCK 1 IN L.E. CRANDALL'S LAWRENCE AVENUE SUBDIVISION, AND THE WESTERLY EXTENSION THEREOF, TO THE WEST LINE OF THE 16 FOOT WIDE ALLEY WEST OF CENTRAL AVENUE;
47. THENCE SOUTH ALONG SAID WEST LINE OF THE 16 FOOT WIDE ALLEY WEST OF CENTRAL AVENUE TO THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE;
48. THENCE WEST ALONG SAID NORTH LINE OF THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AND THE WESTERLY EXTENSION THEREOF TO THE CENTERLINE OF AUSTIN AVENUE, BEING ALSO THE WEST LINE OF THE NORTHEAST QUARTER OF SECTION 17 AFORESAID;
49. THENCE NORTH ALONG SAID CENTERLINE OF AUSTIN AVENUE, AND THE WEST LINE OF THE NORTHEAST QUARTER OF SECTION 17 AFORESAID, TO THE CENTERLINE OF LAWRENCE AVENUE, BEING ALSO THE SOUTH LINE OF THE SOUTHEAST QUARTER OF SECTION 8 AFORESAID;
50. THENCE EAST ALONG SAID CENTERLINE OF LAWRENCE AVENUE, AND THE SOUTH LINE OF THE SOUTHEAST QUARTER OF SECTION 8

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AFORESAID, TO THE CENTERLINE OF MASON AVENUE;

51. THENCE NORTH ALONG SAID CENTERLINE OF MASON AVENUE TO THE WESTERLY EXTENSION OF THE NORTH LINE OF THE SOUTH 133 FEET OF LOT 19 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON IN THE SOUTHEAST QUARTER OF SECTION 8 AFORESAID, SOUTH OF THE INDIAN BOUNDARY LINE;
52. THENCE EAST ALONG SAID WESTERLY EXTENSION AND THE NORTH LINE OF THE SOUTH 133 FEET OF LOT 19 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE EAST LINE OF THE WEST 103 FEET THEREOF;
53. THENCE NORTH ALONG SAID EAST LINE OF THE WEST 103 FEET OF LOT 19 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE NORTH LINE OF THE SOUTH 145 FEET THEREOF;
54. THENCE EAST ALONG SAID NORTH LINE OF THE 145 FEET OF LOT 19 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE WEST LINE OF LOT 18 IN BLOCK 8 AFORESAID;
55. THENCE SOUTH ALONG SAID WEST LINE OF LOT 18 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE NORTH LINE OF THE SOUTH 133.5 FEET THEREOF;
56. THENCE EAST ALONG SAID NORTH LINE OF THE SOUTH 133.5 FEET OF LOT 18 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE WEST LINE OF LOT 17 IN BLOCK 8 AFORESAID;
57. THENCE SOUTH ALONG SAID WEST LINE OF LOT 17 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE NORTH LINE OF THE SOUTH 131.75 FEET OF LOTS 3 TO 17, INCLUSIVE, IN BLOCK 8 AFORESAID;
58. THENCE EAST ALONG SAID NORTH LINE OF THE SOUTH 131.75 FEET OF LOTS 3 TO 17, INCLUSIVE, IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE EAST LINE OF LOT 3 IN BLOCK 8 AFORESAID;
59. THENCE SOUTH ALONG SAID EAST LINE OF LOT 3 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE NORTH LINE OF THE SOUTH 35 FEET OF LOT 2 IN THE RESUBDIVISION OF THE SOUTH 100 FEET OF LOTS 1 & 2 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON IN SECTION 8 AFORESAID;
60. THENCE EAST ALONG SAID NORTH LINE OF THE SOUTH 35 FEET OF LOT 2 IN THE RESUBDIVISION OF THE SOUTH 100 FEET OF LOTS 1 & 2 IN BLOCK 8 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON, AND THE EASTERLY EXTENSION THEREOF, TO THE CENTERLINE OF MENARD

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AVENUE;

61. THENCE NORTH ALONG SAID CENTERLINE OF MENARD AVENUE TO THE WESTERLY EXTENSION OF THE SOUTH LINE OF THE 16 FOOT WIDE ALLEY NORTH OF LAWRENCE AVENUE;
62. THENCE EAST ALONG SAID WESTERLY EXTENSION AND THE SOUTH LINE OF THE 16 FOOT WIDE ALLEY NORTH OF LAWRENCE AVENUE TO THE WEST LINE OF THE EAST HALF OF LOT 13 IN BLOCK 6 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON AFORESAID;
63. THENCE NORTH ALONG SAID WEST LINE OF THE EAST HALF OF LOT 13 IN BLOCK 6 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON TO THE NORTH LINE OF THE SOUTH 131 FEET OF LOTS 6 TO 13, INCLUSIVE, IN BLOCK 6 AFORESAID;
64. THENCE EAST ALONG SAID NORTH LINE OF THE SOUTH 131 FEET OF LOTS 6 TO 13, INCLUSIVE, IN BLOCK 6 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON, AND THE EASTERLY EXTENSION THEREOF, TO THE EAST LINE OF THE 16 FOOT WIDE ALLEY WEST OF CENTRAL AVENUE;
65. THENCE NORTH ALONG SAID EAST LINE OF THE 16 FOOT WIDE ALLEY WEST OF CENTRAL AVENUE AND THE NORTHERLY EXTENSION THEREOF TO THE CENTERLINE OF AINSLIE STREET;
66. THENCE EAST ALONG SAID CENTERLINE OF AINSLIE STREET TO THE SOUTHERLY EXTENSION OF THE EAST LINE OF BLOCK 1 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON AFORESAID;
67. THENCE NORTH ALONG SAID SOUTHERLY EXTENSION AND THE EAST LINE OF BLOCK 1 IN FREE'S ADDITION TO THE VILLAGE OF JEFFERSON AFORESAID, AND THE NORTHERLY EXTENSION THEREOF TO THE CENTERLINE OF HIGGINS AVENUE;
68. THENCE SOUTHEASTERLY ALONG SAID CENTERLINE OF HIGGINS AVENUE TO THE CENTERLINE OF CENTRAL AVENUE, BEING ALSO THE WEST LINE OF SECTION 9 AFORESAID;
69. THENCE SOUTH ALONG SAID CENTERLINE OF CENTRAL AVENUE, AND THE WEST LINE OF SECTION 9 AFORESAID, TO THE WESTERLY EXTENSION OF THE SOUTH LINE OF THE 16 FOOT WIDE ALLEY NORTH OF LAWRENCE AVENUE;
70. THENCE EAST ALONG SAID WESTERLY EXTENSION AND THE SOUTH LINE OF THE 16 FOOT WIDE ALLEY NORTH OF LAWRENCE AVENUE, AND THE EASTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LINDER AVENUE;

71. THENCE NORTH ALONG SAID CENTERLINE OF LINDER AVENUE TO THE SOUTH LINE OF VACATED LINDER AVENUE, BEING ALSO THE WESTERLY EXTENSION OF THE NORTHERLY LINE OF THE VACATED 14 FOOT WIDE ALLEY SOUTH OF HIGGINS AVENUE;
72. THENCE SOUTHEASTERLY ALONG SAID SOUTH LINE OF VACATED LINDER AVENUE, AND THE WESTERLY EXTENSION OF THE NORTHERLY LINE OF THE VACATED 14 FOOT WIDE ALLEY SOUTH OF HIGGINS AVENUE, TO THE EAST LINE OF VACATED LINDER AVENUE;
73. THENCE NORTH ALONG SAID EAST LINE OF VACATED LINDER AVENUE AND THE NORTHERLY EXTENSION THEREOF TO THE CENTERLINE OF HIGGINS AVENUE;
74. THENCE SOUTHEASTERLY ALONG SAID CENTERLINE OF HIGGINS AVENUE TO THE SOUTHWESTERLY EXTENSION OF THE SOUTHEASTERLY LINE OF THE 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
75. THENCE NORTHEASTERLY ALONG SAID SOUTHWESTERLY EXTENSION AND THE SOUTHEASTERLY LINE OF THE 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE, AND THE NORTHEASTERLY EXTENSION THEREOF, TO THE NORTHEASTERLY LINE OF THE 14 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
76. THENCE NORTHWESTERLY ALONG SAID NORTHEASTERLY LINE OF THE 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO A BEND THEREIN;
77. THENCE NORTHWESTERLY ALONG SAID NORTHEASTERLY LINE OF THE 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO THE SOUTHEASTERLY LINE OF LOT 4 IN BLOCK 46 IN THE VILLAGE OF JEFFERSON IN SECTION 9 AFORESAID;
78. THENCE SOUTHWESTERLY ALONG THE SOUTHWESTERLY EXTENSION OF SAID LOT 4 IN BLOCK 46 IN THE VILLAGE OF JEFFERSON TO THE CENTERLINE OF THE VACATED 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
79. THENCE NORTHWESTERLY ALONG SAID CENTERLINE OF THE VACATED 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE AND THE NORTHWESTERLY EXTENSION THEREOF TO THE CENTERLINE OF GALE STREET;
80. THENCE NORTHEASTERLY ALONG SAID CENTERLINE OF GALE STREET TO THE SOUTHEASTERLY EXTENSION OF THE WEST LINE OF THE EAST 117 FEET OF LOTS 9 AND 10 IN BLOCK 45 IN THE VILLAGE OF JEFFERSON AFORESAID;

81. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE WEST LINE OF THE EAST 117 FEET OF LOTS 9 AND 10 IN BLOCK 45 IN THE VILLAGE OF JEFFERSON TO THE SOUTHEASTERLY LINE OF LOT 8 IN BLOCK 45 AFORESAID;
82. THENCE SOUTHWESTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 8 IN BLOCK 45 IN THE VILLAGE OF JEFFERSON TO THE NORTHEASTERLY LINE OF THE 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
83. THENCE NORTHWESTERLY ALONG SAID NORTHEASTERLY LINE OF THE 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO THE SOUTHWESTERLY RIGHT OF WAY OF INTERSTATE HIGHWAY 90/94 (KENNEDY EXPRESSWAY);
84. THENCE SOUTHEASTERLY ALONG SAID SOUTHWESTERLY RIGHT OF WAY OF INTERSTATE HIGHWAY 90/94 TO A POINT ON THE NORTHEASTERLY LINE OF MILWAUKEE AVENUE 20.30 FEET NORTHWESTERLY FROM THE INTERSECTION OF SAID NORTHEASTERLY LINE OF MILWAUKEE AVENUE AND THE NORTHWESTERLY EXTENSION OF THE NORTHEASTERLY LINE OF BLOCK 43 IN THE VILLAGE OF JEFFERSON AFORESAID;
85. THENCE NORTHEASTERLY PERPENDICULAR TO SAID NORTHEASTERLY LINE OF MILWAUKEE AVENUE, 45.98 FEET TO A LINE NORTHEASTERLY AND PARALLEL WITH THE NORTHEASTERLY LINE OF BLOCK 43 IN THE VILLAGE OF JEFFERSON AFORESAID;
86. THENCE SOUTHEASTERLY ALONG SAID LINE NORTHEASTERLY AND PARALLEL WITH THE NORTHEASTERLY LINE OF BLOCK 43 IN THE VILLAGE OF JEFFERSON TO THE CENTERLINE OF GALE STREET;
87. THENCE SOUTHEASTERLY TO THE SOUTHWESTERLY LINE OF THE CHICAGO & NORTHWESTERN RAILWAY AT ITS INTERSECTION WITH THE NORTHEASTERLY LINE OF BLOCK 40 IN THE VILLAGE OF JEFFERSON;
88. THENCE SOUTHEASTERLY ALONG SAID SOUTHWESTERLY LINE OF THE CHICAGO & NORTHWESTERN RAILWAY TO THE EASTERLY EXTENSION OF THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE;
89. THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE, AND THE WESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LARAMIE AVENUE;
90. THENCE SOUTH ALONG SAID CENTERLINE OF LARAMIE AVENUE TO THE EASTERLY EXTENSION OF THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE;

91. THENCE EAST ALONG SAID EASTERLY EXTENSION AND THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE, AND THE WESTERLY EXTENSION THEREOF, TO THE SOUTHWESTERLY LINE OF THE 20 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;
92. THENCE SOUTHEASTERLY ALONG SAID SOUTHWESTERLY LINE OF THE 20 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE AND THE SOUTHEASTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LARAMIE AVENUE;
93. THENCE SOUTH AND WESTERLY ALONG SAID CENTERLINE OF LARAMIE AVENUE TO THE NORTHWESTERLY EXTENSION OF THE EAST LINE OF THE WEST 100 FEET OF LOTS 13 AND 14 IN BLOCK 2 IN SUNNYSIDE ADDITION TO JEFFERSON PARK, A SUBDIVISION OF PART OF LOTS 2, 4 AND 5 OF SCHOOL TRUSTEES SUBDIVISION IN SECTION 16 AFORESAID;
94. THENCE SOUTHEASTERLY ALONG SAID NORTHWESTERLY EXTENSION AND THE EAST LINE OF THE WEST 100 FEET OF LOTS 13 AND 14 IN BLOCK 2 IN SUNNYSIDE ADDITION TO JEFFERSON PARK TO THE SOUTHEASTERLY LINE OF LOT 14 IN BLOCK 2 AFORESAID;
95. THENCE NORTHEASTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 14 IN BLOCK 2 IN SUNNYSIDE ADDITION TO JEFFERSON PARK TO THE SOUTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LELAND AVENUE;
96. THENCE EAST ALONG SAID SOUTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LELAND AVENUE AND THE EASTERLY EXTENSION THEREOF TO THE NORTHERLY EXTENSION OF THE WEST LINE OF THE 16 FOOT WIDE ALLEY WEST OF LEAMINGTON AVENUE;
97. THENCE SOUTH ALONG SAID NORTHERLY EXTENSION AND THE WEST LINE OF THE 16 FOOT WIDE ALLEY WEST OF LEAMINGTON AVENUE, AND THE SOUTHERLY EXTENSION THEREOF, TO THE CENTERLINE OF WILSON AVENUE;
98. THENCE WEST ALONG SAID CENTERLINE OF WILSON AVENUE TO THE NORTHWESTERLY EXTENSION OF THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;
99. THENCE SOUTHEASTERLY ALONG SAID NORTHWESTERLY EXTENSION AND THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE TO A BEND THEREIN;
100. THENCE SOUTH ALONG SAID WESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE AND THE SOUTHERLY EXTENSION THEREOF TO THE CENTERLINE OF SUNNYSIDE AVENUE;
101. THENCE EAST ALONG SAID CENTERLINE OF SUNNYSIDE AVENUE TO THE NORTHWESTERLY EXTENSION OF THE SOUTHWESTERLY LINE OF

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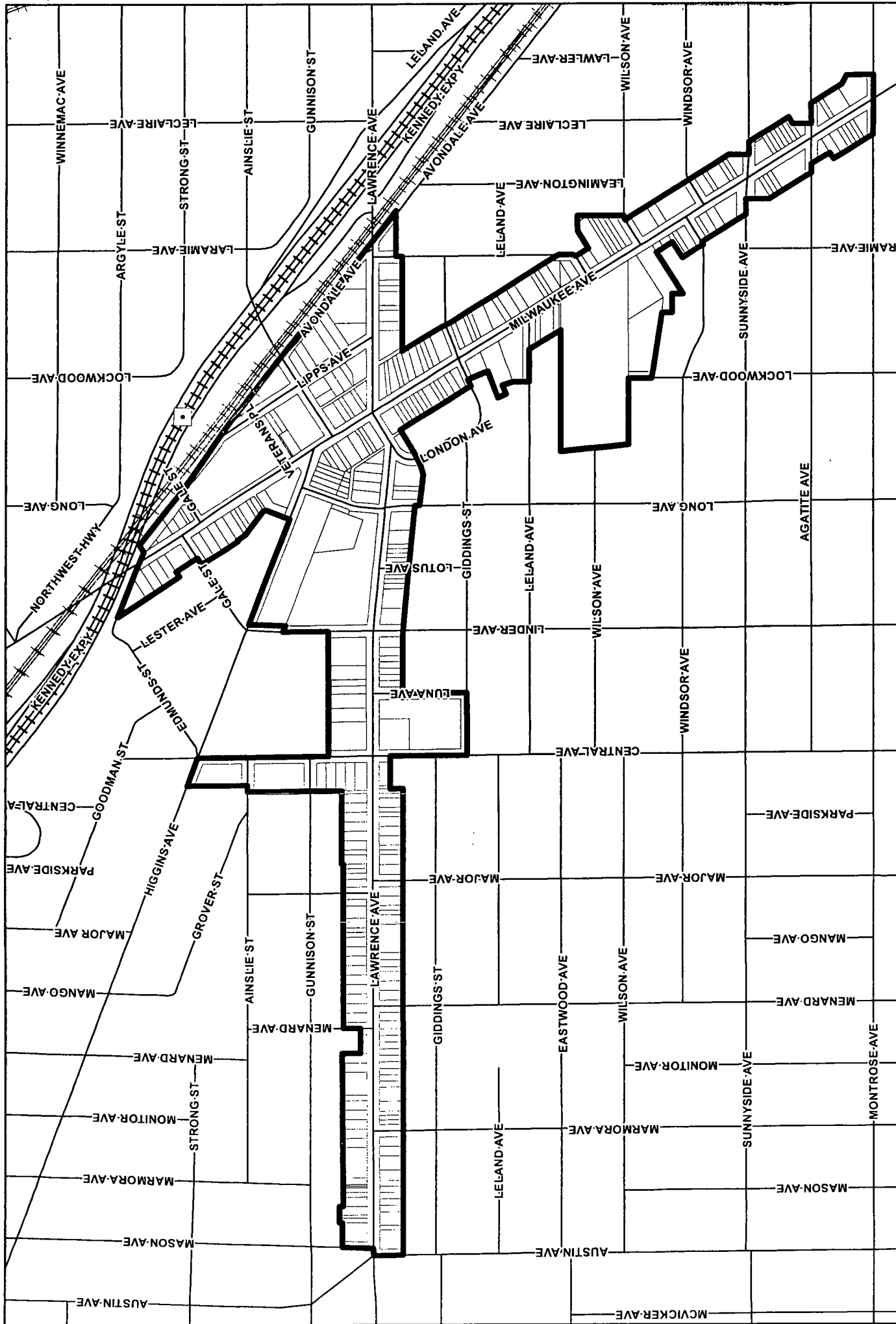
THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;

102. THENCE SOUTHEASTERLY ALONG SAID NORTHWESTERLY EXTENSION AND THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE TO THE SOUTHEASTERLY LINE OF LOT 29 IN BLOCK 6 IN BOSWELL'S SUBDIVISION NO. 2, A SUBDIVISION IN BLOCK 6 OF SCHOOL TRUSTEES SUBDIVISION AFORESAID;
103. THENCE SOUTHWESTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 29 IN BLOCK 6 IN BOSWELL'S SUBDIVISION NO. 2 TO A LINE PERPENDICULAR TO THE SOUTH LINE OF LOT 30 IN BLOCK 6 AFORESAID AND 48.10 FEET WEST OF THE SOUTHEAST CORNER THEREOF;
104. THENCE SOUTH ALONG SAID LINE PERPENDICULAR TO THE SOUTH LINE OF LOT 30 IN BLOCK 6 AFORESAID AND 48.10 FEET WEST OF THE SOUTHEAST CORNER THEREOF, AND THE SOUTHERLY EXTENSION THEREOF, TO THE CENTERLINE OF AGATITE AVENUE;
105. THENCE EAST ALONG SAID CENTERLINE OF AGATITE AVENUE TO THE NORTHWESTERLY EXTENSION OF THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;
106. THENCE SOUTHEASTERLY ALONG SAID NORTHWESTERLY EXTENSION AND THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE TO A BEND THEREIN;
107. THENCE SOUTHEASTERLY ALONG SAID SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE TO THE WEST LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;
108. THENCE SOUTH ALONG SAID WEST LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE AND THE SOUTHERLY EXTENSION THEREOF TO THE CENTERLINE OF MONTROSE AVENUE, BEING ALSO THE SOUTH LINE OF THE NORTHEAST QUARTER OF SECTION 16 AFORESAID;
109. THENCE WEST ALONG SAID CENTERLINE OF MONTROSE AVENUE, AND THE SOUTH LINE OF THE NORTHEAST QUARTER OF SECTION 16 AFORESAID, TO ITS INTERSECTION WITH THE CENTERLINE OF MILWAUKEE AVENUE, AND THE POINT OF BEGINNING;
110. IN COOK COUNTY, ILLINOIS.

EXHIBIT 2

Map

See attached.



Jefferson Park SSA #70



EXHIBIT 3

Permanent Index Numbers

See attached pages.

SSA 70 – Jefferson Park
PIN LIST

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PIN LIST

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SSA 70 – Jefferson Park
PIN LIST

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SSA 70 – Jefferson Park
PIN LIST

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EXHIBIT 4

Public Hearing Notice

See attached pages.

ADORDERNUMBER: 0000951170-01

PO NUMBER: SSA 70 pg 1 of 2

AMOUNT: 1,286.40

NO OF AFFIDAVITS: 2

Chicago Sun-Times

Certificate of Publication

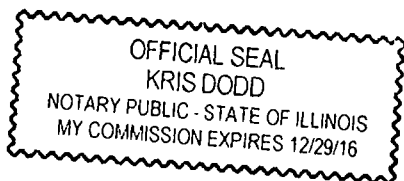
State of Illinois - County of Cook

Chicago Sun-Times, does hereby certify it has published the attached advertisements in the following secular newspapers. All newspapers meet Illinois Compiled Statute requirements for publication of Notices per Chapter 715 ILCS 5/0.01 et seq. R.S. 1874, P728 Sec 1, EFF. July 1, 1874. Amended by Laws 1959, P1494, EFF. July 17, 1959. Formerly Ill. Rev. Stat. 1991, CH100, Pl.

Note: Notice appeared in the following checked positions.

PUBLICATION DATE(S): 10/23/2015

Chicago Sun-Times



A handwritten signature of Kris Dodd in cursive script.

IN WITNESS WHEREOF, the undersigned, being duly authorized, has caused this Certificate to be signed

by

A handwritten signature of Jeremy Gates in cursive script.

Jeremy Gates

Account Manager - Public Legal Notices

This 23rd Day of October 2015 A.D.

ADORDERNUMBER: 0000951306-01

PO NUMBER: SSA 70 pg 2 of 2

AMOUNT: 0.00

NO OF AFFIDAVITS: 2

Chicago Sun-Times

Certificate of Publication

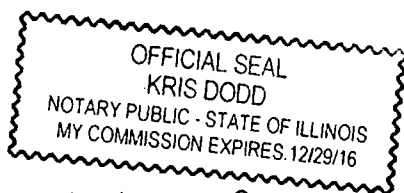
State of Illinois - County of Cook

Chicago Sun-Times, does hereby certify it has published the attached advertisements in the following secular newspapers. All newspapers meet Illinois Compiled Statute requirements for publication of Notices per Chapter 715 ILCS 5/0.01 et seq. R.S. 1874, P728 Sec 1, EFF. July 1, 1874. Amended by Laws 1959, P1494, EFF. July 17, 1959. Formerly Ill. Rev. Stat. 1991, CH100, Pl.

Note: Notice appeared in the following checked positions.

PUBLICATION DATE(S): 10/23/2015

Chicago Sun-Times



Kris Dodd

IN WITNESS WHEREOF, the undersigned, being duly authorized,
has caused this Certificate to be signed

by

[Signature]

Jeremy Gates

Account Manager - Public Legal Notices

[Signature]

This 23rd Day of October 2015 A.D.

JEFFERSON PARK CHARMER OF COMMERCE

Advertiser:

Section/Page/Zone: Main/058/

Description: SSA 70 pg 1 of 2

Insertion Number:

Size: 8x9.50

Color Type: B&W

CHICAGO SUN-TIMES

Publication Date: 10/23/2015

This E-Sheet(r) is provided as conclusive evidence that the ad appeared in the Chicago Sun-Times on the date & page indicated. You may not create derivative works, or in any way exploit or repurpose any content.

**Notice of Public Hearing
City of Chicago Special Service Area Number 70.**

Notice is hereby given that at 10:00 o'clock a.m., on the 12th, day of November, 2015 at the City Council Chambers, City Hall, 121 North LaSalle Street, Chicago, Illinois, a public hearing will be held by the Committee on Finance of the City Council of the City of Chicago to consider the creation of an area within the City of Chicago to be known and designated as Special Service Area Number 70 (the "Area") and the authorization of the levy of a special annual services tax (the "Services Tax") within the Area. The Services Tax under consideration shall be authorized to be levied in tax years 2015 through and including 2024. The purpose of creating the Area shall be to provide special services within the Area, which may include, but are not limited to: customer attraction, public way aesthetics, sustainability and public place enhancements, economic/business development, safety programs, and other activities to promote commercial and economic development, which will be hereinafter referred to collectively as the "Special Services."

At the hearing there will be considered a Services Tax to be levied against the taxable property included within the Area for the provision of the Special Services not to exceed the annual sum of seventy-five hundredths percent (0.75%) of the equalized assessed value of taxable property within the Area. The proposed amount of the tax levy for special services for the initial year for which taxes will be levied within the Area in tax year 2015 is \$220,775. The Services Tax shall be in addition to all other taxes provided by law and shall be levied pursuant to the provisions of the Property Tax Code, 35 ILCS 200/1-1 et seq., as amended from time to time.

The Area shall consist of the territory described herein and incorporated hereto as Exhibit 1. The approximate street location of said territory consists of Lawrence Avenue from Austin Avenue to the southwestern line of the Chicago & Northwestern Railway; Milwaukee Avenue from the Kennedy Expressway to Montrose Avenue; the south side of Higgins Avenue from Milwaukee Avenue to the east side of Linder Avenue; and the west side of Central Avenue from Lawrence Avenue to Higgins Avenue.

At the public hearing any interested person, including all persons owning taxable real property located within the proposed Area, affected by the creation of the Area and the levy of the Services Tax may file with the City Clerk of the City of Chicago written objections to and may be heard orally with respect to any issues embodied in this notice. The Committee on Finance of the City Council of the City of Chicago shall hear and determine all protests and objections at said hearing, and said hearing may be adjourned to another date without further notice other than a motion to be entered upon the minutes fixing the time and place it will reconvene.

If a petition signed by at least fifty-one percent (51%) of the electors residing within the boundaries of the proposed Area and by at least fifty-one percent (51%) of the landowners included within the boundaries of the proposed Area objecting to the creation of the Area and the levy of the Services Tax therein is filed with the City Clerk of the City of Chicago within sixty (60) days following the final adjournment of the public hearing, the Area shall not be created and the Services Tax shall not be levied.

By order of the City Council of the City of Chicago, Cook County, Illinois.

Dated this 24th day of September, 2015.

City Clerk, City of Chicago,
Cook County, Illinois

**EXHIBIT 1
JEFFERSON PARK SSA - LAWRENCE AVE & MILWAUKEE AVE**

1. THAT PART OF SECTIONS 8, 9, 16 AND 17 IN TOWNSHIP 40 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS, BOUNDED AND DESCRIBED AS FOLLOWS:
2. BEGINNING AT THE INTERSECTION OF THE CENTERLINE OF MILWAUKEE AVENUE WITH THE CENTERLINE OF MONTROSE AVENUE, BEING ALSO THE SOUTH LINE OF THE NORTHEAST QUARTER OF SECTION 16 AFORESAID;
3. THENCE WEST ALONG THE CENTERLINE OF MONTROSE AVENUE, BEING ALSO THE SOUTH LINE OF THE NORTHEAST QUARTER OF SECTION 16, TO THE SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
4. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO THE SOUTHEASTERLY LINE OF LOT 1 IN BLOCK 2 OF THE SUBDIVISION OF THAT PART OF BLOCK 2 LYING IN THE WEST HALF OF THE NORTHEAST QUARTER OF SECTION 16, OF DYMOND'S HOMESTEAD SUBDIVISION OF BLOCK 6 OF SCHOOL TRUSTEES SUBDIVISION IN THE NORTHEAST QUARTER OF SECTION 16 AFORESAID;
5. THENCE NORTHEASTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 1 IN BLOCK 2 OF THE SUBDIVISION OF THAT PART OF BLOCK 2 OF DYMOND'S HOMESTEAD SUBDIVISION TO THE NORTHEASTERLY LINE OF THE WEST 36 FEET 9 INCHES OF LOT 1 AFORESAID, MEASURED ON THE SOUTH LINE THEREOF;
6. THENCE NORTHWESTERLY ALONG SAID NORTHEASTERLY LINE OF THE WEST 36 FEET 9 INCHES OF LOT 1, MEASURED ON THE SOUTH LINE THEREOF, AND THE NORTHWESTERLY EXTENSION THEREOF TO THE CENTERLINE OF AGATITE AVENUE;
7. THENCE WEST ALONG SAID CENTERLINE OF AGATITE AVENUE TO THE SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
8. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO A BEND THEREIN;
9. THENCE NORTH ALONG SAID EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE AND THE NORTHERLY EXTENSION THEREOF TO THE CENTERLINE OF SUNNYSIDE AVENUE;
10. THENCE WEST ALONG SAID CENTERLINE OF SUNNYSIDE AVENUE TO THE SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
11. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE, AND THE NORTHWESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF WINDSOR AVENUE;
12. THENCE SOUTHWESTERLY ALONG SAID CENTERLINE OF WINDSOR AVENUE TO THE SOUTHEASTERLY EXTENSION OF A LINE 133 4 FEET SOUTHWESTERLY OF AND PARALLEL WITH THE NORTHEASTERLY LINE OF LOT 4 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE (EXCEPT THE NORTH 1 5 RODS AND THE SOUTH 4 RODS THEREOF) IN THE SUBDIVISION OF SECTION 16 AFORESAID;
13. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE LINE 133 4 FEET SOUTHWESTERLY OF AND PARALLEL WITH THE NORTHEASTERLY LINE OF LOT 4 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE, TO THE NORTHWESTERLY LINE OF LOT 4 AFORESAID;
14. THENCE NORTHEASTERLY ALONG SAID NORTHWESTERLY LINE OF LOT 4 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE TO A LINE 42 25 FEET SOUTHWESTERLY AND PARALLEL WITH THE NORTHEASTERLY LINE OF LOT 3 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE;
15. THENCE NORTHWESTERLY ALONG SAID LINE 42 25 FEET SOUTHWESTERLY OF AND PARALLEL WITH THE NORTHEASTERLY LINE OF LOT 3 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE, TO THE SOUTHEASTERLY LINE OF LOT 2 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE;
16. THENCE SOUTHWESTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 2 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE, AND THE SOUTHWESTERLY EXTENSION THEREOF, TO THE EAST LINE OF LOT 2 IN BLOCK 1 OF

ROBERT'S MILWAUKEE AVENUE SUBDIVISION OF LOTS 5 & 10 IN THE SUBDIVISION OF THAT PART OF LOT 5 LYING WEST OF MILWAUKEE AVENUE IN THE SUBDIVISION OF SECTION 16 AFORESAID;

17. THENCE NORTH ALONG SAID EAST LINE OF LOT 2 IN BLOCK 1 OF ROBERT'S MILWAUKEE AVENUE SUBDIVISION, TO THE NORTH LINE THEREOF;
18. THENCE WEST ALONG SAID NORTH LINE OF LOT 2 IN BLOCK 1 OF ROBERT'S MILWAUKEE AVENUE SUBDIVISION TO THE EAST LINE OF THE 16 FOOT WIDE ALLEY EAST OF LOCKWOOD AVENUE;
19. THENCE NORTH ALONG SAID EAST LINE OF THE 16 FOOT WIDE ALLEY EAST OF LOCKWOOD AVENUE TO THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY NORTH OF WINDSOR AVENUE;
20. THENCE WESTERLY ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY NORTH OF WINDSOR AVENUE, AND THE WESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LOCKWOOD AVENUE;
21. THENCE NORTH ALONG SAID CENTERLINE OF LOCKWOOD AVENUE TO THE SOUTH LINE OF WILSON PARK IN SCHOOL TRUSTEES SUBDIVISION IN SECTION 16 AFORESAID;
22. THENCE WEST ALONG SAID SOUTH LINE OF WILSON PARK TO THE WEST LINE THEREOF;
23. THENCE NORTH ALONG SAID WEST LINE OF WILSON PARK TO THE NORTH LINE THEREOF;
24. THENCE EAST ALONG SAID NORTH LINE OF WILSON PARK TO THE SOUTHEASTERLY EXTENSION OF THE OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
25. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE EASTERLY LINE OF THE 16 WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE, AND THE NORTHWESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LELAND AVENUE;
26. THENCE WEST ALONG SAID CENTERLINE OF LELAND AVENUE TO THE SOUTHERLY EXTENSION OF THE EAST LINE OF THE WEST 50 FEET OF LOT 23 IN STEVENS SUBDIVISION OF JEFFERSON PARK, A SUBDIVISION OF THE WEST 1367 FEET OF LOT 4 IN SCHOOL TRUSTEES SUBDIVISION IN SECTION 16 AFORESAID;
27. THENCE NORTH ALONG SAID SOUTHERLY EXTENSION AND THE EAST LINE OF THE WEST 50 FEET OF LOT 23 IN STEVENS SUBDIVISION TO THE SOUTH LINE OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK, A RESUBDIVISION OF LOTS 8, 9, 10, 11 AND PART OF LOT 22 IN BLOCK 53, PART OF LOT 23 IN BLOCK 51, PART OF BLOCK 54 AND ALL OF BLOCK 50 IN VILLAGE OF JEFFERSON IN SECTIONS 8, 9 AND 16 AFORESAID, AT ITS INTERSECTION WITH THE EAST LINE OF THE WEST 50 FEET OF LOT 2 AFORESAID;
28. THENCE NORTHWESTERLY ALONG SAID EAST LINE OF THE WEST 50 FEET OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK TO THE SOUTH LINE OF THE NORTH 45 FEET OF LOT 2 AFORESAID;
29. THENCE SOUTHWESTERLY ALONG SAID SOUTH LINE OF THE NORTH 45 FEET OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK TO THE WEST LINE OF LOT 2 AFORESAID;
30. THENCE NORTHWESTERLY ALONG SAID WEST LINE OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK TO THE NORTH LINE THEREOF;
31. THENCE NORTHEASTERLY ALONG SAID NORTH LINE OF LOT 2 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK TO THE EAST LINE OF THE WEST 150 FEET OF LOT 1 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK;
32. THENCE NORTHWESTERLY ALONG SAID EAST LINE OF THE WEST 150 FEET OF LOT 1 IN ROBERTS HOMESTEAD ADDITION TO JEFFERSON PARK, AND THE NORTHWESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF GIDDINGS STREET;
33. THENCE SOUTHWESTERLY ALONG SAID CENTERLINE OF GIDDINGS STREET TO THE SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;
34. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION OF THE EASTERLY LINE OF THE 16 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO THE NORTHEASTERLY EXTENSION OF THE SOUTHEASTERLY LINE OF LOT 16 IN BLOCK 1 OF BUTLER'S MILWAUKEE & LAWRENCE AVENUE SUBDIVISION OF THAT PART OF BLOCKS 53, 54 & 55 OF VILLAGE OF JEFFERSON LYING SOUTH OF LAWRENCE AVENUE (EXCEPT LOTS 12 & 13 IN BLOCK 53) IN SECTION 16 AFORESAID;
35. THENCE SOUTHWESTERLY ALONG SAID NORTHEASTERLY EXTENSION AND THE SOUTHEASTERLY LINE OF LOT 16 IN BLOCK 1 OF BUTLER'S MILWAUKEE & LAWRENCE AVENUE SUBDIVISION TO THE EASTERLY LINE OF LONDON AVENUE;
36. THENCE SOUTHWESTERLY TO THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AT THE WESTERLY LINE OF LONDON AVENUE;
37. THENCE SOUTHWESTERLY ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE TO A BEND THEREIN;
38. THENCE NORTHWESTERLY ALONG SAID NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AND THE NORTHWESTERLY EXTENSION THEREOF TO THE CENTERLINE OF LONG AVENUE;
39. THENCE NORTH ALONG SAID CENTERLINE OF LONG AVENUE TO THE SOUTHEASTERLY EXTENSION OF THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE;
40. THENCE NORTHWESTERLY ALONG SAID SOUTHEASTERLY EXTENSION AND THE NORTHERLY LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE TO THE EAST LINE OF LINDER AVENUE;
41. THENCE NORTHWESTERLY TO THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AT THE WEST LINE OF LINDER AVENUE;
42. THENCE WEST ALONG SAID NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AND THE WESTERLY EXTENSION THEREOF TO THE CENTERLINE OF LUNA AVENUE;
43. THENCE SOUTH ALONG SAID CENTERLINE OF LUNA AVENUE TO THE CENTERLINE OF GIDDINGS STREET;
44. THENCE WEST ALONG SAID CENTERLINE OF GIDDINGS STREET TO THE CENTERLINE OF CENTRAL AVENUE;
45. THENCE NORTH ALONG SAID CENTERLINE OF CENTRAL AVENUE TO THE EASTERLY EXTENSION OF THE SOUTH LINE OF LOT 9 IN BLOCK 1 IN L E CRANDALL'S LAWRENCE AVENUE SUBDIVISION OF THE NORTH HALF OF THE NORTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 17 AFORESAID;
46. THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE SOUTH LINE OF LOT 9 IN BLOCK 1 IN L E CRANDALL'S LAWRENCE AVENUE SUBDIVISION, AND THE WESTERLY EXTENSION THEREOF, TO THE WEST LINE OF THE 16 FOOT WIDE ALLEY WEST OF CENTRAL AVENUE;
47. THENCE SOUTH ALONG SAID WEST LINE OF THE 16 FOOT WIDE ALLEY WEST OF CENTRAL AVENUE TO THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE;
48. THENCE WEST ALONG SAID NORTH LINE OF THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE AND THE WESTERLY EXTENSION THEREOF TO THE CENTERLINE OF AUSTIN AVENUE, BEING ALSO THE WEST LINE OF THE NORTHEAST QUARTER OF SECTION 17 AFORESAID;
49. THENCE NORTH ALONG SAID CENTERLINE OF AUSTIN AVENUE, AND THE WEST LINE OF THE NORTHEAST QUARTER OF SECTION 17 AFORESAID, TO THE CENTERLINE OF

Public Notices	Public Notices	Public Notices	Public Notices	Public Notices	Public
82. THENCE SOUTHWESTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 8 IN BLOCK 45 IN THE VILLAGE OF JEFFERSON TO THE NORTHEASTERLY LINE OF THE 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE;					13
83. THENCE NORTHWESTERLY ALONG SAID NORTHEASTERLY LINE OF THE 14 FOOT WIDE ALLEY WESTERLY OF MILWAUKEE AVENUE TO THE SOUTHWESTERLY RIGHT OF WAY OF INTERSTATE HIGHWAY 90/94 (KENNEDY EXPRESSWAY);					13
84. THENCE SOUTHEASTERLY ALONG SAID SOUTHWESTERLY RIGHT OF WAY OF INTERSTATE HIGHWAY 90/94 TO A POINT ON THE NORTHEASTERLY LINE OF MILWAUKEE AVENUE 20.30 FEET NORTHWESTERLY FROM THE INTERSECTION OF SAID NORTHEASTERLY LINE OF MILWAUKEE AVENUE AND THE NORTHWESTERLY EXTENSION OF THE NORTHEASTERLY LINE OF BLOCK 43 IN THE VILLAGE OF JEFFERSON AFORESAID;					13
85. THENCE NORTHEASTERLY PERPENDICULAR TO SAID NORTHEASTERLY LINE OF MILWAUKEE AVENUE, 45.98 FEET TO A LINE NORTHEASTERLY AND PARALLEL WITH THE NORTHEASTERLY LINE OF BLOCK 43 IN THE VILLAGE OF JEFFERSON AFORESAID;					13
86. THENCE SOUTHEASTERLY ALONG SAID LINE NORTHEASTERLY AND PARALLEL WITH THE NORTHEASTERLY LINE OF BLOCK 43 IN THE VILLAGE OF JEFFERSON TO THE CENTERLINE OF GALE STREET;					13
87. THENCE SOUTHEASTERLY TO THE SOUTHWESTERLY LINE OF THE CHICAGO & NORTHWESTERN RAILWAY AT ITS INTERSECTION WITH THE NORTHEASTERLY LINE OF BLOCK 40 IN THE VILLAGE OF JEFFERSON;					13
88. THENCE SOUTHEASTERLY ALONG SAID SOUTHWESTERLY LINE OF THE CHICAGO & NORTHWESTERN RAILWAY TO THE EASTERLY EXTENSION OF THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE;					13
89. THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE, AND THE WESTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LARAMIE AVENUE;					13
90. THENCE SOUTH ALONG SAID CENTERLINE OF LARAMIE AVENUE TO THE EASTERLY EXTENSION OF THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE;					13
91. THENCE EAST ALONG SAID EASTERLY EXTENSION AND THE NORTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LAWRENCE AVENUE, AND THE WESTERLY EXTENSION THEREOF, TO THE SOUTHWESTERLY LINE OF THE 20 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;					13
92. THENCE SOUTHEASTERLY ALONG SAID SOUTHWESTERLY LINE OF THE 20 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE AND THE SOUTHEASTERLY EXTENSION THEREOF, TO THE CENTERLINE OF LARAMIE AVENUE;					13
93. THENCE SOUTH AND WESTERLY ALONG SAID CENTERLINE OF LARAMIE AVENUE TO THE NORTHWESTERLY EXTENSION OF THE EAST LINE OF THE WEST 100 FEET OF LOTS 13 AND 14 IN BLOCK 2 IN SUNNYSIDE ADDITION TO JEFFERSON PARK, A SUBDIVISION OF PART OF LOTS 2, 4 AND 5 OF SCHOOL TRUSTEES SUBDIVISION IN SECTION 16 AFORESAID;					13
94. THENCE SOUTHEASTERLY ALONG SAID NORTHWESTERLY EXTENSION AND THE EAST LINE OF THE WEST 100 FEET OF LOTS 13 AND 14 IN BLOCK 2 IN SUNNYSIDE ADDITION TO JEFFERSON PARK TO THE SOUTHEASTERLY LINE OF LOT 14 IN BLOCK 2 AFORESAID;					13
95. THENCE NORTHEASTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 14 IN BLOCK 2 IN SUNNYSIDE ADDITION TO JEFFERSON PARK TO THE SOUTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LELAND AVENUE;					13
96. THENCE EAST ALONG SAID SOUTH LINE OF THE 16 FOOT WIDE ALLEY SOUTH OF LELAND AVENUE AND THE EASTERLY EXTENSION THEREOF TO THE NORTHERLY EXTENSION OF THE WEST LINE OF THE 16 FOOT WIDE ALLEY WEST OF LEAMINGTON AVENUE;					13
97. THENCE SOUTH ALONG SAID NORTHERLY EXTENSION AND THE WEST LINE OF THE 16 FOOT WIDE ALLEY WEST OF LEAMINGTON AVENUE, AND THE SOUTHERLY EXTENSION THEREOF, TO THE CENTERLINE OF WILSON AVENUE;					13
98. THENCE WEST ALONG SAID CENTERLINE OF WILSON AVENUE TO THE NORTHWESTERLY EXTENSION OF THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;					13
99. THENCE SOUTHEASTERLY ALONG SAID NORTHWESTERLY EXTENSION AND THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE TO A BEND THEREIN;					13
100. THENCE SOUTH ALONG SAID WESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE AND THE SOUTHERLY EXTENSION THEREOF TO THE CENTERLINE OF SUNNYSIDE AVENUE;					13
101. THENCE EAST ALONG SAID CENTERLINE OF SUNNYSIDE AVENUE TO THE NORTHWESTERLY EXTENSION OF THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;					13
102. THENCE SOUTHEASTERLY ALONG SAID NORTHWESTERLY EXTENSION AND THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE TO THE SOUTHEASTERLY LINE OF LOT 29 IN BLOCK 6 IN BOSWELL'S SUBDIVISION NO. 2, A SUBDIVISION IN BLOCK 6 OF SCHOOL TRUSTEES SUBDIVISION AFORESAID;					13
103. THENCE SOUTHWESTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 29 IN BLOCK 6 IN BOSWELL'S SUBDIVISION NO. 2 TO A LINE PERPENDICULAR TO THE SOUTH LINE OF LOT 30 IN BLOCK 6 AFORESAID AND 48.10 FEET WEST OF THE SOUTHEAST CORNER THEREOF;					13
104. THENCE SOUTH ALONG SAID LINE PERPENDICULAR TO THE SOUTH LINE OF LOT 30 IN BLOCK 6 AFORESAID AND 48.10 FEET WEST OF THE SOUTHEAST CORNER THEREOF, AND THE SOUTHERLY EXTENSION THEREOF, TO THE CENTERLINE OF AGATITE AVENUE;					13
105. THENCE EAST ALONG SAID CENTERLINE OF AGATITE AVENUE TO THE NORTHWESTERLY EXTENSION OF THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF					13
MILWAUKEE AVENUE;					13
106. THENCE SOUTHEASTERLY ALONG SAID NORTHWESTERLY EXTENSION AND THE SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE TO A BEND THEREIN;					13
107. THENCE SOUTHEASTERLY ALONG SAID SOUTHWESTERLY LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE TO THE WEST LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE;					13
108. THENCE SOUTH ALONG SAID WEST LINE OF THE 16 FOOT WIDE ALLEY EASTERLY OF MILWAUKEE AVENUE AND THE SOUTHERLY EXTENSION THEREOF TO THE CENTERLINE OF MONTROSE AVENUE, BEING ALSO THE SOUTH LINE OF THE NORTHEAST QUARTER OF SECTION 16 AFORESAID;					13
109. THENCE WEST ALONG SAID CENTERLINE OF MONTROSE AVENUE, AND THE SOUTH LINE OF THE NORTHEAST QUARTER OF SECTION 16 AFORESAID, TO ITS INTERSECTION WITH THE CENTERLINE OF MILWAUKEE AVENUE, AND THE POINT OF BEGINNING;					13
110. IN COOK COUNTY, ILLINOIS.					13
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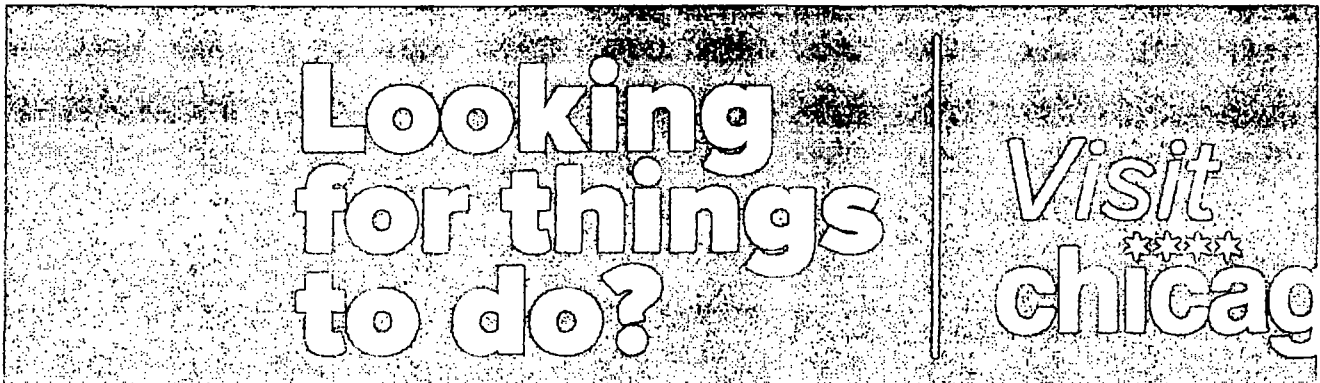


EXHIBIT 5

Budget

See attached pages.

2016 Budget and Services Summary

Chicago Department of Planning and Development

Name:	SSA #70 Jefferson Park	SSA Chairperson: 0	Agency Contact: Amie Zander, Executive
Service Provider Agency:	Jefferson Park Chamber of Commerce	Phone Number: 0	Phone Number: 773-736-6697
SSA Tax Authority Term:	2015-2024	Email: 0	Email: amie@jeffersonpark.net
Date:	10/27/2015		

2016 BUDGET SUMMARY

CATEGORY	2015 Levy	+	Carry Over	+	Late Collections and Interest Income Thereon	=	2016 Budget
1.00 Customer Attraction	\$ 27,500	+	\$ -	+	\$ -	=	\$ 27,500
2.00 Public Way Aesthetics	\$ 82,000	+	\$ -	+	\$ -	=	\$ 82,000
3.00 Sustainability and Public Places	\$ -	+	\$ -	+	\$ -	=	\$ -
4.00 Economic/Business Development	\$ 38,000	+	\$ -	+	\$ -	=	\$ 38,000
5.00 Safety Programs	\$ -	+	\$ -	+	\$ -	=	\$ -
6.00 SSA Management	\$ 15,300	+	\$ -	+	\$ -	=	\$ 15,300
7.00 Personnel	\$ 48,900	+	\$ -	+	\$ -	=	\$ 48,900
8.00 Loss Collection: 4.1%	\$ 9,075	+	\$ -	+	\$ -	=	\$ 9,075
GRAND TOTAL	\$ 220,775	+	\$ -	+	\$ -	=	\$ 220,775

2016 Budget	\$ 220,775

LEVY ANALYSIS

1	Estimated 2015 EAV:	\$49,061,029
2	Authorized Tax Rate Cap:	0.750%
3	Estimated 2015 Levy:	\$220,775
4	Estimated Tax Rate to Generate 2015 Levy (Estimated 2015 Levy ÷ Estimated 2015 EAV = Est. Tax Rate):	0.450%

SECTION I – GENERAL INFORMATION

Jefferson Park Chamber of Commerce

~~1. []~~ the Applicant
OR

OR

5214 W Lawrence
Chicago, IL 60630

C. Telephone: 736-6647 Fax: — Email: Amie@Jeffersonpark.net

D. Name of contact person: Amie Zander

E. Federal Employer Identification No. (if you have one):

To allow the Jefferson Park Chamber of Commerce to enter into a contract with the City of Chicago to provide Special Services work for SSA #70

G. Which City agency or department is requesting this EDS?

Planning + Development

Specification # _____ and Contract # _____

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

☐ Person	☐ Limited liability company
☐ Publicly registered business corporation	☐ Limited liability partnership
☐ Privately held business corporation	☐ Joint venture
☐ Sole proprietorship	☒ Not-for-profit corporation
☐ General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
☐ Limited partnership	☐ Yes ☒ No
☐ Trust	☐ Other (please specify)
-

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

☐ Yes

☐ No

☒ N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles of all executive officers and all directors of the entity.

NOTE: For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

If the entity is a general partnership, limited partnership, limited liability company, limited liability partnership or joint venture, list below the name and title of each general partner, managing member, manager or any other person or entity that controls the day-to-day management of the Disclosing Party.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<u>See attached</u>	

110 Members

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture.

2014-15 JPCC Board

<u>Name</u>	<u>Position</u>
Juan Aramburu	Secretary
Dobra Bielinski	Director
Nick Black	Director
Larry Fischer	Director
John Garrido	Director
Mary Beth Gerlach	Director
Shanna Karamaniolas	Director
George Karzas	Vice Pres
Dr. Cesar Lau	Treasurer
Jeff Merritt	Director
Dave Meyer	Director
Jeff Morris	Director
Brian Nadig	Vice Pres.
Judy Skotzko	Director
Frank Suerth	Director
Lionel Rabb Amie Zander	President Executive Director

interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
<u>None</u>		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

☐ Yes

☒ No

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

☒ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

☐ Yes

☐ No

☒ No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

☐ Yes

☐ No

B. FURTHER CERTIFICATIONS

1. Pursuant to Municipal Code Chapter 1-23, Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows: (i) neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. **NOTE:** If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;**
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement, theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;**
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;**
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and**
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.**

3. The certifications in subparts 3, 4 and 5 concern:

- the Disclosing Party;**
- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");**
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly, controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity). With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;**
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents")**

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor nor any Agents have, during the five years before the date this EDS is signed, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the five years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

4. Neither the Disclosing Party, Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

5. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

6. The Disclosing Party understands and shall comply with the applicable requirements of Chapters 2-55 (Legislative Inspector General), 2-56 (Inspector General) and 2-156 (Governmental Ethics) of the Municipal Code.

7. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

8 To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N A" or "none").

NA

9 To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient

NA

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

☐ is

☒ is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter 2-32 of the Municipal Code, explain here (attach additional pages if necessary)

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

☐ Yes

☒ No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

☐ Yes

☐ No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name	Business Address	Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all information required by paragraph 2. Failure to

comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

~~X~~ The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2 The Disclosing Party verifies that, as a result of conducting the search in step 1 above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1 List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter. (Add sheets if necessary).

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A 1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986, or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

☐ Yes ☐ No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

☐ Yes ☐ No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes ☐ No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

☐ Yes ☐ No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII – ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available online at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N.

Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to Article I of Chapter 1-23 of the Municipal Code (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by Chapter 1-23 and Section 2-154-020 of the Municipal Code.

The Disclosing Party represents and warrants that

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its Affiliated Entities delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal Excluded Parties List System ("EPLS") maintained by the U. S. General Services Administration.

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in F.1., F.2. or F.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS and Appendix A (if applicable) on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS and Appendix A (if applicable) are true, accurate and complete as of the date furnished to the City.

Jefferson Park Chamber of Commerce
(Print or type name of Disclosing Party)

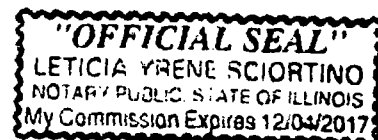
By: Amie Zander
(Sign here)

Amie Zander
(Print or type name of person signing)

Executive Director
(Print or type title of person signing)

Signed and sworn to before me on (date) 10-28-15,
at ECN County, Illinois (state)

[Signature] Notary Public
Commission expires 12-14-17



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

☐ Yes

☒ No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



City of Chicago



O2015-8430

Office of the City Clerk

Document Tracking Sheet

Meeting Date:	11/18/2015
Sponsor(s):	Emanuel (Mayor)
Type:	Ordinance
Title:	Designation of expansion of Midwest Tax Increment Financing (TIF) Redevelopment Project Area
Committee(s) Assignment:	Committee on Finance

FIN.



OFFICE OF THE MAYOR
CITY OF CHICAGO

RAHM EMANUEL
MAYOR

November 18, 2015

TO THE HONORABLE, THE CITY COUNCIL
OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Planning and Development, I transmit herewith ordinances authorizing an amendment of the Midwest TIF District.

Your favorable consideration of these ordinances will be appreciated.

Very truly yours,

A handwritten signature in cursive script that reads "Rahm Emanuel".

Mayor

**AN ORDINANCE OF THE CITY OF CHICAGO, ILLINOIS
DESIGNATING THE EXPANDED MIDWEST TAX INCREMENT FINANCING
REDEVELOPMENT PROJECT AREA AS A REDEVELOPMENT PROJECT AREA
PURSUANT TO THE TAX INCREMENT ALLOCATION
REDEVELOPMENT ACT**

WHEREAS, it is desirable and in the best interest of the citizens of the City of Chicago, Illinois (the "City") for the City to implement tax increment allocation financing ("Tax Increment Allocation Financing") pursuant to the Illinois Tax Increment Allocation Redevelopment Act, 65 ILCS 5/11-74.4-1 et. seq., as amended (the "Act"), for a proposed amendment to the redevelopment project area known as the Midwest Tax Increment Financing Redevelopment Project Area Amendment #2 (the "Expanded Area") described in Section 2 of this ordinance, to be redeveloped pursuant to a proposed amended redevelopment plan and project (the "Amended Plan"); and

WHEREAS, a public meeting ("Public Meeting") was held in compliance with the requirements of Section 5/11-74.4-6(e) of the Act on July 27, 2015 at 6:30 p.m. at St. Michael's Missionary Baptist Church, 4106 West Monroe Street, Chicago, Illinois; and

WHEREAS, the Amended Plan (including the related eligibility report attached thereto as an exhibit and, if applicable, the feasibility study and the housing impact study) has been made available for public inspection and review pursuant to Section 5/11-74.4-5(a) of the Act since July 31, 2015, being a date not less than 10 days before the meeting of the Community Development Commission of the City ("Commission") at which the Commission adopted Resolution 15-CDC-23 on August 11, 2015 fixing the time and place for a public hearing ("Hearing"), at the offices of the City Clerk and the City's Department of Planning and Development; and

WHEREAS, pursuant to Section 5/11-74.4-5(a) of the Act, notice of the availability of the Amended Plan (including the related eligibility report attached thereto as an exhibit and, if applicable, the feasibility study and the housing impact study) was sent by mail on August 18, 2015 which is within a reasonable time after the adoption by the Commission of Resolution 15-CDC-23 to: (a) all residential addresses that, after a good faith effort, were determined to be (i) located within the Expanded Area and (ii) located within 750 feet of the boundaries of the Expanded Area (or, if applicable, were determined to be the 750 residential addresses that were closest to the boundaries of the Expanded Area); and (b) organizations and residents that were registered interested parties for such Expanded Area; and

WHEREAS, a meeting of the joint review board established pursuant to Section 5/11-74.4-5(b) of the Act (the "Board") was convened upon the provision of due notice on September 11, 2015 at 10:00 a.m., to review the matters properly coming before the Board and to allow it to provide its advisory recommendation regarding the approval of the Amended Plan, designation of the Expanded Area as a redevelopment project area pursuant to the Act and adoption of Tax Increment Allocation Financing within the Expanded Area, and other matters, if any, properly before it; and

WHEREAS, pursuant to Sections 5/11-74.4-4 and 5/11-74.4-5 of the Act, the Commission held the Hearing concerning approval of the Amended Plan, designation of the Expanded Area as a redevelopment project area pursuant to the Act and adoption of Tax Increment Allocation Financing within the Expanded Area pursuant to the Act on October 13, 2015; and

WHEREAS, the Commission has forwarded to the City Council a copy of its Resolution 15-CDC-23, recommending to the City Council approval of the Amended Plan, among other related matters; and

WHEREAS, the City Council has heretofore approved the Amended Plan, which was identified in **AN ORDINANCE OF THE CITY OF CHICAGO, ILLINOIS, APPROVING THE MIDWEST TAX INCREMENT FINANCING REDEVELOPMENT PROJECT AND PLAN AMENDMENT NO. 2**; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

Section 1. Recitals. The above recitals are incorporated herein and made a part hereof.

Section 2. The Expanded Area. The Expanded Area is legally described in **Exhibit A** attached hereto and incorporated herein. The street location (as near as practicable) for the Expanded Area is described in **Exhibit B** attached hereto and incorporated herein. The map of the Expanded Area is depicted on **Exhibit C** attached hereto and incorporated herein.

Section 3. Findings. The Corporate Authorities hereby make the following findings:

a. The Expanded Area includes only those contiguous parcels of real property and improvements thereon that are to be substantially benefitted by proposed Amended Plan improvements, as required pursuant to Section 5/11-74.4-4(a) of the Act;

b. As required pursuant to Section 5/11-74.4-3(p) of the Act:

(i) The Expanded Area is not less, in the aggregate, than one and one-half acres in size; and

(ii) Conditions exist in the Expanded Area that cause the Expanded Area to qualify for designation as a redevelopment project area and a conservation area as defined in the Act;

c. If the Expanded Area is qualified as a "blighted area," whether improved or vacant, each of the factors necessary to qualify the Expanded Area as a redevelopment project area on that basis is (i) clearly present within the intent of the Act and with that presence documented to a meaningful extent, and (ii) reasonably distributed throughout the improved part or vacant part, as applicable, of the Expanded Area as required pursuant to Section 5/11-74.4-3(a) of the Act;

d. If the Expanded Area is qualified as a "conservation area," the combination of the factors necessary to qualify the Expanded Area as a redevelopment project area on that basis is detrimental to the public health, safety, morals or welfare, and the Expanded Area may become a blighted area.

Section 4. Expanded Area Designated. The Expanded Area is hereby designated as a redevelopment project area pursuant to Section 5/11-74.4-4 of the Act.

Section 5. Invalidity of Any Section. If any provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the remaining provisions of this ordinance.

Section 6. Superseder. All ordinances, resolutions, motions or orders in conflict with this ordinance are hereby repealed to the extent of such conflict.

Section 7. Effective Date. This ordinance shall be in full force and effect immediately upon its passage.

List of Attachments

- Exhibit A: Legal description of the Expanded Area
- Exhibit B: Street location of the Expanded Area
- Exhibit C: Map of the Expanded Area

EXHIBIT A

Legal Description of the Expanded Area

ALL THAT PART OF SECTIONS 11, 12, 13, 14, 15, 16, 22, 23 AND 24 IN TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN AND SECTIONS 7 AND 18 IN TOWNSHIP 39 NORTH, RANGE 14 EAST OF THE THIRD PRINCIPAL MERIDIAN BOUNDED AND DESCRIBED AS FOLLOWS:

BEGINNING AT THE POINT OF INTERSECTION OF THE WEST LINE OF S. CALIFORNIA AVENUE WITH THE SOUTH LINE OF ROOSEVELT ROAD;

THENCE EAST ALONG SAID SOUTH LINE OF ROOSEVELT ROAD TO THE WEST LINE OF TALMAN AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF TALMAN AVENUE TO THE SOUTH LINE OF LOT 20 IN THE SUBDIVISION OF LOTS 6 TO 10 IN BLOCK 1 IN COOK AND ANDERSON'S SUBDIVISION OF THE WEST HALF OF THE NORTHEAST QUARTER OF SECTION 24, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID SOUTH LINE OF LOT 20 BEING ALSO THE NORTH LINE OF 12TH PLACE;

THENCE WEST ALONG SAID NORTH LINE OF 12TH PLACE TO THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 1 IN OGDEN NORTH SUBDIVISION OF LOTS 1 THROUGH 8 AND 23 THROUGH 30 IN POPE'S SUBDIVISION OF LOTS 11, 14, 15, 18, 19, 2, 23 AND 26 OF BLOCK 1 OF COOK AND ANDERSON'S SUBDIVISION OF THE WEST HALF OF THE NORTHEAST QUARTER OF SECTION 24, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID EAST LINE OF LOT 1 IN POPE'S SUBDIVISION BEING ALSO THE WEST LINE OF TALMAN AVENUE;

THENCE SOUTH ALONG SAID NORTHERLY EXTENSION AND THE WEST LINE OF TALMAN AVENUE TO THE WESTERLY EXTENSION OF THE NORTH LINE OF LOT 1 IN THE SUBDIVISION OF LOT 24 OF BLOCK 1 OF COOK AND ANDERSON'S SUBDIVISION OF THE WEST HALF OF THE NORTHEAST QUARTER OF SECTION 24, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID NORTH LINE OF LOT 1 IN JOHN BERRY JR. GUARDIAN'S SUBDIVISION BEING ALSO THE SOUTH LINE OF VACATED 13TH STREET;

THENCE EAST ALONG SAID WESTERLY EXTENSION AND ALONG THE SOUTH LINE OF VACATED 13TH STREET TO THE EAST LINE OF SAID LOT 1 IN THE SUBDIVISION OF LOT 24 OF BLOCK 1 OF COOK AND ANDERSON'S SUBDIVISION, SAID EAST LINE OF SAID LOT 1 BEING ALSO THE WEST LINE OF THE ALLEY EAST OF TALMAN AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF THE ALLEY EAST OF TALMAN AVENUE TO THE SOUTHEASTERLY LINE OF LOT 14 IN THE SUBDIVISION OF LOTS 1 TO 5 AND LOT 7 IN BLOCK 4 AND LOTS 1 TO 6 AND 11 TO 14 IN BLOCK 3 AND LOTS 3, 4 AND 5 IN BLOCK 5 IN COOK AND ANDERSON'S SUBDIVISION OF THE WEST HALF OF THE NORTHEAST QUARTER OF SECTION 24, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD

PRINCIPAL MERIDIAN, SAID SOUTHEASTERLY LINE OF LOT 14 BEING ALSO THE NORTHWESTERLY LINE OF THE ALLEY NORTHWESTERLY OF OGDEN AVENUE;

THENCE NORTHEASTERLY ALONG SAID NORTHWESTERLY LINE OF THE ALLEY NORTHWESTERLY OF OGDEN AVENUE TO THE WEST LINE OF ROCKWELL AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF ROCKWELL STREET TO THE NORTH LINE OF 15TH STREET;

THENCE WEST ALONG SAID NORTH LINE OF 15TH STREET TO THE NORTHERLY EXTENSION OF THE WEST LINE OF LOT 11 IN POPE'S SUBDIVISION OF LOTS 1, 2, 3, 4, 10, 11, 12, & 13, ALL IN BLOCK 8 IN COOK AND ANDERSON'S SUBDIVISION IN THE WEST HALF OF THE NORTHEAST QUARTER OF SECTION 24, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE SOUTH ALONG SAID NORTHERLY EXTENSION AND THE WEST LINE OF LOT 11 IN SAID POPE'S SUBDIVISION TO THE SOUTH LINE OF SAID LOT 11, SAID SOUTH LINE OF LOT 11 BEING ALSO THE NORTH LINE OF THE ALLEY NORTH OF 15TH PLACE;

THENCE EAST ALONG SAID NORTH LINE OF THE ALLEY NORTH OF 15TH PLACE TO THE NORTHERLY EXTENSION OF THE WEST LINE OF LOT 17 IN SAID POPE'S SUBDIVISION;

THENCE SOUTH ALONG SAID NORTHERLY EXTENSION AND THE WEST LINE OF LOT 17 IN SAID POPE'S SUBDIVISION TO THE NORTH LINE OF 15TH PLACE;

THENCE WEST ALONG SAID NORTH LINE OF 15TH PLACE TO THE WEST LINE OF WASHTENAW AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF WASHTENAW AVENUE TO THE NORTHWESTERLY LINE OF 19TH STREET;

THENCE SOUTHWESTERLY ALONG SAID NORTHWESTERLY LINE OF 19TH STREET TO THE SOUTH LINE OF LOT 24 IN BLOCK 4 IN McMAHON'S SUBDIVISION OF THE WEST HALF OF THE WEST HALF OF THE SOUTHEAST QUARTER OF SECTION 24, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID SOUTH LINE OF LOT 24 IN BLOCK 4 IN McMAHON'S SUBDIVISION BEING ALSO THE NORTH LINE OF 19TH STREET;

THENCE WEST ALONG SAID NORTH LINE OF 19TH STREET AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF CALIFORNIA AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF CALIFORNIA AVENUE TO THE NORTHERLY LINE OF THE CHICAGO BURLINGTON & QUINCY RAILROAD RIGHT OF WAY;

THENCE SOUTHWESTERLY ALONG SAID NORTHERLY LINE OF THE CHICAGO BURLINGTON & QUINCY RAILROAD RIGHT OF WAY TO THE WEST LINE OF ALBANY AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF ALBANY AVENUE TO THE NORTH LINE OF 19TH STREET;

THENCE EAST ALONG SAID NORTH LINE OF 19TH STREET TO THE WEST LINE OF ALBANY AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF ALBANY AVENUE TO THE SOUTHERLY LINE OF OGDEN AVENUE;

THENCE SOUTHWESTERLY ALONG SAID SOUTHERLY LINE OF OGDEN AVENUE TO THE WEST LINE OF KEDZIE AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF KEDZIE AVENUE TO THE SOUTH LINE OF LOT 2 IN BLOCK 1 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO IN SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, A SUBDIVISION OF BLOCKS 1, 2, 5 AND 10 OF CIRCUIT COURT PARTITION OF THE EAST HALF OF THE NORTHEAST QUARTER AND THAT PART OF THE EAST HALF OF THE SOUTHEAST QUARTER (LYING NORTH OF THE CENTERLINE OF OGDEN AVENUE) OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE WEST ALONG SAID SOUTH LINE OF LOT 2 IN BLOCK 1 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO AND ALONG THE WESTERLY EXTENSION THEREOF, AND ALONG THE SOUTH LINE OF LOT 28 IN SAID BLOCK 1 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO, AND ALONG THE WESTERLY EXTENSION THEREOF, AND ALONG THE SOUTH LINE OF LOT 2 IN BLOCK 2 IN SAID PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO, AND ALONG THE WESTERLY EXTENSION THEREOF, AND ALONG THE SOUTH LINE OF LOT 40 IN SAID BLOCK 2 IN PRESCOTT'S DOUGLAS PARK ADDITION TO CHICAGO TO THE WEST LINE OF SAID LOT 40, SAID WEST LINE OF LOT 40 BEING ALSO THE EAST LINE OF SPAULDING AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF SPAULDING AVENUE TO THE EASTERLY EXTENSION OF THE NORTH LINE OF LOT 15 IN SHERMAN AND WALTER'S RESUBDIVISION OF BLOCK 11 IN CIRCUIT COURT PARTITION OF THE EAST HALF OF THE NORTHEAST QUARTER AND THAT PART OF THE EAST HALF OF THE SOUTHEAST QUARTER (LYING NORTH OF THE CENTERLINE OF OGDEN AVENUE) OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE NORTH LINE OF LOT 15 IN SHERMAN AND WALTER'S RESUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF, AND ALONG THE NORTH LINE OF LOT 39 IN SAID SHERMAN AND WALTER'S RESUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF CHRISTIANA AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF CHRISTIANA AVENUE TO THE SOUTH LINE OF LOT 2 IN THE RESUBDIVISION OF BLOCK 12 IN SAID CIRCUIT COURT PARTITION OF THE EAST HALF OF THE NORTHEAST QUARTER AND THAT PART OF THE EAST HALF OF THE SOUTHEAST QUARTER (LYING NORTH OF THE CENTERLINE OF OGDEN AVENUE) OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE WEST ALONG SAID SOUTH LINE OF LOT 2 IN THE RESUBDIVISION OF BLOCK 12 IN CIRCUIT COURT PARTITION AND ALONG THE WESTERLY EXTENSION THEREOF, AND ALONG THE SOUTH LINE OF LOT 64 IN SAID RESUBDIVISION OF BLOCK 12 IN CIRCUIT COURT PARTITION, AND ALONG THE WESTERLY EXTENSION THEREOF, AND ALONG THE NORTH LINE OF LOT 3 IN BLOCK 1 IN LYMAN TRUMBULL'S SUBDIVISION OF THAT PART OF THE EAST HALF OF THE WEST HALF OF THE SOUTHEAST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN TO THE WEST LINE OF SAID LOT 3, SAID WEST LINE OF LOT 3 BEING ALSO THE EAST LINE OF THE ALLEY WEST OF HOMAN AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF THE ALLEY WEST OF HOMAN AVENUE TO THE EASTERLY EXTENSION OF THE SOUTH LINE OF THE NORTH 10 FEET OF LOT 46 IN SAID BLOCK 1 IN LYMAN TRUMBULL'S SUBDIVISION;

THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE SOUTH LINE OF THE NORTH 10 FEET OF LOT 46 IN BLOCK 1 IN LYMAN TRUMBULL'S SUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF TRUMBULL AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF TRUMBULL AVENUE TO THE SOUTH LINE OF THE NORTH 5 FEET OF LOT 4 IN BLOCK 2 IN SAID LYMAN TRUMBULL'S SUBDIVISION;

THENCE WEST ALONG SAID SOUTH LINE OF THE NORTH 5 FEET OF LOT 4 IN BLOCK 2 IN LYMAN TRUMBULL'S SUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF TO THE EAST LINE OF LOT 45 IN SAID BLOCK 2 IN LYMAN TRUMBULL'S SUBDIVISION, SAID EAST LINE OF LOT 45 BEING ALSO THE WEST LINE OF THE ALLEY WEST OF TRUMBULL AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF THE ALLEY WEST OF TRUMBULL AVENUE TO THE NORTH LINE OF SAID LOT 45 IN BLOCK 2 IN LYMAN TRUMBULL'S SUBDIVISION;

THENCE WEST ALONG SAID NORTH LINE OF SAID LOT 45 IN BLOCK 2 IN LYMAN TRUMBULL'S SUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF ST. LOUIS AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF ST. LOUIS AVENUE TO THE SOUTH LINE OF LOT 2 IN WOOD'S LAWNDALE SUBDIVISION OF THAT PART LYING NORTH OF OGDEN AVENUE OF THE EAST HALF OF THE WEST HALF OF THE WEST HALF TOGETHER WITH THE NORTH 265 FEET OF THE WEST HALF OF THE WEST HALF OF

THE WEST HALF OF THE SOUTHEAST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE WEST ALONG SAID SOUTH LINE OF LOT 2 IN WOOD'S LAWNSDALE SUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF AND ALONG THE SOUTH LINE OF LOT 96 IN SAID WOOD'S LAWNSDALE SUBDIVISION TO THE EAST LINE OF DRAKE AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF DRAKE AVENUE TO THE EASTERLY EXTENSION OF THE SOUTH LINE OF LOT 99 IN SAID WOOD'S LAWNSDALE SUBDIVISION;

THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE SOUTH LINE OF LOT 99 IN WOOD'S LAWNSDALE SUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF AND ALONG THE SOUTH LINE OF LOT 114 IN SAID WOOD'S LAWNSDALE SUBDIVISION TO THE EAST LINE OF CENTRAL PARK AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF CENTRAL PARK AVENUE TO THE EASTERLY EXTENSION OF THE NORTH LINE OF LOT 9 IN J. T. MATTHEW'S SUBDIVISION OF LOTS 1 AND 20 IN J. H. KEDZIE'S SUBDIVISION OF PART OF THE SOUTHWEST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE NORTH LINE OF LOT 9 IN J. T. MATTHEW'S SUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF TO THE EAST LINE OF LOT 6 IN BLOCK 1 IN THE RESUBDIVISION OF BLOCKS 1 TO 5 AND VACATED ALLEYS IN LANSINGH'S SECOND ADDITION TO CHICAGO, A SUBDIVISION OF LOTS 2, 3, 4, 17, 18 AND 19 (EXCEPT THE WEST 146.17 FEET OF SAID LOTS 4 & 17) IN J.H. KEDZIE'S SUBDIVISION OF PART OF THE SOUTHWEST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID EAST LINE OF LOT 6 BEING ALSO THE WEST LINE OF THE ALLEY WEST OF CENTRAL PARK AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF THE ALLEY WEST OF CENTRAL PARK AVENUE TO THE SOUTH LINE OF LOT 11 IN SAID BLOCK 1 IN THE RESUBDIVISION OF BLOCKS 1 TO 5 AND VACATED ALLEYS IN LANSINGH'S SECOND ADDITION TO CHICAGO;

THENCE WEST ALONG SAID SOUTH LINE OF LOT 11 IN BLOCK 1 IN THE RESUBDIVISION OF BLOCKS 1 TO 5 AND VACATED ALLEYS IN LANSINGH'S SECOND ADDITION TO CHICAGO AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF MILLARD AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF MILLARD AVENUE TO THE SOUTH LINE OF LOT 6 IN BLOCK 2 IN SAID RESUBDIVISION OF BLOCKS 1 TO 5 AND VACATED ALLEYS IN LANSINGH'S SECOND ADDITION TO CHICAGO;

THENCE WEST ALONG SAID SOUTH LINE OF LOT 6 IN BLOCK 2 IN THE RESUBDIVISION OF BLOCKS 1 TO 5 AND VACATED ALLEYS IN LANSINGH'S SECOND ADDITION TO CHICAGO AND ALONG THE WESTERLY EXTENSION THEREOF TO THE EAST LINE OF LOT 154 IN LANSINGH'S ADDITION TO CHICAGO, A SUBDIVISION OF LOTS 5, 6, 15, 16 AND THE WEST 146.17 FEET OF LOTS 4 AND 17 IN J. H. KEDZIE'S SUBDIVISION OF PART OF THE SOUTHWEST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID EAST LINE OF LOT 154 IN LANSINGH'S ADDITION TO CHICAGO BEING ALSO THE WEST LINE OF THE ALLEY WEST OF MILLARD AVENUE;

THENCE NORTH ALONG SAID EAST LINE OF LOT 154 IN LANSINGH'S ADDITION TO CHICAGO TO THE NORTH LINE OF SAID LOT 154;

THENCE WEST ALONG SAID NORTH LINE OF LOT 154 IN LANSINGH'S ADDITION TO CHICAGO AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF LAWNGDALE AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF LAWNGDALE AVENUE TO THE SOUTH LINE OF LOT 143 IN SAID LANSINGH'S ADDITION TO CHICAGO;

THENCE WEST ALONG SAID SOUTH LINE OF LOT 143 IN SAID LANSINGH'S ADDITION TO CHICAGO AND ALONG THE WESTERLY EXTENSION THEREOF TO THE EAST LINE OF LOTS 3 AND 4 IN SAID LANSINGH'S ADDITION TO CHICAGO, SAID EAST LINE OF LOTS 3 AND 4 IN LANSINGH'S ADDITION TO CHICAGO BEING ALSO THE WEST LINE OF THE ALLEY WEST OF LAWNGDALE AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF THE ALLEY WEST OF LAWNGDALE AVENUE TO THE SOUTH LINE OF THE NORTH 11.5 FEET OF LOT 3 IN SAID LANSINGH'S ADDITION TO CHICAGO;

THENCE WEST ALONG SAID SOUTH LINE OF THE NORTH 11.5 FEET OF LOT 3 IN LANSINGH'S ADDITION TO CHICAGO AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF RIDGEWAY AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF RIDGEWAY AVENUE TO THE SOUTH LINE OF THE NORTH 16 FEET OF LOT 2 IN DOWNING'S SUBDIVISION (EXCEPT STREETS) OF LOTS 7 TO 14 INCLUSIVE IN J. H. KEDZIE'S SUBDIVISION OF PART OF THE SOUTHWEST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE WEST ALONG SAID SOUTH LINE OF THE NORTH 16 FEET OF LOT 2 IN DOWNING'S SUBDIVISION TO THE WEST LINE OF SAID LOT 2, SAID WEST LINE OF LOT 2 BEING ALSO THE EAST LINE OF THE ALLEY EAST OF HAMLIN AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF THE ALLEY EAST OF HAMLIN AVENUE TO THE EASTERLY EXTENSION OF THE SOUTH LINE OF LOT 150 IN SAID DOWNING'S SUBDIVISION;

THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE SOUTH LINE OF LOT 150 IN SAID DOWNING'S SUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF HAMLIN AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF HAMLIN AVENUE TO THE SOUTH LINE OF LOT 152 IN SAID DOWNING'S SUBDIVISION IN THE WEST HALF OF THE SOUTHWEST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE WEST ALONG SAID SOUTH LINE OF LOT 152 IN DOWNING'S SUBDIVISION AND ALONG THE WESTERLY EXTENSION THEREOF AND ALONG THE SOUTH LINE OF LOT 313 IN SAID DOWNING'S SUBDIVISION TO THE EAST LINE OF AVERS AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF AVERS AVENUE TO THE EASTERLY EXTENSION OF THE NORTH LINE OF LOT 21 IN BLOCK 1 IN MOORE'S SUBDIVISION OF LOT 1 OF SUPERIOR COURT PARTITION OF THE WEST 60 ACRES NORTH OF SOUTH WESTERN PLANK ROAD OF THE SOUTHWEST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID NORTH LINE OF LOT 21 BEING ALSO THE SOUTH LINE OF THE ALLEY NORTH OF 18TH STREET;

THENCE WEST ALONG SAID EASTERLY EXTENSION AND ALONG THE SOUTH LINE OF THE ALLEY NORTH OF 18TH STREET AND ALONG THE WESTERLY EXTENSION THEREOF TO THE WEST LINE OF SPRINGFIELD AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF SPRINGFIELD AVENUE TO THE NORTH LINE OF LOT 12 IN BLOCK 2 IN SAID MOORE'S SUBDIVISION, SAID NORTH LINE OF LOT 12 BEING ALSO THE SOUTH LINE OF THE ALLEY SOUTH OF 16TH STREET;

THENCE WEST ALONG SAID SOUTH LINE OF THE ALLEY SOUTH OF 16TH STREET TO THE EAST LINE OF LOT 12 IN BLOCK 1 IN REYELS & LOEFFLER'S ADDITION TO CHICAGO, A SUBDIVISION OF LOT 1 IN SUPERIOR COURT PARTITION OF THE SOUTHEAST QUARTER OF SECTION 22 AFORESAID, SAID EAST LINE OF LOT 12 BEING ALSO THE WEST LINE OF PULASKI ROAD;

THENCE NORTH ALONG THE WEST LINE OF PULASKI ROAD TO THE SOUTH LINE OF THE ALLEY LYING SOUTH OF 16TH STREET;

THENCE WEST ALONG SAID SOUTH LINE OF THE ALLEY LYING SOUTH OF 16TH STREET TO THE WEST LINE OF KOMENSKY AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF KOMENSKY AVENUE TO THE WESTERLY EXTENSION OF THE SOUTH LINE OF LOT 31 IN BLOCK 8 IN OUR HOME ADDITION TO CHICAGO, A SUBDIVISION OF THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN EXCEPT THE NORTH 50 ACRES THEREOF, SAID SOUTH LINE OF LOT 31 BEING ALSO THE NORTH LINE OF THE ALLEY NORTH OF 16TH STREET;

THENCE EAST ALONG SAID WESTERLY EXTENSION AND THE SOUTH LINE OF LOT 31 IN BLOCK 8 IN OUR HOME ADDITION TO CHICAGO TO THE SOUTHEASTERLY LINE OF SAID LOT 31;

THENCE NORTHEASTERLY ALONG SAID SOUTHEASTERLY LINE OF LOT 31 IN BLOCK 8 IN OUR HOME ADDITION TO CHICAGO TO THE EAST LINE OF SAID LOT 31, SAID EAST LINE OF LOT 31 BEING ALSO THE WEST LINE OF THE ALLEY WEST OF PULASKI ROAD;

THENCE NORTH ALONG SAID WEST LINE OF THE ALLEY WEST OF PULASKI ROAD TO THE SOUTH LINE OF LOT 6 IN BLOCK 1 IN WM. A. MERIGOLD'S RESUBDIVISION OF THE NORTH 50 ACRES OF THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID SOUTH LINE OF LOT 6 BEING ALSO THE NORTH LINE OF THE ALLEY SOUTH OF ROOSEVELT ROAD;

THENCE WEST ALONG SAID SOUTH LINE OF LOT 6 AND ALONG THE SOUTH LINE OF LOT 7, ALL IN BLOCK 1 IN WM. A. MERIGOLD'S RESUBDIVISION TO THE WEST LINE OF THE EAST 4.50 FEET OF SAID LOT 7;

THENCE NORTH ALONG SAID WEST LINE OF THE EAST 4.50 FEET OF LOT 7 IN BLOCK 1 IN WM. A. MERIGOLD'S RESUBDIVISION TO THE SOUTH LINE OF ROOSEVELT ROAD;

THENCE WEST ALONG SAID SOUTH LINE OF ROOSEVELT ROAD TO THE WEST LINE OF KARLOV AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF KARLOV AVENUE TO THE WESTERLY EXTENSION OF THE NORTH LINE OF LOT 25 IN BLOCK 8 IN 12TH STREET LAND ASSOCIATION SUBDIVISION IN THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 15, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID NORTH LINE OF LOT 25 BEING ALSO THE SOUTH LINE OF THE ALLEY NORTH OF GRENSHAW STREET;

THENCE EAST ALONG SAID WESTERLY EXTENSION AND ALONG THE SOUTH LINE OF THE ALLEY NORTH OF GRENSHAW STREET TO THE WEST LINE OF PULASKI ROAD;

THENCE NORTH ALONG SAID WEST LINE OF PULASKI ROAD TO THE WESTERLY EXTENSION OF THE NORTH LINE OF LOT 1 IN L. E. INGALL'S SUBDIVISION OF THAT PART OF BLOCKS 5 AND 6 IN CIRCUIT COURT PARTITION LYING SOUTH OF THE WISCONSIN RAILROAD, SAID NORTH LINE OF LOT 1 IN L. E. INGALL'S SUBDIVISION BEING ALSO THE SOUTH LINE OF THE ALLEY NORTH OF FILLMORE STREET;

THENCE EAST ALONG SAID WESTERLY EXTENSION AND THE SOUTH LINE OF THE ALLEY NORTH OF FILLMORE STREET TO THE WEST LINE OF SPRINGFIELD AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF SPRINGFIELD AVENUE TO THE SOUTH LINE OF LOT 1 IN BLOCK 2 IN W. J. AND D. F. ANDERSON'S SUBDIVISION OF SUB-BLOCK 1 (EXCEPT THE WEST 100 FEET OF THE SOUTH HALF THEREOF CONVEYED TO

THE CHICAGO, HARLEM & BATAVIA RAILROAD COMPANY), OF BLOCK 5 AND ALL OF SUB-BLOCK 1 OF BLOCK 6, ALL IN THE CIRCUIT COURT PARTITION OF THE WEST HALF OF THE SOUTHWEST QUARTER OF SECTION 14, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID SOUTH LINE OF LOT 1 IN BLOCK 2 IN W. J. AND D. F. ANDERSON'S SUBDIVISION BEING ALSO THE NORTH LINE OF THE ALLEY SOUTH OF ARTHINGTON STREET;

THENCE WEST ALONG SAID NORTH LINE OF THE ALLEY SOUTH OF ARTHINGTON STREET TO THE EAST LINE OF PULASKI ROAD;

THENCE SOUTH ALONG SAID EAST LINE OF PULASKI ROAD TO THE EASTERLY EXTENSION OF THE SOUTH LINE OF LOT 48 IN BLOCK 1 IN 12TH ST. LAND ASSOCIATION SUBDIVISION OF BLOCKS 1, 5, 8, AND 9 OF THE PARTITION OF THAT PART OF THE EAST HALF OF THE SOUTHEAST QUARTER LYING SOUTH OF THE CENTER OF BARRY POINT ROAD EXCEPT THE NORTH 26 ACRES OF SAID PART OF THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 15, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID SOUTH LINE OF LOT 48 IN BLOCK 1 IN 12TH ST. LAND ASSOCIATION SUBDIVISION BEING ALSO THE NORTH LINE OF TAYLOR STREET;

THENCE WEST ALONG SAID EASTERLY EXTENSION AND ALONG THE NORTH LINE OF TAYLOR STREET TO THE EAST LINE OF KILDARE AVENUE;

THENCE NORTH ALONG SAID EAST LINE OF KILDARE AVENUE TO THE NORTHERLY LINE OF FIFTH AVENUE;

THENCE SOUTHWESTERLY ALONG SAID NORTHERLY LINE OF FIFTH AVENUE TO THE WEST LINE OF LOT 20 IN BLOCK 6 IN THE SUBDIVISION OF THAT PART OF THE EAST HALF OF THE SOUTHWEST QUARTER OF SECTION 15, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN LYING NORTH OF BARRY POINT ROAD, SAID WEST LINE OF LOT 20 BEING ALSO THE EAST LINE OF BELT RAILWAY COMPANY OF CHICAGO RIGHT OF WAY;

THENCE NORTH ALONG SAID EAST LINE OF BELT RAILWAY COMPANY OF CHICAGO RIGHT OF WAY TO THE NORTH LINE OF POLK STREET;

THENCE EAST ALONG SAID NORTH LINE OF POLK STREET AND ALONG THE EASTERLY EXTENSION THEREOF TO THE EAST LINE OF KOLMAR AVENUE;

THENCE NORTH ALONG SAID EAST LINE OF KOLMAR AVENUE TO THE NORTH RIGHT OF WAY LINE OF LEXINGTON STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF LEXINGTON STREET TO THE EAST RIGHT OF WAY LINE OF CICERO AVENUE;

THENCE SOUTH ALONG THE EAST RIGHT OF WAY LINE OF CICERO AVENUE TO THE NORTH RIGHT OF WAY LINE OF ARTHINGTON STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF ARTHINGTON STREET TO THE EAST LINE OF LOT 17 IN HOPSON'S SUBDIVISION OF LOTS 163, 164 AND 169 IN SCHOOL TRUSTEES SUBDIVISION OF THE NORTH PART OF SECTION 16 AFORESAID, SAID EAST LINE OF LOT 17 BEING THE WEST RIGHT OF WAY LINE OF CICERO AVENUE;

THENCE SOUTH ALONG THE WEST RIGHT OF WAY LINE OF CICERO AVENUE TO THE NORTH RIGHT OF WAY LINE OF ARTHINGTON STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF ARTHINGTON STREET TO THE EAST RIGHT OF WAY LINE OF LAVERGNE AVENUE;

THENCE NORTH ALONG THE EAST RIGHT OF WAY LINE OF LAVERGNE AVENUE TO THE NORTH RIGHT OF WAY LINE OF LEXINGTON STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF LEXINGTON STREET TO THE EAST LINE OF LOT 189 IN SCHOOL TRUSTEES' SUBDIVISION OF THE NORTH PART OF SECTION 16 AFORESAID;

THENCE NORTH ALONG THE EAST LINE OF LOT 189 IN SCHOOL TRUSTEES' SUBDIVISION AFORESAID TO THE NORTH LINE OF SAID LOT 189;

THENCE WEST ALONG THE NORTH LINE OF LOT 189 IN SCHOOL TRUSTEES' SUBDIVISION AFORESAID TO THE WEST RIGHT OF WAY LINE OF LEAMINGTON AVENUE;

THENCE SOUTH ALONG THE WEST RIGHT OF WAY LINE OF LEAMINGTON AVENUE TO THE CENTER LINE OF VACATED POLK STREET;

THENCE WEST ALONG THE CENTER LINE OF VACATED POLK STREET TO THE EAST RIGHT OF WAY LINE OF LARAMIE AVENUE;

THENCE NORTH ALONG THE EAST RIGHT OF WAY LINE OF LARAMIE AVENUE TO THE NORTH RIGHT OF WAY LINE OF HARRISON STREET;

THENCE EAST ALONG THE NORTH RIGHT OF WAY LINE OF HARRISON STREET TO THE WEST RIGHT OF WAY LINE OF LAVERGNE AVENUE;

THENCE NORTH ALONG THE WEST RIGHT OF WAY LINE OF LAVERGNE AVENUE TO THE NORTH RIGHT OF WAY LINE OF GLADYS AVENUE;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF GLADYS AVENUE TO THE WEST RIGHT OF WAY LINE OF LEAMINGTON AVENUE;

THENCE SOUTH ALONG THE WEST RIGHT OF WAY LINE OF LEAMINGTON AVENUE TO THE NORTH RIGHT OF WAY LINE OF VAN BUREN STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF VAN BUREN STREET TO THE EAST RIGHT OF WAY LINE OF LARAMIE AVENUE;

THENCE NORTH ALONG THE EAST RIGHT OF WAY LINE OF LARAMIE AVENUE TO THE SOUTH RIGHT OF WAY LINE OF MONROE STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF MONROE STREET TO THE POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 22 IN BRITIGAN'S MADISON STREET SUBDIVISION IN THE NORTHEAST QUARTER OF SECTION 16 AFORESAID;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 22 AFORESAID TO THE NORTH LINE OF LOT 22, SAID LINE BEING ALSO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MADISON STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MADISON STREET TO THE EAST LINE OF LOT 6 IN D.G. DAVIS' SUBDIVISION OF LOTS 2 AND 3 IN SCHOOL TRUSTEES' SUBDIVISION OF THE NORTH PART OF SECTION 16 AFORESAID, SAID EAST LINE OF LOT 6 ALSO BEING THE WEST RIGHT OF WAY LINE OF AN ALLEY LYING WEST OF CICERO AVENUE;

THENCE SOUTH ALONG THE WEST RIGHT OF WAY LINE OF AN ALLEY LYING WEST OF CICERO AVENUE TO THE NORTH LINE OF LOT 6 IN S.E. GROSS' SUBDIVISION OF LOTS 8, 9, 24 AND 25 OF SCHOOL TRUSTEES' SUBDIVISION AFORESAID, SAID NORTH LINE OF LOT 6 BEING ALSO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF JACKSON BOULEVARD;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF JACKSON BOULEVARD TO THE EAST LINE OF LOT 4 IN S.E. GROSS' SUBDIVISION AFORESAID;

THENCE SOUTH ALONG THE EAST LINE OF LOT 4 IN S.E. GROSS' SUBDIVISION AFORESAID TO THE NORTH RIGHT OF WAY LINE OF JACKSON BOULEVARD;

THENCE EAST ALONG THE NORTH RIGHT OF WAY LINE OF JACKSON BOULEVARD TO THE WEST LINE OF LOT 28 IN BLOCK 6 IN HOBART'S SUBDIVISION OF THE NORTHWEST QUARTER OF THE NORTHWEST QUARTER OF SECTION 15 AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF LOT 28 AND THE WEST LINE OF LOT 21 IN BLOCK 6 IN HOBART'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF ADAMS STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF ADAMS STREET TO A POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE EASTERLY LINE OF THE WESTERLY 8 FEET OF LOT 29 IN BLOCK 3 IN HOBART'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE EASTERLY LINE OF THE WESTERLY 8 FEET OF LOT 29 IN BLOCK 3 IN HOBART'S SUBDIVISION TO THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF ADAMS STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF ADAMS STREET TO THE WESTERLY LINE OF THE EASTERLY 9 FEET OF LOT 22 IN BLOCK 3 IN HOBART'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WESTERLY LINE OF THE EASTERLY 9 FEET OF LOT 22 IN BLOCK 3 IN HOBART'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF MONROE STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF MONROE STREET TO A POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 29 IN BLOCK 2 IN HOBART'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 29 IN BLOCK 2 IN HOBART'S SUBDIVISION TO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MADISON STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MADISON STREET TO THE EAST LINE OF LOT 42 IN E.A. CUMMINGS' SUBDIVISION OF THE EAST HALF OF THE NORTHWEST QUARTER OF THE NORTHWEST QUARTER OF SECTION 15 AFORESAID;

THENCE SOUTH ALONG THE EAST LINE OF LOT 42 IN E.A. CUMMINGS' SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF MONROE STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF MONROE STREET TO THE EAST LINE OF LOT 49 IN E.A. CUMMINGS' SUBDIVISION AFORESAID;

THENCE SOUTH ALONG THE EAST LINE OF LOT 49 IN E.A. CUMMINGS' SUBDIVISION TO THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF ADAMS STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF ADAMS STREET TO A POINT OF INTERSECTION WITH THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 83 IN E.A. CUMMINGS' SUBDIVISION AFORESAID;

THENCE SOUTH ALONG THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 83 IN E.A. CUMMINGS' SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF ADAMS STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF ADAMS STREET TO THE EAST LINE OF LOT 96 IN E.A. CUMMINGS' SUBDIVISION AFORESAID;

THENCE SOUTH ALONG THE EAST LINE OF LOT 96 IN E.A. CUMMINGS' SUBDIVISION AFORESAID TO THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF JACKSON BOULEVARD;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF JACKSON BOULEVARD TO A POINT OF INTERSECTION WITH THE NORTHERLY

EXTENSION OF THE EAST LINE OF LOT 134 IN E.A. CUMMINGS' SUBDIVISION
AFORESAID;

THENCE SOUTH ALONG THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 134
IN E.A. CUMMINGS' SUBDIVISION AFORESAID TO THE NORTH RIGHT OF WAY LINE OF
JACKSON BOULEVARD;

THENCE EAST ALONG THE NORTH RIGHT OF WAY LINE OF JACKSON BOULEVARD TO
THE WEST LINE OF LOT 14 IN BLOCK 1 IN BOYNTON'S SUBDIVISION OF THE WEST
HALF OF THE WEST HALF OF THE NORTHEAST QUARTER OF THE NORTHWEST
QUARTER OF SECTION 15 AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF LOT 14 IN BLOCK 1 IN BOYNTON'S
SUBDIVISION AFORESAID AND ITS NORTHERLY EXTENSION TO THE SOUTH RIGHT OF
WAY LINE OF WILCOX AVENUE;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF WILCOX AVENUE TO A
POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF
LOT 16 IN BLOCK 3 IN D.S. PLACE'S ADDITION TO CHICAGO, A SUBDIVISION OF THE
EAST THREE QUARTERS OF THE NORTHEAST QUARTER OF THE NORTHWEST
QUARTER OF SECTION 15 AFORESAID;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 16
IN BLOCK 3 IN D.S. PLACE'S ADDITION TO CHICAGO AFORESAID TO THE NORTH RIGHT
OF WAY LINE OF AN ALLEY LYING SOUTH OF MONROE STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH
OF MONROE STREET TO THE WEST LINE OF LOT 4 IN BLOCK 3 IN BOYNTON'S
SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF LOT 4 IN BLOCK 3 IN BOYNTON'S
SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF MONROE STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF MONROE STREET TO A
POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF
LOT 22 IN BLOCK 4 IN BOYNTON'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF LOT 22 IN BLOCK 4 IN BOYNTON'S
SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING
SOUTH OF MADISON STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF
MADISON STREET TO THE WEST RIGHT OF WAY LINE OF KEELER AVENUE;

THENCE SOUTH ALONG THE WEST RIGHT OF WAY LINE OF KEELER AVENUE TO THE
SOUTH RIGHT OF WAY LINE OF MONROE STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF MONROE STREET TO THE EAST LINE OF LOT 7 IN BLOCK 4 IN W.M. DERBY'S SUBDIVISION OF THE NORTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 15 AFORESAID;

THENCE SOUTH ALONG THE EAST LINE OF LOT 7 IN BLOCK 4 IN W.M.DERBY'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MONROE STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MONROE STREET TO THE EAST LINE OF LOT 45 IN BLOCK 4 IN W.M. DERBY'S SUBDIVISION AFORESAID;

THENCE SOUTH ALONG THE EAST LINE OF LOT 45 IN BLOCK 4 IN W.M. DERBY'S SUBDIVISION TO THE SOUTH RIGHT OF WAY LINE OF WILCOX AVENUE;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF WILCOX AVENUE TO THE WEST RIGHT OF WAY LINE OF PULASKI ROAD;

THENCE SOUTH ALONG THE WEST RIGHT OF WAY LINE OF PULASKI ROAD TO THE NORTH RIGHT OF WAY LINE OF JACKSON BOULEVARD;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF JACKSON BOULEVARD TO A POINT OF INTERSECTION WITH THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 3 IN BLOCK 1 IN JAMES H. BREWSTER'S SUBDIVISION OF THE NORTH 20 ACRES OF THE SOUTH 40 ACRES OF THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 15 AFORESAID;

THENCE SOUTH ALONG THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 3 IN BLOCK 1 IN JAMES H. BREWSTER'S SUBDIVISION AFORESAID TO THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF GLADYS AVENUE;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF GLADYS AVENUE TO A POINT OF INTERSECTION WITH THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 44 IN BLOCK 1 IN JAMES H. BREWSTER'S SUBDIVISION AFORESAID;

THENCE SOUTH ALONG THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 44 IN BLOCK 1 IN JAMES H. BREWSTER'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF GLADYS AVENUE;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF GLADYS AVENUE TO THE EAST LINE OF LOT 3 IN BLOCK 4 IN JAMES H. BREWSTER'S SUBDIVISION AFORESAID;

THENCE SOUTH ALONG THE EAST LINE OF LOT 3 IN BLOCK 4 EXTENDED SOUTH TO THE NORTH RIGHT OF WAY LINE OF VAN BUREN STREET;

THENCE EAST ALONG THE NORTH RIGHT OF WAY LINE OF VAN BUREN STREET TO THE EAST LINE OF THE WEST 4 FEET OF LOT 30 IN BLOCK 11 IN LAMBERT TREE'S

SUBDIVISION OF THE WEST HALF OF THE NORTHWEST QUARTER OF SECTION 14 AFORESAID;

THENCE NORTH ALONG THE EAST LINE OF THE WEST 4 FEET OF LOT 30 IN BLOCK 11 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF VAN BUREN STREET;

THENCE WEST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING NORTH OF VAN BUREN STREET TO A POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 19 IN BLOCK 11 IN LAMBERT TREE'S SUBDIVISION;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 19 IN BLOCK 11 IN LAMBERT TREE'S SUBDIVISION TO THE NORTH RIGHT OF WAY LINE OF GLADYS AVENUE;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF GLADYS AVENUE TO THE WEST LINE OF LOT 29 IN BLOCK 10 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF LOT 29 IN BLOCK 10 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF JACKSON BOULEVARD;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF JACKSON BOULEVARD TO A POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF THE EAST 4.14 FEET OF LOT 13 IN BLOCK 10 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE WEST LINE OF THE EAST 4.14 FEET OF LOT 13 IN BLOCK 10 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF JACKSON BOULEVARD;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF JACKSON BOULEVARD TO A POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 34 IN BLOCK 8 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF LOT 34 IN BLOCK 8 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF ADAMS STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF ADAMS STREET TO THE WEST LINE OF THE EAST 6 FEET OF LOT 25 IN BLOCK 8 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF THE EAST 6 FEET OF LOT 25 IN BLOCK 8 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF ADAMS STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF ADAMS STREET TO A POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF THE EAST 5 FEET OF LOT 30 IN BLOCK 5 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE WEST LINE OF THE EAST 5 FEET OF LOT 30 IN BLOCK 5 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF WILCOX STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF WILCOX STREET TO A POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE WEST LINE OF THE EAST 2 FEET OF LOT 24 IN BLOCK 5 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE WEST LINE OF THE EAST 2 FEET OF LOT 24 IN BLOCK 5 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE NORTH RIGHT OF WAY LINE OF WILCOX STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF WILCOX STREET TO THE WEST LINE OF THE EAST 12.38 FEET OF LOT 37 IN BLOCK 3 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF THE EAST 12.38 FEET OF LOT 37 IN BLOCK 3 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MONROE STREET;

THENCE WEST ALONG THE NORTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MONROE STREET TO THE WEST LINE OF THE EAST 3 FEET OF LOT 21 IN BLOCK 3 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF THE EAST 3 FEET OF LOT 21 IN BLOCK 3 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF MONROE STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF MONROE STREET TO A POINT OF INTERSECTION WITH THE SOUTHERLY EXTENSION OF THE EAST LINE OF THE WEST 3.50 FEET OF LOT 31 IN BLOCK 2 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE SOUTHERLY EXTENSION OF THE EAST LINE OF THE WEST 3.50 FEET OF LOT 31 IN BLOCK 2 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MADISON STREET;

THENCE EAST ALONG THE SOUTH RIGHT OF WAY LINE OF AN ALLEY LYING SOUTH OF MADISON STREET TO THE WEST LINE OF LOT 41 IN BLOCK 1 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF LOT 41 AFORESAID TO THE NORTH LINE OF LOT 41 IN BLOCK 1 IN LAMBERT TREE'S SUBDIVISION AFORESAID;

THENCE EAST ALONG THE NORTH LINE OF LOT 41 IN BLOCK 1 IN LAMBERT TREE'S SUBDIVISION AFORESAID TO THE EAST RIGHT OF WAY LINE OF HAMLIN BOULEVARD;

THENCE NORTH ALONG SAID EAST LINE OF HAMLIN BOULEVARD TO THE EASTERLY EXTENSION OF THE NORTH LINE OF THE ALLEY LYING NORTH OF MADISON STREET;

THENCE WESTERLY ALONG THE EASTERLY EXTENSION OF THE NORTH LINE OF THE ALLEY LYING NORTH OF MADISON STREET TO THE WEST LINE HAMLIN BOULEVARD;

THENCE NORTH ALONG THE WEST LINE OF HAMLIN BOULEVARD TO THE SOUTH LINE OF WASHINGTON BOULEVARD;

THENCE WEST ALONG THE SOUTH LINE OF WASHINGTON BOULEVARD TO THE WEST LINE OF LOT 5 IN BLOCK 4 IN S.L. BROWN'S SUBDIVISION OF BLOCKS 1 TO 4 OF S.L. BROWN'S SUBDIVISION OF PART OF THE EAST HALF OF THE WEST HALF OF THE SOUTHWEST QUARTER OF SECTION 11 AFORESAID;

THENCE NORTHERLY ALONG THE NORTHERLY EXTENSION OF THE WEST LINE OF LOT 5 IN BLOCK 4 IN S.L. BROWN'S SUBDIVISION OF BLOCKS 1 TO 4 OF S.L. BROWN'S SUBDIVISION AFORESAID TO THE NORTH LINE OF WASHINGTON BOULEVARD;

THENCE WEST ALONG THE NORTH LINE OF WASHINGTON BOULEVARD TO THE EAST LINE OF LOT 39 IN PARMLY'S SUBDIVISION OF THAT PART OF LOT 3 LYING SOUTH OF LAKE STREET OF COURT PARTITION OF THE EAST 30 ACRES OF THE WEST 40 ACRES OF THE SOUTHWEST QUARTER OF SECTION 11 AFORESAID;

THENCE NORTH ALONG THE EAST LINE OF LOT 39 EXTENDED NORTH TO THE SOUTH LINE OF WEST END AVENUE;

THENCE EAST ALONG THE SOUTH LINE OF WEST END AVENUE TO THE SOUTHERLY EXTENSION OF THE WEST LINE OF LOT 12 IN BLOCK 2 IN THE SUBDIVISION OF BLOCKS 1 AND 2 OF J.D. HOBBS SUBDIVISION OF PART OF THE SOUTHWEST QUARTER OF SECTION 11 AFORESAID;

THENCE NORTH ALONG THE WEST LINE OF LOT 12 IN BLOCK 2 IN THE SUBDIVISION OF BLOCKS 1 AND 2 OF J.D. HOBBS SUBDIVISION AFORESAID TO THE NORTH LINE OF MAYPOLE AVENUE;

THENCE EAST ALONG THE NORTH LINE OF MAYPOLE AVENUE TO THE EAST LINE OF HAMLIN BOULEVARD;

THENCE NORTH ALONG THE EAST LINE OF HAMLIN BOULEVARD TO THE SOUTH LINE OF THE CHICAGO & NORTHWESTERN RAILROAD COMPANY RIGHT OF WAY IN THE EAST HALF OF THE SOUTHWEST QUARTER OF SECTION 11, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE EAST ALONG SAID SOUTH LINE OF THE CHICAGO & NORTHWESTERN RAILROAD COMPANY RIGHT OF WAY TO THE WEST LINE OF VACATED CENTRAL PARK AVENUE, SAID WEST LINE OF VACATED CENTRAL PARK AVENUE BEING A LINE 10 FEET WEST OF AND PARALLEL WITH THE WEST LINE OF THE WEST HALF OF THE SOUTHEAST QUARTER OF SECTION 11, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE SOUTH ALONG SAID WEST LINE OF VACATED CENTRAL PARK AVENUE TO THE SOUTH LINE OF VACATED CENTRAL PARK AVENUE, SAID SOUTH LINE OF VACATED CENTRAL PARK AVENUE BEING A LINE 86 FEET SOUTH OF AND PARALLEL WITH THE SOUTH LINE OF THE CHICAGO & NORTHWESTERN RAILROAD COMPANY RIGHT OF WAY;

THENCE EAST ALONG SAID SOUTH LINE OF VACATED CENTRAL PARK AVENUE TO THE EAST LINE OF CENTRAL PARK AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF CENTRAL PARK AVENUE TO THE NORTH LINE OF LAKE STREET;

THENCE EASTERLY ALONG SAID NORTH LINE OF LAKE STREET TO THE WEST LINE OF KEDZIE AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF KEDZIE AVENUE TO THE NORTH LINE OF WASHINGTON BOULEVARD;

THENCE EAST ALONG SAID NORTH LINE OF WASHINGTON BOULEVARD TO THE EAST LINE OF TALMAN AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF TALMAN AVENUE TO THE NORTH LINE OF LOT 15 IN POLLACK'S SUBDIVISION OF 4 ACRES IN THE SOUTH HALF OF THE SOUTHEAST QUARTER OF SECTION 12, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN;

THENCE EAST ALONG SAID NORTH LINE OF LOT 15 IN POLLACK'S SUBDIVISION TO A LINE 25 FEET EAST OF AND PARALLEL WITH THE EAST LINE OF TALMAN AVENUE;

THENCE NORTH ALONG SAID LINE 25 FEET EAST OF AND PARALLEL WITH THE EAST LINE OF TALMAN AVENUE TO THE NORTH LINE OF WASHINGTON BOULEVARD;

THENCE EAST ALONG THE NORTH LINE OF WASHINGTON BOULEVARD TO THE WEST LINE OF LOT 10 IN D. McINTOSH'S SUBDIVISION IN PARTS OF THE SOUTH HALF OF THE SOUTHEAST QUARTER OF SECTION 12 AFORESAID;

THENCE SOUTH ALONG THE WEST LINE OF LOT 10 IN D. McINTOSH'S SUBDIVISION AFORESAID TO THE NORTH LINE OF WASHINGTON BOULEVARD;

THENCE EAST ALONG SAID NORTH LINE OF WASHINGTON BOULEVARD TO THE WEST LINE OF WESTERN AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF WESTERN AVENUE TO THE SOUTH LINE OF WASHINGTON BOULEVARD;

THENCE EAST ALONG SAID SOUTH LINE OF WASHINGTON BOULEVARD TO THE EAST LINE OF WESTERN AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF WESTERN AVENUE AND ALONG THE EAST LINE OF WESTERN AVENUE TO THE EASTERLY EXTENSION THE NORTH LINE OF CONGRESS PARKWAY;

THENCE WEST ALONG SAID EASTERLY EXTENSION AND THE NORTH LINE OF CONGRESS PARKWAY TO THE EAST LINE OF THE CHICAGO AND NORTHWESTERN RAILWAY RIGHT OF WAY;

THENCE SOUTH ALONG SAID EAST LINE OF THE CHICAGO AND NORTHWESTERN RAILWAY RIGHT OF WAY TO THE CENTERLINE OF HARRISON STREET;

THENCE WEST ALONG SAID CENTERLINE OF HARRISON STREET TO THE WEST LINE OF THE CHICAGO AND NORTHWESTERN RAILWAY RIGHT OF WAY;

THENCE NORTH ALONG SAID WEST LINE OF THE CHICAGO AND NORTHWESTERN RAILWAY RIGHT OF WAY TO THE NORTH LINE OF CONGRESS PARKWAY;

THENCE WEST ALONG SAID NORTH LINE OF CONGRESS PARKWAY TO THE EAST LINE OF CALIFORNIA AVENUE;

THENCE NORTH ALONG THE EAST LINE OF CALIFORNIA AVENUE TO THE EASTERLY EXTENSION OF THE SOUTH LINE OF LOT 7 IN BLOCK 1 OF JAMES U. BORDEN'S RESUBDIVISION OF BLOCK 6 AND LOTS 1 TO 24 INCLUSIVE OF BLOCK 1 OF REED'S SUBDIVISION OF THE EAST THREE QUARTERS OF THE SOUTH QUARTER OF THE NORTHWEST QUARTER OF SECTION 13 AFORESAID, SAID SOUTH LINE OF LOT 7 BEING ALSO THE NORTH LINE OF CONGRESS PARKWAY;

THENCE WEST ALONG THE EASTERLY EXTENSION OF THE NORTH LINE OF CONGRESS PARKWAY TO THE NORTHERLY EXTENSION OF THE EAST LINE OF LOT 56 IN BLOCK 6 OF JAMES U. BORDEN'S RESUBDIVISION AFORESAID, BEING ALSO THE WEST LINE OF THE ALLEY WEST OF CALIFORNIA AVENUE;

THENCE SOUTH ALONG SAID NORTHERLY EXTENSION AND ALONG THE WEST LINE OF THE ALLEY WEST OF CALIFORNIA AVENUE AND ALONG THE SOUTHERLY EXTENSION THEREOF TO THE SOUTH LINE OF HARRISON STREET;

THENCE EAST ALONG SAID SOUTH LINE OF HARRISON STREET TO THE WEST LINE OF CALIFORNIA AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF CALIFORNIA AVENUE TO THE POINT OF BEGINNING AT THE SOUTH LINE OF ROOSEVELT ROAD.

EXCEPTING FROM THE FORGOING ALL THAT PART OF THE SOUTH HALF OF SECTIONS 13 AND 14 IN TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN BOUNDED AND DESCRIBED AS FOLLOWS:

BEGINNING AT THE POINT OF INTERSECTION OF THE CENTERLINE OF ALBANY AVENUE WITH THE CENTERLINE OF ROOSEVELT ROAD;

THENCE WEST ALONG SAID CENTERLINE OF ROOSEVELT ROAD TO THE CENTERLINE OF CENTRAL PARK AVENUE;

THENCE NORTH ALONG SAID CENTERLINE OF CENTRAL PARK AVENUE TO THE EASTERLY EXTENSION OF THE NORTH LINE OF LOT 51 IN GIVINS AND GILBERT'S SUBDIVISION OF THE SOUTH 15 ACRES OF THE EAST HALF OF THE EAST HALF OF THE SOUTHWEST QUARTER OF SECTION 14, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID NORTH LINE OF LOT 51 IN GIVINS AND GILBERT'S SUBDIVISION BEING ALSO THE SOUTH LINE OF THE ALLEY SOUTH OF FILMORE STREET;

THENCE WEST ALONG SAID SOUTH LINE OF THE ALLEY SOUTH OF FILMORE STREET AND ALONG THE WESTERLY EXTENSION THEREOF TO THE EAST LINE OF LOT 14 IN EDWARD CASEY'S ADDITION TO CHICAGO, A SUBDIVISION IN THE EAST HALF OF THE SOUTHWEST QUARTER OF SECTION 14, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID EAST LINE OF LOT 14 IN EDWARD CASEY'S ADDITION TO CHICAGO BEING ALSO THE WEST LINE OF THE ALLEY EAST OF INDEPENDENCE BOULEVARD;

THENCE NORTH ALONG SAID WEST LINE OF THE ALLEY EAST OF INDEPENDENCE BOULEVARD TO THE SOUTH LINE OF FILMORE STREET;

THENCE WEST ALONG SAID SOUTH LINE OF FILMORE STREET TO THE WEST LINE OF INDEPENDENCE BOULEVARD;

THENCE NORTH ALONG SAID WEST LINE OF INDEPENDENCE BOULEVARD TO THE WESTERLY EXTENSION OF A LINE 200 FEET SOUTH OF AND PARALLEL WITH THE SOUTH LINE OF ARTHINGTON STREET, SAID LINE 200 FEET SOUTH OF AND PARALLEL WITH THE SOUTH LINE OF ARTHINGTON STREET BEING ALSO THE NORTH LINE OF THE ALLEY SOUTH OF ARTHINGTON STREET;

THENCE EAST ALONG SAID WESTERLY EXTENSION AND THE NORTH LINE OF THE ALLEY SOUTH OF ARTHINGTON STREET TO THE WEST LINE OF LAWNGDALE AVENUE;

THENCE NORTH ALONG SAID WEST LINE OF LAWNGDALE AVENUE TO THE SOUTH LINE OF ARTHINGTON STREET;

THENCE WEST ALONG SAID SOUTH LINE OF ARTHINGTON STREET TO THE SOUTHERLY EXTENSION OF THE EAST LINE OF LOT 66 IN GOLDY'S THIRD ADDITION TO CHICAGO, A SUBDIVISION OF THE NORTH 296 FEET, TOGETHER WITH THAT PART

LYING SOUTH OF THE NORTH 1019.6 FEET OF THE EAST HALF OF THE NORTH HALF OF THE WEST HALF OF THE EAST HALF OF THE SOUTHWEST QUARTER OF SECTION 14, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, SAID EAST LINE OF LOT 66 IN GOLDY'S THIRD ADDITION TO CHICAGO BEING ALSO THE WEST LINE OF LAWNSDALE AVENUE;

THENCE NORTH ALONG SAID SOUTHERLY EXTENSION AND ALONG THE WEST LINE OF LAWNSDALE AVENUE TO THE NORTH LINE OF POLK STREET;

THENCE EAST ALONG SAID NORTH LINE OF POLK STREET TO THE EAST LINE OF ST. LOUIS AVENUE;

THENCE NORTH ALONG SAID EAST LINE OF ST. LOUIS AVENUE TO THE SOUTH LINE OF LEXINGTON STREET;

THENCE EAST ALONG SAID SOUTH LINE OF LEXINGTON STREET TO THE WEST LINE OF HOMAN AVENUE;

THENCE SOUTH ALONG SAID WEST LINE OF S. HOMAN AVENUE TO THE NORTH LINE OF POLK STREET;

THENCE EAST ALONG SAID NORTH LINE OF POLK STREET TO THE NORTHERLY EXTENSION OF THE WEST LINE OF LOT 13 IN BLOCK 12 IN E. A. CUMMINGS AND COMPANY'S CENTRAL PARK AVENUE ADDITION, A SUBDIVISION OF THAT PART OF THE SOUTHEAST QUARTER OF SECTION 14, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN LYING SOUTH OF THE NORTH 40 RODS THEREOF AND NORTH OF THE NORTH LINE OF THE RIGHT OF WAY OF THE CHICAGO & GREAT WESTERN RAILROAD;

THENCE SOUTH ALONG SAID NORTHERLY EXTENSION AND THE WEST LINE OF LOT 13 IN BLOCK 12 IN E. A. CUMMINGS AND COMPANY'S CENTRAL PARK AVENUE ADDITION TO THE NORTH LINE OF ARTHINGTON STREET;

THENCE EAST ALONG SAID NORTH LINE OF ARTHINGTON STREET TO THE EAST LINE OF KEDZIE AVENUE;

THENCE SOUTH ALONG SAID EAST LINE OF KEDZIE AVENUE TO THE SOUTH LINE OF THE BALTIMORE & OHIO CHICAGO TERMINAL RAILROAD RIGHT OF WAY, SAID SOUTH LINE OF THE BALTIMORE AND OHIO CHICAGO TERMINAL RAILROAD RIGHT OF WAY BEING ALSO THE NORTH LINE OF THE ALLEY NORTH OF FILLMORE STREET;

THENCE EAST ALONG SAID SOUTH LINE OF THE BALTIMORE AND OHIO CHICAGO TERMINAL RAILROAD RIGHT OF WAY TO THE CENTERLINE OF ALBANY AVENUE;

THENCE SOUTH ALONG SAID CENTERLINE OF ALBANY AVENUE TO THE POINT OF BEGINNING AT THE CENTERLINE OF ROOSEVELT ROAD;

ALL IN THE CITY OF CHICAGO, COOK COUNTY, ILLINOIS.

EXHIBIT B

: Street Location of the Expanded Area

The Expanded Area is generally bounded on the north by the alley north of Monroe Street, sections of Maypole Avenue, Kinzie Street, Lake Street and Washington Boulevard; on the east by an irregular line including Western Avenue and sections of California, Washtenaw, Talman and Rockwell Avenues; on the south by the C.B. & O Rail Line, an irregular line which includes the south side frontage of 16th Street from Albany Avenue to Pulaski Road, and sections of Taylor and Arthington Streets; and on the west by sections of Pulaski Road, the alley west of Pulaski Road, and Hamlin Boulevard and Laramie Avenue. Excluded from the Redevelopment Project Area is an irregular area bounded by sections of Polk Street, Taylor Street and Lexington Avenue on the north; Kedzie Avenue and Albany Avenue on the east; Roosevelt Road on the south; and Central Park Avenue and Independence Boulevard on the west.

EXHIBIT C

Map of the Expanded Area

SEE ATTACHED

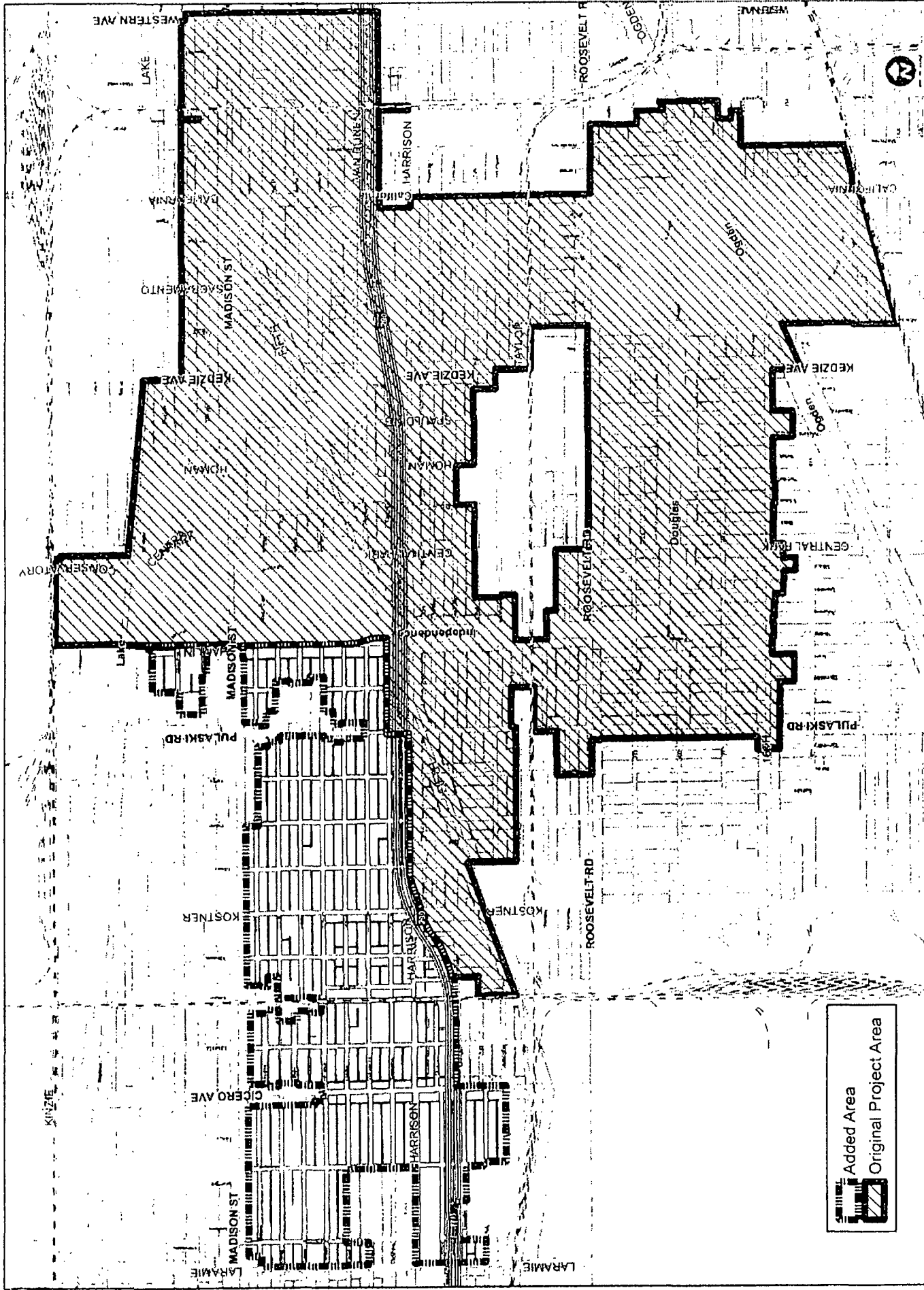


Figure 1: Original Project Area and Added Area Boundary Map
Midwest TIF Amendment No 2